# A specific N-terminal extension of the 8 kDa domain is required for DNA end-bridging by human $Pol\mu$ and $Pol\lambda$

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#### **ABSTRACT**

Human DNA polymerases mu (Polu) and lambda (Pol $\lambda$ ) are X family members involved in the repair of double-strand breaks in DNA during non-homologous end joining. Crucial abilities of these enzymes include bridging of the two 3' single-stranded overhangs and trans-polymerization using one 3' end as primer and the other as template, to minimize sequence loss. In this context, we have studied the importance of a previously uncharacterised sequence ('brooch'), located at the N-terminal boundary of the Polß-like polymerase core, and formed by  $Tyr^{141}$ ,  $Ala^{142}$ ,  $Cys^{143}$ ,  $Gln^{144}$  and  $Arg^{145}$  in  $Pol\mu$ , and by  $Trp^{239}$ ,  $Val^{240}$ ,  $Cys^{241}$ ,  $Ala^{242}$  and  $Gln^{243}$  in Polλ. The brooch is potentially implicated in the maintenance of a closed conformation throughout the catalytic cycle, and our studies indicate that it could be a target of Cdk phosphorylation in Pol<sub>µ</sub>. The brooch is irrelevant for 1 nt gap filling, but of specific importance during end joining: single mutations in the conserved residues reduced the formation of two ended synapses and strongly diminished the ability of Polµ and polymerase lambda to perform non-homologous end joining reactions in vitro.

# INTRODUCTION

Most nucleic acid-synthesizing enzymes exhibit large structural changes on binding to the DNA substrate: some of the substrate binding energy is used to organize the active site and orient this substrate for catalysis. Similarly, the binding of a nucleotide to a high-fidelity DNA polymerase/DNA complex induces a subtle change in the structure of the enzyme from an 'open' to a 'closed'

state [see Supplementary Figure S1A for an example with Polß; reviewed in (1)]. One role of this conformational change is to allow the rapid binding of substrates (and release of products) in the open state, while inducing optimal alignment of catalytic residues surrounding the substrates in the closed state. The shape of the base pair formed determines the fate of the weakly bound nucleotide during the conformational change step: only a correct base pair induces closing of the enzyme to form a tight catalytic complex; conversely, if a mismatched nucleotide is bound, the enzyme does not close, but rather proceeds to release the nucleotide while reducing the rate of catalysis.

Structural characterization of several DNA polymerases from families A, B and X suggests that deoxynucleotide (dNTP) binding induces large conformational changes affecting the relative positioning of both fingers and thumb subdomains (2-4). In the case of the human X family of polymerases, Polß has been the paradigm for structural examination of mechanistic details. The structure of free Polß showed that the polymerization domain (31 kDa), composed of subdomains fingers, palm and thumb, adopts a structure in space similar to a partially left hand, like other DNA polymerases evolutionarily unrelated (5,6); conversely its N-terminal domain (8 kDa) is shown to be located away from the polymerization domain in this structure (Supplementary Figure S1A, Polß – Apo). Crystallization of Polß complexed with different DNA molecules demonstrated the structural basis for its preference for small DNA gaps. Polß complexed with a template-primer substrate shows an arrangement in which the 8 kDa domain is not interacting with the DNA, being positioned at a distance from the polymerization domain, similar to the apoenzyme structure (7,8). In contrast, the crystal structure of Polß complexed with a 1 nt gapped substrate shows that the 8 kDa domain binds to the 5'-phosphate of the gap while also interacting with the DNA substrate through the thumb subdomain

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[(2,8); see Supplementary Figure S1A, Polß – Binary], thus promoting a more stable binding of the polymerase to this substrate. The resolution of the structure of Polß with a 1 nt gap and incoming nucleotide allowed further study of the polymerization mechanism and conformational changes that occur during catalysis. It was determined that the incoming nucleotide causes the transition from an open to a closed complex by induction of a conformational change of the thumb subdomain (2), which has a decisive influence on the fidelity of the reaction [(9,10), see Supplementary Figure S1A, Polß – Ternary].

Unexpectedly, structural characterization of polymerase lambda (Pol\(\lambda\)) indicated that its catalytic cycle does not involve major subdomain motions [see comparison of a binary complex with gapped DNA (PDB ID: 1XSL) versus a pre-catalytic ternary complex with gapped DNA and incoming nucleotide (PDB ID: 1XSN), at Supplementary Figure S1B]. Thus, unlike Polß, Polà is in a closed conformation before dNTP binding, and a shift of the DNA template is observed when comparing both crystal complexes (11) and predicted by molecular dynamic simulations (12). Informative crystal structures leading to this conclusion do not exist for polymerase mu (Polu): the only one solved is a ternary complex with gapped DNA and incoming nucleotide [(13): PDB ID: 2IHM: Supplementary Figure S1C]. However, like for Polλ, an ab initio closed conformation of the Polu apoenzyme is predicted by molecular dynamics simulations (14).

In this work, we describe the functional importance of a newly recognized sequence located in the N-terminal boundary of the polymerase \( \beta \)-like core, formed by five residues highly conserved in Polus (YACQR), Terminal deoxynucleotydil transferases (TdTs) (YACQR) and Polas (WVCAQ) of different species, but absent in PolB. This sequence, which we called 'brooch', seems to be involved in the maintenance of a closed conformation throughout the catalytic cycle, mediating interactions between the 8kDa domain and the thumb subdomain. Our site-directed mutagenesis studies indicate that the lack of the conserved residues of the brooch affects specific functions of human Polu and Pola, such as endbridging and trans-polymerization, or the filling-in of long gaps in DNA. These activities are of special importance during DNA repair processes such as non-homologous end joining (NHEJ), a pathway completely indispensable for the maintenance of genomic stability and cell viability.

