1	Characterization of the 3': 5' ratio for
2	reliable determination of RNA quality
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1 Abstract

2 Determination of RNA quality is a critical first step in obtaining meaningful gene expression data. The PCR-based 3': 5' assay is an RNA quality assessment tool. This 3 4 assay is a simple, fast and low-cost method of selecting samples for further analysis. 5 However, the practical application is unexploited primarily because of the absence of a 6 experimental threshold. We show that, by anchoring the 5'assay a specific distance from 7 the 3' end of the sequence and by spacing the 3' at a distance of a number of 8 nucleotides, a cutoff determines whether a sample is suitable for downstream 9 quantification studies.

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13	Keywords : 3':5' ratio; qPCR; RNA quality
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1 Nowadays the reverse transcription quantitative PCR (RT-qPCR) is the most rapid, 2 sensitive, accurate and precise method available for gene expression analysis [1] The 3 technique requires a combination of various steps with several conditions that have a 4 direct impact on the conclusions. Therefore, minimizing the method's variability and 5 maximizing its reproducibility by quality-assessing every component of the qPCR 6 workflow and adhering to common guidelines for the analysis and accurate 7 interpretation of the data is essential [2-4]. Prior studies have reported that the quality of 8 the template may be the most important determinant of the reproducibility and 9 biological relevance of qPCR results [5]. This notion is supported by the well-known 10 impact that the use of degraded RNA has on the interpretation of data [6-7]. Despite 11 these obvious implications, RNA quality check is deficient or absent from a substantial 12 number of published papers [8]. Therefore this is an issue that requires more attention 13 than it has received to date.

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15 Methods for assessing the quality of total RNA have evolved from highly sample-16 consuming, low-throughput, qualitative gel-based techniques to an easily automated, 17 high-throughput, quantitative technology. Questions have recently been raised regarding 18 the ability of traditional methods based on the 28S/18S ratio or optical density 19 measurements to measure RNA integrity [9-10]. Currently, lab-on-chip technology is 20 the most efficient system for ensuring RNA quality. This technique offers accuracy, 21 reproducibility and high sensitivity [11]. Although its applications is expected to 22 increase in the future, a major drawback of this technology is that mainly assess the 23 ribosomal RNA profile, providing total RNA quality, but is not focused on the quality 24 of the messenger RNA molecules. Moreover, cost of automated electrophoresis stations, 25 renders the method unfeasible for laboratories with resource constraints.

1 In the absence of an alternative, the 3':5' assay has been proposed in order to assess 2 mRNA integrity [4]. It is based on the evidence that cDNA yield from sequences near 3 the 5' end of partially degraded mRNAs is significantly lower than those from 4 sequences near the 3' end provided that reverse transcription proceeds from the polyA-5 tail [12], i.e. poor RNA quality adversely affects the synthesis of first-strand cDNA 6 resulting in the under representation of the 5' moiety of the transcript. The assay has 7 been adopted by microarray users for many years [13], although its practical utility 8 remains to be determined as several different cutoff criteria for the 3':5' ratio have been 9 proposed. Furthermore, the term 3':5' assay is not used unambiguously by researchers. 10 These inconsistencies have hampered the systematic application as quality assessment 11 tool.

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13 Since the availability of a practical and reliable cutoff prior to RT and qPCR assays has 14 the potential to save substantial costs in wasted reagents and technical time, we 15 investigated which 3':5' ratio can determine the suitability of RNA samples for 16 downstream applications in qPCR-based studies. In this work, we considered this value 17 to depend on the nature of the samples (i.e. fresh frozen), the target's abundance, 18 location between two primer sets and distance from the 3' end. Our hypothesis was that 19 employing specific target design enables the identification of samples with sufficient 20 RNA quality to be suitable for downstream applications.

