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**Title:** Efficient extraction of hydrophilic and lipophilic antioxidants from microalgae with supramolecular solvents

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28 **ABSTRACT**

29 There is strong evidence that lipophilic and hydrophilic antioxidants interact *in vivo* through  
30 different mechanisms and that it is their mixed effects what leads to health benefits.  
31 Simultaneous extraction of both types of antioxidants from biomass using organic solvents is  
32 inefficient because extraction yields for these phytochemicals are highly dependent on the  
33 polarity of the solvent. In this work, we firstly propose the use of supramolecular solvents  
34 (SUPRAS) as an innovative approach for the simultaneous extraction of a wide polarity range  
35 of antioxidants. Different SUPRAS compositions were investigated for extraction of the total  
36 content of carotenoids and polyphenols, as representatives of lipophilic and hydrophilic  
37 antioxidants, respectively. Under optimal conditions, antioxidants were extracted from freeze-  
38 dried biomass of *Scenedesmus sp.* in an excellent rate related to their total content (up to 1 mg  
39 carotenoids/g dw and 10 mg of gallic acid equivalents, GAE/g dw). Screening based on liquid  
40 chromatography coupled to tandem mass spectrometry (LC-MS/MS) revealed that lutein was  
41 the major carotenoid and caffeic acid the main phenolic compound. The SUPRAS extracts  
42 showed high antioxidant activity ( $25.04 \pm 0.73 \mu\text{M}$  ascorbic acid equivalents antioxidant  
43 capacity, AAAC/g dw and  $17.02 \pm 0.45 \mu\text{M}$  AAAC/g dw for ABTS and DPPH assays,  
44 respectively). SUPRAS offered advantages in terms of non-toxicity, rapidity (5 min extraction)  
45 and cost-effectiveness (room temperature, atmospheric pressure) for the recovery of antioxidant  
46 compounds from algal biomass and constitute a good alternative to the use of conventional  
47 solvents.

48  
49 **Keywords:** supramolecular solvent (SUPRAS); microalgae; carotenoids; polyphenols;  
50 antioxidant

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## 61 **1. Introduction**

62 Oxidative stress arises from the imbalance between free radical production in cells and  
63 antioxidants acting in the human defence system (i.e. enzymes, chain breaking antioxidants and  
64 transition metal binding proteins) [1]. Oxidative stress results in damage to lipids, proteins and  
65 nucleic acids, and it is now considered a main contributor to certain cancers, atherosclerosis,  
66 and inflammatory and ageing related diseases [2].

67 Chain breaking antioxidants are low molecular weight compounds with high reducing power  
68 that directly scavenge free radicals [1]. They are classified in two broad groups; water-soluble  
69 (hydrophilic) antioxidants, which act in the cell cytoplasm and the blood plasma, and lipid-  
70 soluble (lipophilic) antioxidants that protect cell membranes from lipid peroxidation [3].  
71 Humans synthesize only a few chain breaking antioxidants and most of them are obtained from  
72 diet. Among dietary antioxidants, carotenoids and phenolics represent the most abundant lipid-  
73 and water-soluble antioxidants, respectively.

74 There is a broad consensus that antioxidants interact *in vivo* and consequently is their  
75 combination or mixture effects what leads to health benefits [4-7]. Several mechanisms have  
76 been reported for such interactions (e.g. recycling of a specific antioxidant by another one after  
77 scavenging of the free radical, use of different pathways for radical inhibition, etc.) [8], and  
78 many positive synergistic effects related to the antioxidant capacity of mixtures of hydrophilic  
79 and lipophilic compounds have been described [9,10]. So, in order to fulfil the growing demand  
80 for natural antioxidant-rich food and nutraceuticals, extraction methods for phytochemicals  
81 from biomass should be able to simultaneously extract both hydrophilic and lipophilic  
82 antioxidants in a cost-effective and eco-friendly way.

83 Carotenoids and phenolics, as representative of lipophilic and hydrophilic antioxidants, are  
84 usually extracted from fruits and vegetables using different organic solvents (e.g. acetone or  
85 methanol for carotenoids and methanol-water for phenolics), through procedures that require  
86 long extraction times (up to 12 h) and high energy consumption (e.g. evaporation of 60 mL of  
87 solvent per gram of sample) [11]. As a general rule, the simultaneous extraction of both types  
88 of antioxidants with organic solvents is intrinsically inefficient because the content of  
89 carotenoids and phenolics in solvent extracts will strongly depend on the polarity of the solvent.  
90 Goiris et al. (2012) reported the extraction of the phenolics and carotenoids from 32 microalgae  
91 using ethanol-water for polar antioxidants and a three-step sequential extraction with hexane,  
92 ethyl acetate and hot water for apolar compounds [12]. Both the antioxidant content and

93 antioxidant capacity of solvent extracts were highly dependent on the nature of the solvent. As  
94 expected, some carotenoids such as monoester and diester astaxanthin were only significantly  
95 extracted in hexane [12]. Another illustrative study isolated hydrophilic and lipophilic  
96 antioxidants from 10 *salvia* species using three-step consecutive extraction with supercritical  
97 carbon dioxide (SFE-CO<sub>2</sub>), pressurized ethanol and pressurized water [13]. The antioxidant  
98 potential of the residues after each extraction was comparatively high, particularly after SFE-  
99 CO<sub>2</sub>, this suggesting that considerable amount of antioxidants remained in the plant material  
100 after extraction [13]. The use of auxiliary energies such as microwaves can help to improve  
101 extraction yields for both lipophilic and hydrophilic antioxidants but optimal operation  
102 conditions are quite different for both type of antioxidants [14].

103 SUPRAS are nanostructured liquids spontaneously formed by adding a coacervation-inducing  
104 agent to colloidal suspensions of amphiphiles [15]. The coacervation-induction agent (e.g.  
105 organic or inorganic salts, pH changes, addition of a poor solvent for the amphiphile, etc.)  
106 provokes the growth of the amphiphilic aggregates in the colloidal suspension, which finally  
107 separate as a new denser or lighter liquid phase [16]. The capability of SUPRAS to  
108 simultaneously extract compounds in a wide polarity range arises from the differential polarity  
109 regions available in the supramolecular aggregates making them up (i.e. differentiated  
110 hydrophobic and polar regions are provided by the hydrocarbon chains and head groups of the  
111 amphiphiles, respectively) [16]. The ability of SUPRAS for efficient extraction derives from  
112 the high concentration of amphiphiles they contain (0.1-1 mg  $\mu\text{L}^{-1}$ ), and consequently the high  
113 number of binding sites available, and from the possibility of establishing different types of  
114 interactions (e.g. dispersion, dipole-dipole, hydrogen bonding, etc.) that can work in a  
115 cooperative manner [17]. Finally, the capability of SUPRAS for quick extraction emanates from  
116 their discontinuous character (i.e. they are formed by coacervate droplets), and consequently  
117 their high surface area, which facilitates solute mass transfer from sample to SUPRAS [15].