#### MATERIALS AND METHODS

# **DNA** and proteins

Synthetic DNA oligonucleotides were obtained from Isogen (Ijsselstein, Holland). PAGE-purified oligonucleotides were labelled at their 5' ends with  $[\gamma^{-32}P]ATP$ . The oligonucleotides used to generate the DNA substrates were the following: for 1nt gapped substrates, Sp1C (5'GATCACAGTGAGTAC), T13C (5'AGAAGTGTAT CTCGTACTCACTGTGATC) and DG1-P (5'AGATAC ACTTCT); for 5 nt gapped substrates, Sp1C, T18 (5'ACT GGCCGTCGTTCTATTGTACTCACTGTGATC) and DG5 (5'AACGACGCCAGT). For NHEJ assays, four sets of oligonucleotides were used. A first set of primers sharing a common part (5'CCCTCCCTCCC...) and bearing different 3' protrusions (1AC [...CA3'], 1TG [...GT3'], 1A [...A3'], 1C [...C3'], 1G [...G3'], 1T [...T3']), were hybridized to 1D-NHEJ (5'GGGAGGGA GGG). A second set of primers sharing the common part (5'GCACTCACGTCCC...), and bearing different 3' overhangs (2AC [...CA3'], 2TG [...GT3'], 2A [...A3'], 2C [...C3'], 2G [...G3'], 2T [...T3']), were hybridized to oligonucleotide 2D-NHEJ (5'-GGGACGTGAGTGC). A third set of primers sharing the common part (5'CCCTCC CTCCG...), and bearing different 3' overhangs (D3 [...CGGC], D3+C [...GCGGCC]), were hybridized to D1 (5'CGGAGGGAGGG). A fourth set of primers sharing the common part (5' CCCTCCCTCCG...), and bearing different 3' overhangs (D4 [...CGCC], D4GC [...CGGCC]), were hybridized to D2 (5'GGGACGTGA GTGCGCG). Oligonucleotides DG1-P, DG5, 2D-NHEJ and D2-P contain a 5'-P group when indicated. Ultrapure dNTPs, dideoxynucleotides (ddNTPs) and [γ-<sup>32</sup>P]ATP (3000 Ci/mmol) were purchased from GE Healthcare (USA). T4 polynucleotide kinase was obtained from New England Biolabs (Beverly, MA, USA). Pfu DNA polymerase was purchased from Promega Corporation (Madison, WI, USA).

### Construction and purification of human Polu and mutant versions

Site-directed mutagenesis by single PCR with oligonucleotides containing the desired mutation was performed on the over-expression plasmid pRSETA-hPolµ (15). The oligonucleotides used were the following:

Y141F (5'TGGATGCCTGCCTTTGCCTGCCAGCGC), Y141S (5'TGGATGCCTGCCTGCCTGCCAGCGC), C143G (5'CCTGCCTATGCCGGCCAGCGCCCTACG), R145A (5'TATGCCTGCCAGGCCCCTACGCCCCTC), R145K (5'TATGCCTGCCAGAAACCTACGCCCCTC), N153G (5'CTCACACACCACGGCACTGGCCTCTCC), R446G (5'CAGCGGGAGCTGCGCGGCTTCAGCCGGAAGGAG), T147A (5'GCCTGCCAGCGCCCTGCGCCCCTCACACAC). T147E (5'GCCTGCCAGCGCCCTGAGCCCCTCACACAC).

DNA constructs were sequenced and transformed in Escherichia coli BL21(DE3)pLysS (Stratagene). Wild-type and mutant Polu variants were over-expressed and purified in an Äkta Purifier FPLC system (GE Healthcare) with the following protocol: the cleared bacterial lysate was loaded on a heparin column followed by an SP Sepharose column. The selected fractions were then loaded on a HiPrep Sephacryl 26/60 to eliminate contaminant nucleases. The eluted fractions containing highly purified protein were concentrated and stored at  $-80^{\circ}$ C.

### Construction and purification of human Pol\(\lambda\) and mutant versions

Site-directed mutagenesis by a single PCR with oligonucleotides containing the desired mutation was performed on the over-expression plasmid pET22b-Pol\(\lambda\) (16). The oligonucleotides used were the following:

W239Y (5'CCTGCTGTCCTGGATAAGTATGTCTGTGCACAGCCC), W239G (5'CCTGCTGTCCTGGATAAGGGGGTCTGTGCACAGCCC), C241S (5'GTCCTGGATAAGTGGGTCAGTGCACAGCCCTCAAGC), C241G (5'GTCCTGGATAAGTGGGTCGGTGCACAGCCCTCAAGC). Q243R (5'GTGGGTCTGTGCACGGCCCTCAAGCCAGAAGGCG), Q243G (5'GTGGGTCTGTGCAGGGCCCTCAAGCCAGAAGGCG).

Over-expression of wild-type and the desired mutants of Polλ was carried out in E. coli BL21-pRIL (Stratagene) as described (16). DNA in the cleared bacterial lysate was precipitated with 0.3% polyethyleneimine and sedimented by centrifugation. The supernatant was precipitated with ammonium sulphate to 35% saturation to obtain a polyethyleneimine-free protein pellet. Further purification was conducted on a Äkta Purifier FPLC system (GE Healthcare). The pellet was resuspended in Buffer A and diluted to 0.2 M NaCl. Then it was filtered and applied to a 5 ml of HiTrap Heparin column. The eluted fractions containing the protein of interest were loaded in a 1.7 ml of Mono S Sepharose column. The resulting fractions containing the protein of interest were diluted in Buffer A to 0.2 M NaCl and loaded again in a 5 ml of HiTrap Heparin column. The final pooled fractions were stored at  $-80^{\circ}$ C in 50% glycerol.

#### DNA polymerization and NHEJ assays

Several DNA substrates, containing 5 nM 5'P-labelled primers, were incubated at 30°C with the indicated polymerase in the presence of dNTPs and activating metal ions. The concentrations of each of these components, as well as the incubation times, are indicated in the figure legends in each case. The reaction mixture, in 20 μl, contained 50 mM Tris-HCl (pH 7.5), 1 mM dithiothreitol (DTT), 4% glycerol and 0.1 mg/ml bovine serum albumin (with the addition of 10% PEG 8000 in the case of Pola in NHEJ assays). After incubation, reactions were stopped by adding gel-loading buffer [95% (v/v) formamide, 10 mM EDTA, 0.1% (w/v) xylene cyanol and 0.1% (w/v) bromophenol blue and analysed by 8 M urea/20% PAGE and autoradiography. When indicated, we used ddNTPs instead of dNTPs to limit incorporation to a single nucleotide on the 3'-end of the labelled oligonucleotide.

# Electrophoretic mobility shift assays

Electrophoretic mobility shift assays (EMSAs), used to analyse the interaction with gapped-substrates and NHEJ intermediates, were performed in a final volume of 12.5 µl, containing 50 mM Tris-HCl (pH 7.5), 0.1 mg/ ml bovine serum albumin, 1 mM DTT, 4% glycerol, 5 nM labelled DNA and different concentrations of the indicated proteins. After incubation for 10 min at 30°C, samples were mixed with 3 µl of 30% glycerol and resolved by native gel electrophoresis on a 4% polyacrylamide gel (80:1 [w/w] acrylamide/bisacrylamide). Gels were dried, and labelled DNA fragments were detected by autoradiography.

