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5	Is new olive farming sustainable? A spatial comparison of productive and
6	environmental performances between traditional and new olive orchards
7	with the model OliveCan
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23 ABSTRACT

24 Olive (Olea europaea L.) is a widely spread tree species in the Mediterranean. In the last decades, 25 olive farming has known major management changes with high economic and environmental impacts. 26 The fast track expansion of this modern olive farming in these recent years casts doubts on the 27 sustainability of such important tree plantation across the Mediterranean. In this work, we performed a 28 spatial modelling analysis to investigate the implications of climate variability and farming 29 management on the productivity and environmental performances of olive orchards around the 30 Mediterranean. Implementation of this research is based on the use of OliveCan; a process-based model able to illustrate responses of water and carbon balances to weather variables, soil 31 32 characteristics and management techniques enabling the comprehension of olive orchard dynamics under heterogeneous conditions of climate and agricultural practices. Four main intensification levels 33 34 were adopted to reflect the main olive grove types from traditional to new intensive plantations: low density LD (100 trees ha⁻¹), medium density MD (200 trees ha⁻¹), high density HD (400 trees ha⁻¹) and 35 super high density SHD (1650 trees ha⁻¹). Managements tested were intensification, water supply 36 37 (rainfed, deficit and full irrigated) and the fate of pruning residues (exported or left on the soil). Two 38 cases studies in two of the main Mediterranean olive-growing regions with contrasting environmental 39 conditions, Tuscany and Jaen regions, focused on mitigation alternative managements for carbon 40 sequestration. Results showed that olive orchards responses in terms of yield and Net Ecosystem Productivity (NEP) vary along with climatic conditions. Water supply was the main driver with a 41 42 production function that varies for different atmospheric demands. Application of deficit irrigation proved to boost water use efficiency. Besides, intensification from LD to SHD, presented the greatest 43 44 improvements, 28-73% for yield and 50-100% for NEP. The C sequestration potential of olive orchards was confirmed. In fact, soil organic carbon (SOC) increased continuously over 400 years of 45 46 simulation, reaching a state of equilibrium. Moreover, intensification and irrigation improved total 47 carbon sequestration. Management of incorporating pruning residues in the soil increased SOC of 10.5 t C ha⁻¹ for Tuscany and 10.8 t C ha⁻¹ for Jaen. Findings of this research enabled the identification of 48 49 the main drivers influencing the productive and environmental performance of olive groves in the

50	different Mediterra	nean sub-climates.	Impacts of	management	innovations	on olive	farming
51	sustainability were a	lso quantified whicl	h may help in	prove producti	on systems for	r a more su	stainable
52	olive cultivation.						

55 Keywords:

Olea europaea; Climate; Agricultural management; OliveCan; Carbon sequestration; Mitigation

57 1. INTRODUCTION

Olive (Olea europaea L.) is the basic tree species among those cultivated in the Mediterranean basin 58 and dominates its rural landscape. Over 10.8 Mha are cultivated worldwide, 95% of which are in 59 60 the Mediterranean region (FAOSTAT, 2017). All along its history of cultivation, olive has been given considerable prominence regarding its socioeconomic and ecological importance for the area (Tous 61 62 and Ferguson, 1996; Ponti et al., 2014; Moriondo et al., 2015, Montanaro et al., 2017). Olive groves also provide several ecosystem services. They help preserve natural resources by protecting the soil 63 and sequestering carbon. In fact, agricultural management of olive trees has the potential to increase 64 65 the accumulation of soil organic matter (Nieto et al., 2010; Massaccesi et al., 2018). The potential of olive tree plantations for storing stable organic carbon acting as CO₂ sinks has been confirmed under 66 some soil conservation practices (Sofo et al., 2005; Proietti et al., 2014; Lopez-Bellido et al., 2016; 67 68 Proietti et al., 2016; Brunori et al., 2017; Proietti et al., 2017). Nevertheless, the modelling of carbon 69 sequestration by olive groves has been limited to non-specific models simulating general vegetation 70 covers as carbon inputs, while the C production by the different olive grove types in response to climate and management remains little-known (Brilli et al., 2019). 71

Nowadays, olive sustainability is threatened by different factors including those linked to climate variability (Moriondo et al., 2013, Tanasijevic et al., 2014, Ponti et al. 2014, Fraga et al. 2019). Even though olive is considered a drought-tolerant specie since it lives in areas where water stress is frequent, availability of water has a large influence on vegetative growth (Moriana et al., 2003; Iniesta et al., 2009; Marra et al., 2016). Olive is also limited by frost and high temperatures and to a lesser extent by low soil fertility (Connor and Fereres, 2010, Fraga et al. 2019).