118 In addition to extraction properties, there are significant operational characteristics of SUPRAS  
119 that make them suitable for extraction of antioxidants. Thus, SUPRAS are obtained from  
120 energyless and eco-friendly processes compatible with green chemistry principles. On the other  
121 hand, they can be synthesized from bioamphiphiles, which makes SUPRAS extracts directly  
122 compatible with applications as nutraceuticals or cosmeceuticals [18]. A significant property of  
123 SUPRAS is that they can be tailored to preserve antioxidants [19]. SUPRAS have been already  
124 proved to efficiently extract alkaloids and polyphenols from vegetal biomass such as coffee by-  
125 products [20,21].

126 Microalgae, which constitute a sustainable and renewable feedstock for carotenoids and  
127 phenolic compounds, were selected as a model for vegetal biomass [12,22,23]. Among  
128 microalgae, *Scenedesmus sp.* was selected in this study because it has been reported to contain  
129 both carotenoids and phenolics [24,25] and other valuable compounds like lipids and  
130 carbohydrates [26]. This species has also proved its application as potential feedstock for  
131 biodiesel production and wastewater treatment [27,28]. The microalgae was obtained from  
132 standard conditions, no attempt was made to increase its phytochemical content by cultivation  
133 under stress conditions [29,30].

134 The aim of this article was to propose supramolecular solvents (SUPRAS) as extractants for the  
135 simultaneous isolation of hydrophilic and lipophilic antioxidants from microalgae. We  
136 hypothesize that SUPRAS have the potential to extract efficiently and quickly both types of  
137 antioxidants on the basis of their valuable intrinsic properties. SUPRAS composition and  
138 extraction parameters were optimized for maximum yield of total carotenoids and phenolic  
139 content. Major bioactive compounds of each class were identified by characteristic transitions  
140 in LC-MS/MS. Finally, the antioxidant activity of SUPRAS extracts was evaluated.

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## 142 **2. Material and methods**

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### 144 2.1. Chemicals

145 The following reagents were of analytical grade and used as received. Potassium persulfate,  
146 octanoic acid, 2,2-diphenyl-1-picrylhydrazyl (DPPH), 2,2'-azino-bis (3-ethylbenzothiazoline-  
147 6-sulfonic acid) diammonium salt (ABTS), Folin-Ciocalteu reagent, sodium carbonate,  
148 aluminium chloride and standards of phenolic compounds (gallic acid, (+)-catechin hydrate)  
149 and carotenoids (astaxanthin, lutein, canthaxanthin,  $\beta$ -carotene) were purchased from Sigma-  
150 Aldrich (Steinheim, Germany). HPLC grade methanol, ethanol, acetonitrile, acetone, acetic  
151 acid, and hydrochloric acid were from Panreac (Barcelona, Spain). Ascorbic acid was obtained  
152 from Fluka (Germany). All the reagents and standard solutions were prepared using methanol  
153 or Milli-Q deionized water (Millipore, Bedford, MA, USA).

154

### 155 2.2. Microalgae biomass

156 Lyophilized biomass of *Scenedesmus sp.* was utilized. This strain was isolated from samples of  
157 natural algal biofilms owing to its resistance and growth potential comparing to other algae

158 species cultivated in Waris-H culture medium [31]. The species was then grown in fertilizer  
159 concentrate medium (Sportsmaster WSF Spring & Summer) prepared as indicated in (Appendix  
160 A) where significant growth rate of  $12.2 \pm 2.5 \text{ g m}^{-2} \text{ d}^{-1}$  was reached. Biomass was harvested  
161 during the exponential growth phase and finally freeze-dried. The dry biomass was ground into  
162 powder and stored in dark containers in dry place until use.

163

### 164 2.3. SUPRAS synthesis

165 SUPRAS of different compositions were produced by dissolving octanoic acid in ethanol and  
166 then adding water (pH ~3) as the coacervation-inducing agent. SUPRAS spontaneously formed  
167 in the mixture and separated as a top layer from the bulk solution (named as EqS, equilibrium  
168 solution). The volume of the three-component synthetic solution was 50 mL, the octanoic acid  
169 concentration was 5% v/v, and ethanol and water varied in the ranges 9.5-36 % v/v and 59-  
170 85.5% v/v, respectively. Mixtures were vortex shaken (Vortexer, Heathrow Scientific, Vernon  
171 Hills, IL, USA) for 30 s at 500 rpm and then centrifuged (Mixtasel BLT, Selecta, Cham,  
172 Switzerland) for 5 min at 3,500 rpm. SUPRAS and EqS phases were separately collected and  
173 stored in closed containers at room temperature until use (~25 °C, within one week).

174

### 175 2.4. Optimization of the extraction of antioxidants with SUPRAS

176 Extractions were done in 2 mL-microtubes Safe-Lock (Eppendorf Ibérica, Madrid, Spain) by  
177 mixing the lyophilized biomass and different volumes of the SUPRAS (and corresponding EqS)  
178 prepared according to the previous section. Variables were optimized by varying each factor at  
179 a time and experiments were done in triplicate (results were calculated as mean  $\pm$  standard  
180 deviation, SD). Conditions giving the maximum yield for the total content of polyphenols and  
181 carotenoids were selected as optimal. Samples were extracted by glass bead vortexing (3mm  
182 glass beads, 2500 rpm, 5 min). Thereafter, mixtures were centrifuged at 10,000 rpm until  
183 complete separation of the microalgae residue from the EqS (if added) and SUPRAS occurred.  
184 SUPRAS extracts were collected and further diluted with methanol (if needed) before analysis.  
185 The full process was conducted under dim light.

186 Optimization of the experimental conditions for extraction of antioxidants from *Scenedesmus*  
187 *sp.* involved the study of three variables; (1) *SUPRAS composition*, which was carried out by  
188 extracting the microalgae with SUPRAS synthesized in different ethanol:water ratios (from 9.5  
189 to 36 % of ethanol) according to the procedure specified in section 2.3; (2) *SUPRAS:EqS ratio*,

190 which was studied in the range 30% to 100% of SUPRAS; the SUPRAS and EqS used as  
191 extractant and wetting phases, respectively; and (3) *total volume of SUPRAS* (from 0.25 to 5  
192 mL). Total content of carotenoids and phenolics in *Scenedesmus sp.* was also calculated by  
193 extracting it with acetone and methanol, respectively, according to conventional procedures  
194 [10] but with higher organic solvent/sample ratio (100 mL per g of sample), and the values  
195 obtained were taken as reference for calculation of SUPRAS extraction yields.

