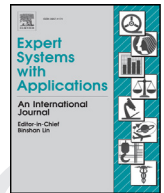




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Applying the coral reefs optimization algorithm for solving unequal area facility layout problems

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ABSTRACT

Coral Reefs Optimization (CRO) is a recently proposed evolutionary-type algorithm which has shown promising results to tackle many complex optimization problems. This paper discusses the performance of this meta-heuristic in Unequal Area Facility Layout Problems (UA-FLPs). The UA-FLP is an important problem in industrial production, which considers a rectangular region and a set of rectangular facilities. These facilities must be allocated in the plant in the most adequate way satisfying certain constraints. The *Flexible Bay Structure* has been selected in order to represent solutions for the UA-FLP in the proposed CRO algorithm. In this paper, we detail the implementation of the algorithm and provide the results of different tests in several UA-FLP instances with different size and setting. The obtained results confirm the excellent performance of the proposed algorithm in solving UA-FLPs, improving alternative algorithms devoted to this problem in the literature.

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1 Introduction

Facility Layout Design (FLD) decides the allocation of departments (or *facilities*) in a manufacturing layout, trying to reach well laid out facilities taking into account some objectives or criteria, under certain constraints. Considering Tompkins, White, Bozer, and Tanchoco (2010), a good distribution of the departments implies improvements in the efficiency and can decrease the total expenses in a company between 20% and 50%. For this reason, FLD is a very important issue to consider in order to reduce expenses and other work resources in a manufacturing (Kouvelis, Kurawarwala, & Gutierrez, 1992). There are many different Facility Layout Problems (FLPs) in FLD applications, which are determined by several features and design factors. In this respect, it is possible to find some classifications and taxonomies for FLPs in the works by Drira, Pierreval, and Hajri-Gabouj (2007), Hosseini-Nasab, Fereidouni, Fatemi Ghomi, and Fakhrzad (2018) and Anjos and Vieira (2017), among others. A particularly interesting FLP, due to its direct application to real cases, is known as Unequal Area Facility Layout Problem (UA-FLP).

The UA-FLP was first described by Armour and Buffa (1963), and it takes into account an industrial plant and a set of unequal departments, both of them with rectangular shape. Then, the facilities must to be allocated adequately in the layout. As main constraints, in this version of FLP the overlap between facilities is not allowed and, in addition, they must be allocated within the boundary of the space plant layout. Normally, the main objective of UA-FLP is to minimize the cost of material flow between the departments that make up the industrial plant. (Gonçalves & Resende, 2015).

Different approaches have been recently applied aiming at solving the UA-FLP. In Komarudin and Wong (2010) it is established that it is possible to classify the approaches that solve this problem into deterministic procedures and heuristics/meta-heuristics methods. Taking into consideration the deterministic methods, Meller, Narayanan, and Vance (1998) suggested a branch and bound approach that included a structure with an acyclic subgraph for solving this problem. In this sense, Montreuil (1991) and Konak, Kulturel-Konak, Norman, and Smith (2006) applied to UA-FLPs a proposal based on mixed integer programming. Afterward, Meller et al. (1998) modified Montreuil's proposal in order to solve large UA-FLPs. They reached an optimal solution for a UA-FLP with eight facilities. Later, Sherali, Fraticelli, and Meller (2003) suggested a upgraded model that solved more efficiently UA-FLPs by means of decreasing the amount of error. Moreover, Castillo, Westerlund, Emet, and Westerlund (2005) reached

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optimal solution solving an UA-FLP of nine facilities using the same approach than Sherali et al. (2003) with some improvements. Recently, Saraswat, Venkatadri, and Castillo (2015) and Purnomo and Wiwoho (2016) used the proposal taken from Sherali et al. (2003) in order to consider more than one objective. Chae and Regan (2016) reached optimal designs for problems up to 12 facilities. They also considered both fixed and flexible dimensions for facilities.

In general, meta-heuristics methods perform better than deterministic algorithms for UA-FLPs, mainly in large and very large instances. That is why heuristic and meta-heuristic approaches have been more frequently used for solving UA-FLPs. For example, Tam (1992) developed a Simulated Annealing approach called LOGIC in order to find best solutions for this problem. More recently, Scholz, Petrick, and Domschke (2009) and Kulturel-Konak (2012) proposed Tabu search proposals for the UA-FLP.

Many researches have employed Genetic Algorithms (GAs) for solving UA-FLPs. This way, Tate and Smith (1995) suggested a GA that included a penalty function in order to focus the process of finding solutions only to the feasible ones. Azadivar and Wang (2000) addressed the UA-FLP by means of a GA that used a Slicing Tree Structure as layout representation. Considering aisles in the UA-FLP, Wu and Appleton (2002) and Gomez, Fernandez, De la Fuente Garcia, and Garcia (2003) proposed GA approaches for solving this problem. Enea, Galante, and Panascia (2005) used a GA to UA-FLP considering a fuzzy environment and also aspect ratio constraints. Moreover, Aiello, Enea, and Galante (2006) implemented a combination of a GA and Electre algorithm to address the UA-FLP. Liu and Meller (2007) applied an approach that combined Mixed-Integer Programming and GA to solve this problem. They deleted unfeasible features in order to easily solve the problem. Continuing with genetic approaches applied to this problem, García-Hernández, Pierreval, Salas-Morera, and Arauzo-Azofra (2013b) suggested an approach that combined Interactivity and a GA for capturing those features that the Decision Maker (DM) preferred in a particular solution. Their Interactive Genetic Algorithm was improved by García-Hernández, Palomo-Romero, Salas-Morera, Arauzo-Azofra, and Pierreval (2015) for achieving more diversity in the final layout solutions. In this respect, García-Hernández, Arauzo-Azofra, Salas-Morera, Pierreval, and Corchado (2015) reached an improvement by means of considering both Decision Maker preferences and quantitative factors in the final solution. They achieved it through an interactive multi-objective GA. More recently, Palomo-Romero, Salas-Morera, and García-Hernández (2017) suggested a proposal that improved the quantitative performance of many of tested UA-FLPs using a GA based on an Island Model to explore different individuals from the varying search context.

Alternative meta-heuristics have also been used to address UA-FLPs. For example, ant colony optimization (Komarudin & Wong, 2010) (Wong & Komarudin, 2010) (Kulturel-Konak & Konak, 2011) (Liu & Liu, 2019), artificial immune system (Ulutas & Kulturel-Konak, 2012), biased random-key GA (Gonçalves & Resende, 2015), collision detection and response approach (Sikaroudi & Shahanaghi, 2016), GA combined with a decomposition strategy (Paes, Pessoa, & Vidal, 2017), among others. Finally, Kang and Chae (2017) solved UA-FLP by means of a modification of the Harmony Search method proposed by Shayan and Chittilappilly (2004). Additionally, they presented a new slicing tree representation for layout configuration.

In order to represent the plant layout design, some different approaches have been developed. The Block Layout Design Problem (BLDP) representation allows locating every facility in the plant freely in any position with the restriction of not overlapping with other facilities. In such representation, Mixed Integer Linear and Nonlinear Programming methods are used (Castillo et al., 2005;

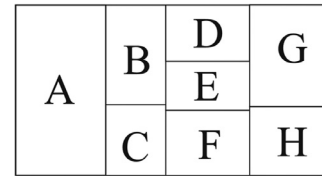


Fig. 1. Layout representation based on FBS.

Gonçalves & Resende, 2015; Meller & Gau, 1996). In the search for a representation more useful to apply evolutionary algorithms, two more facilities layout representations have been proposed: Slicing Tree Structure (STS) and Flexible Bay Structure (FBS). In STS, the space is recursively divided into vertical and horizontal sections (Kang & Chae, 2017; Komarudin & Wong, 2010; Scholz et al., 2009; Shayan & Chittilappilly, 2004) while in FBS, the space is only divided into horizontal or vertical bands (Kulturel-Konak & Konak, 2011; Meller, 1997). In this way, STS and FBS structures are not comparable nor in the way they use to locate the facilities in the plant, neither in the results obtained by each one of them.