Since the 1950's, olive production techniques have been changing to improve economic sustainability (Fernandez et al., 2013). Traditional orchards present widely spaced trees (usually 50 to 160 trees ha⁻¹) with small canopy cover under rainfed conditions (Beaufoy, 2000). This structure was adapted to the characteristics of cultivation areas regarding soil type, topography and climate (Connor and Fereres, 2010). Starting in the 1970's, new techniques such as mechanical harvesting and localized irrigation

have been widely adopted. The latter was an important factor allowing the increase in tree density up 83 to 400 trees ha⁻¹ and a substantial boost in productivity. Then, in the early 1990's, hedgerow orchards 84 with densities up to 2000 trees ha⁻¹ appeared as a planting system amenable to full mechanical 85 harvesting (Fernandez et al., 2013). Nowadays, and as a result of the new olive growing management 86 solutions adopted, orchards have densities ranging from high-density orchards of 350-700 trees ha⁻¹ to 87 super high-density orchards with densities of 1200-2500 trees ha⁻¹. Trees in these orchards are usually 88 89 irrigated, fertilized and trained to be suitable for mechanical harvesting and pruning, thus increasing 90 productivity and profitability.

91 The intensification techniques were first widely adopted in the Iberian Peninsula. This brand-new range of vegetation covers have little in common with the prevalent traditional ones. Even though 92 environmental and productive performances of these modern olive groves are still under debate, their 93 94 expansion has been on a fast track. Investigating economic and environmental impacts of olive farming development is therefore of high-priority not only on already cultivated areas but also on 95 potential ones. Conducting such investigation is dependent on the ability to simulate such a complex 96 system, especially when experimental data are costly to obtain, and difficult to extrapolate to different 97 98 environments.

99 In this context, different process-based models have been developed to simulate olive physiology under different environmental conditions. Olive orchard modelling has evolved since the first attempt 100 to estimate the productivity of olive orchards (Abdel-Razik, 1989). Recently López-Bernal et al. 101 (2018) have formulated a process-based model, OliveCan, capable of simulating the impact of 102 environmental conditions (including water deficit) and management practices on water relations, 103 carbon assimilation, growth and productivity. The added value of this model is its ability to illustrate 104 105 responses of water and carbon balances to weather variables, soil characteristics and management 106 techniques, thus enabling the comprehension of olive orchard dynamics under heterogeneous 107 conditions and identifying farming practices for keeping olive groves sustainable and resilient.

108 The main goal of this research is to shed light on modern olives groves sustainability on productive and environmental terms and in different Mediterranean sub-climates. Investigating olive orchards 109 dynamics in heterogeneous environmental conditions is crucial to understand the interaction between 110 111 olive trees and their environment and identify the main drivers influencing their productive and environmental performance. This analysis would help evaluate olive groves adaptation to current 112 climate variability and quantify implications of agricultural management innovations on olive farming 113 114 sustainability. Such assessment at a regional level covering the Mediterranean would help improve 115 production systems for a more sustainable olive cultivation.

This study is structured on two main sections. The first is dedicated to the implication of olive groves evolution in terms of orchard structure and management practices adopted on productivity and Net Ecosystem Productivity (NEP). On these premises, we analysed different olive groves dynamics in the northern part of the Mediterranean region using the OliveCan model. The second section focuses on the potential of olive groves to mitigate greenhouse gases. In this regard, we assessed carbon sequestration capacities in relation to different management systems for two of the main olive-growing regions in the Mediterranean with contrasting environmental conditions.

123 2. MATERIALS AND METHODS

124 **2.1. OliveCan description**

A detailed description of the model is given by López-Bernal et al. (2018). In brief, OliveCan is a 125 126 process-based model of olive trees. The model simulates the main components of the water and carbon balances of olive orchards and enables the user to assess the impacts of environmental conditions and 127 128 management operations on tree growth, development and yield, both under potential and waterlimiting conditions. OliveCan core is implemented on several basic components that compute water 129 and carbon balances and simulate the impacts of environmental conditions and management 130 131 operations on water relations, growth and productivity under both well-irrigated and water-limiting irrigation strategies. 132

The water balance module in OliveCan is derived from previous developed approach that considers 133 the soil heterogeneity due to localized irrigation: a fraction of surface soil is kept wet while the 134 135 remaining part is dependent on rainfall (Testi et al., 2006). Soil is discretized into two soil compartments. For each soil zone, the fluxes of effective precipitation, runoff, drainage, water 136 redistribution, and root water uptake are computed for each layer. Soil evaporation and direct 137 evaporation of rain water intercepted by the canopy is also considered. The water balance module is 138 139 strictly linked - through leaf water potential and stomatal conductance - to the photosynthesis module, which calculates the CO_2 assimilation rate, correctly resolving the dependence between the water and 140 141 carbon balances of olive trees.

Carbon balance module aims at simulating the growth and development of the tree and the carbon 142 143 exchange of the orchard by computing the different fluxes of C assimilation and respiration in the tree 144 and soil. The model calculates carbon stocks in tree organs (leaves, shoots, branches, coarse roots, fine roots and fruits) and the fluxes of carbon through the system (photosynthesis, maintenance respiration 145 146 and growth respiration also at organ level). Fruit photosynthesis and remobilization of CO_2 assimilates are also taken in consideration in the allocation of assimilates. The balance of C in the soil is 147 computed by accounting the inputs (i.e. senescence of fine roots, fall of leaves due to frost damage and 148 149 senescence and, if so-defined by the user, incorporation of pruning residues) and outputs 150 (heterotrophic respiration) of C to/from the system. The model provides at the end estimates of the 151 NEP rates in the orchard.

