

23 **Scorch height and volume modeling in prescribed fires: Effects of canopy gaps in**
24 ***Pinus pinaster* stands in Southern Europe**

25

26

27 **Abstract**

28 The use of prescribed fire has been on the rise in recent years owing to its effectiveness
29 in surface fuel reduction, its implementation cost, and the possibility of firefighter
30 training. However, greater knowledge regarding the effects of fire on woodlands is
31 required by forest managers. Scorch height and scorch volume are the most widely used
32 variables for evaluating the effects of burning on trees. This study proposes a scorch
33 height model for the prescribed fires of pine stands in Southern Europe. Although the two
34 main variables of the existing models (fire-line intensity and air temperature) were
35 considered, our model achieved a coefficient of determination of 89% with the
36 incorporation of the canopy base height. A decision tree for scorch volume was also
37 developed using the three independent variables. The presence of canopy gaps in the
38 lower, mid-, and upper slopes resulted in significant differences in the scorch height. The
39 scorch height increased between 0.33 m and 2.08 m because of the canopy gaps in the
40 upper slope. These findings can play an important role in the implementation and
41 improvement of prescribed burn windows.

42

43

44 **Keywords:** fire behavior, fire management, fire impact, fuel treatment, thermal pruning,
45 canopy gaps

46 **1. Introduction**

47 Greater accumulation of woody biomass, increasing socio-economic activities, and
48 climate change have caused changes in large fire regimes (Flannigan et al., 2009; Pausas
49 and Fernández-Muñoz, 2012; Rogers et al., 2020). Fuel treatments can help mitigate the
50 spread rate and intensity of fires (Stephens et al., 2012; Piqué and Domènech, 2018). In
51 addition, fuel treatments can be used as a supporting tool within the operational
52 firefighting systems (Alcasena et al., 2019). A wide variety of manual and mechanical
53 fuel treatments can be used in the case of surface and/or ladder fuels to reduce fire hazards
54 (Agee and Skinner, 2005). Prescribed fire represents a highly useful method of fuel
55 treatment owing to its low cost (Hesseln, 2000), its ability to reduce dead fuel load (Knapp
56 et al., 2011; Molina et al., 2018), and its potential application for training firefighters
57 (McCaw, 2013). Although the use of prescribed fires has received significant attention
58 globally, this fuel treatment is not yet sufficiently understood to be effective against large
59 forest fires (Fernandes et al., 2013).

60 Prescribed fires involve short- and medium-term changes in the fuel load and continuity,
61 reducing the risk of fires (Stephens et al., 2012; Fernandes, 2015). Fire managers should
62 estimate fire intensity by integrating the objectives of surface fuel reduction with the
63 mitigation of crown scorch height (Valor et al., 2015). Some researchers (Methven, 1971)
64 have suggested that the probability of tree survival is 50%, with 75% of the crown volume
65 being scorched. For *Pinus pinaster* Ait., Vega et al. (2011) predicted tree mortality based
66 on the crown volume that was scorched. However, they included other variables, such as
67 the attack of pine beetles and the region of provenance, as well.

68 The scorch height is observed shortly after burning, and it manifests as a change in the
69 color of the needles to a yellowish-brown hue, which is a result of the temperature reached
70 by the leaves. It has been reported that pine needles can tolerate heat stress up to a

71 temperature of 60 °C (Methven, 1971). Therefore, crown scorch height is a reliable
72 variable for monitoring the post-burning effects on trees (Fernandes et al., 2000). A
73 potential function of fire intensity developed by Van Wagner (1973) has been widely used
74 to model scorch height. In some models, the scorch height directly depends on the fire-
75 line intensity (Van Wagner, 1973; Burrows et al., 1989; Fernandes, 2002), while in other
76 models, it is also associated with air temperature and wind speed (Van Wagner, 1973). In
77 addition, yet other scorch height models (Albini, 1976; Gould, 1994) are related to the
78 flame height or flame length, which provide the chance to record “in situ” observations.
79 Another example involves the model described by Botelho (1999), which included
80 canopy base height as an independent variable in the scorch height equation, together
81 with wind speed and air temperature.

82 There are several tools (Reinhardt et al., 1997; Andrews et al., 2008; Fernandes et al.,
83 2012) that allow us to estimate the crown scorch height from the models of Van Wagner
84 (1973) and Fernandes (2002). Some researchers (Alexander and Cruz, 2012) have
85 suggested that the scorch height may be underestimated due to fuel consumption. A
86 higher fuel consumption could increase flame length and fire intensity, and therefore,
87 scorch height. Other researchers (Knapp et al., 2011) have reported that the presence of a
88 high load of masticated fuel from biomass harvesting may have resulted in
89 underestimations of scorch height. The higher scorch height in masticated fuels could be
90 related to flame residence time and heat being produced even though flame length was
91 apparently suppressed. Significant differences have also been observed depending upon
92 the prescribed fire season (McHugh and Kolb, 2003; McHugh et al., 2003) and species
93 characteristics (canopy characteristics), which may result in different values of vertical
94 heat transfer (Michaletz and Johnson, 2006a).

95 This research aims to: (i) propose a scorch height model for prescribed fires in Southern
96 Europe; (ii) propose a decision tree analysis for crown scorch volume; and (iii) identify
97 the effects of canopy gaps on crown scorch height. The third objective (that is, identifying
98 whether canopy gaps affect the crown scorch height) is based on the hypothesis that the
99 presence of canopy gaps causes an increase in air flow (Duan et al., 2008; Ma et al., 2010;
100 Keifer et al., 2016) and a chimney effect of the convective plume. The novelty of this
101 research lies in the identification of scorch height differences based on the presence and
102 size of canopy gaps. The identification of the factors (meteorological variables, stand
103 characteristics, burning conditions, and fuel availability) that can affect the scorch height
104 is essential for efficient planning of prescribed burn windows. Instances involving the use
105 of prescribed fires as a fuel management tool have been increasing rapidly; thus, it is
106 necessary to harmonize the effects of surface fuel reduction with the mitigation of
107 ecological impacts and tree mortality.