A representation based on the Flexible Bay Structure (FBS) has been selected in this paper in order to represent a facility layout as an individual in an evolutionary-type algorithm. With respect to the advantages of using FBS as layout representation, it can be stated that considering FBS as layout representation permits the UA-FLP become simpler and easier to be addressed, because of the UA-FLP complexity is decreased into determining the facilities location order and the total number of facilities that each bay will contain (Wong & Komarudin, 2010). Additionally, this kind of representation which was suggested by Tong (1991) has been widely used among the different structures available from the related references (Liu & Liu, 2019; Palomo-Romero et al., 2017; Wong & Komarudin, 2010). This mechanism of illustrating plant layout consists of an area with rectangular shape that is vertically or horizontally split into sub-areas (called bays). Then, each one is split again to assign the departments that compose the manufacturing plant. According to Tate and Smith (1995), the generated sub-areas possess the property of having flexible width in order to have enough space for containing different number of facilities. Finally, according to Aiello, Scalia, and Enea (2012), using FBS offers an additional benefit due to it gives the possibility of incorporating aisles in an easy way. Fig. 1 shows a facility layout representation based on FBS. This FBS example has been taken from Palomo-Romero et al. (2017).

In this work we test the performance of a different current evolutionary-based algorithm, the Coral Reefs Optimization (CRO) (Salcedo-Sanz, Del Ser, Landa-Torres, Gil-López, & Portilla-Figueras, 2013) (Salcedo-Sanz, Del Ser, Landa-Torres, Gil-López, & Portilla-Figueras, 2014a) in order to address the UA-FLP. The CRO is an evolutionary-type algorithm which evolution is guided by imitating processes occurring in real coral reefs, such as reproduction, the fight for space or the predation. The CRO is an algorithm which results in a kind of hybrid Evolutionary Algorithm and Simulated Annealing (Salcedo-Sanz et al., 2014a), and it has been shown to improve both techniques in diverse instances in areas such as Telecommunications (Salcedo-Sanz, Sanchez-García, J.A., Jimenez-Fernandez, & Ahmadzadeh, 2014d) (Salcedo-Sanz, García-Díaz, Portilla-Figueras, Ser, & Gil-López, 2014b), Energy (Salcedo-Sanz, Camacho-Gómez, Mallol-Poyato, Jiménez-Fernández, & DelSer, 2016) (Salcedo-Sanz, Pastor-Sánchez, Prieto, Blanco-Aguilera, & García-Herrera, 2014c), Structural Engineering (Salcedo-Sanz, Camacho-Gómez, Magdaleno, Pereira, & Lorenzana, 2017) (Camacho-Gómez, Wang, Pereira, Díaz, & Salcedo-Sanz, 2018) or Bio-medical applications (Bermejo, Chica, Damas, Salcedo-Sanz, & Córdón, 2018) (Yan, Ma, Luo, & Patel, 2019). Recently,

Facility sequence								Bay divisions						
A	B	C	D	E	F	G	H	1	0	1	0	0	1	0

Fig. 2. Facility layout chromosome.

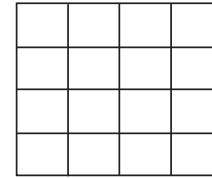


Fig. 3. Example of a coral reef with size 4 x 4.

167 the CRO has also been used to different problems such as clus-
 168 tering (Medeiros, Xavier, & Canuto, 2015), neural network train-
 169 ing (Yang, Zhang, & Zhang, 2016), time series analysis (Durán-
 170 Rosal, Gutiérrez, Salcedo-Sanz, & Hervás-Martínez, 2018) or re-
 171 source allocation problems (Ficco, Esposito, Palmieri, & Castiglione,
 172 2018), among others. In these works, the CRO has been successfully
 173 applied by reaching an excellent performance in the tested prob-
 174 lem (Salcedo-Sanz, 2017). This work deals to investigate the per-
 175 formance of Coral Reefs Optimization addressing the UA-FLP. From
 176 the best of our knowledge, it is the first time that CRO is applied
 177 to solve the UA-FLP. We will show that the CRO algorithm is able
 178 to outperform other evolutionary based approaches in a number of
 179 large UA-FLP instances.

180 The remainder of this work has been organized as follows:
 181 Section 2 details the novel suggested approach for solving the UA-
 182 FLP. Section 3 describes the experimental part of the work, with
 183 the results achieved in many different UA-FLPs. A comparison with
 184 published results reached by other approaches is carried out at this
 185 stage. Finally, Section 4 closes this research with a summary of the
 186 main concluding remarks and some future research lines that can
 187 be drawn based on this work.

188 2. Proposed approach

189 For addressing the UA-FLP we propose a new CRO approach
 190 which considers material flow as optimization criterion. Below, we
 191 will describe the algorithm's structure and implementation.

192 2.1. Individual codification

193 In order to encode an individual of the CRO reef, the chromo-
 194 some structure suggested by Gomez et al. (2003) has been used.
 195 It is illustrated in Fig. 2. This encoding structure is formed by two
 196 different segments. The first one illustrates the sequence of depart-
 197 ments in the facility layout, which is taken reading from top to
 198 bottom in each bay and reading the bay from left to right in the fa-
 199 cility layout. An integer permutation from 1 to n (being n the total
 200 number of departments that exist in the layout) is employed in the
 201 first segment. The information about where are the cuts that delimit
 202 the bays of the layout is offered by the second segment. This one
 203 is composed by $(n - 1)$ elements which have binary values. So
 204 that, if it is the value '1' in a certain segment position means that
 205 the department in the same segment position of the first segment,
 206 is the last element of the bay. Else, it will appeared the value '0'
 207 in the segment. Fig. 2 gives the individual chromosome associated to
 208 the facility representation offered in Fig. 1.

209 2.2. Objective function

210 Armour and Buffa (1963) stated the UA-FLP for the first
 211 time. The problem is defined by means of a rectangular layout of
 212 dimensions $(W \times H)$ which are fixed. Additionally, there is a group
 213 of facilities or departments with a determined area (A_i) . The sum
 214 of the department areas must be less or equal than the total area
 215 of the rectangular layout (see Eq. (1)).

$$\sum_i^n A_i \leq W \times H \quad (1)$$

216 The objective of the problem is to place all the departments
 217 in the layout, optimizing a given criterion and taking into consid-
 218 eration that overlapping between departments is not allowed. In
 219 Aiello et al. (2012) it is stated that the UA-FLP involves as main
 220 objective the minimization of the material flow between depart-
 221 ments. The fitness score used in evolutionary algorithms to evalu-
 222 ate UA-FLP test problems is therefore based on material flow. Ad-
 223 ditionally, in order to guide the search process to feasible individ-
 224 uals, a penalty function proposed by Tate and Smith (1995) have
 225 been used. This way, for every solution in the algorithm, a penalty
 226 mark is defined, which is proportional to the number of facilities
 227 that make up the layout and that not satisfy the aspect ratio con-
 228 straint (either the maximum aspect ratio or minimum side length).
 229 These facilities are considered as *unfeasible*. The fitness function
 230 that minimizes the material flow is the following:

$$g(\mathbf{x}) = \sum_i^n \sum_j^n f_{ij} d_{ij} + (D_{inf})^k (V_{feas} - V_{all}) \quad (2)$$

231 where n is the number of departments in the layout, f_{ij} is the
 232 material flow between the departments i and j , d_{ij} is the Manhat-
 233 tan distance between i and j , D_{inf} is the number of facilities which
 234 are unfeasible, V_{feas} is the best feasible fitness value that has been
 235 yet achieved, V_{all} is the best overall fitness value that has been
 236 yet achieved, an k is a penalty parameter that fits the value of the
 237 penalty function (it has been set as 3, following the suggestion in
 238 Tate & Smith, 1995).

