

1 **Safety and quality issues in summer squashes using handheld portable**
2 **NIRS sensors for real-time decision making and on-vine monitoring**

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23 **Abstract**

24 **BACKGROUND:** Portable handheld near infrared spectroscopy (NIRS)
25 instruments currently present enormous advantages in terms of size, weight and
26 robustness. They also provide fast, precise information that can be obtained *in situ*,
27 and represent a viable option for controlling vegetable safety and quality during the
28 growth period. The aim of this research was to evaluate three handheld portable
29 NIRS instruments for *in situ* and real time analysis of intact summer squashes. 221
30 summer squashes were analyzed by traditional methods and used to develop
31 calibration models for morphological, safety and quality parameters. Additionally,
32 the longitudinal distribution of nitrate content in summer squashes weighing over
33 400 g was also studied, and the evolution of this parameter during the harvest period
34 was also tracked to determine which summer squashes and which zones (peduncle,
35 equatorial or styler) of the vegetable could be earmarked for baby food production.

36

37 **RESULTS:** The robustness of the calibration models obtained confirmed the
38 expectations raised by NIRS technology for morphological, safety and quality
39 control of individual summer squashes, and the models developed with the
40 MicroNIR-1700 instrument were those which proved more accuracy and precision,
41 being the peduncle zone the part that presents a higher content in nitrates.

42

43 **CONCLUSIONS:** It is in the peduncle zone, therefore, where measurements of this
44 parameter must be carried out to decide on the destination of the harvested product.
45 Additionally, summer squashes picked at the end of the harvest are those which
46 must be used for baby food production.

47

48 **Keywords:** Summer squash; Portable NIR sensor; *In situ* determination; Safety and
49 quality parameters; Nitrate content; Baby foods.

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51

52 INTRODUCTION

53 Near infrared spectroscopy, which can be defined as a non-invasive environmentally-
54 friendly technique which combines versatility, speed, ease of use and accurate
55 measurements with the low cost of each analysis, offers the possibility of measuring safety
56 and quality attributes in fruits and vegetables.¹ This facilitates its incorporation at different
57 decision-making steps in the agri-food chain, both in the pre-harvest and harvest periods in
58 the field, and in the post-harvest period, in the processing industry.¹⁻⁴

59 Currently, portable, handheld and compact-design NIRS instruments are in full
60 development and expansion.^{5,6} These portable devices run on batteries and offer huge
61 advantages in terms of size, weight and robustness of the analysis in uncontrolled
62 environmental conditions, ~~since they lack mobile elements~~, in addition to being cheaper to
63 acquire in comparison with the classic laboratory instruments.

64 Nowadays, there is a wide range of portable instruments of different types in terms
65 of working spectral range, cost and optical design, which are based on different
66 technologies such as micro-electro-mechanical system (MEMS) or linear variable filters
67 (LVF). They represent a clear evolution in the use of NIRS technology: previously, the
68 sample had to be taken to the lab, but now, an *in situ* analysis is carried out.⁷ ~~where the~~
69 ~~sample is located~~. Faced with such a wide diversity in the characteristics and features of
70 these portable NIRS sensors, there is a need for them to be evaluated in order to choose
71 which is the most suitable for a certain application or a specific product.

72 The use of portable NIRS sensors can favor the decision-making process in the
73 horticultural sector, allowing to set the optimum harvest time and carry out harvesting
74 strategies in stages, depending on the industrial destination of the product.⁸⁻¹⁰ In particular,
75 in vegetables such as summer squashes, where the nitrate content is a key factor when
76 establishing the destination of the harvested product, the use of a handheld NIRS sensor, *in*

77 *situ*, directly on the plant, would facilitate the selective harvesting of this vegetable for its
78 possible use in making baby foods (the maximum level for nitrates in processed cereal-
79 based foods and baby foods for infants and young children is set at 200 mg NO₃ kg⁻¹),
80 according to the European Union legislation.¹¹

81 Likewise, despite the fact that numerous studies carried out on nitrate accumulation
82 in plants have found that the concentration of this substance depends on a number of
83 different factors – plant biology, daylight intensity, soil type, temperature, humidity, sowing
84 density, plant maturity, vegetation period, harvesting period and nitrogen source¹² –the
85 order of nitrate content accumulation in the different organs has only been established
86 (petiole > leaf > stem > roots > inflorescence > tuber > fruit > seed), while the nature of
87 nitrate accumulation inside the fruit and which edible part contains the nitrate has not
88 been studied.¹³ This differs for this parameter and for summer squashes from other quality
89 parameters such as dry matter and soluble solid content (SSC) and from other fruits such
90 as melon, where the variation inside the fruit has been widely researched.^{14,15} This is a vital
91 factor in determining the key zones for analysis and also for saving certain parts of this
92 vegetable for more critical destinations, such as baby foods.

93 Sánchez *et al.*⁹ determined safety and quality parameters in summer squashes on
94 the plant, using an NIRS instrument, Phazir 2400, based on MEMS technology. However,
95 the recent arrival of modern commercial sensors has led to the phasing out of many of the
96 earlier models, such as the Phazir 2400 mentioned above. For this reason, the efficiency of
97 these new portable devices in horticultural applications needs to be assessed.

98 The main objective of this research work is therefore to evaluate and compare
99 handheld, portable NIRS instruments when used to assess the safety and quality of summer
100 squashes on the plant. It also aims to study the longitudinal nitrate accumulation in this fruit
101 to establish which zone of the vegetable (peduncle, equatorial or stylar) contains a greater

102 accumulation of nitrates and is, therefore, the key zone to be analyzed, and the one which
103 determines the destination of the vegetable in the processing industry.

104

105 **MATERIALS AND METHODS**

106 **Sampling and reference methods**

107 A total of 221 summer squashes (126 below 400 g in weight and 95 over 400 g)
108 (*Cucurbita pepo* subsp. *pepo* morphotype zucchini cv. Mirza), grown in an open-air
109 plantation in the district of La Montiel, Santaella (Córdoba, Spain), were harvested
110 between May and July 2017. On arrival at the laboratory, the fruits were promptly placed
111 in refrigerated storage at 5 °C and 85% relative humidity. Prior to measurement, each
112 sample was left at room temperature to stabilize at the laboratory temperature of 20 °C.

113 The summer squashes were individually weighed on an electronic balance (0–
114 1,000 ± 0.01 g; model P1000 N, Metter-Toledo, GmbH, Greifensee, Switzerland). Their
115 length was measured using a measuring tape and the equatorial diameter was then
116 measured using a digital precision caliper (0–300 ± 0.01 mm; Comecta, Barcelona,
117 Spain).