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We designed seven sets of specific primer pairs at various positions on the ubiquitin cDNA sequence (UBQ; DFCI Medicago Gene Index, TC112803) to amplify products of 60 to 110 bp, with an optimal primer melting temperature (Tm) of 60°C and GC contents between 35% and 65%. To maximize PCR amplification efficiencies, control

1 for secondary structure of single-stranded DNA and/or at the sites of primer binding 2 was conducted using MFOLD v3.2 software [14]. Using Trizol reagent (Invitrogen, CA, 3 USA), total RNA was extracted from roots and leaves (n=3) obtained from pools of five 4 plants of the model legume Medicago truncatula to minimize sample-to-sample 5 variation. RNA was quantified using a Nanodrop Spectrophotometer ND-1000 (Thermo 6 Scientific, MA, USA). Prior to cDNA synthesis, DNase treatment was performed using 7 Turbo DNAseI (Ambion, TX, USA) according to manufacturer's protocol. The absence 8 of contaminating genomic DNA was verified by qPCR. RNA was artificially degraded 9 by heat exposure. Subsequently, samples were subjected to microfluidic electrophoresis 10 analyses performed on the Experion system (Bio-Rad, CA, USA). Each sample (n=9) 11 was classified as (i) high quality (RQI≥9; 0 min at 70°C), (ii) optimal quality (RQI:7-9; 12 10 min at 70°C) or, (iii) partly degraded (RQI:6-7; 30 min at 70°C). Total RNA 13 electropherograms were in accordance with the degradation exposure indicating a 14 progressive reduction in size of the 18S and 25S peaks and an elevation of the base-line, 15 resulting in a decrease of the RQI, as depicted in Fig. 1. RT was performed on 2 µg total 16 RNA using $oligo(dT_{15})$ and Superscript III (Invitrogen) following the manufacturer's 17 instructions. Gene expression analysis was performed on 1.5 µl of a 1:4 dilution of 18 cDNA, 7.5 µl of 2xSYBR Green master mix (Promega, WI, USA), and 200 nM of each 19 primer pair in a total volume of 15 µl. PCRs were performed on a Mx3000P Real-time 20 PCR System (Stratagene, CA, USA). Reactions were performed in duplicate on each of 21 the 9 samples across the seven targets. Variations in Cq among technical replicates were 22 assessed (max. variation <0.30) and the mean Cq of replicates was employed in 23 subsequent calculations. The experimental data, primer sequences and target assays are 24 outlined in the Supplementary Content. Formation of specific predicted PCR products 25 was confirmed by melting curve analysis. Relative quantity (RQ) of template was

1 calculated using the comparative C_T method [15]. Next, expression data were 2 normalized using a normalization factor consisting of the geometric mean of the 3 expression levels determined by the two assays located closer to the 3' end. This 4 provides the normalized RQ (NRQ) of the specific assay for each biological replicate 5 (Fig. 1D-F). A log transformation of NRQ data was subsequently applied and analysis 6 of variance was employed to compare quality groups using the transformed NRO. 7 Results exhibiting P < 0.05 were considered significant, and the least significant 8 difference (LSD) was calculated at 5% level to compare expression levels obtained from 9 the various groups.

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11 When designing a primer pair for use in qPCR, screening the 3' region of a cDNA may 12 be a useful approach, as it reduces the risk of comparing samples with differing degrees 13 of RNA degradation, which predominantly starts at the 5' end. Accordingly, higher 14 RNA quality is associated with an elevated signal from the 5' primer set. Conversely, 15 lower RNA quality is associated with downregulated levels of 5' transcripts and 16 relatively upregulated levels of 3' transcripts. This gene-specific primer design strategy, 17 however, is not possible in many cases in which limited genetic information is 18 available. We did not observe statistically significant differences in expression levels 19 between intact and degraded RNA samples from two target templates designed within the ~800nt sequence closest to the 3' end of the transcript. Nevertheless, significant 20 21 differences above this threshold were evident between maximum- and minimum-quality 22 groups (Supp. Content). To determine a practical cutoff 3':5' ratio value to identify 23 suitable cDNAs for further analysis, we first defined the 3':5' ratio as the fold change 24 difference in expression values between the two assays. Each amplicon may represent a 3' or 5' assay, as that designation is merely a spatial attribute. The 3' target assay is 25