239 2.3. The Coral Reef Optimization Algorithm

240 The Coral Reef Optimization Algorithm (CRO) was recently pro-
 241 posed by Salcedo-Sanz et al. (2014a). This approach is a kind of
 242 evolutionary-type algorithm which imitates the evolution of coral
 243 reefs and the different processes occurring in these ecosystems. We
 244 will consider Λ as a model of the reef with size of $N \times M$ square
 245 grid (see Fig. 3). Each square located in $\Lambda(i, j)$ is a place that can
 246 host a coral $\Xi(i, j)$ where i and j are the coordinates of the square
 247 in the reef. Each coral is a representation of a solution to our prob-
 248 lem, in our particular case, a plant layout solution for the UA-FLP.
 249 Once we have modeled the reef and the corals itself, the algorithm
 250 process is define using the steps that are detailed as follows.

251 2.3.1. Initialization of the algorithm

252 One of the most important parameters of the CRO algorithm is
 253 the number of initial corals in the reef. A rate specifying the pro-
 254 portion between empty and in-use squares in the reef is defined,
 255 ρ_0 , in such a way that $0 < \rho_0 < 1$. Taking into consideration this
 256 parameter, the initial number of corals is calculated as:

$$InitialCorals = N \times M \times \rho_0$$

257 The initial corals are randomly generated and placed (also in a
 258 random way) in empty squares of the reef. Fig. 4 illustrates a coral
 259 reef initialized with random corals in a proportion of '0.5' between
 260 empty and in use squares, i.e. $\rho_0 = 0.5$. This step is summarized
 261 in Algorithm 1. Once the reef are initialized, the simulation of the
 262 corals' evolution starts with an iterative execution of the corals' re-
 263 production, which is realized by means of diverse operators until

Algorithm 1 Reef initialization.

Input Reef size (width and height) and occupation rate

Output Initial reef population

```

1: procedure INITIALIZE REEF( $n, m, \rho_0$ ) ▷ Coral Reef initialization
2:   reef_size ←  $n \times m$ 
3:    $k \leftarrow$  reef_size  $\times \rho_0$  ▷ Number of initial corals
4:   for  $k$  times do
5:     generate random coral
6:     place coral in random empty reef position
7:   end for
8:   return initial reef
9: end procedure
    
```

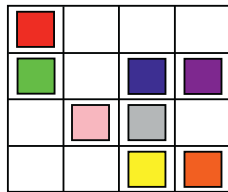


Fig. 4. Example of a coral reef with random individuals inserted and $\rho_0 = 0.5$.

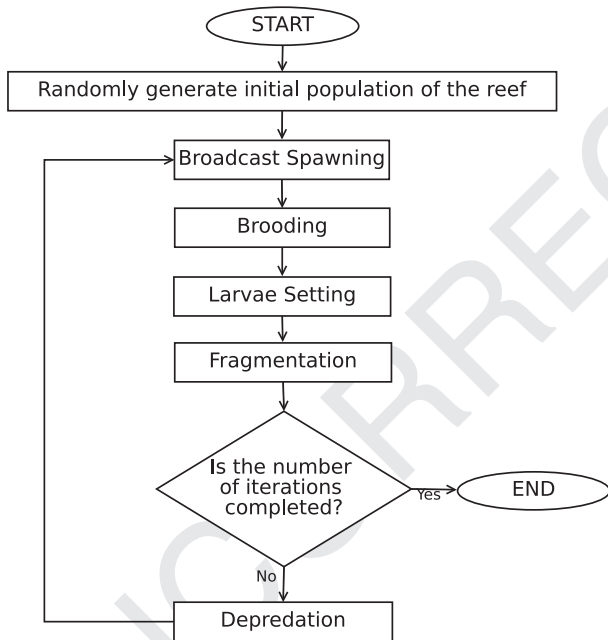


Fig. 5. Proposed CRO algorithm flowchart diagram.

264 the stop criterion is reached (in our particular case, when the re-
 265 quired number of iterations have been satisfied). This iterative pro-
 266 cess (detailed in Salcedo-Sanz et al., 2014a) will be described in the
 267 following section.

268 2.3.2. Iterative coral evolution

269 The reproduction phase is defined by different operators for
 270 modeling Sexual Reproduction (that can be external and internal)
 271 and Asexual Reproduction. All these kind of reproduction phases
 272 will generate new corals from the existing ones in the reef which
 273 will be denoted as larvae. Between sexual and asexual reproduc-
 274 tion phases, is the Larvae Setting step, where some of the new lar-
 275 vae elements will take place into the coral reef. Finally, a depre-
 276 dation phase will eliminate the weakest corals in the reef. Fig. 5
 277 summarizes the entire process of the CRO algorithm. Additionally,

Algorithm 2 shows the flowchart diagram of the CRO algorithm 278
 with the different CRO phases which are detailed below.

Algorithm 2 CRO algorithm.

Input Algorithm's control parameters

Output Feasible solution with best fitness

```

1: procedure CRO( $n, m, \rho_0, F_b, F_d, P_d$ ) ▷ Coral Reef Optimization
   algorithm
2:   initialize reef with size  $n \times m$  and occupation rate  $\rho_0$ 
3:   repeat
4:     reproduce corals fraction  $F_b$  by broadcast spawning
5:     reproduce corals fraction  $1 - F_b$  by brooding
6:     larvae evaluation
7:     larvae setting
8:     reproduce best corals fraction  $F_d$  by asexual reproduc-
   tion
9:     predation of  $F_d$  worst reef corals with  $P_d$  probability
10:  until stop condition
11:  return best feasible solution
12: end procedure
    
```

1. Broadcast spawning (External sexual reproduction) 280
 This phase is made up by two steps. Firstly, a number of the 281
 corals that exist in the reef, denoted by ρ_k , is selected randomly 282
 to be broadcast spawners. This fraction of broadcast spawners is 283
 calculated with respect to the overall amount of existing corals 284
 in the reef and it is denoted as F_b . The remaining corals which 285
 have not been chosen for being broadcast spawners ($1 - F_b$) will 286
 be selected for being reproduced in the brooding phase. Sec- 287
 ondly, from the broadcast spawners (ρ_k), the algorithm will se- 288
 lect couples of corals in order to be reproduced. This selection 289
 of corals is random and with replacement, once a couple is se- 290
 lected, it can not be selected again for being reproduced in the 291
 same step. Each of the selected couples will form two children 292
 by sexual crossover. Specifically in our approach, the Partially- 293
 Mapped Crossover operator (PMX) proposed by Goldberg and 294
 Robert (1985), is used for the facility sequence segment, and the 295
 One Point Crossover (Holland, 1992) is applied over the split 296
 segment. Then, a child will be randomly selected as coral larva 297
 which is then released out to the water. This crossover process 298
 is illustrated in Fig. 6 where it is shown how the layout rep- 299
 resentations change during CRO algorithm. The larvae result is 300
 stored until the Larvae Setting phase. Fig. 7 and Algorithm 3 de- 301
 tail the broadcast spawning phase.

Algorithm 3 Broadcast spawning.

Input Coral reef, External sexual reproduction rate

Output Generated larvae set

```

1: procedure BROADCAST SPAWNING( $reef, F_b$ )
2:    $\rho_k \leftarrow$  coral_num  $\times F_b$  ▷ Number of corals to reproduce by
   broadcast spawning
3:   select  $\rho_k$  corals from reef
4:   pair selected corals
5:   for each coral pair do
6:     apply crossover
7:     add generated solution to larvae set
8:   end for
9:   return generated larvae set
10: end procedure
    
```

2. Brooding (Internal sexual reproduction) 302
 The remaining corals of the previous phase ($1 - F_b$) are selected 304
 to be reproduced by brooding, which consist of the formation 305



Fig. 6. Graphical diagram that illustrates Crossover process in Broadcast Spawning step.