#### *In vitro* kinase assays

Phosphorylation was carried out in a final volume of 20 ul of containing kinase buffer [50 mM Tris (pH 7.5), 10 mM MgCl<sub>2</sub>, 1 mM EGTA, 2 mM DTT, 0.01% Brij 35, 100 μM ATP and  $0.3 \,\mu\text{Ci}$  of  $[\gamma^{-32}P]ATP]$ , in the presence of substrate (either Histone H1 or 700 ng of Polu) and five units of the indicated Cdk/cyclin complexes (New England Biolabs). Reactions were carried out for 30 min at 30°C, and the proteins were separated on a 10% SDS-PAGE. Reactions were stopped by addition of loading buffer and boiling for 2 min at 95°C. The gel was stained with Coomassie Brilliant Blue, dried and exposed on an X-ray film.

#### Amino acid sequence comparisons and 3D modelling

Multiple alignments of different DNA polymerases were done using the program MULTALIN (http://prodes. toulouse.inra.fr/multalin/). The different conformations of the studied residues, motifs and domains in the X family polymerases were analysed with the software MacPymol (http://delsci.com/macpymol/).

#### **RESULTS**

# The brooch: a closed conformation of the Polu polymerase core is optimal for NHEJ

Despite the lack of enough structural information regarding Polu catalytic mechanism, comparison of the existing structures and multiple amino acid sequence alignments guided us in the identification of an amino acid sequence that is present in the catalytic core of Polu, TdT and Pola, but absent in Polß. The brooch is formed by the five amino acids Tyr<sup>141</sup>, Ala<sup>142</sup>, Cys<sup>143</sup>, Gln<sup>144</sup> and Arg<sup>145</sup> (YACQR) in Polus and TdTs, and it is extraordinarily conserved among different species (Figure 1A). In Polas, the conserved sequence is slightly different but includes again five similar amino acids: Trp<sup>239</sup>, Val<sup>240</sup>, Cys<sup>241</sup>, Ala<sup>242</sup> and Gln<sup>243</sup> (WVCAQ, see Figure 1A). This sequence, located at the very N-terminal part of the core, preceding the 8kDa domain, was not included in any of the Pola structures that have been crystallized (17). Fortunately, the crystallized murine Polu core did include the brooch, and therefore we could delineate its specific interactions with residues located in the thumb subdomain (Figure 1B): Tyr<sup>141</sup> interacts directly with thumb residues Gln<sup>452</sup> and Glu<sup>453</sup> (Lys<sup>450</sup> and Glu<sup>451</sup> in human Polu) through their side chain, and with the brooch residue Gln<sup>144</sup> (in this case through the backbone of both residues). Arg<sup>448</sup> (Arg<sup>446</sup> in human Polu) is also part of this network of interactions and directly stacks against Tyr<sup>141</sup>. Moreover, the brooch residue Arg<sup>145</sup> is interacting with two other residues (Pro<sup>139</sup>, Ala<sup>140</sup>) and with Ser<sup>184</sup> located at the 8 kDa subdomain, thus helping to maintain the general architecture of the brooch. We speculate that these interactions would be involved in the maintenance of the closed conformation of the core and consequently in the correct positioning of the DNA, specifically during the first step of a NHEJ reaction in which the protein has to interact with two separate DNA substrates, as modelled in Figure 1B. A constitutively closed core could be critical to correctly position the downstream DNA end, which would be in any case bound by its 5'-phosphate group to the 8kDa domain of the polymerase, but also has to interact with protein residues for fine-tuned orientation during

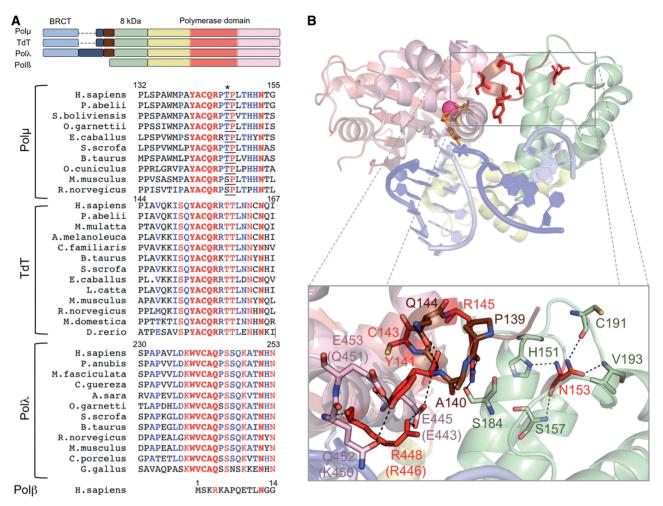


Figure 1. The brooch: amino acid conservation and implications for the closing of the polymerase core. (A) Top: schemes showing the domain organization of the human PolXs. The subdomains in the polymerase domain are coloured as follows: fingers in yellow, palm in red and thumb in pink. Bottom: multiple sequence alignment of Polλs, Polµs and TdTs of different species and human Polβ. Invariant residues inside each group are shown in red, conserved residues in blue. The brooch and the invariant asparagine equivalent to Polu Asn<sup>153</sup> are highlighted in bold. Asterisk indicates a Cdk phosphorylation residue at the minimal consensus sequence (TP/SP; underlined). (B) Cartoon representation of the murine Polu ternary complex with the domains coloured as in (A). Labels of some residues are given for both mouse and human (in parentheses) counterparts, as they differ. The DNA substrate is shown in sticks, dark blue for the template strand and light blue for the primer and downstream strands. One nucleotide of the template strand has been eliminated to mimic a NHEJ intermediate formed by two 3'-protruding substrates. Residues from the brooch are shown in brown, unless those subjected to mutagenesis, which are shown in red sticks; residues contacting them directly are shown in the colour of the subdomain they belong to.

end-bridging. Therefore, if the protein architecture were not properly maintained, these interactions with the downstream side of the break (and also with the upstream side) would be less stable due to the constant 'breathing' of the polymerase core: the correct positioning of the two 3'-protrusions in the active site of the polymerase would not be achieved—lowering the efficiency of the NHEJ reaction.