108

109 **2. Material and methods**

110 *2.1. Study area*

111 This study was carried out in three provinces (Almería, Ciudad Real, and Córdoba), which
112 belong to two autonomous communities of Spain (Andalusia and Castilla la Mancha)
113 located in the south of the Iberian Peninsula (Figure 1). The average annual number of
114 fires (2009–2019 period) in the three provinces is between 61 and 69 fires, and the
115 average annual burned area is between 497.42 ha and 885.52 ha. Although prescribed
116 fires continue to encounter administrative limitations in the study area, fire use has
117 increased considerably in the last few years.

118 The prescribed burns were conducted at six locations: Velefique (VEL), Sierro (SIE), San
119 Lorenzo de Calatrava (SLO), Puebla de Don Rodrigo (PUE), Viso del Marqués (VIS),
120 and La Lozana (LOZ). It is important to highlight that the SLO burnings were located
121 within the “Valle de Alcudia and Sierra Madrona Natural Park”. In each location (Table
122 1), prescribed burns were implemented during different days and years to ensure that a
123 comparative analysis of the meteorological conditions could be conducted for the same
124 characteristics of surface and crown fuels.

125 The climate of the six locations is characterized as Mediterranean, with cold and rainy
126 winters and hot and dry summers. Summer precipitation is usually less than 20 mm per
127 month. Prescribed burns are located between 735 m and 1,650 m above sea level, with
128 slopes between 1% and 28%.

129 Maritime pine (*Pinus pinaster*) covers more than four million hectares in Europe
130 (Fernandes and Rigolot, 2007). Maritime pine is the tree species most affected by wildfire
131 in the Iberian Peninsula. As an example, 48% of the burned area in the 1990s consisted
132 of *P.pinaster* forests (Fernandes and Rigolot, 2007). According to maritime pine
133 importance, the vegetation of our six locations is dominated by *P. pinaster* reforestations
134 in flat terraces. The dispersed understory is dominated by *Quercus ilex* L. and *Cistus* spp.
135 in SLO, PUE, VIS, and LOZ, and by *Macrochloa tenacissima* (L.) Kunth and *Erinacea*
136 spp. in VEL and SIE.

137

138 2.2. Field sampling

139 a) Pre-burning sampling

140 Prescribed burns were conducted over an 18-day period, spread over seven years (Table
141 1); 1–5 ha of forest area was burned daily. Forest fuel inventories were carried out in

142 rectangular sampling units using a stratified random inventory. The inventory included a
143 total of 69 sampling units (40 m × 50 m). Sampling units were staked out in advance to
144 achieve the most similar fuel characteristics, preventing the edge effect of ways, streams,
145 fuel-breaks, and other sampling units. Each sampling unit and its surrounding area were
146 burned under the same fire ignition patterns (strip-heading, flanking, and spot-heading
147 fires).

148 The field inventory collected data regarding canopy or ladder fuels and surface fuel. The
149 canopy fuel inventory included variables such as stand density, diameter at breast height,
150 stand height, canopy base height, and crown diameter. Basal area was easily calculated
151 using the diameter at breast height and stand density. Two approaches were used to
152 estimate canopy cover (proportion of the forest floor covered by the projection of the tree
153 crowns) and canopy gaps. Firstly, the canopy cover was calculated as the sum of tree
154 crown vertical projection divided by the area of the sampling unit. Vertical crown
155 projection was modelled by a circular shape using orthogonal diameter measurements.
156 The second approach was based on supervised classification of a binary image of the
157 sampling unit (white and black). Canopy cover was estimated as the percentage of black
158 pixels to the total area.

159 The characterization of surface fuel was conducted through line transect sampling
160 (Rodríguez y Silva and Molina, 2012). The surface fuel load was destructively sampled
161 from 1 m² square plots. At each sampling unit, the total fuel load, fuel depth, and dead
162 fuel categories were identified by size or timelag (1 h-, 10 h- and 100 h-timelag), and live
163 fuel load was identified by species. Samples of the different fuel categories (dead fuel by
164 timelag and live fuel by species) were oven-dried (110°C for 48 h until constant weight).
165 The differences in weight before and after drying constituted the moisture content of the

166 sample. Fuel load was expressed as dead fuel after the percentage of moisture was
167 eliminated.

168

169 b) Monitoring of the prescribed burning

170 Fire behavior was monitored in each sampling unit using k-type thermocouples (with a
171 wire diameter of 1 mm), a thermal camera, and photographic and video cameras.
172 Spatiotemporal monitoring of fire behavior was carried out using rectangular sampling
173 units. A matrix of 64-84 thermocouples was used for each sampling unit according to the
174 total number of sampling units for each prescribed burn (Molina et al., 2021). Thermal
175 camera and video cameras were installed with camera lens at right angle to fire spread.
176 Temperature, relative humidity, and wind speed 2 m about ground were measured at 10 s
177 intervals using a weather station (SkyWatch Geo 11). Average values of meteorological
178 conditions for each sampling unit (Table 2) were used to perform scorch height model.

179 Even though this study does not attempt to provide an in-depth analysis of the surface fire
180 behavior, the monitoring of the prescribed burning allowed us to estimate fire behavior
181 parameters, such as the spread rate, flame length and flame residence time. While spread
182 rate was estimated based on the distance between the thermocouples and the arrival times
183 of the fire, flame length was estimated with the support of photographs and videos, using
184 two video cameras (SONY HDR-CX240) and a thermal camera (FLIR ThermaCAM
185 SC640). The fire-line intensity (Byram, 1959) was estimated based on the spread rate
186 estimated by the thermocouples, the available fuel load, and the heat of combustion
187 (Equation 1). Finally, flame residence time was calculated using thermocouples (seconds
188 above 285°C) due to its importance on the generation of a water repellent layer in
189 Mediterranean ecosystems (García-Chevesich et al., 2019).

190 $I = H * w * ros,$ (1)

191 where, I is the fire-line intensity (kW/m), H is the heat of combustion (established at
192 18,500 kJ/kg for the Mediterranean vegetation), w is fuel load consumed per unit area that
193 is calculated as the difference between the pre-burning fuel load and the post-burning fuel
194 load (kg/m²), and ros is the spread rate (m/s).