306 of a coral larva by means of a random mutation in each $1 - F_b$
 307 coral element. The obtained larvae is then released out to the
 308 water in a similar way than it is performed in the previous
 309 phase. Fig. 9 shows brooding reproduction over the two corals
 310 which have not been selected to be reproduced in the previ-
 311 ous phase (Fig. 7). This mutation process is illustrated using
 312 Fig. 8 where it is shown how the layout representations change
 313 again during CRO algorithm. Moreover, Algorithm 4 expresses
 314 how this phase is performed. The resulting larvae is stored un-
 315 til the Larvae Setting phase.

316 3. Larvae setting

317 At this moment, all the larvae created by Broadcast Spawning or
 318 Brooding are stored. Then, the next step consists of trying to set

Algorithm 4 Brooding.

Input Coral reef

Output Generated larvae set

- 1: **procedure** BROODING(reef)
- 2: **select** all corals not reproduced by broadcast spawning from reef
- 3: **for** each selected coral **do**
- 4: apply **mutation**
- 5: **add** generated solution to larvae set
- 6: **end for**
- 7: **return** generated larvae
- 8: **end procedure**

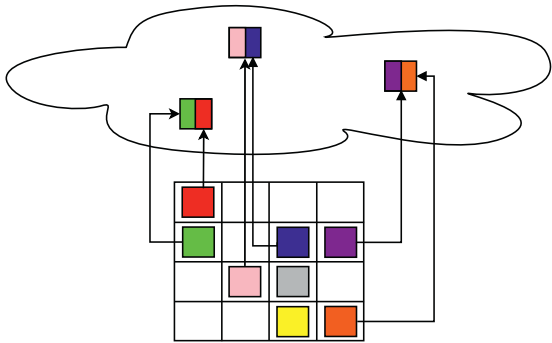


Fig. 7. Graphical diagram that illustrates Broadcast Spawning step.

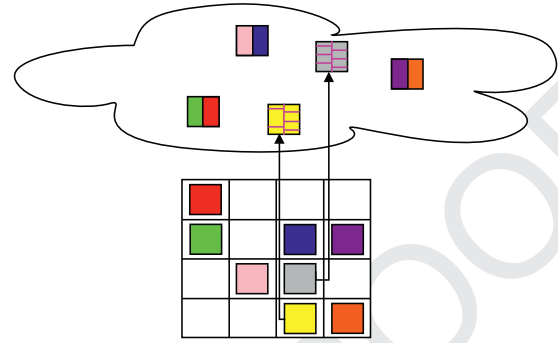


Fig. 9. Graphical diagram that illustrates Brooding phase.

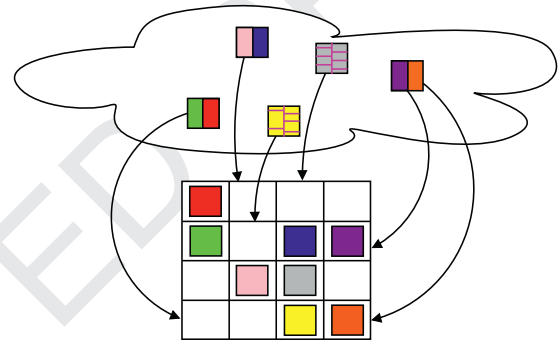


Fig. 10. Graphical diagram that illustrates larvae setting phase.

and grow those larvae into the reef. For that matter, the *fitness function* for both larvae and corals that exist in the reef is computed (in our particular case, the fitness function is the existing material flow between the departments that compose the plant layout). Then, a larva is selected to be placed in a random location of the reef. If this position is free, the larva will be allocated there. If it is not, the fitness of the coral and the larva will be compared. This way, if the larva fitness is better (it has less value of material handling cost) than the coral, the coral will be replaced by the larva. If the larva does not replace the coral (it has higher value of material handling cost), it will try κ times (this number is '3' as suggested by Salcedo-Sanz et al., 2013) to be placed in another position of the reef. If the larva can not be placed in κ attempts, it will be deprecated. This mechanism is explained by means of Fig. 10 and Algorithm 5.

4. Budding or fragmentation (Asexual reproduction)

In this phase, all the existing corals in the reef are ranked as a function of their level of *fitness*. Then, a fraction of them denoted by F_a , is duplicated itself and tries to be allocated in a different square in the reef. This is performed by means of the same process that has been explained in the Larvae Set-

ting phase. This asexual reproduction is illustrated by means of Fig. 11 and Algorithm 6.

5. Depredation

At the end of each algorithm iteration, a fraction of the worse fitness corals denoted by F_d that exist in the reef will be deprecated with a very low probability denoted by P_d . This liberates space in the reef for next coral generation. Depredation step is shown using Fig. 12 and Algorithm 7.

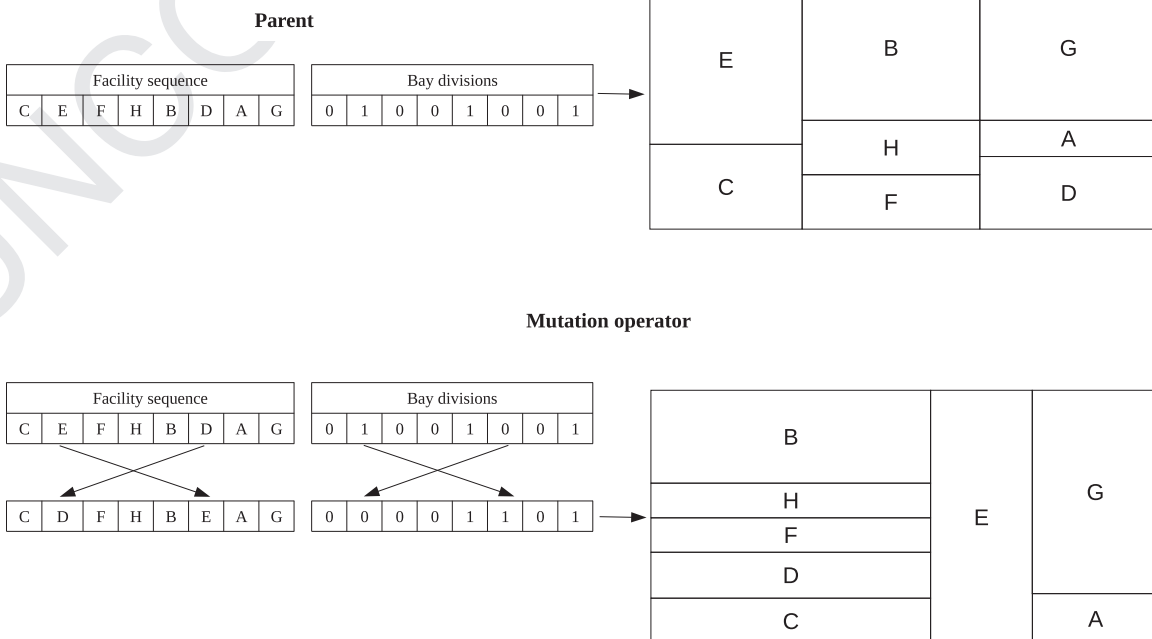


Fig. 8. Graphical diagram that illustrates Mutation process in Brooding step.

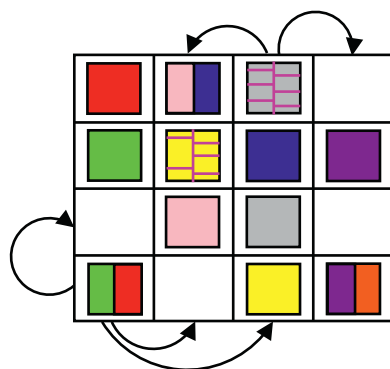


Fig. 11. Graphical diagram that illustrates budding phase.