1 designed to amplify an amplicon near the 3' end, and the 5' target assay targets the 5' 2 end of the mRNA sequence. Next, we decided to anchor a 5' assay at 1526 nt from the 3 end of the sequence, and investigated whether the 3' targets expression levels were 4 significantly different from the 5'assay, employing the mean expression level of various 5 exons across the UBQ gene that were located 700, 900 and 1100 bp distance from the 5' 6 assay. Statistical analysis indicated that the 3':5' ratio of lowest-quality group differed 7 when the 3' assay was designed targeting sequences 700 bp (P<0.01), 900 bp (P<0.001) 8 and 1070 bp downstream (P<0.001). Finally, on the basis of the observed 5' mean 9 expression level (setting at 1), we employed the mean 3' relative expression value in 10 optimal-quality samples and the 95.45% confidence interval (+95.45% CI, upper 11 interval) as upper limit for scoring a suitable cDNA derived from intact RNA, and mean 12 value of the partly degraded samples and the 95.45% confidence interval (-95.45% CI, 13 lower interval) as lower limit for discarding a cDNA (Table 1).

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15 Some conclusions from the aforementioned : (1) to characterize successfully the extent 16 of RNA degradation in the starting material, 5' amplicon of the 3':5' ratio assay has to 17 be designed in a sufficient distance to the 3'-end of the sequence. Otherwise, measured 18 gene expression from starting materials of equal quality, a critical condition for 19 obtaining meaningful gene expression data, will not be ensured. This has a second 20 meaning suggesting a 'security zone' close to the 3' end that may be utilized, through 21 careful primer design, to enable the quantification of mRNA levels. As mentioned 22 above, this method's relevance is limited by the availability of information in public 23 databases regarding gene structures; (2) as determined from the fixed distance from the 24 5' amplicon to the 3' end sequence, there are various ratio thresholds, depending on the 25 targets' spatial separation, that can discriminate between various integrity levels of the

1 RNA samples. Results indicate that 3':5' ratio values to 2.73, provide full assurance that 2 the input material is of high quality. Moreover, values over the limit of 4.43 for the 3 same distance between amplicons, may characterize cDNAs as unreliable for gene 4 expression studies. The inclusion of samples within this safety margin does not 5 demonstrate that the material is unsuitable for further qPCR assays, but does not 6 guarantee that the sample is in a maximum-quality criteria compliant way.

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8 Note that the usefulness of the 3':5' ratio relies on the oligo(dT) priming method for 9 cDNA synthesis because, with this method, the progress of the reverse transcriptase is 10 dependent on the intactness of the mRNA. Moreover, experiments may be conducted in 11 order to determine whether 3':5' ratios are RT enzyme-dependent or using more cell 12 tissue types or targeting the 5' and 3'-end of other reference genes with different 13 transcript abundance. Furthermore, it would be important to confirm these results in 14 formalin fixed paraffin embedded tissues. However, data within the ratios shown in 15 Table 1 can ensure that observed biological differences are not due to poor RNA 16 integrity.

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To summarize, the quality criteria proposed in this study should provide a useful guideline for future studies using qPCR to assess mRNA levels. The suggested cutoffs derived from specific distances between amplicons may help determine whether a certain cDNA is suitable for downstream quantification assays. Although we have conducted the experiment in plant tissues, should be applicable for the assessment of gene expression data obtained from other cell populations.

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1 Figure Legend

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Fig. 1. Virtual gel image and electropherograms from three representative RNA leaves
samples showing different degrees of degradation according to the RQI (A) 9.1, (B) 7.2,

5 or (C) 6.0. (D-F) Expression profiles of different amplicons throughout the UBQ gene.

- 6 Normalized values are rescaled to 543-assay. Mean expression level (+ SEM) from
- 7 three samples is shown for each quality group. The X axis represents amplicon distance
- 8 from the 3'end.
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