196

## 197 2.5. Total carotenoid content and identification of major constituents

198 SUPRAS extracts were diluted ten times with methanol and centrifuged at 10,000 rpm to  
199 remove possible solids and obtain clear solutions. For total carotenoid content, the absorbance  
200 of the methanolic solution of the SUPRAS extract was measured at 665.2, 652.4, and 470.0 nm  
201 (Lambda 25 UV/VIS spectrophotometer, PerkinElmer Inc., Norwalk, CT, USA), which are the  
202 major absorption peaks of chlorophylls a, b and carotenoids respectively. Concentrations were  
203 calculated according to the formulas [32]:

- 204 • Chlorophyll a:  $Chla \text{ (mg/L)} = 16.72 A_{665.2} - 9.16 A_{652.4}$
- 205 • Chlorophyll b:  $Chlb \text{ (mg/L)} = 34.09 A_{652.4} - 15.28 A_{665.2}$
- 206 • Carotenoids:  $Caro \text{ (mg/L)} = (1000 A_{470.0} - 1.63 Chla - 104.9 Chlb) / 221$

207 LC-MS/MS(APCI+) analysis was performed for the identification of the major carotenoid  
208 constituents in the supramolecular extract. In order to simplify the identification procedure,  
209 esterified carotenoids were transformed in free carotenoids by saponification as previously  
210 described [33]. Briefly, supramolecular extracts were diluted five times with methanol, then 1  
211 mL aliquot was mixed with 200  $\mu$ L of fresh 50 mM NaOH solution prepared in methanol. The  
212 mixture was left for 3 h at room temperature in the dark for complete hydrolysis of ester bonds.  
213 Identification of carotenoids was carried out with an Agilent 1200 series reverse phase HPLC  
214 (Agilent Technologies, Palo Alto, CA, USA) equipped with an on-line degasser, a binary pump,  
215 a high performance auto-sampler and ACE 3 C18-PFP column (3 mm  $\times$  150 mm, 3  $\mu$ m),  
216 connected to an Agilent Technologies 6420 Triple Quadrupole mass spectrometer operated in  
217 a positive APCI mode. The following settings were used: gas drying temperature 350  $^{\circ}$ C; drying  
218 gas flow rate 4 L  $\text{min}^{-1}$ ; vaporizer temperature 400  $^{\circ}$ C; capillary voltage 4000 V; corona current  
219 4  $\mu$ A; nebulizer gas pressure 30 psi. A target list of common algae carotenoids with reported  
220 MS/MS transitions (see Table 1, Appendix B) [34,35] was recorded for tentative identification.  
221 Final solutions were filtered (0.22  $\mu$ m Nylon tube filter, Corning, NY, USA) before injection

222 (10  $\mu\text{L}$ ). LC was operated at 300  $\mu\text{L min}^{-1}$  flow rate and with a gradient previously reported  
223 [36]. Data were recorded and treated using Agilent MassHunter Software® (version B.07.00).  
224 Standard solutions of asthaxanthin, lutein, canthaxanthin and  $\beta$ -carotene prepared in acetonitrile  
225 were injected for confirmation (retention time and MS/MS spectra match) and quantitation  
226 (external calibration 0.05-1  $\text{mg L}^{-1}$ ).

## 227 2.6. Total polyphenol content and identification of major constituents

228 The total phenolic yield in supramolecular extracts was determined by the colorimetric Folin-  
229 Ciocalteu method as previously reported [37]. First, an aliquot (100  $\mu\text{L}$ ) of crude  
230 supramolecular extract was oxidized with 0.1 N Folin-Ciocalteu reagent (250  $\mu\text{L}$ ); next, the  
231 mixture was neutralized with 0.5 mL of 20% (w/v) sodium carbonate solution and incubated in  
232 the dark at room temperature. After 90 min, the absorbance of the resulting blue colour was  
233 measured at 725 nm using the aforementioned spectrophotometer. Gallic acid was used as  
234 standard with distinct concentrations ranging from 0.008 to 1  $\text{mg mL}^{-1}$ ; the calibration curve  
235 ( $\text{Abs}_{725}=1.4188 \text{ TP}$ ,  $R^2=0.996$ ) served to quantify total polyphenols. Results were expressed as  
236 milligram of gallic acid equivalent (GAE) per gram dw.

237 The characterisation of phenolic compounds was performed by LC-MS/MS(ESI-) analysis  
238 using the aforementioned chromatograph and column. The triple quadrupole mass spectrometer  
239 was set as follows: electrospray ionization (ESI) source operating in negative mode, nebulizer  
240 30 psi; dry gas 12 L/min; temperature 350  $^{\circ}\text{C}$ ; and capillary voltage 4000 V. The separation  
241 was achieved by a mixture of two solvents: water/acetic acid (99/1, v/v) (A) and  
242 acetonitrile/methanol (50/50, v/v) (B). The mobile phase flow rate was 1.0 mL/min and the  
243 gradient was started with 5% of solvent B, increasing to 30% in 25 min, 40% in 10 min, 48%  
244 in 5 min, 70% in 10 min, 100% in 5 min, isocratic at 100 % for 5 min, resuming to 5% in 10  
245 min and finishing the run in 12 min. A target list of polyphenols with reported MS/MS  
246 transitions was made for tentative identification only [38,39].

247

## 248 2.8. Antioxidant activity of SUPRAS extracts

249 The radical-scavenging capacities of supramolecular extracts were assessed via the ABTS  
250 radical cation ( $\text{ABTS}^{*\cdot}$ ) and the DPPH radical ( $\text{DPPH}^{\cdot}$ ) assays. The whole procedure was done  
251 under dim light. The  $\text{ABTS}^{*\cdot}$  inhibition assay was performed according to Guedes et al. [40]  
252 with minor modifications and referred to ascorbic acid equivalent antioxidant capacity  
253 (AAAC). Briefly, a 7 mM stock solution of the free radical  $\text{ABTS}^{*\cdot}$  was prepared by mixing



254 1/1 (v/v) ABTS solution (7 mM) and persulfate solution (2.45 mM) in methanol. The mixture  
255 was first let to react for 16 h in the dark at room temperature, then, diluted with methanol to  
256 give an absorbance of  $0.7 \pm 0.05$  at 734 nm. Aliquots of supramolecular extracts (100  $\mu\text{L}$ ) were  
257 added entirely or diluted with methanol (to give concentrations of 1, 2, 4, 6, 8 and 10 mg  
258 supramolecular extract  $\text{mL}^{-1}$ ) to 1.9 mL of diluted ABTS solution and the absorbance was  
259 measured after incubation overnight at room temperature. Methanol diluted SUPRAS were  
260 considered as blanks. The scavenging effect of ABTS radical was calculated using the following  
261 equation:

$$\text{Inhibition effect (IE; \%)} = [(A_{\text{control}} - A_{\text{sample}}) / A_{\text{control}}] \times 100$$

262  
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265 where *A sample* is the absorbance of the solution of ABTS+SUPRAS extract and *A control* is  
266 the absorbance of the diluted ABTS solution. The antioxidant content of supramolecular  
267 extracts was expressed as  $\mu\text{M AAAC}$  per gram of dry biomass ( $\mu\text{M AAAC.g}_{\text{DB}}^{-1}$ ) and obtained  
268 from a calibration curve ( $\text{IE} = 289.95 \text{ AA} + 5.1552$ ;  $R^2 = 0.9846$ ) made from ascorbic acid in  
269 methanol (12.5 – 200  $\mu\text{M}$ ).