118 Nitrate content, dry matter and SSC were measured following Sánchez *et al.*⁹. To
119 analyze these parameters in summer squashes weighing over 400 g, the fruit was divided
120 into three zones: the peduncle zone (upper third of the squash starting at the peduncle),
121 the equatorial zone (middle third in the equator of the fruit) and the stylar zone (lower
122 third of the fruit, starting at the pistil scar). All the analytical measurements were
123 performed immediately after NIR spectrum collection and in duplicate.

124

125 **Spectral data collection**

126 The NIR spectra of the intact summer squashes were collected in reflectance mode (log
127 1/R) using three handheld NIRS instruments:

128 - Phazir 2400, a handheld MEMS-based NIR digital transform spectrometer
129 (Polychromix, Inc., Wilmington, MA, USA). This compact, robust spectrometer
130 weighing 1.7 kg is specially designed for *in situ* NIRS analysis. The equipment scans at
131 a non-constant interval of approximately 8 nm, across the NIR wavelength range of 1600
132 to 2400 nm, with a window area of around 55 mm². The sensor integration time was 600
133 ms and each spectrum was the mean of 5 scans. This instrument is equipped with special
134 quartz protection to prevent dirt from accumulating. The instrument's performance was
135 checked every 10 min, following the diagnostic protocols provided by the manufacturer,
136 and white reference measurement was carried out using SpectralonTM (a NIR reflectance
137 standard with a 99% diffuse reflectance) as reference. Although the model has now been
138 phased out, it was used as a reference sensor to compare with the other instruments.

139 - MicroPhazir, a handheld MEMS-based NIR digital transform spectrometer
140 (Polychromix Inc., Wilmington, MA, USA). This model is an updated version of the
141 Phazir 2400 and its instrumental design and optical features are therefore, very similar: it
142 is a pistol-shaped device which is portable, compact and robust. The window area is at
143 around 41 mm² and it works in the spectral range of 1600 to 2400 nm with a non-constant
144 interval of 8 nm. However, it is much lighter (1.2 kg) than its predecessor, which makes
145 it more comfortable when analyzing the product. Unlike the former, it has an internal
146 reference which enables easy calibration in the field. The sensor integration time was 600
147 ms and each spectrum was the mean of 5 scans. The device is equipped with quartz
148 protection to prevent dirt accumulation.

149 - A MicroNIR-1700 LVF spectrometer (VIAVI Solutions, Inc., San Jose,
150 California, USA). This portable miniature spectrometer is extremely light (64 g, without

151 including the handle of 150 g and the acquisition and data processing device). Its optical
152 window is larger than that of the previous equipments (the measurement area is around
153 227 mm²). This microspectrometer covers a 910 to 1676 nm spectral range, with a
154 constant interval of 6.2 nm. The instrument's performance was checked every 10 min. A
155 white reference measurement was obtained using Spectralon™, while a dark reference
156 was obtained from a fixed point in the room. The sensor integration time was 11 ms and
157 each spectrum was the mean of 200 scans.

158 The main features of these instruments are summarized in Table 1.

159 To collect NIR spectra using these three spectrometers, the fruits, regardless of
160 weight, were divided into the three zones (peduncle, equatorial and styler) mentioned
161 above.

162 Four spectral measurements were taken in each of the three zones analyzed, the
163 first at a random location in the center of the analyzed zone, which were then rotated 90°
164 after each measurement, thus obtaining 12 spectra per summer squash.

165 The 12 spectra were averaged to provide a mean spectrum per fruit in the case of
166 summer squashes weighing below 400 g (126 spectra), for all the parameters analyzed.

167 For summer squashes weighing over 400 g, the same procedure as described
168 above for taking the spectra was carried out. To develop predictive models of the
169 morphological (weight, length and equatorial diameter) parameters, an average was taken
170 of the 12 spectra obtained initially, resulting in a single spectrum per fruit, which
171 produced a total of 95 spectra. However, taking into account the fact that the analysis of
172 nitrate content, dry matter and SSC were carried out by zones, the 4 spectra corresponding
173 to each of the studied zones were averaged, thus obtaining an average spectrum per zone
174 – i.e. a total of 285 spectra (95 fruits · 3 zones/fruit · 1 spectrum/zone).

175

176 **Data processing**

177 Data pre-processing and chemometric treatments were performed using the WinISI II
178 software package version 1.50 (Infrasoft International LLC, Port Matilda, PA, USA).¹⁶
179 Before the spectral data were processed, a study was conducted to select the most suitable
180 spectral range for the instruments tested to carry out the morphological, safety and quality
181 control of summer squashes. To achieve this, the 1,1,1,1 derivation treatment was applied
182 (the first digit being the number of the derivative, the second the gap over which the
183 derivative is calculated, the third the number of data points in a running average or
184 smoothing, and the fourth the second smoothing) without scatter correction, which
185 highlights the areas of the spectrum where the signal/noise ratio is degraded.

186

187 *Spectral repeatability*

188 Spectrum quality was evaluated using the root mean square (RMS) statistic. The RMS
189 statistic is defined as the averaged root mean square of differences between the different
190 subsamples scanned at n wavelengths.^{18,19} This statistic indicates the similarity between
191 different spectra of a single sample. To establish a threshold for this statistic, two
192 strategies were tested. In the first, the repeatability was measured considering only the
193 spectral information collected in the center of the peduncle zone of the summer squashes,
194 after rotating the product 90° between each measurement, using 10 samples for each type
195 of summer squash analyzed. In the second strategy, 12 spectra (3 zones * 4 spectra/zone)
196 were taken following the same procedure and number of samples above mentioned. An
197 admissible limit for spectrum quality and repeatability was set following the procedure
198 described by Martínez *et al.*²⁰ to calculate the standard deviation limit (STD_{limit}) from the
199 RMS statistic and obtain an RMS cut-off value.

200

201 *Definition of calibration and validation sets*

202 Prior to carrying out NIRS calibrations, the CENTER algorithm was applied to ensure a
203 structured population selection based solely on spectral information, for the establishment
204 of calibration and validation sets.²¹ This algorithm performs an initial principal
205 component analysis to calculate the center of the population and the distance of samples
206 (spectra) from that center in an n dimensional space, using the Mahalanobis distance
207 (GH); samples with a GH value > 4 were considered outliers or anomalous spectra. A
208 combination of mathematical pretreatments, standard normal variate (SNV) and de-
209 trending (DT) was applied for scatter correction,²² together with the 1,5,5,1 derivate
210 mathematical treatment.^{16,18}

211 To predict the morphological parameters, the CENTER algorithm was applied to
212 the 221 spectra obtained after averaging the 12 spectra taken of each fruit while for the
213 prediction of the safety and quality parameters, and since the analysis was performed by
214 zones in summer squashes weighing over 400 g, the CENTER algorithm was applied to
215 the 411 available spectra.