Initialization of the model requires weather, soil, environmental and orchard management inputs. Meteorological data correspond to daily values of maximum and minimum air temperatures, average vapour pressure, solar radiation, average wind speed, and precipitation. The atmospheric CO₂ concentration is also required; either as a fixed parameter introduced by the user or as a variable calculated by the model as a function of the year using a fit to Mauna Loa Observatory CO₂ measurement (Keeling et al., 1976; Thoning et al., 1989). Soil characteristics include the water contents at field capacity, wilting point and saturation and hydrological condition (an indicator of the capacity of infiltration of the soil when it is wet) according to the method of Soil Conservation Service
(US-SCS)), bulk density and pH. Besides, the initial values of water and carbon contents for each soil
layer (whose number and depth are user-defined) and for each soil compartment are to be defined.
Inputs on orchard typology (planting density, row orientation, latitude, age, ground cover and leaf area
density) are also required.

164 The management operations simulated by the model are irrigation, tillage, harvesting and pruning. 165 Management operations' attributes should be introduced to the model. The user should define the dates for pruning, tillage and harvest. Different pruning intensities can be simulated through a 166 167 customizable parameter representing the fraction of foliage removed in each operation. The user is also required to decide whether the residues are exported or incorporated into the top soil layer. 168 Irrigation is applied as a fraction of the evapotranspiration (ET) lost since the last irrigation event. The 169 170 implementation of this approach needs specification of starting and ending dates of irrigation season, the time interval between irrigations and the mentioned fraction of cumulative ET lost since the last 171 172 irrigation.

173 2.2. Meteorological data and climate clustering

Daily data for minimum and maximum temperature (T_{min}, T_{max}, °C) and cumulated rainfall (R, mm) 174 175 over the olive tree cultivated area were obtained from the gridded E-OBS dataset (version 8.0 at 0.25° resolution) (Cornes et al., 2018). It was downscaled from its original resolution (25 km) to 10 km for 176 the period 1980-2010 as a baseline for the present period (5700 grid points) (Moreno and Hasenauer, 177 178 2015). This dataset was further integrated with global radiation (Rad, MJd⁻¹), calculated for each grid 179 point as a function of daily temperature range using the Bristow-Campbell model (Bristow and Campbell, 1984). Observed daily mean wind speed (WS, m s⁻¹) and daily minimum and maximum 180 181 relative humidity (RH_{min}, RH_{max}, %), for about 700 weather stations covering the Mediterranean basin, were downloaded from the Global Historical Climatology Network (GHCN-Daily, Version 3) (Menne 182 et al., 2012) hosted by NOAA National Climatic Data Center (NOAA-NCDC, 2017) (Fig. 1). 183

184 These data were linearly interpolated, on a daily time step, to the grid point centroid of E-OBS using a185 weighted inverse-distance approach.

186 Since E-OBS data of version 8 suffers of important biases especially in rainfall estimation (Hofstra et al., 2009), the approach proposed in Fibbi et al. (2016) was applied to test and correct the eventual 187 188 errors in rainfall daily data. According to the proposed procedure, the mean annual rainfall over the period 1980-2010 was extracted from 1300 weather stations over the basin. These data were 189 190 interpolated at each centroid of E-OBS grid data using a local multi-regressive approach exploiting the relationship between annual rainfall, elevation and distance from the sea of the relevant weather 191 192 stations (Fig. 1). Since the distribution of observed data is not uniform across the basin, with areas 193 presenting a higher density of observation (e.g. Italy), we used a flexible approach to select the number 194 of stations to be used for the calibration of the local multi-regressive approach. For each grid point 195 10x10 km to be estimated, we selected the closest observed values in a range between 5 and 30 196 weather stations. For each interval, we calibrated a local multi-regression, where the prediction error 197 associated to each model was estimated via a leave-one-out cross validation. Finally, the model 198 minimizing the RMSE was selected to predict the value of the missing point. This approach was firstly 199 validated on the observed series for 1300 mean annual rainfall data. Finally, for each grid point the 200 ratio between interpolated and E-OBS yearly rainfall data was used to rescale the relevant E-OBS 201 daily rainfall data so that the average annual rainfall of E-OBS matched the observed data.

202 In order to limit the simulations to be performed over the basin, the entire dataset consisting of 5700 203 grid points was clustered by aggregating each grid point depending on their affinity for a set of yearly 204 and seasonal climatological data. Accordingly, for each grid point we calculated the average T_{max}, T_{min}, 205 total rainfall for autumn (SON), winter (DJF), spring (MAM) and summer (JJA), the average annual T_{max} and T_{min} and the average number of rainfall events per year. The High Dimensional Data 206 207 Clustering approach (HDDC) implemented in R (HDclassif package, R-project), was used for 208 clustering this dataset (Bergé et al. 2012). This approach is based on the Gaussian mixture model that 209 is parameterized taking into account that high dimensional data are located in different sub-spaces 210 with low dimensionality. This parametrization therefore, limiting the number of parameters to

estimate, is particularly suitable for high dimensional data clustering. In HDDC algorithms, different 211 models' complexity may be selected depending on the number of parameters to be estimated. Each of 212 213 them is evaluated according to Bayesian Information Criterion (BIC). This parametric method, aiming at avoiding overfitting, considers the number of parameters in the model as a penalty term. HDDC 214 algorithm will keep the model having the lowest BIC and the relevant clusters. The final output of 215 216 HDDC indicated 22 significant clusters and the meteorological data associated to each cluster where 217 then averaged on a daily time step. Since in the aggregation process, rainfall events, being a 218 discontinuous variable may suffer of over prediction of frequency, a specific threshold was selected 219 for each identified cluster so that the average number of rainfall events obtained for the cluster matched the average rainfall events calculated singularly for each E-OBS grids and averaged over the 220 221 cluster.