195

196 c) Post-burning sampling

197 The stake-out of the sampling units required GPS and the use of treated wooden posts.
198 Trees inside of each sampling unit were marked with permanent paint for easy
199 identification by fuel consumption and scorch height inventories. Immediately after
200 burning, the fuel consumption (t/ha) was estimated as the difference between the pre-
201 burning fuel load and the post-burning fuel load. The post-burning fuel load was
202 calculated as dry matter content using destructive square plots of 1 m², in a similar way
203 to the pre-burning inventory. Before the prescribed burning, nail heads (12 cm) were set
204 flush with the litter, next to the thermocouples. In this sense, the consumed fuel bed depth
205 (cm) was also estimated as the difference between the pre-burning depth and post-burning
206 depth with the help of the nails. The consumed surface fuel was classified by six
207 categories (<15%, 15-35%, 35-50%, 50-75%, 75-90%, > 90%) based on the proposed
208 severity field indicators for Mediterranean vegetation (Castillo et al., 2017).

209 Post-burning inventories were carried out in all plots where prescribed burnings were
210 conducted after one month and after six months. These inventories included crown scorch
211 height, crown scorched volume, bole charring, and tree mortality. While crown scorch
212 height was identified by the yellowish-brown color of the needles using a Vertex IV-360
213 and telescopic milestone, bole charring was identified by the black color of the trunk

214 using telescopic milestone and tape measures. Crown scorch volume (percentage of the
215 pre-fire crown volume that was scorched) was visually estimated by viewing the tree from
216 all sides. The scorch height, crown scorched volume, and bole charring were estimated
217 by taking the average value of these parameters for all the trees measured in each
218 sampling unit.

219

220 *2.3. Scorch height and scorch volume modeling*

221 First, a test was carried out on some of the existing models of crown scorch height: Van
222 Wagner (1973), Gould (1994), Botelho (1999), and Fernandes (2002). Subsequently, we
223 tried to identify linear and non-linear models of crown scorch height based on the
224 following independent variables: air temperature, relative humidity, wind speed, dead
225 fine fuel moisture content, decomposed litter moisture content, foliar moisture content,
226 total fuel load, fine fuel load (1-h timelag dead fuel), fuel consumption (%), consumed
227 fuel bed depth, stand density, canopy closure, stand height, canopy base height, spread
228 rate, flame length, fire-line intensity, flame residence time, and bole charring height. The
229 ranges of the variables used are listed in Table 2. We incorporated new parameters to
230 obtain a better fit using the SPSS software. Multivariable analysis requires a previous
231 correlation study to remove the strongly correlated variables ($r > 0.7$). This research used
232 80% of the dataset for model generation (training data) and 20% of the dataset for
233 validation (test data). The coefficient of determination (R^2), root-mean-square error
234 (RMSE), and mean absolute error (MAE) were used to identify the most reliable models.

235 Classification and regression tree (CART) analysis was used to determine the crown
236 scorch volume. This method identifies the best factors that can be integrated into a given
237 scorch volume dataset. CART and their breakpoints. CART analysis results in a decision

238 tree diagram utilizing the breakpoints of the independent variables. The criteria used for
239 CART were 10-fold cross-validation, a value of 10 for minimum parent node, and a value
240 of 3 for minimum child node.

241

242 *2.4. Effects of canopy gaps in scorch height*

243 Twelve sampling units from the SLO were used to identify the effects of canopy gaps on
244 scorch height. In this case, the prescribed fire was conducted over one day to homogenize
245 the sample. The density of the control stand was 502(\pm 10.65) trees/ha. Canopy gaps were
246 characterized by the mean distance between the trees or the percentage reduction in stand
247 density. Based on the field inventory, the gaps or tree distances ranged from 4.61(\pm 0.19)
248 m to 7.30(\pm 0.37) m. The reduction in stand density was between 15.5% and 62.35% of
249 the control stand density. Analysis of variance (ANOVA) was used to identify whether
250 the gap size resulted in significant differences in the scorch height.

251 Some researchers (Cheney et al., 1992; Vélez, 2009) have already pointed out important
252 differences in wind speed based on the topographic position on the slope: lower- and mid-
253 slope ($>$ 25% of distance to creek) and upper slope ($<$ 25% of distance to creek). Wilcoxon
254 test (non-normal data) was performed to identify significant differences between the
255 presence of gaps in the lower- and mid-slope and upper-slope.

256

257 **3. Results**

258 *3.1. Scorch height and scorch volume modeling*

259 We measured a total of 1,255 trees, with scorch height varying between 0 and 9.2 m. For
260 the existing models, RMSE ranged from 1.21 m to 4.94 m and MAE was between 1.39

261 m and 5.85 m (Table 3). The lower error was obtained using the Van Wagner model
262 (equation 6), which includes three independent variables: fire-line intensity, wind speed,
263 and air temperature.

264 The fire-line intensity or flame length, by themselves, were both good estimators of
265 scorch height. In the classical potential modeling of the scorch height based on the fire
266 intensity, the coefficient of determination reached a value of 0.73 (equation 8 in Table 4).
267 The incorporation of air temperature and canopy base height (equations 10 and 11)
268 increased the coefficient of determination, reducing the RMSE and MAE (Table 4) and
269 improving the goodness-of-fit (Figure 2). Although flame length was the second variable
270 in normalized importance for scorch height (Figure 3), flame length and fire intensity
271 were strongly correlated variables ($r > 0.7$). In this sense, fire intensity exhibited the most
272 normalized importance (100%), followed by temperature (34.6%) and canopy base height
273 (32.7%) (Figure 3). All independent variables were positively related to crown scorch
274 height (Table 4). It is important to note that a suitable model or correlation between scorch
275 height and charring height was not observed.

276 Fire-line intensity (100%), canopy base height (91.2%), and temperature (33.6%) were
277 the most important variables in explaining crown scorch volume (Figure 3). The CATR
278 analysis identified three decision nodes and five terminal nodes (Figure 4). The first
279 decision node depended on the fire intensity, showing its high importance. In prescribed
280 burns with fire intensities higher than 362.8 kW/m, scorch height differences were found
281 based on air temperature. When the temperature was higher than 18.8 °C, significant
282 differences were observed in the crown scorch volume (Figure 4). Therefore, canopy base
283 height became the most important variable when the fire intensity was higher than 362.8
284 kW/m and the temperature was higher than 18.8 °C.