216 Having ordered the sample set by spectral distances and once the spectral outliers
217 were removed, NIRS calibration models for the prediction of morphological, safety and
218 quality parameters in intact summer squashes were initially constructed using the
219 calibration sets comprising all the available samples (C1 = 217 samples for morphological
220 parameters and C2 = 407 samples for safety and quality parameters). After analyzing the
221 accuracy and precision of the models obtained and evaluating the three instruments, new
222 calibration models were developed for these parameters using the most suitable
223 instrument. For this purpose, the samples forming the validation set were selected by
224 taking one sample out of every four from the initial sets (C1 and C2). After this procedure,
225 the calibration (C3 = morphological parameters and C4 = nitrate content, dry matter and

226 SSC) and validation (V3 = morphological parameters and V4 = nitrate content, dry matter
227 and SSC) sets thus comprised the samples shown in Table 2.

228

229 *Data pre-processing and calibration model construction using a linear regression*
230 *strategy*

231 NIRS calibration models for the prediction of morphological, safety and quality
232 parameters in intact summer squashes were initially constructed with the calibration sets
233 C1 and C2 respectively, using modified partial least squares regression,²³ with subsequent
234 cross-validation. The calibration set was divided into 4 groups; each group was then
235 validated using a calibration developed for the other samples; finally, validation errors
236 were combined to obtain a standard error of cross-validation (SECV).

237 For each analytical parameter, different mathematical pretreatments were
238 evaluated. For scatter correction, SNV and DT methods were tested.²² Additionally, a
239 total of two mathematical derivation treatments were tested: 1,5,5,1; 2,5,5,1.^{16,18}

240 The statistics used to select the best equations were the coefficient of
241 determination for cross-validation (r^2_{cv}), and the ~~standard error of cross-validation~~ SECV.
242 Furthermore, the residual predictive deviation (RPD_{cv}) for cross-validation was
243 calculated as the ratio of the standard deviation (SD) of the reference data to the SECV.
244 This statistic enables SECV to be standardized, facilitating the comparison of results
245 obtained with sets of different means.²⁴

246 Once the best predictive model for each parameter was selected without the
247 elimination of physical-chemical outliers, tests were run for significant differences
248 between models, with a view to identifying the most suitable spectrometer for routine use
249 in on-vine summer squashes during the growing period. The SECV values for the best

250 equations obtained for each parameter with the three instruments were compared using
251 Fisher's F test.^{25,26} The values for F were calculated as:

$$252 \quad F = \frac{(SECV_2)^2}{(SECV_1)^2}$$

253 where $SECV_1$ and $SECV_2$ are the standard error of cross validation of two
254 different models and $SECV_1 < SECV_2$. F is compared to $F_{critical} (1-P, n_2-1, n_1-1)$ read from
255 the table with $P = 0.05$ and $n-1$ degrees of freedom. If F is higher than $F_{critical}$, the two
256 SECV values are significantly different. When several SECV values are compared, as in
257 this research, a $SECV_{confidence\ limit}$ is calculated using the following formula: $SECV_{confidence\ limit} = SECV_{min} \sqrt{F_{critical}}$ where $SECV_{min}$ is the smallest SECV. As a consequence, none
258 of the models which have a SECV between $SECV_{min}$ and $SECV_{confidence\ limit}$ are
259 significantly different.
260

261 Finally, once the best NIRS instrument ~~from the three tested~~ was chosen, new
262 models were developed (optimizing the performance models parameters) with that
263 spectrometer using the C3 and C4 calibration sets. The best-fitting equations obtained for
264 these new calibration sets, as selected by the same statistical criteria mentioned above,
265 were subsequently subjected to external validation using the prediction sets V3 and V4,
266 respectively, following the protocol outlined by Windham *et al.*²⁷

267

268 **Statistical analysis**

269 In order to study the influence of both the harvest date and the zone analyzed, as well as
270 the harvest date x zone interaction, in the nitrate content (wet analysis) of summer
271 squashes weighing over 400 g, a two-factor analysis of variance (ANOVA) was carried
272 out using Statgraphics Centurion XV (StatPoint Inc., Warrenton, North Virginia, USA).

273 Next, the differences between the means were compared with the Fisher's Least
274 Significant Difference (LSD) test, and differences at $P < 0.05$ were considered to be
275 significant.

276

277 **RESULTS AND DISCUSSION**

278 **Nitrate content evolution during the harvest period**

279 Nitrate content in summer squashes weighing over 400 g were significantly influenced
280 ($P < 0.05$) by harvest date and analyzed zone but not by the harvest date x zone interaction
281 (Table 3). For each of the analyzed zones, the nitrate content decreased significantly ($P <$
282 0.05) as the harvest period progressed, reaching minimum values on the last harvesting
283 day (07/13/2017). As regards the zone analyzed, the nitrate content was significantly
284 higher ($P < 0.05$) in the peduncle zone, which indicates that it is here where this substance
285 accumulates the most. It is also worth noting that there is a significantly lower nitrate
286 content in the equatorial zone ($P < 0.05$). Therefore, when determining the destination for
287 the summer squashes after harvesting, both farmers and the processing industry should
288 carry out the NIRS and wet analysis to measure the nitrate content present in the peduncle
289 zone of the vegetable. It is also recommended to use end-of-harvest summer squashes to
290 elaborate baby foods (nitrate content $< 200 \text{ mg kg}^{-1}$), since the nitrate content in the three
291 analyzed zones of these vegetables is below the limits authorized by the European
292 Union.¹¹

293

294 **Optimal spectral region and spectral repeatability**

295 Before developing the models, the NIRS analysis of summer squashes had to be
296 optimized in order to obtain a representative and quality spectrum per fruit or per zone,

297 which in turn enable to obtain robust models when defining their safety and quality
298 characteristics and to assess their possible industrial use in the baby food industry.

299 The existence of noise in the spectrum was then evaluated (spectral range 1600-
300 2400 nm for the two MEMS spectrometers and 910-1676 nm for the LFV instrument).¹⁷
301 After this process, the spectral range between 2240–2400 nm was eliminated in the Phazir
302 2400 and in the MicroPhazir due to the high level of noise detected (Fig. 1). Thus, all the
303 models subsequently developed with these instruments were designed using the spectral
304 range 1600–2240 nm. In the case of the MicroNIR-1700 instrument, as shown in Fig. 1,
305 it does not produce high noise levels when working between 910-1676 nm, and for this
306 reason, the full spectral range of the instrument was used.

307 Table 4 shows the STD_{limit} values for the two strategies tested, using the three
308 NIRS spectrometers tested. It is clearly shown that the values given by the STD_{limit} were
309 lower when only 4 spectra per fruit were taken in one particular zone; in this case, the
310 peduncle zone was chosen because of the greater accumulation of nitrates. As a result, to
311 determine the destination of the analyzed fruit, for the two types of summer squash and
312 NIRS instruments tested, it would be enough to perform the NIRS and laboratory analysis
313 only in this zone.