These datasets (climate clusters) over South Europe were then used as inputs for olive orchardsimulations using OliveCan.

224 **2.3. Soil characteristics**

We performed the simulations for a medium texture soil of 1 m depth. Soil water content at the permanent wilting point, field capacity and saturation were set to 0.10, 0.21 and 0.31 cm³ cm⁻³ respectively. pH was set at 8.5 and bulk density at 1.5 cm³ g⁻¹ (Villalobos et al. 2016). Soil organic carbon was initialized at 0.7%. These soil characteristics were considered as representatives of the medium soil quality existing in traditional olive groves within the Mediterranean basin. The same type of soil was used in all clusters.

231 **2.4.** Alternative management scenarios

Given the large variability of olive orchard types and managements over the region of study, four main intensification levels were adopted to reflect the main olive grove types from traditional to new intensive plantations: low density LD (100 trees ha⁻¹), medium density MD (200 trees ha⁻¹), high density HD (400 trees ha⁻¹) and super high density SHD (1650 trees ha⁻¹). Alternative management scenarios were produced for each level of intensification by defining the fate of pruning residues (i.e. either exported or left on the soil) and water supply (rainfed or irrigated conditions). When irrigated,
watering events were scheduled every two days during an irrigation season covering from May 15 to
October 10. Deficit and full irrigation scenarios were simulated. Irrigation requirements for full
irrigation were calculated by the model for each cluster and for each level of intensification. Pruning
was simulated by removing a fraction of the canopy on December 21. The fraction of pruning and the
pruning intervals were pre-defined for each typology (Table 1). Harvesting date was simulated on
December 11. The main characteristics of the simulated orchards types are shown in Table 1.

244 **2.5. Simulation experiments**

245 **2.5.1.Productive and environmental impacts of technical innovation in olive groves**

OliveCan was used to simulate olive orchards in all climate clusters over Southern Europe. For each cluster, the combination of orchard typologies and management scenarios were run for the reference period 1980-2010 with no tillage and pruning residues being exported. The atmospheric CO₂ concentration was set according to the measurements of the Mauna Loa Observatory.

250 Three sets of runs were conducted (Table 2):

Simulation of conventional typology: the low density rainfed orchard was considered as the
 conventional typology for the study area.

Simulation of irrigation impacts: deficit and full irrigation strategies corresponding to the
application of, respectively, 30 % and 100% of irrigation requirements were simulated for the
low density orchard in each cluster.

Simulation of increasing intensification: low, medium, high and super high densities (LD, MD, HD, and SHD) were simulated according to the characteristics shown in Table 1.
 Irrigation was simulated by the application of 30% and 100% of irrigation requirements.

Dry matter yield, irrigation amounts, and water use efficiency (WUE-IR = g of dry matter yield / mm of irrigation; WUE-ET = g of dry matter yield / mm of ET) were analysed. Net Ecosystem Productivity (NEP) was also evaluated ($NEP = GPP - R_{eco}$, where GPP is the Gross Primary 262 Productivity and R_{eco} the Total Ecosystem Respiration). The NEP flux was considered positive when
263 C moves from the atmosphere to the ecosystem.

Impact response surfaces of yield to variation in water inputs (irrigation + total rainfall) and atmospheric demand (ET_0) for LD, MD, HD and SHD was also analysed. Local polynomial regression fitting (loess), (stats package, R-project), was used to fit yield response to a polynomial surface determined by water inputs and ET_0 as predictors. Outputs of increasing intensification simulation were used for fitting.

269 2.5.2. Mitigation alternative managements for carbon sequestration

270 For this analysis two climate clusters having different climate characteristics and with important olive land cover were chosen. The first cluster covers mainly the region of Tuscany in Italy, characterized 271 272 by average annual rainfall of 725 mm, mean maximum and minimum temperature of 18.5 and 9.3 °C, respectively. The second cluster covers the province of Jaen in Spain, with annual rainfall 429 mm, 273 274 and mean maximum and minimum temperature of 20.3 and 8.4 °C, respectively. The soil used for these simulations is the same 1 m medium texture soil with very low organic carbon (0.2%). This type 275 of soil was chosen to emphasize the potential of olive orchards for C sequestration in the soil. 276 277 Atmospheric CO₂ concentration was fixed to $400 \ \mu mol \ mol^{-1}$.

278 Three simulation experiments were conducted for both regions:

Exp. 1. Long term carbon sequestration capacity in olive groves: CLIMAGEN, an adaptation of WGEN (Richardson and Wright, 1984) and SIMMETEO (Geng et al., 1986, 1988) weather generators, (Villalobos, unpublished) was used to create a climate data series of 400 years for the two main olive-growing regions selected. A long run was conducted simulating low density orchard under rainfed conditions. The two treatments compared in terms of soil organic carbon (SOC), were a) incorporating pruning residues in the soil (mitigation alternative), b) residues exported outside the farm.