285

286 *3.3. Effects of canopy gaps in scorch height*

287 The scorch height showed a significant increase ($t = -2,291$, $p < 0.05$) for canopy gaps
288 that were characterized by a distance greater than 6.68 m between the trees and a
289 reduction of 56.07% compared with the control stand density. In the case of canopy gaps
290 of a smaller size, there was an increase in the scorch height; however, it was not
291 significant. There was a positive logarithmic trend, reaching a maximum increase of 3.54
292 m for a gap with 7.22 m between the trees. This maximum scorch height was associated
293 with a 62.35% reduction of trees in the upper slope. Furthermore, significant differences
294 ($Z = -2.12$, $p < 0.05$) were observed between similar gaps located in the upper slope and
295 in the mid- and lower slopes. Two predictive equations for the increase in the scorch
296 height were obtained based on the topographical position of the gap.

297

298 $y_1 = 6.822 \ln(x) - 10.36$ $(R^2 = 92.2\%)$ (2)

299 $y_2 = 1.595 \ln(x) - 2,278$ $(R^2 = 90.2\%)$, (3)

300 where, y_1 is the increase in the scorch height in the upper slope (m), x is the distance
301 between the trees (m), and y_2 is the increase in scorch height in the mid- and lower slopes
302 (m). When a canopy gap is observed, this increase (y_1 or y_2), which is based on its
303 topographic location, should be added to the mean scorch height.

304

305 **4. Discussion**

306 Prescribed fires play a significant role in reducing the fuel load and the risk of forest fires
307 (Knapp et al., 2011; Stephens et al., 2012; Fernandes, 2015; Molina et al., 2018; Morgan
308 et al., 2020). In pine stands, fuel load reduction lowers the probability of a surface fire

309 transitioning into a crown fire (Van Wagner, 1977; Agee and Skinner, 2005; Roccaforte
310 et al., 2008). However, excess fire-line intensity can cause damage to the trees (Reinhardt
311 and Ryan, 1989; Zeleznik and Dickmann, 2004; Espinosa et al., 2020). The scorch height
312 is an easy variable for monitoring the burning effects or damages on trees (Fernandes et
313 al., 2000). One study (Burrows, 1997) has reported that a scorch height above 6 m could
314 cause damage to the trees. The scorch height observed in our sampling units was greater
315 than this threshold, reaching a maximum of 9.2 m. In this sense, some indicators or
316 estimators of the scorch height should be integrated in the “burn window”.

317 Equation 8 with two independent variables (fire intensity and air temperature) achieved a
318 reliable fit for scorch height in the study area. On the one hand, the relationship between
319 scorch height and fire intensity has already been explored by other researchers (Van
320 Wagner, 1973; Fernandes, 2002). The fire intensity depends directly on the availability
321 of fuel and the season of the year in which the burning occurs (Burrows, 1997). Some
322 researchers have tried to replace the fire-line intensity with flame height (Gould, 1994)
323 or flame length (Fernandes, 2002) because these variables are easier to identify in situ.
324 Cheney et al. (1992) reported that the scorch height was approximately nine times higher
325 than the flame height under dry fuel conditions. This result is consistent with our findings,
326 which indicated that the scorch height was $9.18 (\pm 2.93)$ times higher than the flame
327 length. On the other hand, air temperature is exponentially related to scorch height, which
328 increases rapidly at temperatures above 25 °C (Cheney et al., 1992).

329 The improvement observed in the scorch height equations (10 and 11) with the
330 incorporation of canopy base height can help to mitigate the ecological impacts associated
331 with tree mortality. The positive relation between the scorch height and the canopy base
332 height was similar to that obtained by Botelho (1999) for *P. pinaster*. This relation may
333 be due to the structure of the foliage and the arrangement of the branches of *P. pinaster*

334 and, consequently, the convective heat transfer (Michaletz and Johnson 2006a). In this
335 sense, this study only considered reforested *P.pinaster* stands, because of the high
336 variability in the canopy architecture of natural stands (Molina et al., 2014). Further
337 studies should provide additional information of the scorch height in other species with
338 different canopy characteristics.

339 The highest differences in observed versus predicted values of scorch height (Figure 2)
340 were mainly associated with rainy seasons (Espinosa et al., 2020) and plots that had a
341 concentration of masticated fuel load (Knapp et al., 2011). Some studies (Van Wagner,
342 1973; Botelho, 1999; Fernandes, 2002) have suggested that the scorch height is related to
343 wind speed. While wind speed had a negative effect in the equations developed by some
344 authors (Botelho, 1999; Fernandes, 2002), other researchers (Van Wagner, 1973) showed
345 a positive effect in the equation. The positive effect can be expected by the effect of
346 turbulence generated by the fire (Michaletz and Johnson, 2006b; Alexander and Cruz,
347 2012), as well as to the effect of no wind on a vertical fire plume. However, wind speed
348 did not have either a negative or positive significant effect in our equations (8, 9, 10 and
349 11). This lack of correlation could be associated with the limited wind speed range (0–
350 9.5 km/h) of our prescribed burn windows.

351 The scorch height showed great variability between prescribed burns and even between
352 trees within the same sampling unit. In this sense, no significant relationship was found
353 between the charring height and the scorch height for our dataset. This fact may be
354 associated with the higher load and dryness of the surface fuel in sheltered areas close to
355 the boles (Wotton et al., 2005). Additionally, some studies (Alexander and Cruz, 2012)
356 have shown significant differences in scorch height based on the fire ignition pattern.
357 Spatial ignition patterns can increase or decrease the spread rate, flame length and fire
358 intensity (Molina et al., 2021) and, therefore, the scorch height. Further studies should

359 also consider that the influence of fire ignition pattern in the scorch height due to fire
360 interaction (Finney and McAllister, 2011). Other limitation of the model developed in
361 this study is that it did not consider ignition lines longer than 50 m. Therefore, more
362 research needs to be conducted to achieve a trade-off between fire intensity and the
363 impacts of fire on trees.