314 As can be seen, the lowest STD_{limit} values were obtained with the MicroNIR-1700
315 instrument for the two strategies and types of summer squashes tested, while the results
316 were reasonably similar in the two MEMS devices compared.

317 Once the RMS value did not exceed the value of the STD_{limit} , the spectra were
318 then averaged.

319 The calculation of the RMS statistic is of extreme importance because it aims to
320 ensure the spectral repeatability, which is essential for obtaining high quality spectral
321 data, and therefore constitutes an essential step in obtaining robust equations.

322 No values for this statistic have been found in the scientific literature for summer
323 squashes analyzed either whole or in zones on the vine, although the RMS statistic is
324 extremely useful for obtaining representative spectral libraries of this vegetable, when
325 they are analyzed on the plant.

326

327 **Spectral properties**

328 The typical mean log (1/R) spectra, together with the most relevant absorption bands for
329 intact summer squashes scanned with Phazir 2400, MicroPhazir and MicroNIR-1700 are
330 shown in Fig. 2.

331 In the 1600-2240 nm wavelength region for the two MEMS spectrometers tested,
332 the major absorption peak at around 1920 nm is mainly related to water absorption, while
333 there is another peak at 1780 nm, related to the first overtone of C-H stretching bonds.²⁸

334 The mean spectrum obtained with MicroNIR-1700 shows a peak at 1450 nm
335 related to the first overtone of the O-H group, as well as to the N-H stretch first overtone.
336 ²⁸ Moreover, the peak corresponding to the second overtone of O-H group can be seen at
337 970 nm.²⁹ Another peak can also be observed at approximately 1170 nm, which is linked
338 to the second overtone of the C-H groups.²⁸

339

340 **Choice of the best handheld, portable NIRS instrument for *in situ* morphological,** 341 **safety and quality determinations in summer squashes**

342 Table 5 shows the statistics for the best calibration models obtained to predict the
343 parameters studied using the three instruments tested. In order to compare the three
344 spectrometers tested, the calibration models for the different parameters in the study were
345 carried out without eliminating the physical-chemical outliers during their development,

346 which means that the values for mean, range and SD for each parameter are the same
347 (Table 2).

348 Once the calibration models for the analyzed parameters were developed for each
349 of the instruments tested, the SECV statistic values obtained for each parameter ~~in the~~
350 ~~study~~ were compared. As can be seen in Table 6, the SECV values corresponding to the
351 weight and nitrate content parameters obtained with the MicroNIR-1700 are significantly
352 lower ($P < 0.05$) than for the other two instruments used. As regards length, the SECV
353 values obtained with the Phazir 2400 and MicroNIR-1700 are significantly lower ($P <$
354 0.05) than those obtained with the MicroPhazir. For the rest of the parameters ~~analyzed~~,
355 no significant differences ($P > 0.05$) were found between the SECV values for the
356 predictive models with the three instruments ~~tested~~.

357 In view of the results obtained, the MicroNIR-1700 instrument therefore, appears
358 to be the most suitable for the analysis of the morphological, safety and quality parameters
359 in summer squashes *in situ*, directly on the plant.

360

361 **New calibration models for predicting morphological, safety and quality parameters** 362 **in summer squash and external validation**

363 After comparison of the spectrometers ~~tested~~ using the same number of samples, new
364 calibration models with the sets C3 and C4 were constructed, but this time eliminating
365 physical-chemical outlier samples if necessary; only the MicroNIR-1700 spectrometer
366 was used for this purpose. The calibration statistics for the best models are shown in Table
367 7.

368 In the case of the morphological parameters, the models developed for the
369 parameters of weight and equatorial diameter showed a predictive capacity ($r^2_{cv} = 0.84$,
370 $RPD_{cv} = 2.49$) which could be considered good for both parameters, following the

371 interpretation of the coefficient of determination values proposed by Shenk and
372 Westerhaus¹⁹ and Williams²⁴ while Nicolai *et al.*¹ state that a RPD_{cv} value of between 2
373 and 2.5 indicates that coarse quantitative predictions are possible. As for length, the
374 predictive capacity of the developed model ($r^2_{cv} = 0.72$; $RPD_{cv} = 1.87$) can be considered
375 good,^{19,24} while in Nicolai *et al.*¹, the RPD_{cv} between 1.5 and 2 means that the model can
376 discriminate between low and high values of the response variable.

377 The results obtained in this research are similar to those obtained by Sánchez *et*
378 *al.*³⁰ for the prediction of the morphological parameters of weight ($RPD_{cv} = 2.88$), length
379 ($RPD_{cv} = 2.42$) and equatorial diameter ($RPD_{cv} = 2.26$), using the Phazir 2400 in
380 reflectance mode in the spectral range of 1600-2400 nm.

381 The satisfactory predictive capacity obtained for these morphological parameters
382 using the handheld instrument MicroNIR-1700 is associated to the correlation between
383 the size of the product and its water content. The absorption level of the light is highly
384 dependent on the variation of these parameters, so it is possible to correlate the NIR signal
385 with morphological parameters.³¹

386 As regards the determination of nitrate content, the model's predictive capacity
387 ($r^2_{cv} = 0.68$; $RPD_{cv} = 1.78$) allows to discriminate between high, medium and low values,
388 following the guidelines of Shenk and Westerhaus¹⁹ and Williams,²⁴ and between high
389 and low values according to the RPD_{cv} values suggested by Nicolai *et al.*¹

390 As far as measuring this parameter with NIRS technology, Sánchez *et al.*⁹
391 obtained predictive capacity models ($RPD_{cv} = 1.91$) similar to the one obtained here
392 ($RPD_{cv} = 1.78$) with the MicroNIR-1700 instrument.

393 Predicting the content in dry matter and soluble solids in summer squashes is
394 extremely important in order to decide on the optimum moment for harvesting. The

395 predictive capacity of the models for these parameters allows to differentiate between
396 high, medium and low values for dry matter and between high and low values for SSC.^{19,24}

397 As Fearn³² points out, while the r^2_{cv} statistic can be a useful measure of the
398 performance of a calibration, it does have its limitations. One major constraint is its
399 dependence on the range of values—and on the SD of the reference values—of the
400 calibration set. This would account for the lower r^2_{cv} values recorded here for both
401 parameters, due to the reduced SD values shown.

402 Dardenne³³ and Fearn³² have also shown that the RPD_{cv} statistic is equal to
403 $1/\sqrt{1 - r^2_{cv}}$ and depends to the same degree as r^2_{cv} on the range and SD of the data in the
404 calibration set. This view is borne out by the results obtained here (Table 7), which
405 indicate a close match between the highest and lowest r^2_{cv} values and RPD_{cv} values for
406 the parameters tested.