270 The DPPH radical scavenging assay was conducted according to Assunção et al. [22] with  
271 minor modifications. Supramolecular extracts were diluted with methanol (concentration  
272 tested: 1, 2, 4, 6, 8 and 10  $\text{mg mL}^{-1}$ ) and then further 100  $\mu\text{L}$  of each solution were mixed with  
273 1.9 mL of the DPPH solution (0.06 mM in methanol). Mixtures were incubated overnight at  
274 room temperature in the dark. The absorbance was recorded at 517 nm against a blank  
275 corresponding to diluted SUPRAS prepared in the same way as the samples. Fresh ascorbic  
276 acid solutions were prepared in the same concentration range as indicated above and analysed  
277 in the same conditions as the samples ( $\text{Scavenging percentage SP (\%)} = 427.62 \text{ AA} - 15.497$ ;  
278  $R^2 = 0.996$ ). The SP was calculated by the formula:

$$\text{Scavenging percentage (\%)} = [(A_0 - A_1) / A_0] \times 100$$

279  
280  
281  
282 Where  $A_1$  is the absorbance of methanolic DPPH solution mixed with the supramolecular  
283 extract or the ascorbic acid standards and  $A_0$  is the absorbance of the methanolic DPPH solution  
284 at 0.06 mM. All determinations were conducted in triplicate and results were calculated as mean  
285  $\pm$  SD.

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### 288 **3. Results and discussion**

#### 289 3.1. Optimization of SUPRAS extraction of antioxidants from *Scenedesmus sp.*

290 Supramolecular solvents were synthesized from octanoic acid, ethanol and water [41]. Octanoic  
291 acid forms a colloidal suspension of inverted micelles in ethanol and separates as a new liquid  
292 phase (SUPRAS) under addition of water, which is a poor solvent for octanoic acid. These type  
293 of SUPRAS have been described as highly packed inverted hexagonal phases with the  
294 carboxylic groups surrounding internal aqueous pools and the hydrocarbon chains dispersed in  
295 the organic solvent and arranged in outer layers (see Figure 1A) [42]. The SUPRAS is  
296 immiscible with a hydro-organic equilibrium solution (EqS) that contains the amphiphile at a  
297 low critical aggregation concentration. The EqS is often used for extraction in combination with  
298 the SUPRAS phase with the aim of wetting the sample.

299 SUPRAS components were selected on the basis of their suitability for further industrial  
300 applications (food authorized ingredients) and potential to simultaneously maximize hydrogen  
301 bonding, polar and dispersion interactions as main binding forces expected to drive the  
302 extraction of carotenoids and polyphenols. Additionally, octanoic acid, with a saturated  
303 hydrocarbon chain, has been previously proved to protect carotenoids against lipid peroxidation  
304 [19].

305 Both the composition and size of the aqueous cavities of octanoic acid-based SUPRAS can be  
306 tailored as a function of the environment for their formation (i.e. the proportion of ethanol in  
307 the synthetic solution). Thus, as it is shown in Figure 1B (right), SUPRAS with progressively  
308 lower concentration of octanoic acid and higher concentration of water and ethanol will be  
309 obtained as the proportion of ethanol in the synthetic solution increases (the ethanol percentages  
310 tested correspond to those in which SUPRAS is formed). On the other hand, the size of the  
311 SUPRAS aqueous cavities increases as the percentage of ethanol in the synthetic solution does  
312 (Figure 1B, left) and these vacuoles can size-exclude polar macromolecules (e.g.  
313 polysaccharides) [42]. In addition, proteins are expected to remain in the microalgae residue  
314 due to the formation of macromolecular complexes with octanoic acid.

315 Optimization of the experimental conditions for extraction of antioxidants from *Scenedesmus*  
316 *sp.* was carried out by studying the influence of SUPRAS composition and SUPRAS:EqS ratio  
317 and volume on the recovery of carotenoids and polyphenols (see section 2.4). In all the

318 experiments the amount of microalgae kept constant (i.e. 10 mg of dry weight corresponding to  
319 around 100 mg of wet weight). The content of carotenoids and polyphenols, calculated by  
320 extraction with acetone and methanol respectively [10], were  $1.38 \pm 0.05$  mg of carotenoids/g  
321 dw and  $8.6 \pm 0.9$  mg of gallic acid equivalents (GAE)/g dw. These values were taken as  
322 reference for calculation of extraction yields using SUPRAS as the solvent.

323 Figure 2A and B show the influence of SUPRAS composition on the extraction yield for  
324 polyphenols (expressed as GAE) and carotenoids, at a SUPRAS:EqS ratio of 30:70 (i.e. 0.3 mL  
325 of SUPRAS and 0.7 mL of wetting EqS). These figures clearly show that the amount of  
326 polyphenols and carotenoids extracted by SUPRAS decreased and increased, respectively, as  
327 the percentage of ethanol in the synthetic solution increased. Under these experimental  
328 conditions, the maximum content of carotenoids and polyphenols extracted by SUPRAS was  
329  $0.58 \pm 0.06$  mg of carotenoids/g dw and  $10.68 \pm 1.1$  mg GAE/g dw. This means that SUPRAS  
330 was able to improve the yield for polyphenols obtained with methanol, however, the yield for  
331 carotenoids was quite low (only around 42% of the content extracted by acetone).