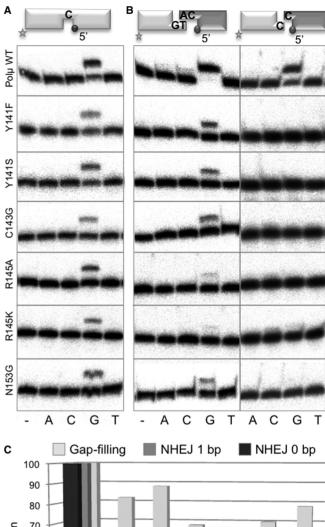
To test this idea, we mutated each of the three most conserved residues in the brooch of human Polu. The point mutations were designed as follows: Tyr1i41 was mutated either to phenylalanine (Y141F) to maintain the overall shape of the amino acid while eliminating the reactive group, or serine (Y141S) to get the opposite result; Cys<sup>143</sup> was mutated to glycine (C143G), and Arg<sup>145</sup> was mutated to either lysine (R145K), to maintain the positive charge, or alanine (R145A), to

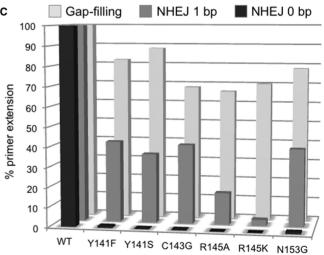
eliminate any function of this residue. We also targeted another residue, Asn<sup>153</sup>, which might be indirectly involved in maintenance of brooch conformation. Asn<sup>153</sup> is located in the 8 kDa domain, and although it is not establishing direct interactions with any residue of the brooch or the thumb subdomain, it is part of a network of interactions [His<sup>151</sup>, Ser<sup>157</sup>, Cys<sup>191</sup> (Ser<sup>191</sup> in human Polu), Val<sup>193</sup>] that maintains the general conformation of this part of the 8 kDa domain (Figure 1B). This structural function seems to be crucial, given the invariant conservation of this residue among Polus, TdTs and Polas of different species, and also in human Polß (Figure 1A). We mutated Asn<sup>153</sup> to glycine (N153G) to test whether this destabilization affects the function of the brooch.

The purified mutants were firstly analysed on templatedependent polymerization activity assays. Gap-filling activity by these mutants was mildly affected (both in efficiency and nucleotide insertion fidelity) when compared with wild-type Polu (Figure 2A and C). We next tested the activity of these mutants on either complementary or non-complementary NHEJ substrates (18). NHEJ activity on complementary ends was strongly reduced in all cases: mutants in Tyr<sup>141</sup>, Cys<sup>143</sup> and Asn<sup>153</sup> barely reached 40% of wild-type activity, whereas mutants R145A (16%) and R145K (3%) were much strongly affected (Figure 2B, left panels, and C). In the case of the non-complementary substrates, the activity of all the mutants was null when performing the assays in the conditions used for wild-type Polu (Figure 2B, right panels, and C). When assayed with a 100-fold higher ddNTP concentration (Supplementary Figure S1E), we could detect some activity in the case of mutants Y141F (conservative mutation) and N153G, much less with mutants Y141S and C143G, and still none with mutants R145A and R145K. Therefore, our results demonstrate the relevance of the brooch, and by extrapolation, of a closed conformation of Polµ, during NHEJ. Moreover, brooch residue Arg<sup>145</sup> is highly specific for NHEJ reactions: although the two mutants at this residue reached 70% of wild-type gap-filling activity, polymerization on NHEJ substrates, either complementary or non complementary, was very low or undetectable (Figure 2B and C).

# A closed conformation of the Pol $\!\mu$ core promotes binding to NHEJ substrates

As a control, we first tested the binding of the brooch mutants to the same gapped DNA substrate used in the activity assays, and all displayed similar levels of DNA binding as the wild-type, as established by EMSA analysis of the enzyme/DNA binary complex formed (Figure 3A). These results are in agreement with the wild-type-like gap-filling activity shown by these mutants (Figure 2A). To test whether the inability of the brooch mutants to perform NHEJ of incompatible ends was primarily due to some defect in binding/bridging DNA ends with short, non-complementary, 3'-protrusions, we carried out EMSA experiments with the same 3'-protruding ends used in the NHEJ assays. Figure 3B shows that all the mutants displayed a similar binding to a single DNA end as the wild-type Polu (lower shifted band); this can be expected, as binding to one end is largely dependent on its 5'-P pocket. Interestingly, wild-type (WT) Polu produces a super-shifted band that must be a 2:1 (DNA:enzyme) synaptic complex for the following reasons: EMSA on gapped substrate showed that Polu binds the substrate in a unique way (only one sharp retarded band), with the enzyme nested at the gap position flanked by the 5'-P [as confirmed by footprinting assays (18)], but not at the blunt ends of the DNA molecule. Extrapolation of this knowledge to the case of the NHEJ substrate implies that Polu must be binding the 3'-protruding side of the substrate (through its 5'-P group), and not the blunt end. This rules out the possibility that more than one polymerase is stably bound to a single DNA molecule, producing the super-shift. Then, the super-shifted band must contain two DNA ends. As any





**Figure 2.** Mutagenesis of the brooch specifically affects the end-joining activity of Polμ. (**A**) Gap-filling reactions were performed for 30 min at 30°C with wild-type Polμ and the indicated mutants (25 nM) using a gapped substrate containing the oligonucleotides SP1C, T13C and DG1-P. dNTPs were added separately at 10 nM in the presence of 2.5 mM MgCl<sub>2</sub>. (**B**) NHEJ reactions were performed with 200 nM Polμ and using two sets of substrates: the labelled substrates contain 1TG or 1C and 1D-NHEJ, and the cold substrates, either 2AC or 2C and 2D-NHEJ. The dark spheres indicate the presence of a 5′-P group, which forces binding of this substrate to the 8 kDa domain of Polμ and thus its usage as the downstream part of the break. ddNTPs were added separately at 10 μM in the presence of 2.5 mM MgCl<sub>2</sub>. (**C**) Quantification of primer extension by wild-type Polμ and the indicated mutants in gap-filling and NHEJ reactions.

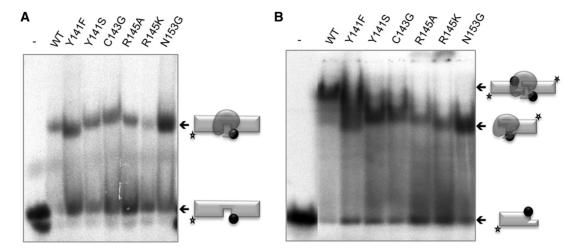


Figure 3. A closed conformation of the polymerase core promotes binding to NHEJ substrates. (A) EMSA was performed for the wild-type Polµ and the indicated mutants (200 nM) using a gapped substrate containing the oligonucleotides SP1C, T13C and DG1-P. (B) EMSA was performed for the wild-type Polu and the indicated mutants (600 nM) using a 3'-protruding substrate formed by hybridization of 1TG with 1D-NHEJ.