Algorithm 5 Larvae setting.

Input Coral reef, larvae set

Output Updated reef

```

1: procedure LARVAE_SETTING(reef, larvae)
2:   for each larvae do
3:     placed  $\leftarrow$  False
4:     k  $\leftarrow$  3  $\triangleright$  Number of attempts to settle in the reef
5:     while not placed and k > 0 do
6:       pos  $\leftarrow$  random reef position
7:       if pos is empty or larva fitness is better than resi-
8:         dent's then
9:           larva settles in pos
10:          placed  $\leftarrow$  True
11:         else
12:           k  $\leftarrow$  k - 1
13:         end if
14:       end while
15:     end for
16:   return reef
17: end procedure

```

Algorithm 6 Asexual reproduction.

Input Coral reef, Asexual reproduction rate

Output Updated reef

```

1: procedure ASEQUAL_REPRODUCTION(reef, Fa)
2:   na  $\leftarrow$  coral_num  $\times$  Fa  $\triangleright$  Number of corals to duplicate
3:   select the best na corals from reef
4:   for each selected coral do
5:     settle coral in reef  $\triangleright$  Same procedure as larvae_setting
6:   end for
7:   return reef
8: end procedure

```

Algorithm 7 Depredation.

Input Coral reef, depredation fraction, depredation probability

Output Updated reef

```

1: procedure DEPREDATION(reef, Fd, Pd)
2:   nd  $\leftarrow$  coral_num  $\times$  fd  $\triangleright$  Number of corals that may be
3:     predated
4:   select the worst nd corals from reef
5:   for each selected coral do
6:     if random(0.0, 1.0)  $\leq$  Pd then
7:       remove coral from reef
8:     end if
9:   end for
10:  return reef
11: end procedure

```

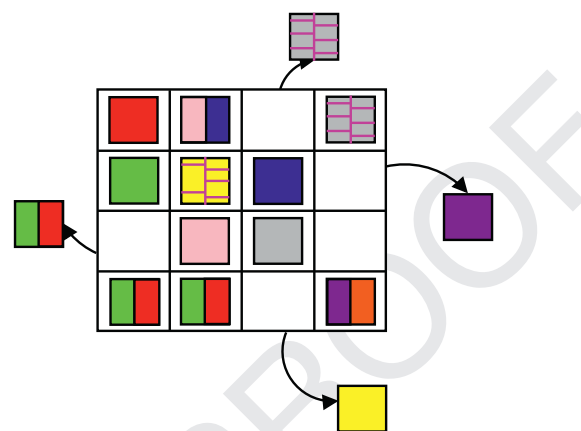


Fig. 12. Graphical diagram that illustrates depredation step.

3. Experimental set and results obtained

The performance of the proposed CRO approach is tested in comparison with state-of-the-art algorithms for the UA-FLP in this section. For this, we have used many UA-FLP instances taken from other works of related references. The set of well-known UA-FLPs are: Slaughterhouse detailed in Salas-Morera, Cubero-Atienza, and Ayuso-Munoz (1996); CartonPacks and Chopped-Plastic from García-Hernández, Arauzo-Azofra, Salas-Morera, Pierreal, and Corchado (2013a); O7, O8 and O9, described by Meller et al. (1998); VC10 (both side and aspect ratio constraints) illustrated in van Camp, Carter, and Vannelli (1992); MB12 explained by Bozer and Meller (1997); Ba12 detailed in Bazaraa (1975); Ba14 presented in Komarudin and Wong (2010) of the problem described in Bazaraa (1975); Ma15 (with two different shape constraints) from Bozer, Meller, and Erlebacher (1994); AB20 detailed by Armour and Buffa (1963); SC30, a modification taken from Komarudin and Wong (2010) of the problem described in Liu and Meller (2007); SC35 from Liu and Meller (2007); and DU62 described by Dunker, Radons, and Westkämper (2003).

The characteristics of the selected UA-FLPs for being tested are described in Table 1. This information is the UA-FLP name, number of facilities, facility width, facility height, shape constraint (being α the maximum aspect ratio constraint, and l_{min} the minimum side length constraint), and finally the references for the problem data sources. Note that the used measure distance is the Manhattan as default parameter. However, the Euclidean distance have been applied to the instances of Slaughterhouse, Carton Packs and Chopped Plastic. Note that Ba14 problem has two different values for the minimum side length constraint which is '1' for the departments that are from 1 to 12, and, it is '0' for the departments 13 and 14.

The proposed CRO performance deeply depends on a set of parameters. We have tuned them in an empirical way. Thus, we have performed different checks in order to reach the best set of values for the algorithm in the UA-FLP. Table 2 illustrated the best values obtained for the CRO parameters. Taking into consideration the values express in Table 2, a full-factorial experiment has been performed testing sets of UA-FLPs with each possible combination of parameters. Specifically, the representative sets of UA-FLPs which have been selected for tuning our CRO algorithm have been O9 from Meller et al. (1998), Ma15a taken from Bozer et al. (1994) and SC30 taken from Liu and Meller (2007). These problems have been chosen as representative ones in order to consider the different department sizes (small, medium and large) of the UA-FLPs. Then, a comparison between the reached solutions has been done in order to select which parameter option fits better. The best CRO configu-

Table 1
Features of the tested well-known problems.

Problem name	Fac.	$W \times H$	Aspect ratio	Reference
Slaughterhouse	12	51.14 × 30.00	$\alpha=4$	Salas-Morera et al. (1996)
CartonPacks	11	20.00 × 14.50	$\alpha=4$	García-Hernández et al. (2013a)
ChoppedPlastic	10	10.00 × 30.00	$\alpha=4$	García-Hernández et al. (2013a)
O7	7	8.54 × 13.00	$\alpha=4$	Meller et al. (1998)
O8	8	11.3 × 13.00	$\alpha=4$	Meller et al. (1998)
O9	9	12.00 × 13.00	$\alpha=4$	Meller et al. (1998)
vC10Ra	10	25.00 × 51.00	$\alpha=5$	van Camp et al. (1992)
Vc10Rs	10	25.00 × 51.00	Min.side=5	van Camp et al. (1992)
Ba12	12	6.00 × 10.00	Min.side=1	Bazaraa (1975)
MB12	12	6.00 × 8.00	$\alpha=4$	Bozer and Meller (1997)
Ba14	14	7.00 × 9.00	Min.side={1,0}	Komarudin and Wong (2010)
Ma15	15	15.00 × 15.00	$\alpha=5$	Bozer et al. (1994)
Ma15s	15	15.00 × 15.00	Min.side=1	Bozer et al. (1994)
AB20	20	2.00 × 3.00	$\alpha=5$	Armour and Buffa (1963)
SC30	30	12.00 × 15.00	$\alpha=5$	Liu and Meller (2007)
SC35	35	16.00 × 15.00	$\alpha=4$	Liu and Meller (2007)
Du62	62	Arbitrary × Arbitrary	$\alpha=4$	Dunker et al. (2003)

Table 2
CRO parameters selection.

UA-FLP	Chosen values			Tested values		
	O9	Ma15s	SC30	Combination of:		
$N \times M$	25 × 25	25 × 25	25 × 25	10 × 10	15 × 15	25 × 25
ρ_0	c0.4	0.4	0.4	0.4	0.5	0.6
F_b	c0.9	0.9	0.9	0.8	0.85	0.9
F_a	c0.1	0.1	0.2	0.1	0.15	0.2
F_d	0.1	0.1	0.1	0.01	0.05	0.1
P_a	c0.1	0.1	0.1	0.01	0.05	0.1

Table 3
Statistical results reached by the CRO algorithm.