Exp. 2. Effects of intensification on C sequestration in soil and tree biomass: clustered climate
 data of 30 years was used. Low, medium, high and super high densities were simulated under
 rainfed and full irrigation conditions.

Exp. 3. Effects of water supply on C sequestration in the soil and tree biomass: clustered
 climate data of 30 years was used for this simulation. High density orchards were simulated
 under rainfed and different irrigation regimes, namely 30%, 50%, 70% and 100% of irrigation
 requirements for maximum evapotranspiration.

293 **3. RESULTS**

294 **3.1. Climate clustering**

Climate clustering analysis shows heterogeneity between olive growing clusters in the Mediterranean 295 region. Over the reference period, a large difference is observed between the centre and the south-296 western part of the Mediterranean (Fig. 2). The latter presents the driest clusters with the lowest 297 298 amount of annual rainfall with values between 398 mm and 430 mm. Low annual rainfall is also 299 observed in some clusters over Turkey. The highest annual rainfall is registered in centre and northeast of Portugal, south of France, some regions of Greece and in Italy. The highest value is registered 300 in the centre and western coastlines of Italy with annual precipitation exceeding 800 mm. A gradient 301 in precipitation between the western and the eastern coastlines of Italy is also evident. 302

303 Reference evapotranspiration decreases from West to East with values higher than 1400 mm year⁻¹ in 304 the south-west of the Iberian Peninsula. The centre of Spain, some regions of Turkey and the south of 305 Italy show annual ET_0 higher than 1350 mm year⁻¹. Lower values of ET_0 are in the centre of the study 306 area with most of Italy having less than 1200 mm year⁻¹.

307 **3.2.** Productive and environmental impacts of technical innovation in olive groves

308 **3.2.1.** Conventional typology

309

Climatic clusters covering all olive production regions in Italy and Greece had the highest yield
(>2500 kg ha⁻¹ of dry matter). These clusters cover also some regions in Portugal. The yield interannual coefficient of variation is at its minimum (<15%) in these regions. Clusters covering the south

and north-east of Spain, south of France and Turkey have yield between 2000 and 2700 kg ha⁻¹ with coefficient of variation around 30%. The lowest yield (<1800 kg ha⁻¹) occurs in clusters located in centre and south-eastern coastlines of Spain and some regions in the south of Italy. These clusters present also the highest CV with values over 40%.

Simulated NEP is always positive across the study area, although it approaches carbon neutrality (NEP=0) in very dry years (data not presented). NEP is higher in some more humid areas of Italy and Greece with mean annual values above 8 t CO_2 ha⁻¹. The lowest annual values of NEP, (<5 t CO_2 ha⁻¹) occurs in centre and south-eastern coastlines of Spain. The south of Spain and parts of Turkey have annual NEP around 6 t CO_2 ha⁻¹. These regions present low annual rainfall and high mean annual temperature.

323 **3.2.2.Impacts of irrigation**

Figure 4 shows the impact of irrigation on yield (Fig. 4a, b) and NEP (Fig. 4c, d) on low density olive orchards. Deficit irrigation covering 30% of total irrigation requirements increases yields from 16% to 34% and NEP between 19% and 35% in the studied areas, with the highest increases being predicted in those with low rainfall or low evaporative demand (ET_0 below 1200 mm year⁻¹). These clusters cover the centre and eastern coastlines of Spain and the centre and eastern part of the study area, mainly Italy and Greece. The lowest impact is estimated for areas with higher evaporative demand (ET_0 above 1400 mm year⁻¹), which cover mostly the south of the Iberian Peninsula.

The impact of full irrigation shows a different spatial pattern. The highest increase appears in the centre and south-eastern coastlines of Spain and a small region in the south of Italy, i.e. the driest parts of the study area. In these regions, the increases range between 56% and 88% in yield and between 62% and 109% for NEP. In all remaining regions, the increases are lower than 35% for yield and 40% for NEP.

336 3.2.3.Intensification in olive orchards

Figure 5 shows water amounts needed according to different levels of intensification under deficit andfull irrigation. Under the conditions of our simulations, intensification is accompanied by an increase

in applied irrigation. Dry clusters present higher irrigation requirements compared to the wet clustersbut coefficient of variation between clusters is lower than 14% in the four orchard types simulations.

The impact of intensification is analysed by evaluating the change of irrigation requirement (Fig. 5),
yield and NEP (Fig. 6) for each orchard type (MD, HD, SHD) compared to the LD irrigated orchard
under deficit irrigation.

The spatial pattern of changes in yield and NEP is similar for all the typologies showing a high influence of climate conditions (Fig 6). The highest increases of yield and NEP predicted are in clusters covering the centre of the Mediterranean namely Italy and Greece corresponding to the regions with the highest rainfall and lowest ET₀. The lowest values of increase are predicted in the regions of the west of Turkey, small region in the south of Italy and mainly the south of the Iberian Peninsula especially the centre and south-eastern coastlines of Spain. Moderate increases are predicted for the south of Spain, Portugal, north-east of Spain, south of France and north of Turkey.