407 Sánchez *et al.*⁹ measuring dry matter and SSC in summer squashes obtained
408 RPD_{cv} values of 1.75 and 1.56, respectively, using the Phazir 2400. The predictive
409 capacity of both models is slightly higher than that obtained here, because the authors
410 were able to use calibration sets with more variability.

411 Validations of the best calibration models obtained with calibration sets C3 and
412 C4 were performed using the sets V3 and V4, respectively (Fig. 3). It is important to point
413 out that some samples (N = 4 samples for weight; N = 2 samples for length; N = 3
414 equatorial diameter; N = 6 samples for nitrate content, N = 4 samples for dry matter, N =
415 1 sample for SSC), which were initially part of the V3 and V4 validation sets, were
416 eliminated before the validation procedure was carried out with the calibration models
417 developed with the MicroNIR-1700 instrument, due to they were hardly represented in
418 the calibration sets with which the predictive models were finally designed.

419 Likewise, as regards the prediction of nitrate content, 4 samples which had a lower
420 nitrate content (values below 103 mg kg⁻¹) were predicted by the models assigning them
421 negative values for this parameter. However, the predictive NIRS values for these
422 samples were shown as zero in Fig. 3.

423 After studying the results of the validation models, it can be affirmed that the
424 standard error of prediction (SEP) values obtained are comparable to those from SECV,
425 for the parameters tested. It is confirmed that the SECV is a good estimator of the SEP.³⁴

426 According to the validation protocol established by Windham *et al.*²⁷ and once the
427 results shown in Fig. 3 were analyzed, the models constructed for predicting all the
428 morphological parameters analyzed, and also for the prediction of dry matter in intact
429 summer squashes, met the validation requirements in terms of the coefficient of
430 determination for prediction, r^2_p ($r^2_p > 0.6$) and both the standard error of prediction
431 corrected for bias (SEP_(c)) and the bias were within confidence limits: the models thus
432 ensure accurate prediction, and can be applied routinely. For the parameters nitrate
433 content and SSC, it should be stressed that the SEP_(c) and bias lay within the confidence
434 limits, and although, r^2_p values did not attain the recommended minimum value ($r^2_p = 0.55$
435 and 0.57 for nitrate content and SSC, respectively), they were close. Therefore, the results
436 obtained suggest that the NIRS models developed can be regarded as a useful preliminary
437 trial for obtaining accurate on-vine morphological, safety and quality predictions for
438 intact summer squashes.

439 Furthermore, the external validation results obtained in this research for the
440 morphological parameters of weight (RPD_p = 3.09), length (RPD_p = 2.37) and equatorial
441 diameter (RPD_p = 3.10) are superior to those reported by Sánchez *et al.*³⁰ (RPD_p = 2.49,
442 RPD_p = 1.59 and RPD_p = 1.67 for the three parameters mentioned above, respectively).
443 For the nitrate content, the external validation value of RPD statistic (RPD_p = 1.60) is

444 slightly lower than that obtained by Sánchez *et al.*⁹ ($RPD_p = 1.93$) while for dry matter
445 and SSC, the RPD_p values here obtained (1.52 and 1.84, respectively) were higher than
446 those reported by the authors cited ($RPD_p = 1.32$ for dry matter; $RPD_p = 1.22$ for SSC).

447

448 **CONCLUSIONS**

449 The results obtained suggest that the greatest accumulation of nitrates in summer squashes
450 takes place in the peduncle zone, and it is this area which must be analyzed to determine
451 the destination of the harvested product. In addition, the summer squashes harvested at
452 the end of the harvesting time should be the ones which are destined for baby food
453 production, since they have nitrate values of below 200 mg kg⁻¹.

454 The findings also confirm the expectations raised that NIRS technology can
455 enable intact summer squashes to be selectively harvested according to their
456 morphological, safety and quality characteristics and to establish their industrial
457 destination non-destructively. Additionally, the three NIRS instruments tested provided
458 a similar level of accuracy for the measurement of equatorial diameter, dry matter and
459 SSC. However, for weight, length and nitrate content, significantly more accurate models
460 were obtained with the LVF instrument. The MicroNIR-1700 instrument is therefore, the
461 most suitable for measuring, *in situ*, the morphology, safety and quality of summer
462 squash.

463

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469

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- 573
- 574

575 **Table 1.** Technical features of the spectrometers Phazir 2400, MicroPhazir and
 576 MicroNIR-1700.

Property	Instrument		
	Phazir 2400	MicroPhazir	MicroNIR-1700
Detector type	Single-element InGaAs detector	Single-element InGaAs detector	128-pixel InGaAs photodiode array
Dispersion element	MEMS	MEMS	LVF
Wavelength range (nm)	1600-2400	1600-2400	910-1676
Resolution (nm)	≈ 8	≈ 8	6.2
Sampling integration time (ms)	600	600	11
Weight (kg)	1.7	1.2	$64 \cdot 10^{-3}$
Analysis mode	Reflectance	Reflectance	Reflectance

577

578 **Table 2.** Number of samples (N), range, mean, SD, and coefficient of variation (CV) for the different calibration (C1, C2, C3 and C4) and
 579 validation (V3 and V4) sets.

	Parameters																	
	Weight (g)			Length (cm)			Equatorial diameter (mm)			Nitrates (mg kg ⁻¹)			Dry matter (% fw)			SSC (°Brix)		
	C1	C3	V3	C1	C3	V3	C1	C3	V3	C2	C4	V4	C2	C4	V4	C2	C4	V4
N	217	163	54	217	163	54	217	163	54	407	306	101	407	306	101	407	306	101
Range	78.43- 1746.49	78.43- 1746.49	125.12- 1135.89	12.83- 43.50	12.83- 43.50	16.60- 43.00	28.02- 89.58	28.02- 89.58	30.48- 83.95	18.50- 1979.96	18.50- 1979.96	55.92- 1209.18	3.16- 7.56	3.16- 7.56	3.61- 7.25	2.80- 6.50	2.80- 6.50	2.80- 5.70
Mean	463.92	457.39	483.51	24.12	24.05	24.33	52.57	52.17	53.8	362.61	356.55	380.96	4.69	4.68	4.71	4.13	4.13	4.14
SD	302.38	300.09	311.21	5.63	5.67	5.57	14.29	14.16	14.75	292.67	299.06	272.99	0.72	0.68	0.83	0.47	0.46	0.50
CV	65.18	65.61	64.36	23.34	23.58	22.89	27.18	27.14	27.42	80.71	83.88	71.66	15.38	14.53	17.62	11.38	11.14	12.08

580

581 **Table 3.** Evolution of nitrate content in the three zones analyzed during the harvest
 582 period in summer squashes weighing over 400 g.