of these two ends can provide one binding site to the polymerase, the super-shifted band could correspond to synapses involving two different complexes (either 2:1 or 2:2; DNA:prot). It could be envisioned that two polymerase molecules, each bound to one DNA end, could bring them together to form a 2:2 complex as the one observed in the bacterial NHEJ machinery (19). Nevertheless, the footprinting size (9 nt) produced by a single Polu molecule (18), observed also in the structure of Polu bound to a gapped substrate (PDBID: 2IHM), makes a single Polu monomer competent to bridge the two sides of the break at the same time; conversely, the formation of a 2:2 complex would be hindered owing to sterical reasons. Thus, we infer that the super-shifted band does correspond to a 2:1 (DNA:pol) synapsis. Strikingly, none of the mutants were able to fully reproduce the second super-shifted band that corresponds to the synapsis. Mutant Y141F had a moderate defect in DNA binding in agreement with its residual activity. Mutation of Asn<sup>153</sup> is clearly not affecting the general structure of the 8 kDa domain, as binding to the 5'-P group is not compromised; thus, its structural role must have to do with maintaining the framework needed for a correct functioning of the brooch. All these results reinforce the idea that the brooch is not essential to bind the template/downstream (T/D) DNA end, a task that relies on the recognition of the 5'-P by the 8 kDa domain (18). However, it is likely that the brooch is important for the correct orientation of the 3'-protrusion acting as a template. If that end is not correctly positioned, synapsis of the second end would be unstable, and catalysis would be impaired. This is especially true for non-complementary DNA ends, where the ability of Polu to align the two 3' overhangs, one as primer and the other as template, is crucial to achieve a correct synapsis.

We propose that the brooch is required to keep the closed conformation necessary for correct binding of NHEJ substrates and further catalysis. Although visual proof such as crystallization of the wild-type protein and comparison with selected mutants is unavailable, we took advantage of the fact that the 'brooched' domains are connected by linker regions that are possibly exposed to the solvent, therefore accessible to proteases. We performed partial proteolysis analyses on both Polu and Pola and a selection of strongly affected mutants to determine whether these mutations produce a defective close conformation. As shown in Supplementary Figure S2, both wild-type Polu and Pola showed a pattern of degradation that includes the appearance of a band corresponding to the polymerase core (red asterisks), lacking the Breast cancer gene 1 C-Terminal domain (BRCT domain) and the flexible Ser/Pro linker. Mutations on specific residues of the brooch changed this pattern: this band was less obvious, and a new band was formed (arrowheads). By using mass spectrometry, we have demonstrated that this new proteolytic fragment, running in a position close to the polymerase core, has lost the N-terminus of the protein (Supplementary Figure S2B). The appearance of this new band can only be interpreted as a change in the protease sensitivity of the linker, due to a defective brooch function that leads to a change of conformation of the polymerase in this region.

# Mutation of $Arg^{446}$ in human Pol $\mu$ mimics disruption of the brooch

A specific arginine residue, located in the thumb subdomain, was predicted as important for the brooch function: in the murine structure, Arg<sup>448</sup> interacts with Gln<sup>452</sup> and Glu<sup>453</sup>, also in the thumb, and stacks against Tyr<sup>141</sup>, part of the brooch (see Figure 1B). Arg<sup>448</sup> forms part of a positively charged  $\alpha$ -helix that contains four arginine residues (Arg<sup>444</sup>, Arg<sup>447</sup>, Arg<sup>448</sup> and Arg<sup>451</sup>) oriented towards the negatively charged phosphate backbone of the template strand (data not shown). Arg<sup>448</sup> (Arg<sup>446</sup> in human Polµ) is the only residue of these four that is exclusively conserved among Polus and TdTs of different species (Figure 4A). Human Polumutant R446G was obtained to determine how Arg<sup>446</sup>

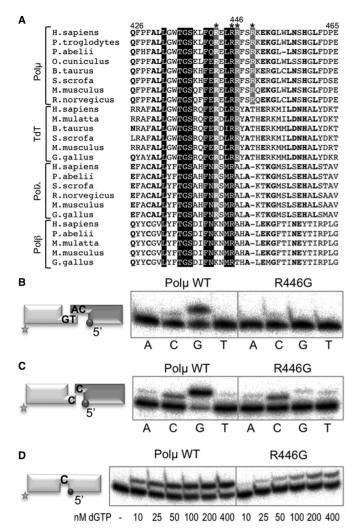


Figure 4. Mutation of the interacting thumb residue Arg<sup>446</sup> mimics disruption of the brooch. (A) Multiple sequence alignment showing the region corresponding to helix N in the four X family polymerases from different species. Numbers indicate the residues in the human Polμ sequence. The four conserved arginines (Arg<sup>444</sup>, Arg<sup>447</sup> and Arg<sup>451</sup> in mouse Pol<sub>µ</sub>) oriented towards the negatively charged phosphate backbone of the template strand are boxed in grey or black and indicated with an asterisk. Arg<sup>446</sup> in human Polµ is numbered. (B) NHEJ reactions were performed for 30 min at 30°C with 400 nM of the indicated proteins and using two sets of substrates: the labelled substrate contained 1TG and 1D-NHEJ and the cold one 2AG and 2D-NHEJ. Each of the four ddNTPs (100 µM) was added in the presence of  $2.5\,\mathrm{mM}$  MgCl<sub>2</sub>. (C) NHEJ reactions were performed as in (B) but using non-complementary DNA substrates, the labelled one containing 1C and 1D-NHEJ, and the cold one containing 1C and 2D-NHEJ. (D) Gap-filling reactions were performed for 30 min at 30°C with the indicated proteins (100 nM) using a gapped substrate containing SP1C, T13C and DG1-P. dGTP was added separately at the indicated concentrations in the presence of 2.5 mM MgCl<sub>2</sub>.

might be contributing to the function of the brooch in Polu. When tested in NHEJ substrates, the only incorporation observed with mutant R446G corresponds to errorprone insertions, a result of the terminal transferase activity of Polu, whereas the error-free templated insertion is only observed with the wild-type enzyme in either complementary (Figure 4B) or non-complementary DNA ends (Figure 4C). As a control, we tested R446G in polymerization on a 1 nt gap, and the mutant displayed a level of activity that was 60% wild-type (Figure 4D), a minor defect compared with the one shown in NHEJ substrates. These results clearly emphasize the importance of a perfectly orchestrated end synapsis during NHEJ, in which all the elements (two DNA ends and incoming nucleotide) must be stabilized by the polymerase in proper register for catalysis.