351 Switching from LD to MD presents moderate increases in yield and NEP of 8-20% and 10-23%, respectively. Intensification to HD types of orchard would increase yield between 18% and 49% 352 corresponding to an increase of irrigation requirements between 33 mm and 48 mm. NEP increases, in 353 354 this case, between 25% and 58%. Regions presenting the highest improvements reach increases higher 355 than 35% for yield and 40% for NEP corresponding to irrigation around 35 mm. Meanwhile, in regions with the lowest improvements, yield and NEP are lower than 21% and 35% respectively while 356 357 increases in irrigation reach values higher than 42mm. The case of extreme intensification, from LD to SHD, presented the greatest increases, 28-73% for yield, 62-87 mm for irrigation and 50-100% for 358 359 NEP. Regions presenting the highest improvements correspond to increases in yield (>60%), NEP (>84%) and irrigation (62-78 mm). Besides, regions with moderate improvements have increases in 360 yield of 37-50%, NEP of 60-72% and irrigation of 63-87 mm. 361

Analysis of average water use efficiency for ET (WUE-ET) for the study area shows its increase with intensification from 0.56 g L⁻¹ for LD to 0.62 g L⁻¹ for MD, 0.66 g L⁻¹ for HD and 0.73 g L⁻¹ for SHD.

364 Cluster with higher rainfall reaches the maximum values of 0.75 g L⁻¹, 0.83 g L⁻¹ and 0.95 g L⁻¹ for
365 MD, HD and SHD, respectively.

Figure 7 shows the response of yield to combined effect of ET₀ and water inputs for LD, MD, HD and 366 367 SHD orchards. The pattern of yield increase differs according to the type of orchard. On a general overview, the yield increases as the ET₀ decreases and water inputs increases, albeit the peak of 368 potential yield is not totally centred at the lowest ET₀ and highest water inputs. Curves of the highest 369 potential yield are tilted from the highest water inputs showing that the impact of water input is 370 371 restrained by the ET₀. For LD orchard (Fig. 7.a), high water inputs in regions with ET₀ lower than 1200 mm does not increase the yield to its potential highest value. For LD and MD (Fig. 7.a, b), yield 372 isolines are more diagonal at higher ET₀ showing the higher weight for ET₀ than water inputs on yield 373 response. The rate of change of yield response is higher at higher densities, HD and SHD (Fig. 7.c, d). 374 375 For SHD (Fig. 7.d), yield isolines are more plain reflecting a higher weight of water inputs than ET₀ in potential yield response. 376

377 **3.3.** Mitigation alternative managements for carbon sequestration

378 **3.3.1.Long term carbon sequestration potential in olive groves**

The long-term variation of soil organic carbon for 400 years (Exp. 1) is presented in Fig. 8. The SOC increases until it tends to a plateau. Increase in SOC is higher in Jaen than in Tuscany reaching at the end of the simulation a total accumulation of 21.6 t C ha⁻¹ and 10.9 t C ha⁻¹, respectively.

Simulation of incorporating pruning residues in the soil results in a continuous increase of SOC for both clusters, maintaining the same difference between the two clusters in SOC accumulation. By the end of the simulation, this management compared to the conventional one increases the SOC for the cluster of Jaen by 10.8 t C ha⁻¹ and for the cluster of Tuscany by 10.5 t C ha⁻¹.

All the simulations tend to equilibrium. The levels of average null C flux are 20.6 t C ha⁻¹ and 31.4 t C ha⁻¹ for Tuscany cluster and 30.6 t C ha⁻¹ and 42.3 t C ha⁻¹ for Jaen cluster, for respectively exporting and incorporating in the soil of olive pruning residues managements.

389 **3.3.2.** Effect of intensification on C sequestration

391 The patterns of total carbon sequestration (C stored in the soil and in the standing biomass) expected for different intensification levels are shown in Fig. 9. In the dry cluster (Jaen) all orchard types 392 present the same pattern of carbon sequestration under rainfed conditions (Fig. 9a), accumulating an 393 average of 19 t C ha⁻¹, with the exception of HD which has higher carbon sequestration of 26.8 t C ha⁻¹ 394 395 ¹. Under full irrigation (Fig. 9b), carbon sequestration increases for all types. HD and SHD show the same pattern of C accumulation and have the highest rates, sequestering 51.4 and 51.9 t C ha⁻¹, 396 respectively, compared to LD (26.9 t C ha⁻¹) and MD (34.3 t C ha⁻¹) in 30 years. In general, irrigation 397 398 almost doubles the carbon sequestration for all the typologies excluding low density.

In the wet cluster (Tuscany) under rainfed conditions (Fig. 9c) simulations shows paired patterns for carbon sequestration, LD and MD having lower carbon accumulation (25 and 27.5 t C ha⁻¹, respectively after 30-year cultivation) compared to HD and SHD with 52.6 t C ha⁻¹ and 48.1 t C ha⁻¹. The HD orchard has slightly higher carbon sequestration than SHD. Under full irrigation (Fig. 9d), all typologies present different patterns of carbon sequestration with values between 31.4 and 64.6 t C ha⁻¹. Under this more humid climate, the relative advantage of irrigation over rainfed in terms of C sequestration is much lower.