Harvest date	Nitrate content (mg kg ⁻¹)		
	Peduncle zone	Equatorial zone	Stylar Zone
05/17/2017	1056.55 (502.58) ^(a)	758.96 (359.49) ^(b)	827.43 (330.33) ^(a,b)
05/22/2017	520.87 (96.49) ^(e)	405.10 (34.64) ^(f)	464.98 (64.30) ^(e,f)
05/31/2017	750.77 (249.37) ^(c)	624.78 (180.81) ^(d)	694.48 (208.89) ^(c,d)
06/05/2017	516.51 (225.14) ^(e)	452.77 (164.12) ^(f)	500.39 (193.19) ^(e,f)
06/12/2017	343.34 (157.49) ^(g)	283.95 (106.10) ^(h)	320.45 (109.02) ^(g,h)
06/20/2017	193.85 (109.82) ^(i,k)	175.56 (92.51) ^(j,l)	201.07 (114.18) ^(i,j,k,l)
06/26/2017	240.00 (133.85) ⁽ⁱ⁾	213.71 (125.67) ^(j)	225.39 (127.70) ^(i,j)
07/06/2017	181.73 (43.09) ^(i,k)	153.39 (49.86) ^(j,l)	172.74 (61.87) ^(i,j,k,l)
07/13/2017	91.25 (68.94) ^(k)	66.00 (50.91) ^(l)	84.25 (66.11) ^(k,l)

583 Standard deviation in brackets.

584 The same letter indicates homogeneous group established by ANOVA ($P < 0.05$).

585

586

587 **Table 4.** $STD_{limit}(\mu\log(1/R))$ of the RMS statistic for summer squashes analyzed on-
 588 vine.
 589

Spectrometer	Summer squash			
	Weight > 400 g		Weight < 400 g	
	Strategy I	Strategy II	Strategy I	Strategy II
	4 spectra	12 spectra	4 spectra	12 spectra
Phazir 2400	53,822	65,290	52,659	62,893
MicroPhazir	44,304	61,560	49,177	63,818
MicroNIR-1700	29,205	29,711	47,533	51,784

590

591

592 **Table 5.** Calibration statistics for NIR-based models for predicting morphological,
 593 safety and quality parameters in intact summer squash.

Parameter	Instrument	Math treatment	SECV	r^2_{cv}	RPD _{cv}
Weight (g)	Phazir 2400	1,5,5,1	155.91	0.73	1.94
	MicroPhazir	2,5,5,1	161.07	0.72	1.88
	MicroNIR-1700	2,5,5,1	142.48	0.78	2.12
Length (cm)	Phazir 2400	1,5,5,1	3.31	0.65	1.70
	MicroPhazir	1,5,5,1	3.40	0.64	1.66
	MicroNIR-1700	1,5,5,1	3.11	0.69	1.81
Equatorial diameter (mm)	Phazir 2400	1,5,5,1	6.34	0.80	2.25
	MicroPhazir	1,5,5,1	6.70	0.78	2.13
	MicroNIR-1700	2,5,5,1	6.22	0.81	2.30
Nitrate content (mg kg ⁻¹)	Phazir 2400	2,5,5,1	240.03	0.33	1.22
	MicroPhazir	1,5,5,1	226.02	0.40	1.29
	MicroNIR-1700	1,5,5,1	198.07	0.54	1.48
Dry matter (% fw)	Phazir 2400	2,5,5,1	0.53	0.46	1.36
	MicroPhazir	2,5,5,1	0.53	0.47	1.36
	MicroNIR-1700	1,5,5,1	0.51	0.50	1.41
SSC (°Brix)	Phazir 2400	1,5,5,1	0.36	0.43	1.31
	MicroPhazir	1,5,5,1	0.35	0.45	1.34
	MicroNIR-1700	1,5,5,1	0.33	0.50	1.42

594

595

596 **Table 6.** Comparison between SECV values obtained for the best models for
 597 predicting the morphological, safety and quality parameters of summer squashes using
 598 the MEMS and LVF spectrometers tested; Fisher test ($P < 0.05$).

Parameter	SECV	SECV	SECV	SECV _{min}	SECV _{min} · $\sqrt{F_{critical}}$
	Phazir 2400	MicroPhazir	MicroNIR-1700		
Weight (g)*	155.91	161.07	142.48	142.48	154.63
Length (cm)*	3.31	3.40	3.11	3.11	3.37
Equatorial diameter (mm)	6.34	6.70	6.22	6.22	6.96
Nitrate content (mg kg ⁻¹)*	240.03	226.02	198.07	198.07	214.94
Dry matter (% fw)	0.53	0.53	0.51	0.51	0.55
SSC (°Brix)	0.36	0.35	0.33	0.33	0.36

599 *: Significant differences ($P < 0.05$) between the SECV values obtained.

600

601

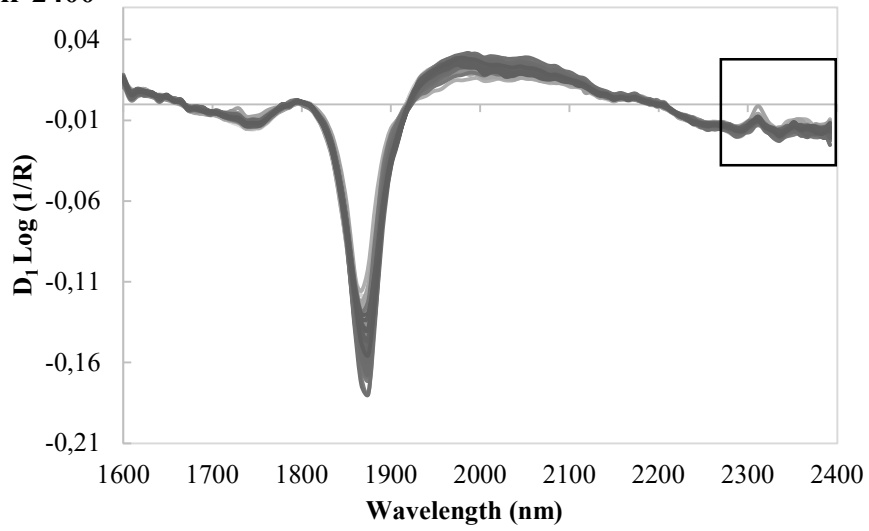
602 **Table 7.** Calibration statistics for best NIR-based models for predicting
 603 morphological, safety and quality parameters in intact summer squashes using the
 604 MicroNIR-1700 instrument.