### The brooch in Polu may be a Cdk phosphorylation target

Inspection of the sequence of Polu revealed the existence of a possible Cdk phosphorylation site very close to the brooch, at residue Thr<sup>147</sup> (indicated with an asterisk in Figure 1A). This site contains the minimal consensus (TP, Thr-Pro) and is highly conserved among Polus from different species. In murine Polu, Ser<sup>147</sup> occupies this position, and in the crystal structure, Ser<sup>147</sup> was shown to be indirectly contacting residue Arg145 of the brooch and acting itself as a bridge between the 8kDa domain and the thumb subdomain, through direct contacts with Gln<sup>493</sup> and Asn<sup>495</sup> (Figure 5A). *In vitro* kinase assays with the wild-type human Polu and mutant T147A were carried out with two different complexes: Cdk2/cyclin A (associated with S phase) and Cdc2/cyclin B (important for G2/M transition and progression through M phase). Histone HI was used as a positive control of phosphorylation. As shown in Figure 5B, a clear phosphorylation signal could be observed with the two cyclins (although the phosphorylation signal is more intense in the case of Cdk2/cyclin A), meaning that Polu is a substrate of Cdk complexes and thus could be cell-cycle regulated. The second conclusion is that the Cdk site at Thr<sup>147</sup> does not seem to be the main Cdk phosphorvlation site in the sequence of Polu, in agreement with our recent data (Esteban V., Martin MJ. and Blanco L., manuscript under revision). It was not possible to detect this putative phosphorylation site by mass-spectrometry after in vitro phosphorylation, as none of the peptides detected contained this site. However, and to elucidate the possible impact of phosphorylation-mediated regulation in a region so closely related with the brooch, the phospho-mimicking mutation T147E was obtained and tested it on a range of in vitro assays. As expected, mutants T147E and T147A displayed a wild-type phenotype in 1 nt gapfilling reactions (Figure 5C). On the other hand, when tested for NHEJ on non-complementary ends, the activity of mutant T147E was completely abolished, whereas the control mutation to alanine did not produce any detectable effect (Figure 5D). These results lead us to propose that the maintenance of a closed conformation of the polymerase core, which impacts highly specialized functions of Polu, might be regulated in a cell-cycledependent manner. This hypothesis needs to be further tested in vivo, as our data cannot yet confirm the existence of a functional Cdk site at this position and its implications for Polu activity during the cell cycle.

# The brooch of Pol $\lambda$ improves end-bridging efficiency and binding to long DNA gaps

As previously indicated, the brooch is also conserved in Pola, but its function during the catalytic cycle and its

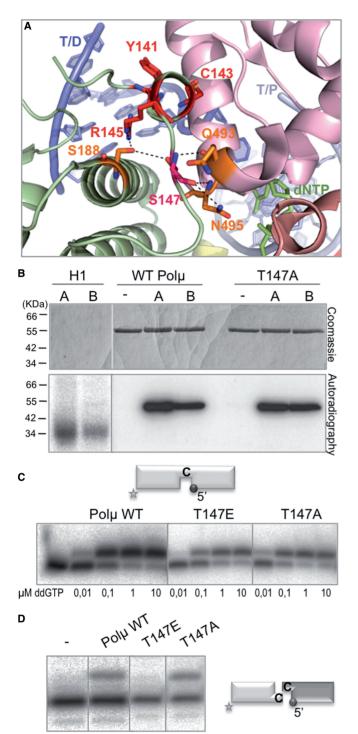


Figure 5. The brooch in Polu may be regulated via Cdk phosphorylation. (A) Cartoon representation of the murine Polu ternary complex with the domains coloured as in Figure 1A. Residues of the brooch are shown in red sticks, Ser<sup>147</sup> (equivalent to Thr<sup>147</sup> in human Polµ) in hot pink and residues contacting it directly in orange. (B) In vitro Cdk phosphorylation assay of histone H1 (100 ng), wild-type Polu and T147A mutant (700 ng) was carried out as described in 'Materials and Methods' section. Two different Cdk complexes were used in this assay, Cdk2/cyclin A (A) and Cdc2/cyclin B (B). The amount of the different proteins was visualized by Coomassie staining, the labelled portion was detected by autoradiography. (C) Gap-filling reactions were performed for 30 min at 30°C with the indicated proteins (25 nM) using a gapped substrate containing SP1C, T13C and DG1-P. As indicated, a range of ddGTP concentrations was used in

structural and mechanistic implications have not been studied to date. Thus, we mutated the WVCAQ brooch as follows: Trp<sup>239</sup> was changed either to tyrosine (mimicking the Polu sequence) or glycine; Cys<sup>241</sup> was mutated to either serine or glycine (having a similar size); Gln<sup>243</sup> was changed either to arginine (to modify the charge of the side chain while maintaining its size and structure) or glycine. As Pol\(\lambda\) needs complementarity to achieve end bridging (20), we used substrates with a limited (3 bp) microhomology. These reactions were performed in the presence of manganese, a metal cofactor known to increase the rate of catalysis by stabilizing the nucleotide in the active site (21). Under these conditions, the mutants showed <30% of wild-type activity, with the exception of W239Y and Q243R (Figure 6A and Supplementary Figure S3C).

Interestingly, Pol\u03b2 was much more efficient (4-5-fold) during NHEJ of DNA ends with 4 bp of complementarity, thus allowing the efficient use of magnesium as cofactor (compare Supplementary Figure S3C and D, WT panels). Even using this more favourable connection, and perhaps a more physiological metal cofactor, the mutants (with the exception of W239Y) displayed very low (C241G and Q243R) or even undetectable (W239G, C241S, Q243G) levels of NHEJ activity compared with the wild-type enzyme (Figure 6B and Supplementary Figure S3D). However, when the mutants were tested on a 1 nt gap as the most favourable substrate, their activity was only slightly affected (to a much lesser extent than in NHEJ reactions; Figure 6C and Supplementary Figure S3A and B). As longer gaps can eventually arise as NHEJ intermediates to be handled by Polλ, the brooch mutants were also tested for gap filling on a 5nt gapped substrate bearing a 5'-P group. As shown in Figure 6D (left panels), the activity of mutants W239G, C241S, C241G and O243G was significantly affected. Moreover, the activity of the brooch mutants was further reduced when tested on DNA substrates with a lower binding affinity, such as a 5 nt gap lacking the 5'P group (Figure 6D, right panel). This defective activity of some brooch mutants was primarily due to an impaired binding to long gaps as demonstrated by EMSA: all the mutants were perfectly able to stably bind a 1 nt gapped substrate (Supplementary Figure S4A), whereas their binding to the 5 nt gap was affected (Supplementary Figure S4B), except for mutants W239Y and Q243R, in agreement with their high-activity levels. Formation of a more stable ternary complex in this 5 nt gap, which requires providing the first complementary nucleotide in the EMSA assays, but also the positioning of four template bases in the 'scrunching' pocket (22), was even more difficult for the mutants (Supplementary Figure S4C), Interestingly, the 'scrunching' pocket is located between

Figure 5. Continued

the presence of 2.5 mM MgCl<sub>2</sub>. (D) NHEJ reactions were performed for 30 min at 30°C with 200 nM of the indicated proteins and using two substrates: the labelled substrate contained 1C and 1D-NHEJ, and the cold one contained 2C and 2D-NHEJ. ddGTP was added at 10 µM in the presence of 2.5 mM MgCl<sub>2</sub>.