332 The different behaviour of polyphenols and carotenoids as a function of SUPRAS composition  
333 could be a consequence of both the relative proportion of octanoic acid-ethanol-water in the  
334 SUPRAS (see Figure 1B, right) and the specific environment where they are expected to be  
335 solubilized. Thus, the length of the hydrocarbon chain of carotenoids such as lutein (i.e. the  
336 most frequently carotenoid found in *Scenedesmus* [43]) closely resembles the length of the  
337 hydrocarbon chain of two octanoic acid molecules that form the SUPRAS inner hydrophobic  
338 layers. The Lutein molecule ends contains also hydroxyl groups that can interact with the  
339 carboxylic groups of octanoic acid oriented towards the water vacuoles of SUPRAS (see  
340 schematic in Figure 1). Because of the relatively large size of polar groups in lutein, it is  
341 probable that SUPRAS with the highest vacuoles (i.e. those synthesized from the highest  
342 ethanol concentration in the synthetic solution, see Figure 1B, left) give the best environment  
343 for lutein solubilisation, this providing the highest extraction yields (Figure 2B).

344 On the contrary, polyphenols are expected to be solubilized near the polar groups of the  
345 amphiphile in order to establish hydrogen bonds, polar and dispersion interactions (see  
346 schematic in Figure 1A). So, SUPRAS containing a high number of amphiphiles (e.g. those  
347 synthesized from low ethanol content, Figure 1B, right) are expected to give the highest  
348 extraction efficiencies for these compounds (see Figure 2A).

349 Considering that SUPRAS synthesized from 36% ethanol were able to extract more than 90%  
350 of the polyphenols solubilized in acetone, and that they gave the best yields for carotenoid  
351 extraction, they were selected as a compromise for further optimization experiments. The  
352 composition of the resulting SUPRAS phase from this synthesis mixture was as follows:  
353 45:32:23 for amphiphile:ethanol:water v/v/v.

354 The influence of the SUPRAS:EqS ratio on the extraction yields obtained for polyphenols and  
355 carotenoids was investigated from 30 to 100% SUPRAS in order to know if the EqS was a  
356 suitable wetting solution. The results showed in figure 2 C and D clearly show that SUPRAS  
357 was a better extractant for both types of antioxidants than the mixture SUPRAS:EqS and that it  
358 allowed to solubilize up to  $10.3 \pm 0.3$  mg GAE/g dw and  $1.04 \pm 0.07$  mg carotenoids/g dw.  
359 These yields kept constant as the SUPRAS volume decreased up to about 0.5 mL for extraction  
360 of 10 mg of dw microalga. Lower volumes caused a decrease in recoveries of both carotenoids  
361 as a result of incomplete sample dispersion.

362 The SUPRAS technology shows great promise for scaling up because of its simplicity, no need  
363 of auxiliary energies, high temperature or time-consuming consecutive extractions and  
364 evaporation steps. For industrial application, we should keep the same sample to SUPRAS ratio  
365 and we should scale up the process with suitable technology for mixing and for phase separation  
366 at high volumes/amounts. It is also worth mentioning that taking into account the high water  
367 content in the SUPRAS synthesis mixture (up to 59% v/v) these solvents have a great potential  
368 for the direct extraction of wet samples without compromising the extraction yields [20], which  
369 could be advantageous to simplify the procedure.

370

### 371 3.2. Total carotenoid content and identification of main constituents.

372 Under optimal experimental conditions (room temperature, vortexing for 5 min), SUPRAS  
373 extraction provided maximum yields of total carotenoids of  $1.07 \pm 0.07$  mg/g dw of  
374 *Scenedesmus sp.* This value was in line with those previously reported for *Scenedesmus*  
375 *obliquus* ( $0.44 \pm 0.06$  mg/g dw) [43] and *Scenedesmus sp.* ( $1.11$  mg/g dw  $g^{-1}$ ) [44] cultivated  
376 under standard culture conditions. When stress conditions for the enhancement of carotenoids  
377 production were applied (e.g. light, nutrient starvation), the carotenoid content increased (i.e.  
378 up to 0.69 % dw for *Scenedesmus almeriensis* [45], and between 0.61-2.08 % dw [46] and  $34.2$   
379  $\pm 3.8$  mg/g dw [29] for *Scenedesmus sp.*), which has fostered the use of different strains of this  
380 microalgae for commercial use.

381 Analysis of SUPRAS extracts by LC-MS/MS revealed lutein as the main carotenoid ( $1.1 \pm 0.1$   
382 mg/g) followed by two less abundant peaks, asthaxanthin ( $6.6 \pm 2.0 \mu\text{g/g}$ ) and an unidentified  
383 carotenoid (see Table 1). These results are in accordance with the total carotenoid content found  
384 for SUPRAS extracts and with previous observations of Aburai et al. [29], who revealed that  
385 *Scenedesmus* algal cells accumulated astaxanthin, lutein, and canthaxanthin as the main  
386 xanthophylls under stress light conditions. Other authors also reported lutein as the main  
387 carotenoid in *Scenedesmus obliquus* [40], *Scenedesmus protuberans* [47] and *Scenedesmus*  
388 *obliquus* CNW-N [25]. Lutein content under favoured cultivation conditions varied from  $2.17$   
389  $\pm 0.10$  mg/g dw to  $2.58 \pm 0.08$  mg/g dw in *Scenedesmus almeriensis* depending on the extraction  
390 technique, specie and growing conditions used [45].

### 391 3.3. Total phenolic content and identification of main constituents.

392 Under optimal conditions the total phenolic (TP) content of SUPRAS extracts reached a value  
393 of  $10.3 \pm 0.3$  mg GAE/g dw. These yields are comparatively close to those reported for  
394 *Scenedesmus rubescens* (TP =  $10.24 \pm 1.06$  mg GAE/g dw and  $11.34 \pm 0.88$  mg GAE/g dw for  
395 the intracellular water and ethyl acetate extracts, respectively) [48]. Lower TP values have been  
396 reported for *Scenedesmus obliquus* ( $1.94 \pm 0.16$  mg GAE/g dw) in ethanol:water extracts [12],  
397 and five *Scenedesmus* strains (from 0.7 to 3.5 mg GAE/g dw) in methanol:water extracts [30].  
398 The phenolic content of *Scenedesmus quadricauda* was increased by cultivation under  
399 irradiation with UV-light (up to 22 mg GAE/g dw) [49], that indicating that *Scenedesmus* can be  
400 a valuable source for both carotenoids and polyphenols.

401 SUPRAS extracts were further analysed by LC-ESI(-)-MS/MS. The following phenolic  
402 compounds were tentatively identified based on the MRM transition data reported in the  
403 literature: simple phenols (phloroglucinol), simple phenolic acids (caffeic and sinapic acids and  
404 a compound that could be either p-hydroxybenzoic acid or salicylic acid (since they share the  
405 same MS transitions), a phenolic aldehyde (vanillin), a phenolic diterpene (carnosic acid) and  
406 a isoflavone (fomononetin). The most intense peak was assigned to caffeic acid (relative  
407 abundance 35%) (see Table 1).