406 **3.3.3.** Irrigation effect on olive groves carbon sequestration

390

407 Figure 10 shows the effect of different level of irrigation on the C sequestration. In the wet cluster
408 (Tuscany) irrigation has no significant effect on SOC, while the effect is strong in the dry cluster
409 (Jaen) (Fig. 10a). SOC remains almost constant for irrigation amounts higher than 70% (Fig. 10a). For
410 higher amounts of irrigation (>=70%), SOC in the dry cluster almost equals that of the wet cluster.

Fig. 10b shows the same irrigation effect but over the total carbon sequestrated (i.e. soil O.M + tree roots + above ground biomass). Irrigation increases total carbon in both clusters. Even though total carbon in the wet cluster is higher than in the dry cluster, the increase is more significant in the latter. Hence, irrigation reduces the gap between the wet and the dry cluster. Under full irrigation, total carbon increases compared to the rainfed condition increased by 19.6 t C ha⁻¹ for the dry cluster and 7.2 t C ha⁻¹ for the wet cluster.

417 **4. DISCUSSION**

418 **4.1. Productive and environmental impacts of technical innovations in olive groves.**

419 4.1.1. Conventional orchard typology

420 On a regional scale and during the reference period, simulations shows higher yield over the central 421 regions of the Mediterranean namely Italy and Greece (Fig. 3). The lowest yield is over centre and 422 south-east- of Spain and some areas in the south of Italy. The remaining regions presents moderate 423 average yields. This regional distribution of yield and NEP is strongly associated with climate. The 424 annual rainfall and ET_0 are directly and inversely proportional to productivity, respectively.

425 By using a single soil type our analysis removes the impacts of soil quality on olive productivity while those of climate and management remain. Considering the latter, we compared some of our 426 427 productivity results to experimental data. Over Andalusia region, simulated olive yield was comparable to findings of Pastor et al. (1999) reporting yield of 2030 kg ha⁻¹ for rainfed traditional 428 orchard. Statistics reported an average yield for Andalusia region around 1000 kg ha⁻¹ (MAPA, 2018). 429 430 This lower value can be linked to the fact that we are simulating potential yield. In fact, there are also some factors with high influence on olives that are not simulated by the model, namely diseases and 431 432 pests, which may have generated some bias mainly in wetter and mild regions. Those areas showed the 433 highest simulated productivity while the actual value is usually lower in real-life commercial farms.

434 4.1.2. Impacts of irrigation

435 The contribution of irrigation to increasing productivity in our study (Fig. 4) confirms previous works 436 (Moriana et al., 2003; Gucci et al., 2007, 2009). Even though our study area presents a high climate 437 variability and water availability differs between clusters, deficit irrigation proved to be a sustainable 438 management option, able to save water and increase water use efficiency in all clusters. With full irrigation, water use efficiency of irrigation water (WUE-IR) was below 2.8 g L⁻¹ (fruit dry matter) for 439 all the study area. Applying deficit irrigation boosts water use efficiency, which ranged between 3.4 g 440 L^{-1} in the centre of Spain and 9.3 g L^{-1} over the centre west of Italy. The variability can be explained 441 by the atmospheric vapour pressure deficit (VPD) effect over water productivity. In fact, at low VPD, 442

the evaporative driving force of water transport is reduced which decreases water loss and modulateswater balance (Testi et al., 2008).

Advantages of deficit irrigation application to rationalize water consumption and increase water use efficiency in the arid and semi-arid areas was already a matter of consensus in previous studies (Iniesta et al., 2009; Caruso et al., 2013; Gucci et al., 2019) but our work shows that the improvement in WUE is very much dependent on local climatic conditions. Therefore, calibration of deficit irrigation should be set at local level for a better efficiency.

450 **4.1.3. Intensification in olive orchards**

451 Impacts of intensification in olive orchards are assessed regarding variations in yield, irrigation required and NEP of medium, high and super-high density compared to the behaviour of the low 452 453 density orchard. Considering the difference of environmental conditions between simulations conducted in our study and actual orchard, we compared some of our results in term of olive 454 455 productivity to some experimental data. Over Andalusia region, olive yields resulting of the increase of intensification level were comparable to findings of Pastor et al. (2007) reporting yield of 2280, 456 4200, 5330 kg ha⁻¹ for MD, HD and SHD respectively and also comparable to findings of Diez el al. 457 (2016) reporting yield of 5540 kg ha⁻¹ for SHD orchards. Over centre of Italy, our results were in 458 459 accordance with observations of Gucci et al. (2019) for full irrigated SHD orchard with a yield of 5400 kg ha⁻¹. In general, olive yield is proportional to the level of intensification. This can be linked to the 460 461 higher interception of radiation that is associated with denser planting (Villalobos et al., 2006; Proietti et al., 2012). Many studies have verified a significant linear increase in olive yield with increased 462 463 density (Villalobos et al., 2006; Proietti et al., 2015).

The spatial variation of the impact of intensification is associated to that of climate (Fig. 6). Clusters with the lowest rainfall has the lowest increase in yield under all typologies. The irrigation amounts (Fig. 5) differ little between the clusters with highest and lowest evaporative demand (south of Spain and centre Italy respectively). Coefficient of variation of water amounts applied under deficit irrigation in all simulations are lower than 14%. This is explained by the distribution of rainfall that tends to belower during the irrigation season (spring, summer) in all olive production areas.