364 Many studies have attributed the probability of tree survival to the scorch volume
365 (Methven, 1971; Swezy and Agee, 1991). The scorch volume observed in our study
366 varied significantly, ranging from 0% to 88.3%. In many trees, the scorch volume was
367 higher than 68%, which is the volume recommended for the survival of *P. pinaster* in the
368 Iberian Peninsula (Vega et al., 2011). In our prescribed burns, the scorch volume was
369 especially high in prescribed burns with fire intensity higher than 362.8 kW/m, flame
370 length higher than 1.15 m, and air temperature higher than 18.8°C. If it is necessary, fire
371 managers could reduce scorch height based on a modification of the prescribed burn
372 windows. In this sense, the season of the burning and, therefore, the air temperature could
373 have been modified to reduce the scorch height. It must not be forgotten that the burn
374 window requires the consideration of both the surface fuel and the scorch height.

375 The wind speed used for the models was 2 m above ground in-forest, which is the one
376 used by other existing models (Van Wagner, 1973). The drag coefficient (relation
377 between wind speed 2 m above ground in-forest and wind speed 10 m above ground in
378 the open) ranged between 0.16 and 0.55 for our prescribed burns, with the values closest
379 to 0 associated with the lower slopes. These values are consistent with those described in
380 previous studies, which ranged from 0.15 to 0.4 (Cheney et al., 1992). Some researchers
381 (Duan et al., 2008) have reported increases of up to 1.82 times the wind speed (with
382 respect to the control stand density) in canopy gaps due to the Venturi effect. In addition,
383 the 2 m in-forest wind speed increased by 2.66–3.75 times between the upper slope and

384 the mid- and lower slope when the 10 m wind speed in the open was between 5–10 km/h
385 (Cheney et al., 1992).

386 The scorch height is directly related to the gap size and its topographic position on the
387 slope (Latif and Blackburn, 2010). In our study, the differences in scorch height were
388 significant in the case of gaps with distances of 6.68 m between the trees, that is, canopy
389 gaps between 38.64 m² and 44.62 m². However, it is also necessary to highlight the
390 significant differences in the scorch height based on the topographic position of the gap.
391 The scorch height increased from 0.33 m (for gaps with 5 m spacing between trees) to
392 2.08 m (for gaps with 7 m spacing between trees) between the upper and lower slopes
393 (Figure 5). This phenomenon may be due to the Venturi effect created by the canopy
394 opening and the generation of the convective plume, mainly in the upper slope.

395 Given the uncertain conditions and the existing administrative limitations in southern
396 Europe (Fernandes et al., 2013; Fernandes, 2015), the use of prescribed fires requires high
397 efficiency. One of the main demands of fire managers is knowledge of the fire intensity,
398 which would allow an efficient reduction in surface fuel without causing damage to the
399 trees (Fernandes and Loureiro, 2010; Fernandes et al., 2012). The fire intensity can be
400 managed based on the burning season and the fire ignition pattern. The correct
401 implementation of the prescribed burn window is required for both surface fuel reduction
402 and mitigation of scorch height and scorch volume. However, scorch height equations are
403 standard, and managers should pay attention to the presence of gaps in the upper slope.
404 The results of this study introduce a novel approach. However, the proposed method
405 needs to be refined further by experimenting with a higher range of fuel availability and
406 a wider number of woodland ecosystems. The configuration of the branches, physiology,
407 morphology, and bark of each species modifies the convection heat transfer to the

408 canopies (Michaletz and Johnson, 2006a) and, consequently, the scorch height and scorch
409 volume.

410

411 **Conclusions**

412 Prescribed fire is a useful tool based on its demonstrated fire hazard reduction benefits
413 and reduced costs with respect to mechanical fuel treatments. Prescribed fire requires both
414 the reduction of surface fuel load and the minimization of scorch height on trees. Despite
415 fire behavior and canopy architecture limitations, the proposed scorch height equation
416 plays a keystone role in the implementation and improvement of prescribed burn
417 windows. Fire intensity, air temperature, and canopy base height were identified as the
418 most important variables for scorch height and scorch volume modeling. However, large
419 differences were observed inside the same sampling unit because of the presence of gaps
420 in upper slopes. Before burning, fire manager can test scorch height in lower- and upper
421 slopes and with and without canopy gaps presence. If it is necessary, fire managers could
422 reduce scorch height based on a modification of the prescribed burn windows. Therefore,
423 managers seek criteria and tools, like this, which allow a simple evaluation of potential
424 scorch height under different meteorological and fire ignition scenarios.

425

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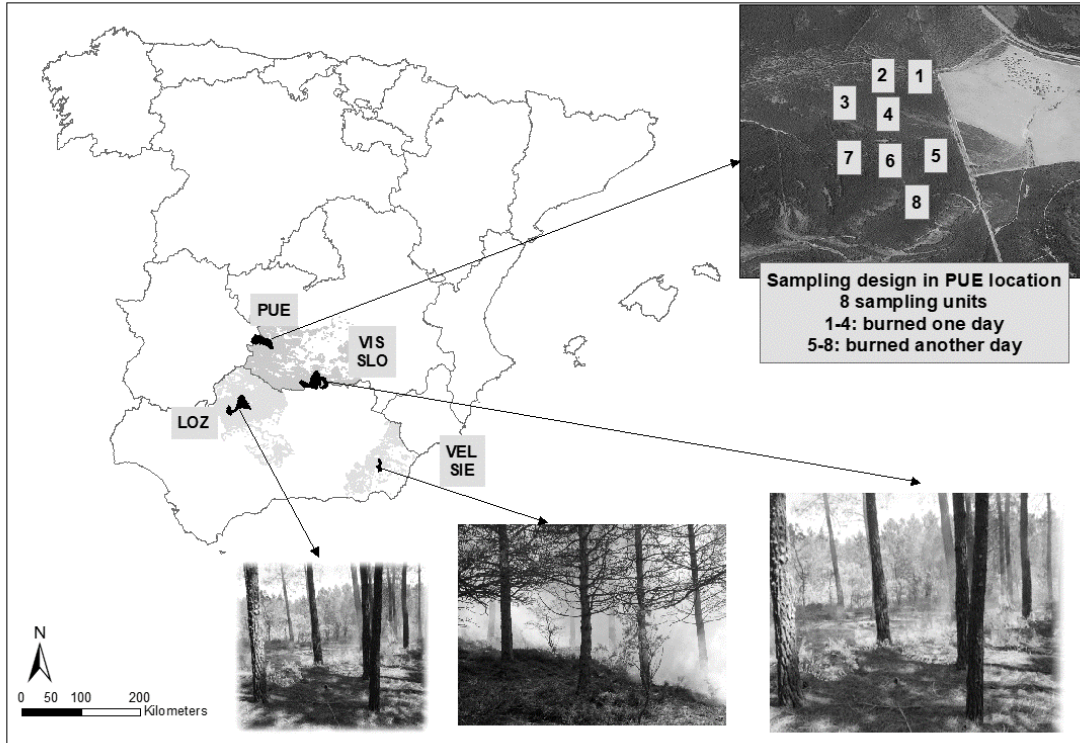
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587 Figure 1. Study area location and sampling design of one location (VIS)