408 Previous studies suggest that phenolic profiles in microalgae strongly depend on the microalgae  
409 species, culture conditions and solvent used for the extraction. Among the few reports related  
410 to the study of microalgae polyphenols profiling, López et al. [50] reported ten phenolic  
411 compounds in the methanolic extracts of *Dunaliella tertiolecta*, among which gentisic acid,  
412 catechin, epicatechin and chlorogenic acid were predominant. Zakaria et al. [51] found that

413 caffeic acid was the abundant phenolic acid in subcritical water extracts of *Chlorella sp.* In  
414 another study performed on *Arthrospira platensis*, Da Silva et al. [52] discerned catechin,  
415 vanillic, gallic and syringic acids as the major phenolics in the high pressure/temperature  
416 extracts. Kováčik et al. [49] detected nine benzoic acid derivatives (gallic–salicylic acid) and  
417 three cinnamic acid derivatives (caffeic–p-coumaric acid) in methanolic extracts of  
418 *Scenedesmus quadricauda* exposed to UV-A and UV-C illumination.

419

#### 420 3.4. Antioxidant properties of SUPRAS extracts

421 The profile screening of SUPRAS extracts showed the presence of a variety of antioxidant  
422 molecules ranging from polar or moderate polar as phenols to strongly lipophilic compounds  
423 as carotenoids. These compounds have been previously demonstrated to be closely related to  
424 the radical scavenging ability of microalgal biomass [12,52]. In this study, both ABTS and  
425 DPPH, classified as mixed mode electron and hydrogen atom transfer (ET/HAT) assays [53],  
426 were used to assess the potential of supramolecular extracts to scavenge free radicals. Results  
427 were expressed in ascorbic acid equivalents antioxidant capacity (AAAC), which are almost  
428 equal to Trolox equivalents antioxidant capacity (TEAC) for the ABTS assay according to Apak  
429 et al. [54].

430 Maximum inhibition capacity for ABTS free radicals was found at 8-10 mg SUPRAS mL<sup>-1</sup> with  
431 a value of 80.65 ± 2.20 %, which correspond to a concentration of 25.04 ± 0.73 μM AAAC/g  
432 dw. Goiris et al. [9] reported values from aqueous ethanolic extracts of *Scenedesmus obliquus*  
433 (5.87 ± 0.28 μmol Trolox/ g dw).

434 With regard to DPPH assay, the antioxidant activity of supramolecular extracts reached a  
435 maximum of 58 % at 10 mg of SUPRAS mL<sup>-1</sup> with a value of 17.02 ± 0.45 μM AAAC/g dw.  
436 This result fall within the range reported by Aremu et al. [30] for five strains of *Scenedesmus*  
437 with different culture ages (3.1-69.9 % DPPH radical scavenging). In the same way, as  
438 demonstrated recently by Morowvat and Ghasemi [48] *Scenedesmus rubescens* extracts  
439 exhibited potent DPPH radicals quencher achieving a maximum of 14.04 ± 0.88 μmol Trolox  
440 g<sup>-1</sup> dw.

441 The measured antioxidant activity agrees with the antioxidant-rich composition of  
442 supramolecular extracts since notable amounts of carotenoids and polyphenols were detected.

443

#### 444 **4. Conclusions**

445 An easily prepared, green and cost-effective SUPRAS enabling high recovery of antioxidants  
446 from microalgal biomass (*Scenedesmus sp*) was developed and optimized. SUPRAS made up  
447 from octanoic acid, ethanol, and water at synthesis proportions of 5:36:59 % v/v/v, respectively  
448 were employed for extraction. The optimal SUPRAS yielded significant amounts of carotenoids  
449 (1.04 mg/g, mainly lutein) and polyphenols (10.29 mg/g, about 50% phenolic acids). SUPRAS  
450 extracts exhibited strong antioxidant activity against ABTS and DPPH radicals (80.65 and 58%,  
451 respectively) demonstrating that are abundant sources of antioxidants, especially polyphenols.  
452 These results show the potential of SUPRAS as an attractive tool toward sustainable, economic  
453 and functional valorisation of microalgae bioactives for clean purposes.

454

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#### 461 **Conflict of interest**

462 The authors declare no conflict of interest.

463

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465

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658

659 **Figure captions**

660 **Figure 1:** General scheme for (A) SUPRAS synthesis (left) and microextraction of antioxidants  
661 from the microalgae sample (right) and B) schematic picture of the increase of the aqueous  
662 vacuole size in SUPRAS with the increase of the ethanol percentage in SUPRAS (left) and  
663 SUPRAS composition (% v/v octanoic acid, ethanol and water) as a function of the ratio  
664 ethanol/water (% v/v) in the synthetic solution (right).

665 **Figure 2:** Optimization of SUPRAS extraction for maximum extraction yield of carotenoid and  
666 polyphenols under A and B) different ethanol percentages for SUPRAS synthesis and C) and  
667 D) different ratios SUPRAS: equilibrium solution

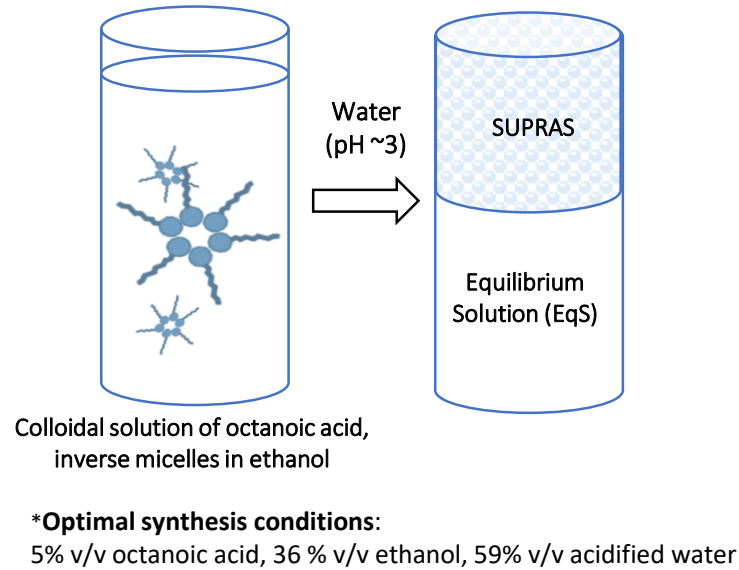
668

## Highlights

- SUPRAS simultaneously extracted polar and apolar antioxidants from *Scenedesmus sp.*
- Carotenoids (1 mg/g dw) and polyphenols (10 mg GAE/g dw) were extracted.
- SUPRAS extracts showed high antioxidant capacity (ABTS and DPPH).
- SUPRAS were made up of food authorized ingredients.
- Simple extraction conditions: 5 min, room temperature and atmospheric pressure.