Problem name	OFV Best	OFV Mean	CPU(s)
Slaughterhouse	3487.12	3487.12	78.00
CartonPacks	80.91	80.91	74.00
ChoppedPlastic	265.77	265.77	65.00
O7	134.16	134.16	4.00
O8	245.48	245.48	24.00
O9	239.44	239.44	49.00
vC10Ra	20142.13	20576.93	61.00
Vc10Rs	22897.65	22898.65	63.00
Ba12	8021.0	8103.96	87.00
MB12	125.00	125.00	81.00
Ba14	4665.93	4731.23	92.00
Ma15	26800.63	26972.95	104.00
Ma15s	22871.97	23034.88	106.00
AB20	5243.95	5250.02	202.00
SC30	3519.44	3566.27	622.00
SC35	4263.3	4409.34	552.00
Du62	713876.55	3719342.03	871.00

ration for each representative UA-FLP instance has been shown in the column 'Chosen value'.

The experimentation has been replicated five times for each UA-FLP like in Komarudin and Wong (2010) with a stopping criteria of 10,000 iterations as maximum and 500 iterations without improvement. The CRO algorithm was coded with Python 2.7.3. All experiments were performed using an Intel Core i5 6200U (2.30 GHz × 4), 8GB RAM and a Linux operating system.

3.1. Results

Table 3 presents the statistical results obtained by the suggested CRO algorithm. For each UA-FLP, the best objective function value (best OFV), the mean objective function value (mean OFV) and CPU time (in seconds) for reaching the best objective function value, are detailed. From the table, it can be extract that the

CRO algorithm is robust because of the percentage of gap between the best and mean objective function value is relatively low. This gap usually increases as the number of facilities increases in the UA-FLP. Regarding CPU time, See and Wong (2008) stated that in facility layout design the CPU time is not an extremely important issue. In this context, our proposal is able to reach satisfactory solutions in an reasonable CPU time if it is compared to alternative approaches (as for instance Komarudin & Wong, 2010; Palomo-Romero et al., 2017, among others).

A comparison of the results reached by our CRO algorithm and the results taken from related references that uses both FBS and STS, have been performed in order to analyze the performance of the proposed CRO approach. This information is shown by means on Tables 4 and 6. The first one (Table 4) offers for each data set problem the following information: The best known solution result, its associated layout representation, and also, the reference of the paper that obtained it. Additionally, taking into account that we have used FBS as layout representation in our approach, Table 4 also presents for each problem, the best known solution results and their associated reference considering particularly FBS as layout representation. In this table, we have set in bold font those results reached by our proposed approach which are the best known results. This way, regarding Tables 4 and 5, it can be seen that our proposal reaches or improves the best solution fitness in 7 cases out of 17 tested problems when considering both STS and FBS as layout representation. This fact (our proposal reaches or improves the best solution) happens in 14 cases out of 17 tested problems when we consider exclusively FBS representation. In the remaining cases, our approach is able to reach solutions very close to the best known ones.

According with Kang and Chae (2017) the STS can reach layout solutions that cannot be represented by means of FBS. That is the reason why in most cases, the solutions obtained using STS achieve better results than those that are reached using FBS. For this reason, we consider interesting to analyze the result compar-

Table 4
Summary of test problems and their best-known and best-known FBS solutions.

Problem	Best known	Layout represent.	Reference	Best known FBS	Reference
Slaughterhouse	3487.12	FBS	This approach	3487.12	This approach
CartonPacks	89.02	FBS	This approach	89.02	This approach
ChoppedPlastic	265.77	FBS	This approach	265.77	This approach
O7	131.56	STS	Gonçalves and Resende (2015)	134.16	This approach
O8	243.12	STS	Wong and Komarudin (2010)	245.48	This approach
O9	236.14	STS	Kang and Chae (2017)	239.44	This approach
Vc10Ra	18522.79	STS	Kang and Chae (2017)	20142.13	This approach
Vc10Rs	19951.17	STS	Gonçalves and Resende (2015)	22897.65	This approach
Ba12	8021.0	FBS	This approach	8021.0	This approach
MB12	125.00	FBS	This approach	125.00	This approach
Ba14	4628.79	STS	Gonçalves and Resende (2015)	4665.93	This approach
Ma15a	26800.63	FBS	This approach	26800.63	This approach
Ma15s	22871.97	FBS	This approach	22871.97	This approach
AB20	4959.11	STS	Kang and Chae (2017)	5243.95	This approach
SC30	3352.70	STS	Kang and Chae (2017)	3443.34	Kulturel-Konak and Konak (2011)
SC35	3316.77	STS	Gonçalves and Resende (2015)	3613.11	Kulturel-Konak and Konak (2011)
Du62	3635307.0	STS	Kang and Chae (2017)	3641497.00	Kulturel-Konak and Konak (2011)

Table 5
Test result comparisons between the best solutions reached by our CRO algorithm and alternative published FBS approaches.

Problem	CRO	Palomo(2017)	Kulturel-Konak (2011)	Kulturel-Konak (2012)	Wong (2010)	Enea (2005)
Slaughterhouse	3487.12	-	-	-	-	3854.00
CartonPacks	89.02	-	-	-	-	94.10
ChoppedPlastic	265.77	-	-	-	-	377.18
O7	134.16	134.19	-	-	-	-
O8	245.48	245.51	-	-	-	-
O9	239.44	241.06	-	-	241.06	-
Vc10Ra	20142.13	20142.13	20142.13	21463.07	21463.1	-
Vc10Rs	22897.65	22899.65	22899.65	22899.65	22899.65	-
Ba12	8021.0	8435.83	8129.00	8021.0	8786.00	-
MB12	125.00	125.00	-	-	-	-
Ba14	4665.93	4665.93	4780.91	4739.74	5004.55	-
Ma15a	26800.63	-	27545.27	-	27545.30	-
Ma15s	22871.97	-	23197.80	-	23197.80	-
AB20	5243.95	5256.10	5336.36	5297.6	5677.83	-
SC30	3519.44	3613.11	3443.34	3563.95	-	-
SC35	4263.3	3885.29	3700.75	-	-	-
Du62	3713876.55	-	3641497.00	-	-	-

Table 6
Summary of the results reached by the proposed CRO.

Problem name	Best sol.	FBS Diff(%)	STS Diff(%)	Solution by CRO
Slaughterhouse	3487.12	10.52	10.52	1 8-2 4-5 12-7-6 11-3-10-9
CartonPacks	89.02	5.70	5.70	2-6-11 9-10-1-8 5-4-7-3
ChoppedPlastic	265.77	41.61	41.61	10-2-3-4-5-6-7 1-9-8
O7	134.16	0.02	- 1.93	3-5-7-8 1-4-6-2
O8	245.48	0.02	- 0.96	5-8-6-3 2-1-4-7
O9	239.44	0.69	- 1.37	5-9-6-2-3 8-1-4-7
Vc10Ra	20142.13	0.00	- 8.03	5-8-10-9-2-6-1 7-3
Vc10Rs	22871.97	1.43	- 12.86	7 5-10-9 3 11 12 8 6 4-2 1
Ba12	8021.0	0.00	0.00	4-10 9-5-7 3 2-12 1 11-8-6
MB12	125.00	0.00	0.00	12 10-7-3-4-2-8-6-5-1-9 11
Ba14	4665.93	0.00	- 0.79	7-11-5 10 1 3 9 4-2 13-1-4-12-8-6
Ma15a	26800.63	2.78	2.78	6-11 2-1-8-7-13 4-15-3 5-14-12-10-9
Ma15s	22871.97	1.43	1.43	9-10-12-15-6-8-11-7 14-4-3-13 5-2 1
AB20	5243.95	0.23	- 11.20	1-16-11 17-13 12-9-15 3-14 19-10 6-4-2-7-20 18-5
SC30	3519.44	- 2.16	- 4.73	19-34-30-10 2-6-22-26 17-25-29-35-28-21 3-4-1-20
SC35	3885.29	- 4.74	- 14.63	19-34-30-10 2-6-22-26 17-25-29-35-28-21 3-4-1-20
Du62	3713876.55	- 1.9	- 2.11	19-34-30-10 2-6-22-26 17-25-29-35-28-21 3-4-1-20 23-33-18-24-32 13-15-7-11-8 12-34-9 14-31-5-27-16