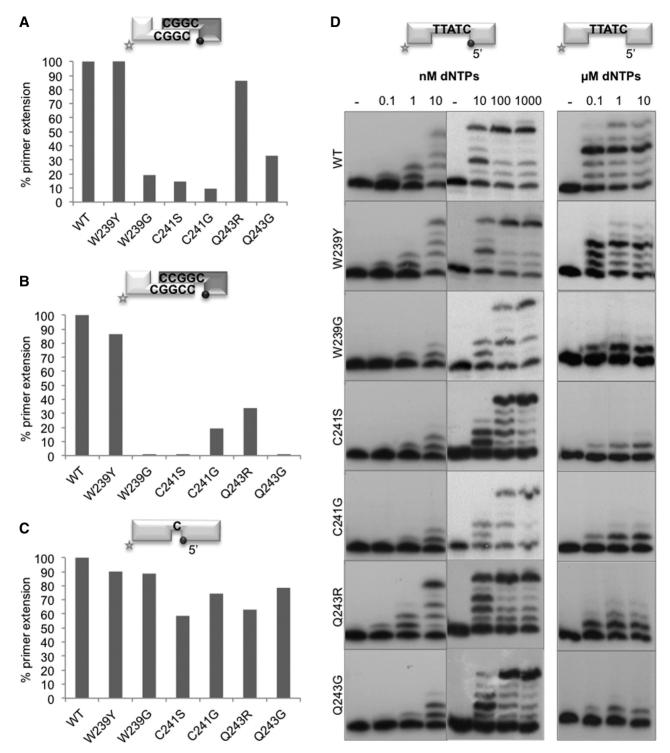


Figure 6. The brooch of Pola improves end-bridging efficiency and binding to long DNA gaps. (A) NHEJ reactions were performed with the wildtype Polλ and the indicated mutants (500 nM) in the presence of 1 μM ddGTP and 0.1 mM MnCl<sub>2</sub> at 30°C for 60 min. Two separate sets of substrates were used: the labelled substrates contain D3 and D1, and the cold substrates, D4 and D2-P. (B) NHEJ reactions were performed with Pola and the indicated mutants (500 nM) in the presence of 1 µM ddGTP and 2.5 mM MgCl<sub>2</sub> at 30°C for 60 min. Two separate sets of substrates were used: the labelled substrates contain D3+C and D1, and the cold substrates contain D4GC and D2-P. (C) Gap-filling reactions were performed with the wildtype Polλ and the indicated mutants (50 nM) in the presence of 10 nM ddGTP and 2.5 mM MgCl<sub>2</sub> using a gapped substrate containing the oligonucleotides SP1C, T13C and DG1-P at 30°C, 20 min. (**D**) Polymerization reactions were performed with the wild-type Polλ and the indicated mutants (50 nM) in the presence of 2.5 mM MgCl<sub>2</sub> at 30°C for 20 min, using two different DNA substrates: GAP5-P (left panels) containing SP1C, T18 and DG5-P and GAP5-OH (right panel) containing SP1C, T18 and DG5. dNTPs concentration is indicated. After denaturing electrophoresis, the labelled fragments were detected by autoradiography.

the  $8\,k$ Da domain and the thumb subdomain, very close to the brooch. Thus, according to our mutational data, the Pol $\lambda$  brooch could be involved in the architecture of the scrunching pocket, contributing to Pol $\lambda$  ability to bind the 'yet-to-be-copied' downstream nucleotides.

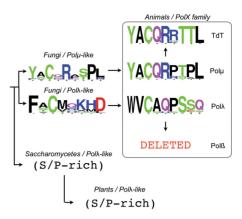
In summary, these results show that the Pol $\lambda$  brooch is important for its NHEJ function, as described for Pol $\mu$ , but it is also particularly important to bind substrates containing gaps longer than 1 nt, which require a functional scrunching site.

#### DISCUSSION

Compelling evidence indicates that the NHEJ pathway minimizes loss of genetic material by using any template available: the overhangs formed during alignment of DSBs are usually filled in, allowing retention of the maximum sequence possible (23–25). The NHEJ pathway takes advantage of highly specialized polymerases, capable of dealing with two DNA ends at once: one providing a primer strand with a protruding 3'-OH, and another that provides a recessive 5'-P and a template strand, also 3'-protruding. Thus, for this task, the polymerase must extend the primer using a templating base provided in trans: 'alignment based gap fill-in'. In the case of family X polymerases, the ability to act during NHEJ is dictated by their template dependence (26), which relies on structural differences among the four polymerases.

Some of the DNA polymerases belonging to the X-family contain non-catalytic regulatory domains, such as an N-terminal BRCT domain implicated in proteinprotein (27) and protein-DNA interactions (28,29), followed by a flexible linker known as the Ser-Pro domain. In the past few years, data from different laboratories have indicated the possible implication of the non-catalytic domains, and specifically the Ser-Pro domain, in the regulation of the activity of the polymerase domain: first, a deletion mutant of the first 244 amino acids in Pola (BRCT and Ser-Pro domains) was shown to display stronger strand-displacement that the wildtype Pola (30); second, pre-steady-state kinetics comparing full-length Pol\(\lambda\) with a truncated form that again lacks the first 244 amino acids indicated that this Ser-Pro domain confers an increase in fidelity by lowering the incorporation rate constants of incorrect nucleotides, thus allowing Pol $\lambda$  to reach the fidelity levels of Pol $\beta$  (31). However, all the biochemical studies leading to the conclusion that the Ser-Pro domain is implicated in the regulation of the catalytic activity of the polymerase have been performed on deletion mutants, which not only lacked the Ser-Pro (and BRCT) domain but also a previously unnoticed region of the protein that has been studied in depth in the work presented here: the brooch, present in Polλ, Polμ and also TdT, but absent in Polβ.