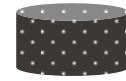
## SUPRAS SYNTHESIS

### A

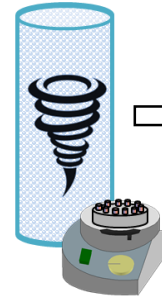


## SUPRAS EXTRACTION OF ANTIOXIDANTS

Algae (10 mg, dw)



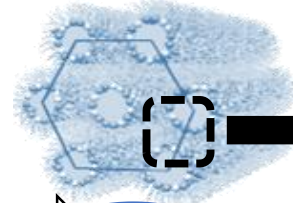
SUPRAS  
500  $\mu$ L



Vortex-shaking  
(5 min)  
+  
Centrifugation  
(10,000 rpm)

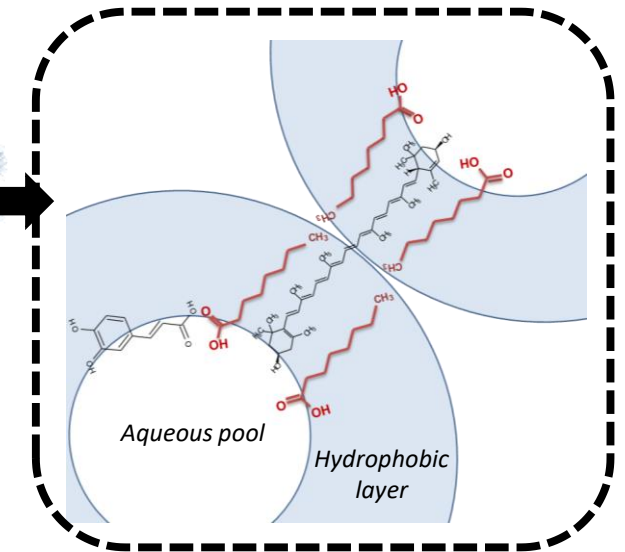


SUPRAS inverse hexagonal  
internal structure

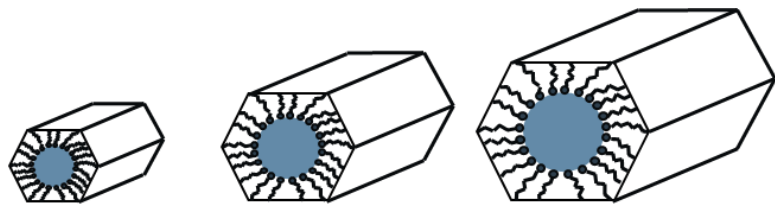


Bioactive-rich  
SUPRAS extract

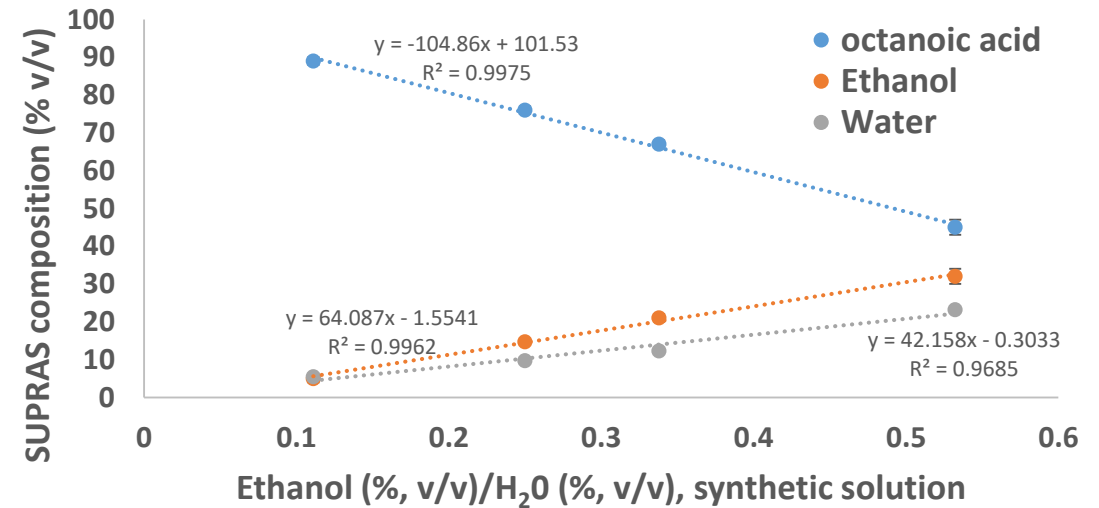
Extraction of polar and apolar  
antioxidants in SUPRAS nanostructure