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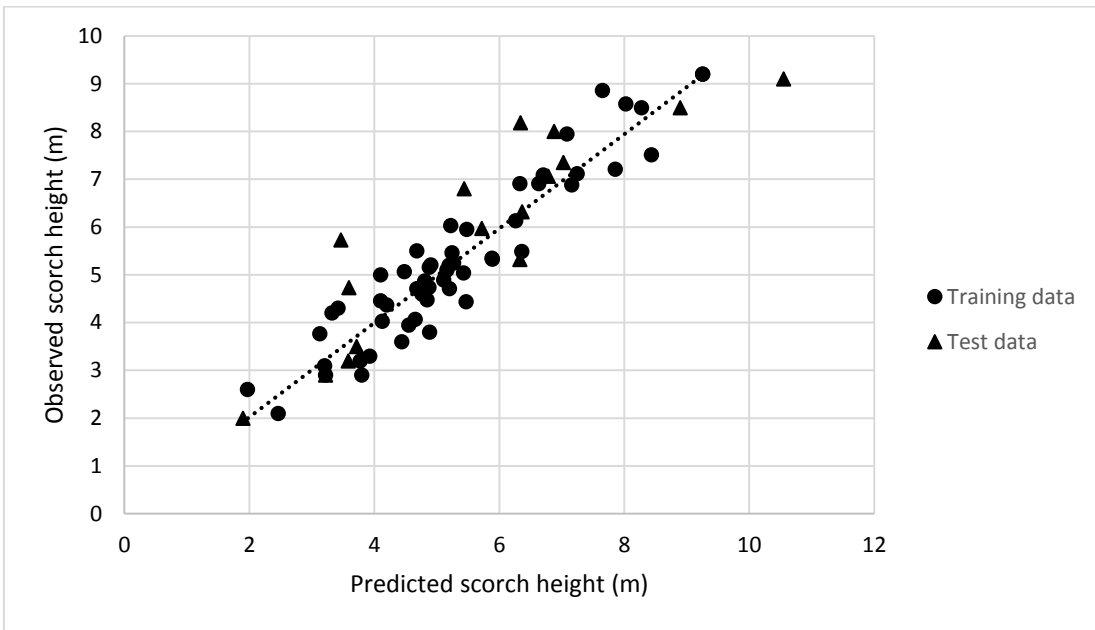
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599 Figure 2. Sampling units of observed versus predicted values of scorch height using
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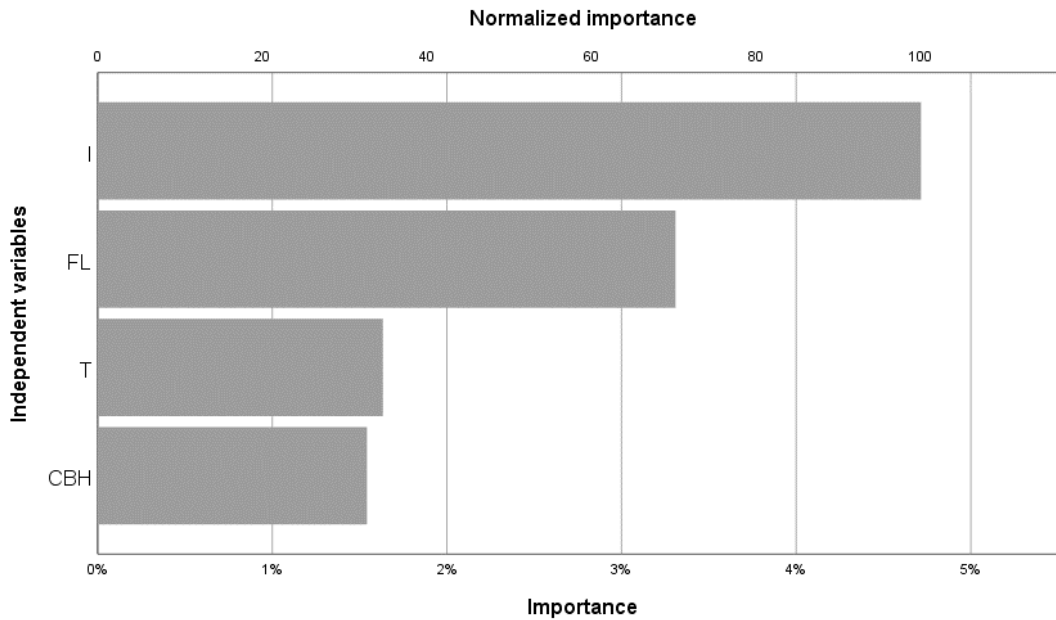
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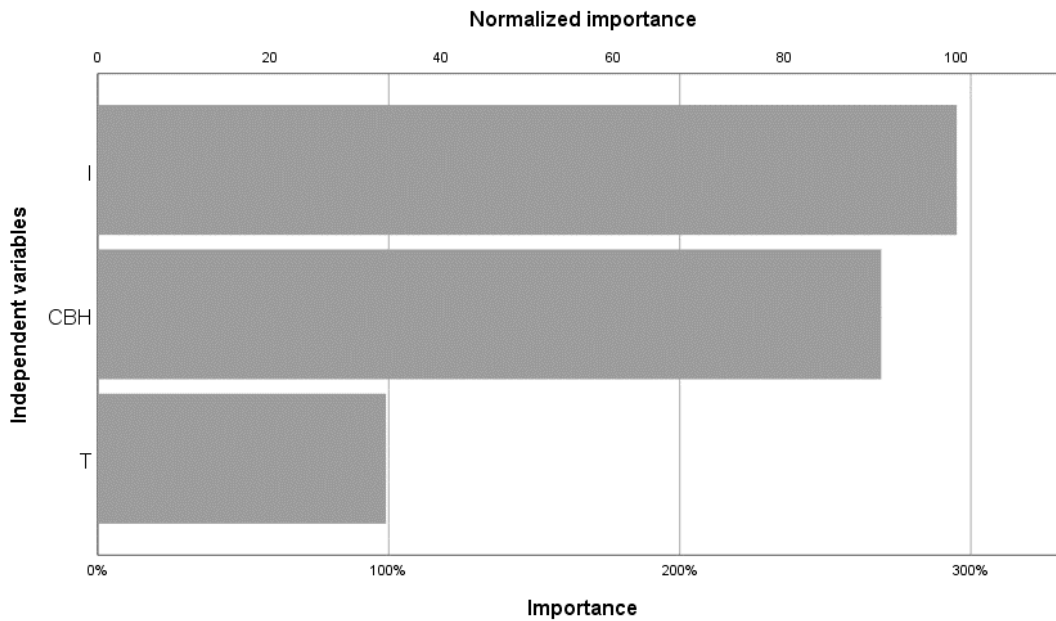
613 Figure 3. Normalized importance of the independent variables on (a) scorch height model
 614 and (b) scorch volume