Parameter	Math treatment	N	Range	Mean	SD	SECV	r^2_{cv}	RPD _{cv}
Weight (g)	1,5,5,1	154	78.43-1388.16	420.70	250.33	100.65	0.84	2.49
Length (cm)	1,5,5,1	155	12.83-40.00	23.62	5.22	2.79	0.72	1.87
Equatorial diameter (mm)	2,5,5,1	156	28.02-89.58	52.53	13.87	5.57	0.84	2.49
Nitrate content (mg kg ⁻¹)	2,5,5,1	294	18.50-1219.73	325.72	251.12	141.32	0.68	1.78
Dry matter (% fw)	2,5,5,1	297	3.16-7.51	4.64	0.62	0.42	0.54	1.48
SSC (°Brix)	2,5,5,1	300	2.80-5.20	4.12	0.43	0.31	0.47	1.39

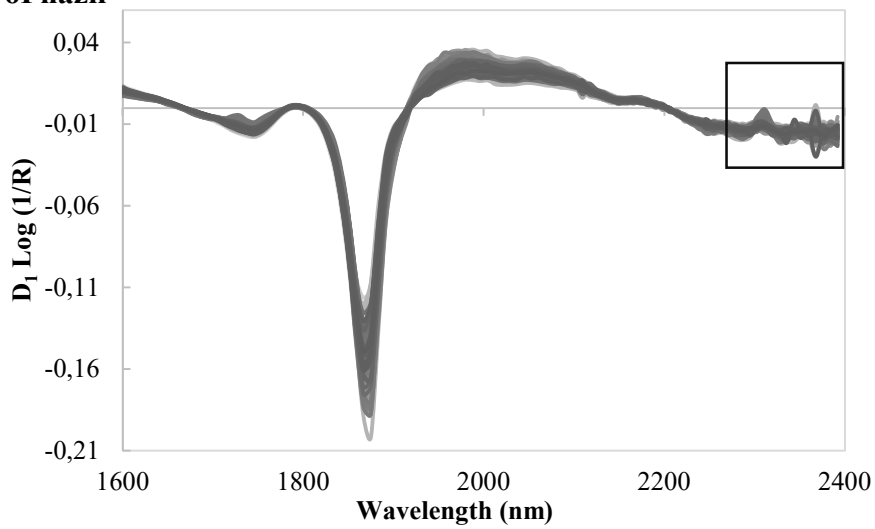
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606 **Figure 1.** $D_1 \log (1/R)$ spectra for summer squash. Instruments: a) Phazir 2400, b)
607 MicroPhazir and c) MicroNIR-1700.

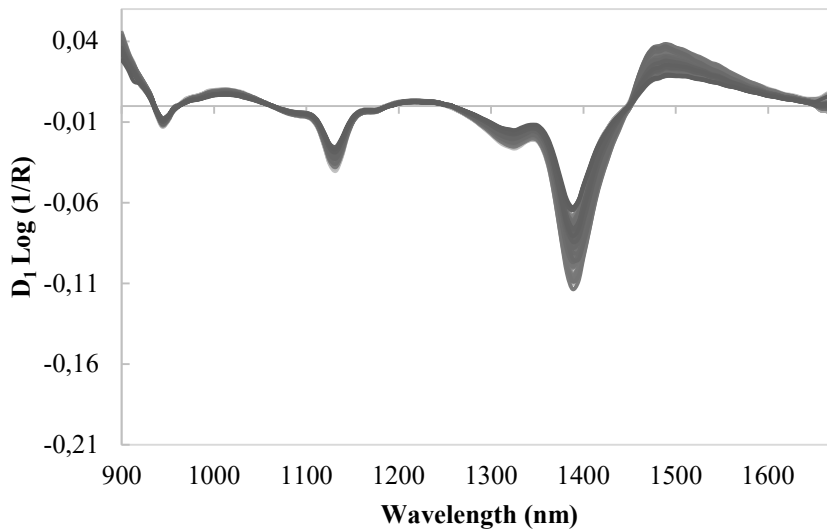
a) Phazir 2400



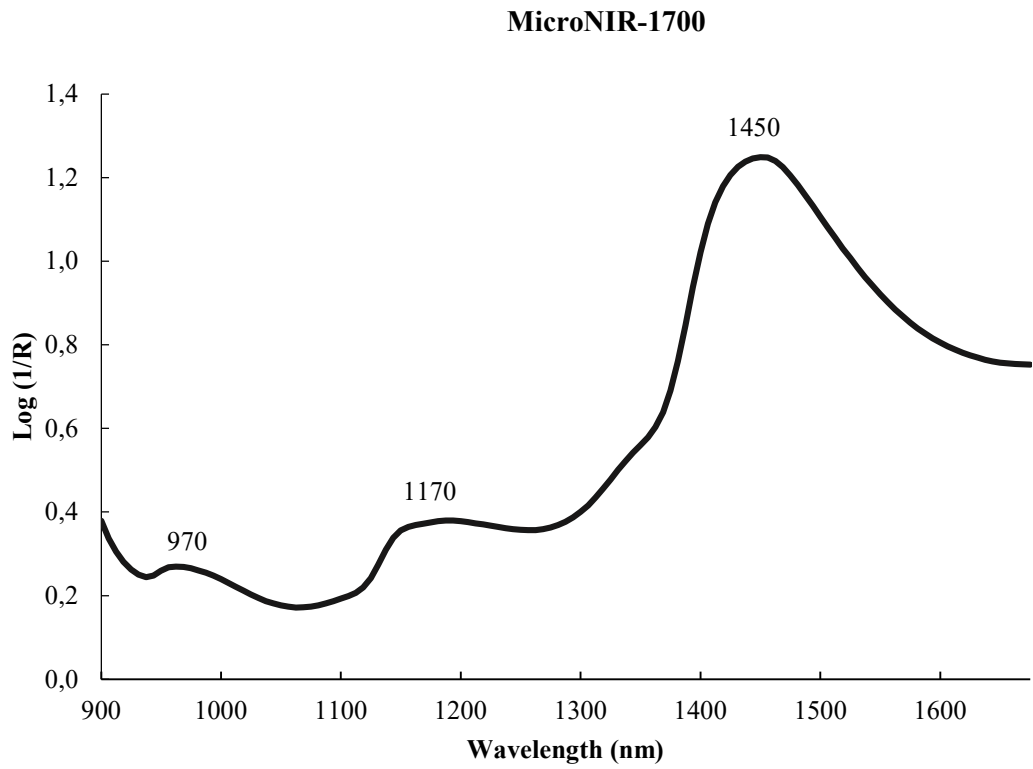
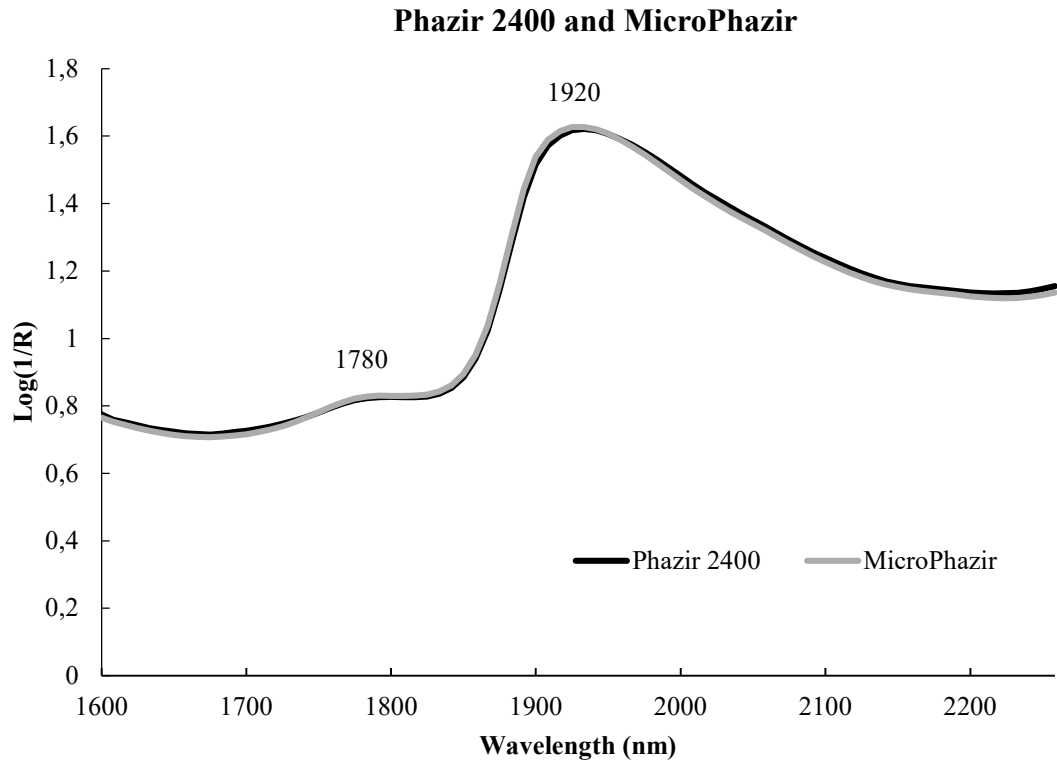
b) MicroPhazir