The brooch is a conserved N-terminal extension of the  $8 \, kDa$  domain of eukaryotic Pol $\mu$ , TdT and Pol $\lambda$ , but it is also highly conserved in other PolXs from different species, even from different kingdoms of life. Figure 7 shows the sequence consensus of different types of



**Figure 7.** Brooch ancestry. Sequence logo representations and evolution of the brooch sequence in groups of species belonging to different eukaryotic kingdoms: animals, fungi and plants. The sequences used for generating the logos are indicated in Supplementary Figure S5.

brooch, indicating the conserved residues in each case (full sequence alignments from which these logos were generated are shown in Supplementary Figure S5). According to the sequence conservation of the brooch, the PolXs found in Ascomycota can be divided into three groups: Polu-like, Pola-like and PolXs from Saccharomycetales. Strikingly, PolXs Saccharomycetales (as Pol4 from Saccharomyces cerevisiae) do not contain a brooch, and the Ser-Pro domain is followed immediately by the 8kDa domain. Pola sequences from plants also lack this sequence, possibly indicating an evolutionary relationship amongst these two groups. In the case of Polus from animals, the sequence is almost invariant (YACQR), as in the case of TdTs (Figure 7 and Supplementary Figure S5). In the case of Polas from animals, the sequence is different (WVCAQ), but it is equally conserved, whereas in Polßs, the brooch has been apparently lost, together with the BRCT and Ser-Pro domains, in agreement with a more specific role of Polß in base excision repair, not dealing with DSBs as its family siblings.

Taking into account the structural data available for Polu, we could initially postulate the implication of the brooch in maintaining the constitutively closed conformation of Polu, TdT and Pol\(\lambda\) through the catalytic cycle, whereas Polß is not constrained by this brooch and allows free motion of the 8kDa domain with respect to the thumb (Supplementary Figure S1). Unfortunately, in the case of all the structures solved for Pola, this small region is missing (a model of the predicted position of the brooch in this polymerase can be seen in Supplementary Figure S1D). This fact could well explain the 5-fold difference in  $K_{\rm m}$  observed between the full-length Pol $\lambda$  and the crystallized form of the enzyme (16) and also the conformation adopted by the 8 kDa domain in this Pol\(\lambda\) structure, which is intermediate between the open and closed conformation of Polß (PDB IDs: 1BPZ, 1BPY). This lack of the brooch could also affect the results obtained by Fiala et al. (31) and Fan et al. (30) using truncated mutants, thus not allowing assignment of a specific role for the Ser-Pro domain from their data.

The 8 kDa domain is one of the structural features that allow X family polymerases to bind gapped and NHEJ substrates, and it is located either at the N-terminus (Polß), or at the N-terminal portion of the polymerase domain (Pola, Polu, TdT and yeast PolXs), preceded by the Ser/Pro-rich region and/or the BRCT domain. The 8 kDa domain is involved in contacting the downstream 5'-P group (13), and in some of the members of the X family, it bears a dRP-lyase activity, highly related to the BER pathway (32,33) but also needed to process the DNA ends of a 'dirty' DSB. The Pola binary structure with a 2nt gap (16) shows that the location of the polymerase domain in a gap is dictated by the binding of the 8 kDa domain to the downstream part of the substrate, and not by interactions with the primer terminus. This observation has implications of great interest for the binding of the polymerase to NHEJ substrates, as 8 kDa-mediated binding would occur, irrespective of the conformation of the 3' end. An extreme example of a situation like this would be a recent structure of the NHEJ polymerase from Mycobacterium tuberculosis in which the polymerase is forming a 'pre-ternary' complex (34): the enzyme is binding the downstream side of the break via the 5'-P group and also the incoming nucleotide dictated by the templating base. The active site is poised for catalysis, with both metals A and B present and the residues in their active conformations—all in the absence of a primer strand. In the case of the eukaryotic polymerases, the order of events could be similar during end bridging, as hinted by the Pola structure. Therefore, a tightly controlled conformation of the body of the polymerase would help to correctly position all the components needed for catalysis: primer terminus, templating base, incoming nucleotide, metal cofactors and protein side chains building the active site. Accordingly, our mutagenesis results indicate that the most affected reaction when the brooch is disturbed in both Polu or Pola was end bridging/trans-polymerization. In Polλ, it is also affecting its 'scrunching' capacities used to deal with long gaps. This brooch is thus 'scrunching'-compatible, probably allowing a certain opening of the 8 kDa domain with respect to the thumb, whereas Polu's might be much more static. On the other hand, the support provided by the brooch was not necessary during 1 nt gap filling, an expected result as Polß, a polymerase specialized in this reaction, does not contain a brooch.

In addition to large-scale domain motions (in Polß), several side chains in the thumb domain rearrange to assemble the active site. Specifically, the side chains of the Tyr and Phe residues forming the YFTGS motif in Polß and Pol move on binding of the incoming nucleotide, resulting in the correct positioning of the primer terminus for catalysis. This motif is different in Polu and TdT (GWTGS), where the equivalent residues are a glycine and a tryptophan, responsible for a decreased discrimination between deoxyribo- versus ribonucleotides (35–37) and a more spacious active site that allows Polu to bridge non-complementary ends (38), contrary to Polß and Pola, which largely favour the use of deoxyribonucleotides (8,39). The thumb subdomain is also interacting with the downstream part of the template strand through

the already mentioned α-helix N, which is strongly positively charged in Polu. An interaction common to Polu and Pola is the stacking of the templating base with one of the residues included in this positive path,  $Arg^{442}$  in Pol $\mu$  and  $Arg^{514}$  in Pol $\mu$  (11,13). The equivalent residue in Pol $\mu$  is a lysine residue (Lys<sup>280</sup>) with a similar function. Polu has a few minor groove interactions through residue Arg<sup>445</sup>, some of which are mediated by bridging water molecules (13). Arg<sup>446</sup> of this helix in Polµ is involved in interactions with the brooch; accordingly, mutation of this residue to alanine had the same effects as mutations in the brooch.

In conclusion, this work describes a small protein sequence (named brooch) that modulates the catalytic cycle of polymerases from the human X family, of main importance for DNA repair reactions such as those occurring during the NHEJ pathway. Polu and Pola are highly specialized polymerases, singularly suited for their functions: engagement of two different DNA chains and trans-directed polymerization (even in the case of very low or inexistent complementarity) with the only objective of fulfilling the most efficient reaction possible with the minimal loss of sequence. The physiological importance of the brooch as a controller of the most specific activities of these enzymes remains an open question of special interest, taking into account the existence of several human single nucleotide polymorphisms affecting residues studied here, such as R145C and N153S in Polu, and also of regulatory sites such as the possible Cdk phosphorylation site at Polµ's Thr<sup>147</sup>, the possible Chk target site at the brooch in TdT (YACQRRTT) and Pol\'alpha's Ser<sup>246</sup>, an ATM/ATR target (40). Future studies will focus on understanding the mechanistic and physiological effects of these allelic variants and also the *in vivo* regulation of the brooch.

# **SUPPLEMENTARY DATA**

Supplementary Data are available at NAR Online.

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Conflict of interest statement. None declared.

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