615 a)



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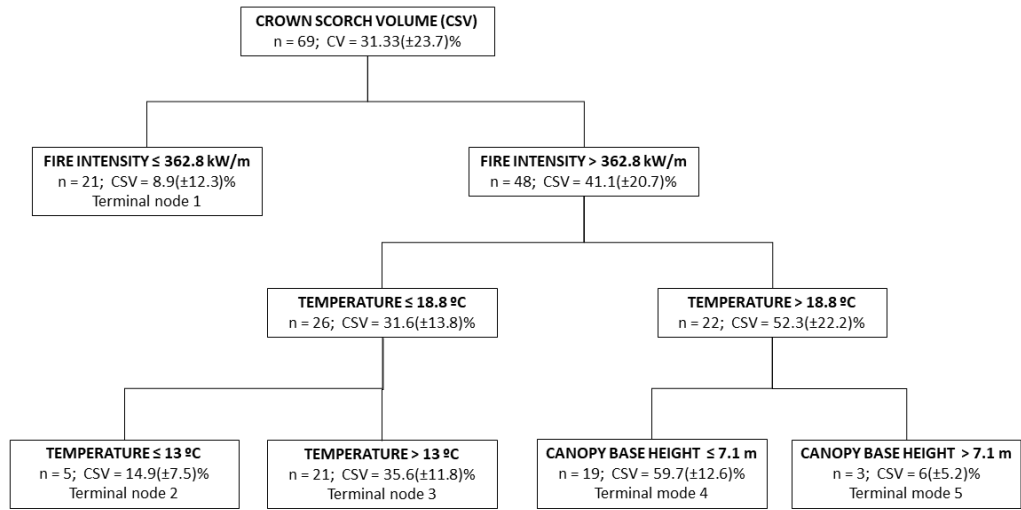
617 b)



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619 Note: I is the fire intensity, FL is the flame length, T is the air temperature, CBH is the canopy
 620 base height

621 Figure 4. Decision tree for crown scorch volume based on fire intensity, air temperature
 622 and canopy base height



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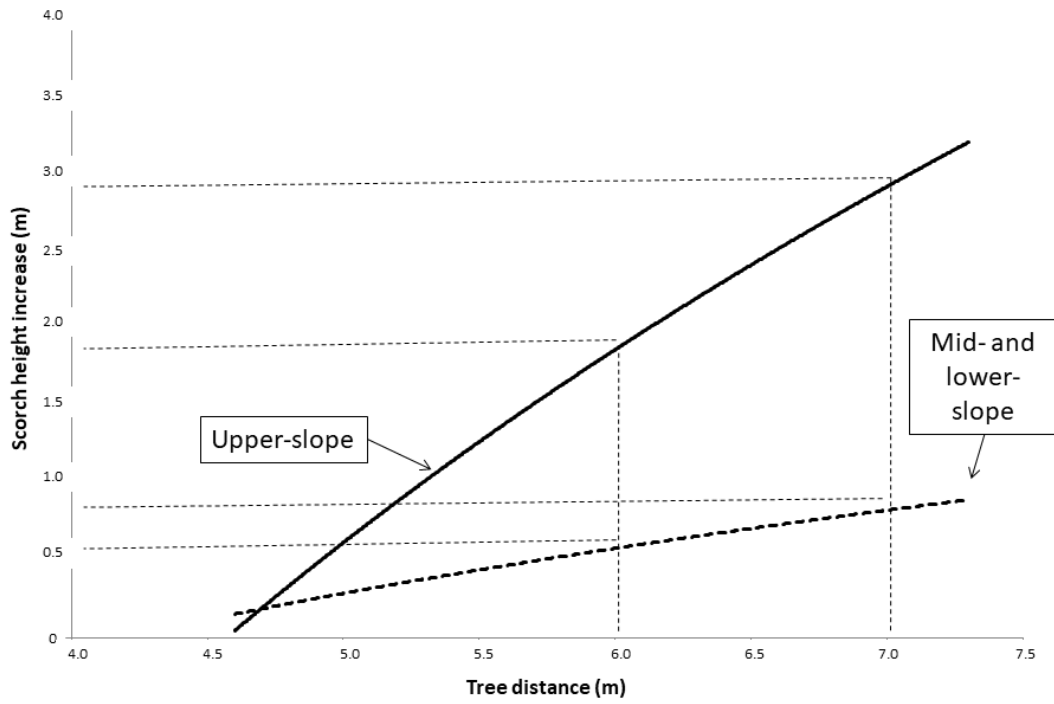
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634 Figure 5. Comparative analysis of the scorch height based on the topographic position
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645 Table 1. Characterization of the prescribed burn in the six study locations