c) MicroNIR-1700



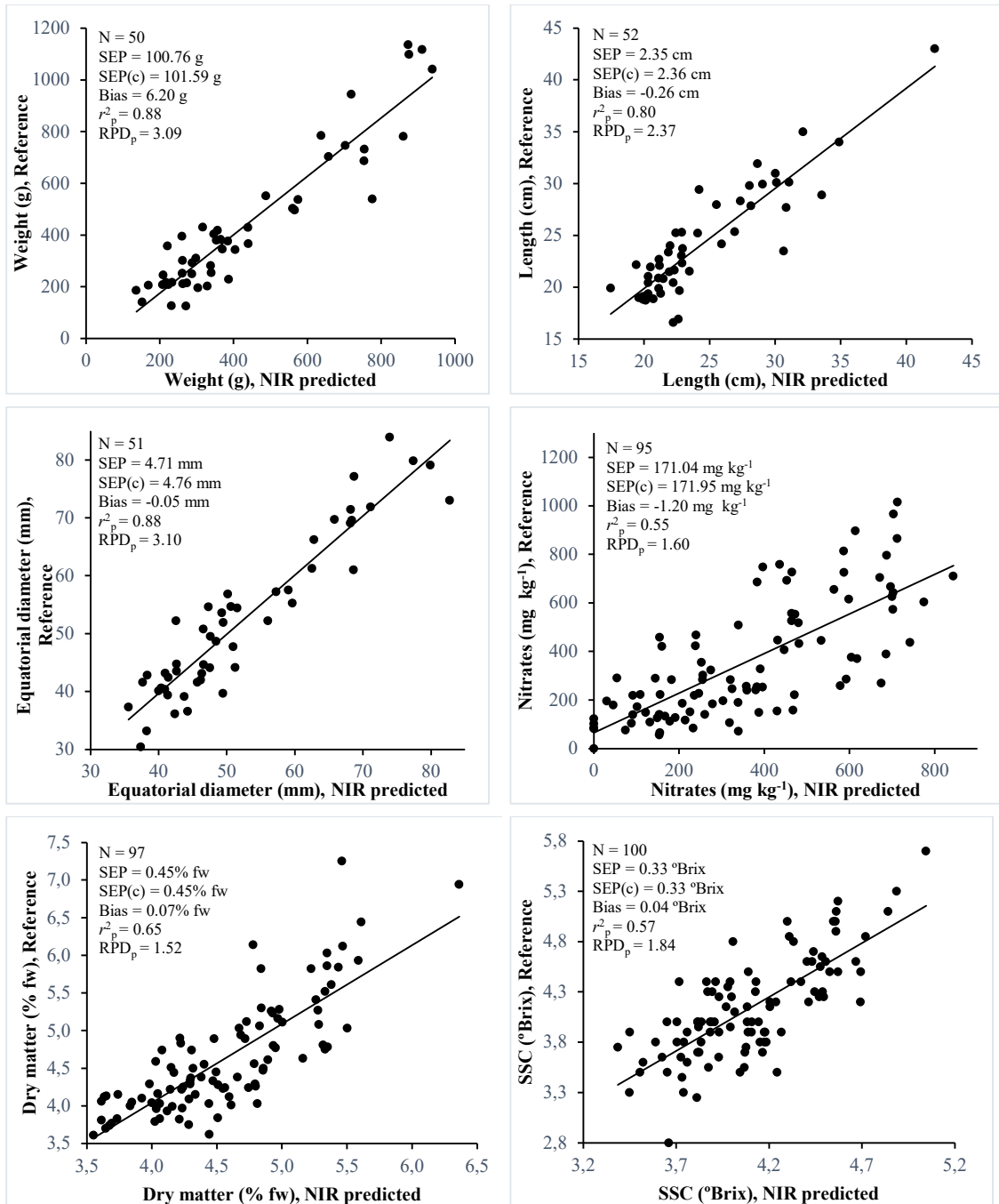
608 **Figure 2.** Mean spectra for summer squash. Instruments: Phazir 2400, MicroPhazir and
609 MicroNIR-1700.



610

611

612 **Figure 3.** Reference *versus* NIR-predicted data for the validation sets. N, number of
 613 samples for the validation set; SEP, standard error of prediction; SEP(c), standard error
 614 of prediction corrected for bias; r^2_p , coefficient of determination for prediction; RPD_p,
 615 residual predictive deviation for prediction; fw, fresh weight
 616



617
 618