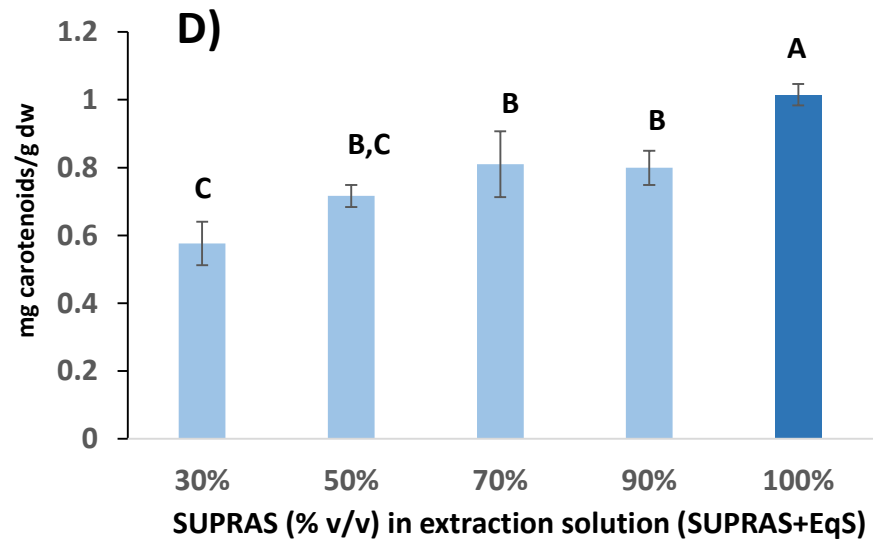
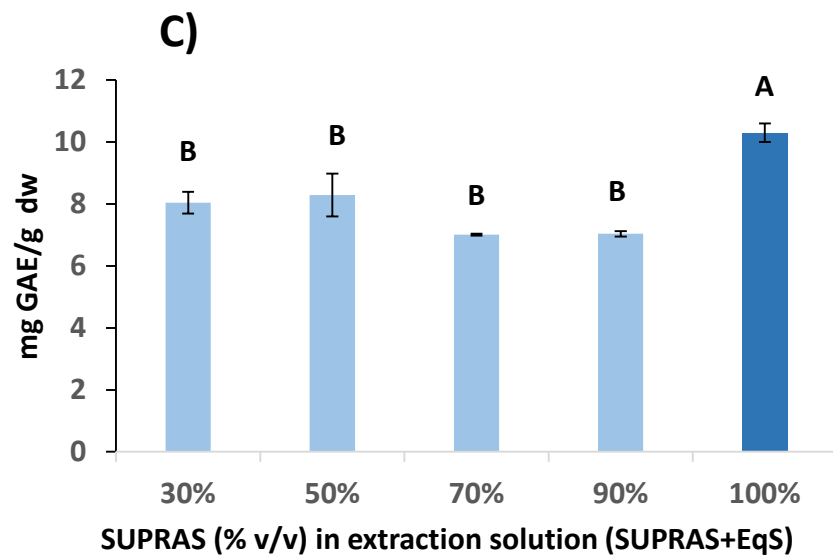
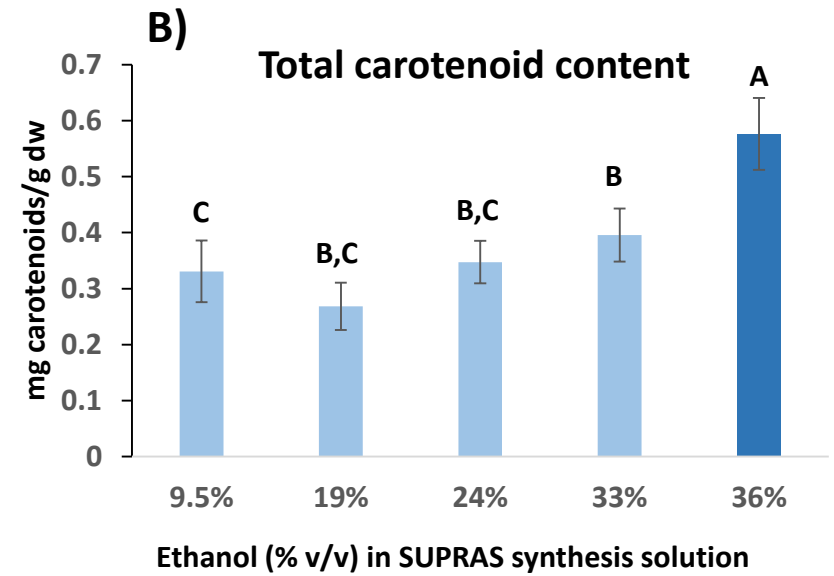
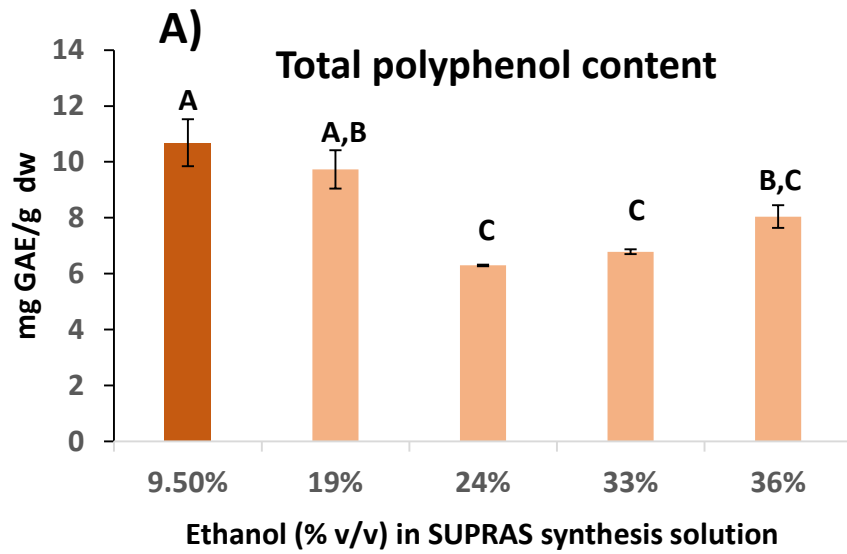
### B



Increasing organic solvent/water ratio







**Table 1**

Tentative identification of carotenoids and polyphenols analysed by LC-MS/MS in SUPRAS extracts of *Scenedesmus sp.*

Chemical Class	Compound	RT (min)	Observed parent ion	Most abundant fragment	Peak Area	Abundance <sup>a</sup>
Carotenoids	Astaxanthin	16.0	597.4 [M+H] <sup>+</sup>	147.1	595	0.068
	<b>Lutein</b>	17.8	551.4 [M+H-H <sub>2</sub> O] <sup>+</sup>	135.1	6052	<b>0.696</b>
	Unknown carotenoid <sup>b</sup>	19.8	565.4	109.1	2042	0.235
Polyphenols	Carnosic acid	53.4	331 [M+H] <sup>-</sup>	287.1	1084	0.05
	Sinapic acid	38.4	223 [M+H] <sup>-</sup>	179	2846	0.12
	<b>Caffeic acid</b>	1.3	179 [M+H] <sup>-</sup>	135	8110	<b>0.35</b>
	Unknown polyphenol <sup>c</sup>	43.8	169 [M+H] <sup>-</sup>	125	4289	0.19
	Vanillin	18.7	151 [M+H] <sup>-</sup>	136	2968	0.13
	p-hydroxybenzoic acid or salicylic acid	11.9	137 [M+H] <sup>-</sup>	93	2570	0.11
	Phloroglucinol	1.9	125 [M+H] <sup>-</sup>	97	464	0.02
Formononetin	52.8	267 [M+H] <sup>-</sup>	252	757	0.03	

<sup>a</sup>Calculated as the ratio: Compound area/total area of both compound classes; <sup>b</sup>The transition measured for the unknown carotenoid corresponded to a secondary transition of canthaxanthin but neither the retention time nor the relative abundance of the monitored transitions matched canthaxanthin; <sup>c</sup>The transition measured for the unknown polyphenol corresponded to gallic acid but the retention time did not match the authentic standard

### **Sample CRediT author statement**

**M. N. Keddar:** Investigation, Writing-Original Draft, Formal analysis; **Ana Ballesteros-Gómez:** Formal analysis, Methodology, Conceptualization, Supervision, Writing-Review & Editing, Funding acquisition; **M. Amiali:** Supervision, Writing- Review & Editing; **J.A. Siles:** Resources, Supervision, Writing- Review & Editing; **D. Zerrouki:** Supervision, Writing- Review & Editing; **M.A. Martín:** Resources, Supervision, Writing- Review & Editing; **Soledad Rubio:** Methodology, Conceptualization, Supervision, Writing-Review & Editing, Funding acquisition