Location	VEL	SIE	SLO	PUE	VIS	LOZ
Location (ETRS89 30N)*	X: 555703 Y: 4119852	X: 555721 Y: 4123096	X: 428100 Y: 4252922	X: 371900 Y: 4329600	X: 462758 Y: 4251550	X: 462758 Y: 4251550
Area burnt (ha)	1	3.55	6.6	7.5	15.3	12.1
Number of burning days	1	2	3	2	3	7
Number of sampling units	2	11	24	8	17	19
Altitude (m)	1751	1562	1090	780	1094	735
Fine dead fuel moisture (%)	13.5-14	9.2-11.3	9.9-14	13.7-14.8	8.2-12.2	
Wind speed (km/h)	3-5	1.5-8	2.5-5.9	3-6	4-14.5	
Fuel load (t/ha)	28.55(±7.50)	25.51(±7.67)	29,48(±7.37)	16.59(±3.39)	21.9(±8.61)	
Fire ignition patterns	Strip heading fire (1 sampling unit), flanking fire (1 sampling unit)	Strip heading fire (4 sampling units), flanking fire (4 sampling units), spot heading fire (3 sampling units)	Strip heading fire, flanking fire, spot heading fire	Strip heading fire (6 sampling units), flanking fire (2 sampling units)	Strip heading fire, flanking fire, spot heading fire	Strip heading fire, flanking fire, spot heading fire

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657 Table 2. Range of the different independent and dependent variables

Variable	Range
Response variables	
Scorch height (m)	0 – 9.2
Scorch volume (%)	0 – 88.3
Predictor variables	
Meteorological variables	
Temperature (°C)	10.7 – 26
Relative humidity (%)	20.8 - 85
Wind speed 2 m above ground (km/h)	0.50 – 9.5
Fine dead fuel moisture (%)*	8.2 – 14.8
Decomposed litter moisture content (%)*	28.6 – 76.9
Foliar moisture content (%)	91.7 – 134.8
Fuel characteristics	
Total fuel load (t/ha) *	13.1 – 32.3
Fine fuel load (t/ha) *	8.8 – 25.41
Stand density (trees/ha)	288 - 875
Canopy cover (%)	40 - 100
Stand height (m)	6.5 – 14.1
Canopy base height (m)	1.62 – 8.1
Fire behavior	
Spread rate (m/min)	0.1 – 4.3
Flame length (m)	0.2 – 1.8
Fire-line intensity (kW/m)	55.8 – 1609
Flame residence time (s)	62 - 260
Fuel consumption (%)	63.5 – 95
Consumed fuelbed depth (cm)	2.45 – 5.68
Charring height (m)	0.4 – 4.2

658 Note:

659 Fine dead fuel was related to 1-hour timelag dead fuel (less than 0.25 inch in diameter).

660 Decomposed litter was related to the organic horizon found beneath the litter characterized by
661 accumulation of partly decomposed organic matter.662 Fuel load was calculated as the sum of dead fuel load (1-, 10- and 100-h timelag) and live fuel
663 (leaves and fine stems).

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667 Table 3. Test of the existing crown scorch height models.

Equation	Existing model	Source	MAE	RMSE
1	$h_s = 0.1483 I^{2/3}$	Van Wagner, 1973	3.27	3.94
2	$h_s = 0.125 I^{0.724}$	Fernandes, 2002	4.94	5.85
3	$h_s = 4.4713 I^{2/3}/(60-T)$	Van Wagner, 1973	1.62	2.39
4	$h_s = 5.232H^{0.756}$	Gould, 1993	1.86	2.10
5	$h_s = 0.544 + 0.102 T - 0.28U^2 + 1.053CBH$	Botelho, 1996	2.53	3.0
6	$h_s = 0.74183 I^{7/6}/(0.02557 I + 0.02143U^3)^{1/2} (60-T)$	Van Wagner, 1973	1.12	1.39
7	$h_s = 3.770L + 0.171T - 0.231U$	Fernandes, 2002	1.21	1.42

668 Note: h_s is the scorch height (m), I is the fire intensity (kW/m), T is the air temperature (°C), H is
 669 the flame height (m), U is the wind speed (m/s), CBH is the canopy base height (m).

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684 Table 4. Scorch height models for our study area

Equation	Model form	Parameter	Estimation	Standard error	R ²	MAE	RMSE
8	$h_s = a I^b$	a	0.215	0.065	0.73	0.87	1.24
		b	0.510	0.046			
9	$h_s = a FL^b$	a	6.931	0.205	0.67	0.93	1.24
		b	0.556	0.053			
10	$h_s = a * I^b * T^c * CBH^d$	a	0.072	0.017	0.89	0.61	0.83
		b	0.437	0.033			
		c	0.501	0.070			
		d	0.102	0.036			
11	$h_s = a * FL^b * T^c * CBH^d$	a	1.567	0.547	0.76	0.75	0.92
		b	0.428	0.055			
		c	0.505	0.117			
		d	-0.017	0.050			

685 Note: h_s is the scorch height (m), I is the fire intensity (kW/m), FL is the flame length (m), T is
 686 the air temperature (°C), CBH is the canopy base height (m).

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

The first author contributed to the methodology, formal analysis, data curation and original draft preparation. The second author participated in conceptualization, formal analysis, data curation and writing—review. The last author contributed to the methodology, formal analysis, supervision and funding acquisition.

Appendix A. Photographs of the different study locations.



Prescribed fire implementation in the different sampling units



Scorch height in the mid slope (left image) and in the upper slope (right image) in the same prescribed burn



Scorch height differences based on the presence of canopy gaps in the upper slope