443 ison of our proposal against other works that use FBS in its ap- 451
 444 proach. In particular, these FBS proposals are taken from Palomo- 452
 445 Romero et al. (2017), Kulturel-Konak and Konak (2011), Kulturel- 453
 446 Konak (2012), Wong and Komarudin (2010) and Enea et al. (2005). 454
 447 Table 5 displays the results achieved by our proposal and the pre- 455
 448 vious ones. For each UA-FLP, we have highlighted in bold the best 456
 449 solution. First, Table 5 shows that the proposed CRO algorithm 457
 450 is able to reach better results than the other compared FBS ap- 458

proaches in most cases. As it was mentioned previously, the CRO 451
 algorithm improves the results of 14 out of 17 tested problems. 452
 Specifically, note that the suggested CRO approach obtains better 453
 solutions than the approach by Enea et al. (2005) in all problems 454
 compared: Slaughterhouse, Carton Packs and Chopped Plastic. The 455
 CRO also obtained better results than the algorithm by Wong and 456
 Komarudin (2010), in all cases of the seven problems in which we 457
 compared with this approach. Also, compared with the algorithm 458

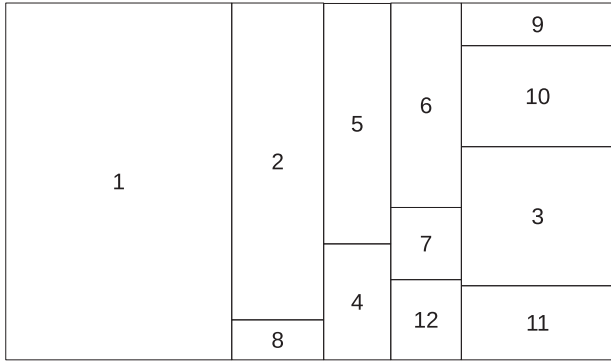


Fig. 13. Best design reached by the proposed CRO approach in the Slaughterhouse UA-FLP.

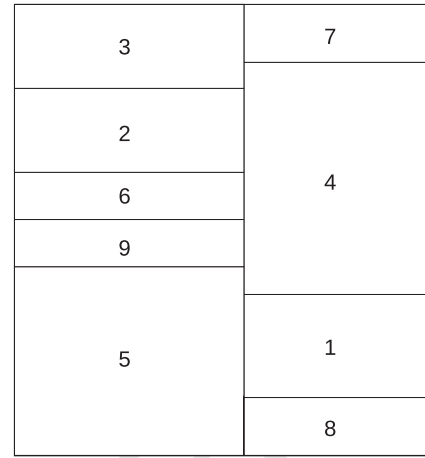


Fig. 16. Best design reached by the proposed CRO approach in the O9 UA-FLP.

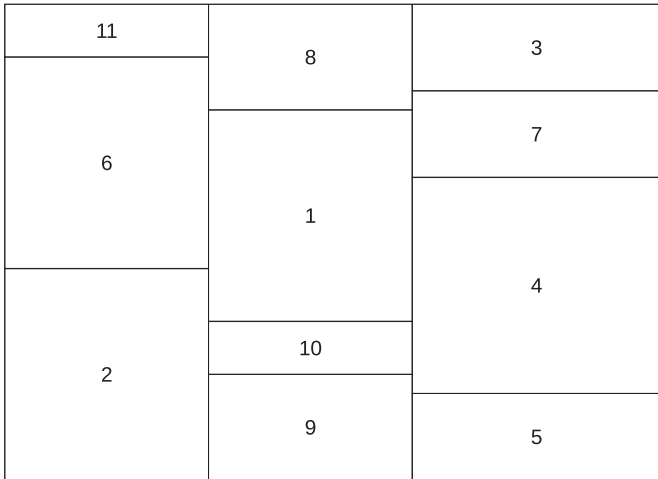


Fig. 14. Best design reached by the proposed CRO approach in the CartonPacks UA-FLP.

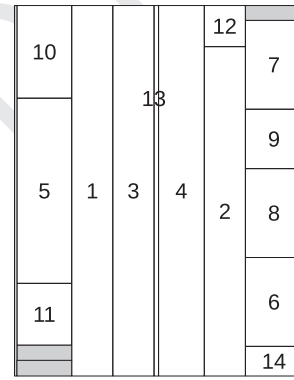


Fig. 17. Best design reached by the proposed CRO approach in the Ba14 UA-FLP.

presented by Kulturel-Konak (2012), the CRO achieved better solutions in all of six problems in which we tested both algorithms. Additionally, our approach was able to obtain better or same solutions than the proposal by Kulturel-Konak and Konak (2011) in 7 out of 10 problems analyzed. Finally, our approach was capable to reach equal or better solutions than the proposal by Palomo-Romero et al. (2017) in 10 out of 11 problems tested.

Considering FBS, the proposed CRO algorithm has been capable to equal or win previous algorithms results in most cases. The CRO has equalized the best result for three problems and has improved the best solution for other eleven UA-FLPs (considering a total of 17 test UA-FLPs). Note that we have demonstrated effectiveness of the suggested CRO algorithm when addressing small problems (it reaches better on all problems which have between 7 and 15 facilities,

as Slaughterhouse, Carton Packs, Chopped Plastic, O7, O8, O9, Vc10Ra, Vc10Rs, Ba12, MB12, Ba14, Ma15), solving medium problems (our CRO algorithm achieves better result on problem AB20 and very close result on SC30 which respectively have 20 and 30 facilities), and also, addressing large problems (our CRO algorithm is able to find solutions close to the best ones on problems SC35 and DU62 which respectively have 35 and 62 facilities). In contrast, exclusively in the three problems (SC30, SC35 and DU62) where our approach is not capable to achieve the best solution, the suggested CRO algorithm is able to reach solutions very close to the best known result taken from the references.

Moreover, Table 6 further compares the results reached by the CRO approach and the best known result obtained by other authors in related literature. This way, Table 6 shows the solution with best fitness produced by the suggested CRO approach, the difference (in percentage) between the solution with best fitness reached by the

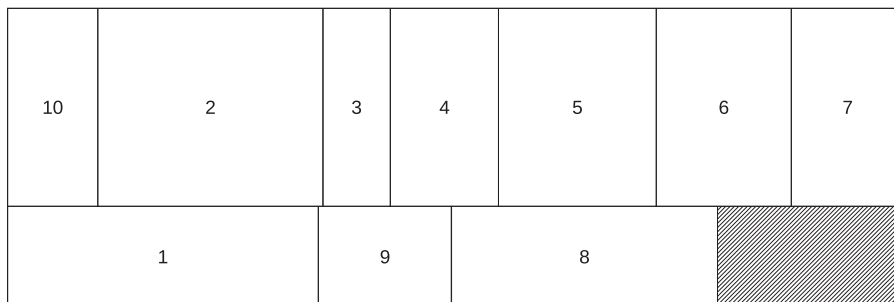


Fig. 15. Best design reached by the proposed CRO approach in the ChoppedPlastic UA-FLP.

11	13	3	9
	7		10
6	8	15	12
	1		14
	2		4

Fig. 18. The best design reach by the proposed CRO approach for ma15a UA-FLP.

10	9	2	6
		1	
12	15	8	
14		7	
5	11		
4	3	13	

Fig. 19. The best design reach by the proposed CRO approach for ma15s UA-FLP.

489 CRO approach and the best known FBS result reached by previous
 490 works, and finally, this table presents the best facilities designs ob-
 491 tained by our CRO algorithm. In order to complete this table, ex-
 492 amples of the facility layout solutions of the problems: Slaughter-
 493 house, CartonPacks, ChoppedPlastic, O9, Ba14, ma15a and ma15s,
 494 that were generated by the proposed CRO algorithm and improved
 495 substantially the solutions than were reached by previous works,
 496 are respectively displayed in Figs. 13–19. These Figures offer the
 497 facility layout distribution of instances without empty space (as
 498 O9, ma15a and ma15s) and also, with empty space consideration
 499 (Ba14). As it was said previously, we have used the same defini-
 500 tion of Ba14 that Komarudin and Wong (2010), in their work, it is
 501 specified that Ba14 is a problem with 14 facilities and 4 portions
 502 of remaining space which each one has an area equal to 0.5.

503 It is well known that a correct plant layout design can increase
 504 efficiency and reduce industrial production costs in a very remark-
 505 able way. In this sense, the obtained results contribute to a signif-
 506 icant improvement of industrial plants performance.

4. Conclusions

507

508 In this work, an evaluation of the performance of applying Coral
 509 Reefs Optimization to UA-FLPs considering FBS as representation
 510 structure, has been performed. From the best of our knowledge, is
 511 it the first time that CRO has been employed to solve UA-FLP. The
 512 proposed CRO approach has been applied to 17 UA-FLP instances
 513 taken from the related references, and its performance has been
 514 analyzed by comparison with different state-of-the-art approaches
 515 extracted from recent literature. From the empirical study carried
 516 out, we have found that the proposed CRO approach is able to
 517 reach or improve the best known results in 14 out of the 17 tested
 518 UA-FLPs when considering exclusively FBS representation. More-
 519 over, our suggested proposal reaches or improves the best solution
 520 in 7 cases of the 17 tested problems when considering as layout
 521 representation both STS and FBS. In the remaining cases, our ap-
 522 proach is able to reach solutions with results very close to the best
 523 known ones. This fact shows an excellent performance of the CRO
 524 algorithm when solving UA-FLPs.

525 A promising future line of work could be to add some qualita-
 526 tive preferences to the CRO algorithm. Furthermore, this research
 527 could be extended in order to take into account the possibility of
 528 adding additional considerations as, for example, the inclusion of
 529 aisles. Finally, another possible research direction could be to com-
 530 bine alternative methods of layout representation together with
 531 CRO for addressing UA-FLPs, and test advanced versions of the CRO
 532 approach (Salcedo-Sanz, 2017) in this problem.

Conflict of interest

533

534 The authors declare that there is no conflict of interests regard-
 535 ing the publication of this paper.

Credit authorship contribution statement

536

537 **L. Garcia-Hernandez:** Conceptualization, Data curation, Formal
 538 analysis, Funding acquisition, Investigation, Methodology, Project
 539 administration, Resources, Software, Supervision, Validation, Vi-
 540 sualization, Writing - original draft, Writing - review & editing.
 541 **L. Salas-Morera:** Conceptualization, Data curation, Formal anal-
 542 ysis, Funding acquisition, Investigation, Methodology, Project ad-
 543 ministration, Resources, Software, Supervision, Validation, Visual-
 544 ization, Writing - original draft, Writing - review & editing. **J.A.**
 545 **Garcia-Hernandez:** Conceptualization, Data curation, Formal anal-
 546 ysis, Investigation, Methodology, Resources, Software, Visualization,
 547 Writing - review & editing. **S. Salcedo-Sanz:** Conceptualization,
 548 Formal analysis, Funding acquisition, Investigation, Methodology,
 549 Project administration, Resources, Supervision, Validation, Visual-
 550 ization, Writing - original draft, Writing - review & editing. **J. Va-**
 551 **lente de Oliveira:** Conceptualization, Formal analysis, Funding ac-
 552 quisition, Investigation, Methodology, Project administration, Re-
 553 sources, Supervision, Validation, Visualization, Writing - original
 554 draft, Writing - review & editing.

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555

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565 **Appendix A. Data set for Slaughterhouse UA-FLP.**

566 This UA-FLP is a real case problem that was planned in the
567 city of Córdoba (Spain). The facility plant dimensions are 30 m ×
568 51.14 m. It was first described by Salas-Morera et al. (1996). Table 7
569 gives information about the department names, and also, their as-
570 sociated area and aspect ratio constraints. Fig. 20 details material
571 handling flow between the facilities that made up the plant layout.

572 **Appendix B. Data set for CartonPacks UA-FLP.**

573 This UA-FLP is related to a carton recycling plant of 20 m ×
574 14.5 m. It was described by García-Hernández et al. (2015). Briefly,
575 Table 8 offers information about the department names, and also,
576 their associated area and aspect ratio constraint. Fig. 21 details ma-
577 terial handling flow between the facilities that made up the plant
578 layout.

Table 7
Facility features for the Slaughterhouse problem.

Id	Facility	Area (m ²)	Aspect ratio
A	Stables	570	4
B	Slaughter	206	4
C	Entrails	150	4
D	Leather & skin	55	4
E	Aeration chamber	114	4
F	Refrigeration chamber	102	4
G	Entrails chamber	36	4
H	Boiler room	26	4
I	Compressor room	46	4
J	Shipping	109	4
K	Offices	80	4
L	Byproduct shipping	40	4

Table 8
Facility features for the CartonPacks problem.

Id	Facility	Area (m ²)	Aspect ratio
A	Raw Material	40	4
B	Finished products	40	4
C	Mechanic	20	4
D	Offices	50	4
E	Staff WC	20	4
F	Expedition	40	4
G	Hydraulic 1	20	4
H	Hydraulic 2	20	4
I	Crushing	20	4
J	Circ. saw	10	4
K	Heat exchange	10	4

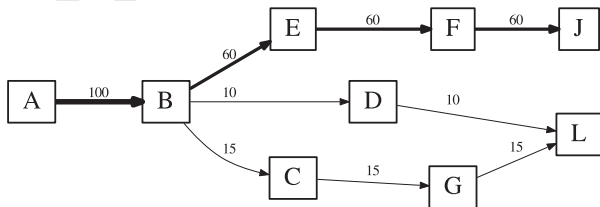


Fig. 20. Material flow requirements for the Slaughterhouse problem.

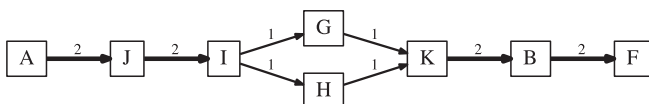


Fig. 21. Material flow requirements for the CartonPacks problem.

Table 9
Facility features for the ChoppedPlastic problem.

Id	Facility	Area (m ²)	Aspect ratio
A	Reception	35	4
B	Raw material	50	4
C	Washing	15	4
D	Drying & skin	24	4
E	Chopped	35	4
F	Finished product	30	4
G	Expedition	25	4
I	Office	30	4
J	Toilets	15	4
K	Repair shop	20	4

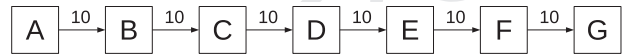


Fig. 22. Material flow requirements for the ChoppedPlastic problem.

Appendix C. Data set for ChoppedPlastic UA-FLP.

This UA-FLP is related to a chopped plastic plant of 30 m × 10 m. It was described by García-Hernández et al. (2013a). Briefly, Table 9 offers information about the department names, and also, their associated area and aspect ratio constraint. Fig. 22 details material handling flow between the facilities that made up the plant layout.

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