Water use efficiency for ET (WUE-ET) for the study area shows its increase with intensification. In general, intensification imply an increase in applied irrigation which helps increasing root biomass and density. The result is a reduction of drainage and an increase in yield even when only 30% of the full requirement is applied. Furthermore, intensification means a higher ground cover which reduces soil evaporation and therefore increases transpiration (data not shown). This is an important finding, as it suggests that - throughout different climates - intensification entails *per se* a better use of water.

476 Our results show that increase in yield is not driven by water inputs only but depends also on 477 evaporative demand (Fig. 7). For low density orchard, high amount of water inputs at low level of ET_0 478 are not sufficient to reach the highest value of potential yield. At higher ET_0 , the response of yield is 479 more driven by ET_0 than water inputs. This can be explained by the effect of atmospheric VPD on the 480 regulation of tree water stress and the maintenance of water balance. Intensification of olive orchards 481 gave more weight to water inputs than ET_0 in the response of yield. This is mainly linked to the reduction of soil evaporation due to a higher ground cover in intensive orchard. This finding showed 482 that production function is not stable for different water demands and that water is the most important 483 limiting factor for olive production. Yield surface response of Fig. 7 can be presented as a summary 484 model for potential productivity. Knowing the demand (evaporative demand) and offer 485 (rainfall+irrigation) of water, this summary model can be used as a quick tool to assess the potential 486 487 productivity for a certain case study.

Intensification of olive orchards seems an interesting alternative for the new orchards with regard to productivity and water use efficiency. Even though this new system shows good results in all the study area, assessment at local scale remains critical to evaluate its suitability in other aspects not included in the model (e.g. pests, diseases). Several studies have focused on evaluating row distances (densities) for olive orchards in specific locations (e.g. Larbi et al., 2012). Our study is the first in evaluating the complex interaction between intensification and climate using a model as the only tool available for this goal. Our results indicate that the potential of HD and SHD orchards is higher in the more humid 495 parts of the Mediterranean (e.g. Italy, southern France, Adriatic coast) rather than in the driest and 496 warmer areas of southern Spain where most HD and SHD have been planted so far. Future 497 incorporation of biotic factors in OliveCan will improve substantially its predictive capability in 498 adaptation analyses.

499 **4.2.** Mitigation alternative managements for carbon sequestration

500 4.2.1. Long term carbon sequestration potential in olive groves

501 Long-term simulations (400 years) shows a continuous increase in soil organic carbon reaching a state 502 of equilibrium; nevertheless, this equilibrium is reached much later in time than our simulation span 503 (Fig. 8). This equilibrium differs according to the climate and to the management practices simulated. 504 Differences between the two analysed clusters can be linked to differences in heterotrophic respiration 505 rates (RESP-H). When pruning residues are exported, the wet cluster had mean annual RESP-H of 2.17 t CO₂ ha⁻¹ while in the dry cluster RESP-H was 1.72 t CO₂ ha⁻¹. The lower RESP-H rates 506 507 predicted for the driest cluster are due to the lower biomass production and therefore lower inputs of 508 soil organic matter.

509 Long-term capacity of olive groves to store carbon in the soil was also confirmed by Nieto et al. 510 (2010) and Massaccesi et al. (2018). While some estimations of carbon storage assume a linear 511 relationship between carbon inputs and carbon stocks, results in our study lead to rejection of this 512 hypothesis. Figure 8 shows that reaching a new equilibrium after any disturbance takes so long that no 513 empirical experiment can be reasonably carried out nor envisaged, and second that whenever the carbon stock is changing, any extrapolation of experimentally obtained rates will be necessarily 514 misleading. Our results agree with those of Stewart et al. (2007) and Regni et al. (2017) who found 515 516 that SOC accumulation is limited by C input level. Although there is clearly a potential for SOC 517 sequestration (Fig. 8), traditional olive groves are one of the cropping systems cultivated continuously for the longest time over the same soils, and even after thousands of years of olive growing, they 518 certainly do not stand out for its high values of SOC. 519

Furthermore, our simulation points out the potential of some management options to foster the soil 520 carbon pool. Simulations of incorporating pruning residues shows higher potential of SOC 521 522 sequestration in both clusters. Nieto et al. (2010) showed the benefit of applying pruning residues as soil amendment by increasing carbon sequestration by 0.5 t C ha⁻¹year⁻¹ during the 6 years of the 523 experiment (rate similar to our simulation results). Other researchers confirm also the efficiency of 524 incorporating pruning residues in improving SOC (Gomez-Muñoz et al., 2016; Vicente-Vicente et al., 525 526 2016). The reuse of pruning debris generated in the olive grove as organic amendment to the soil 527 proved to be an efficient way to increase the soil capacity to store carbon and to mitigate CO_2 528 emissions. Adoption of this system showed also high potential for increasing soil fertility, improving infiltration capacity and decreasing soil erosion (Sofo et al., 2005; Gómez-Calero et al., 2009; Repullo 529 et al., 2012; Gómez-Muñoz et al., 2016). A soil management system with incorporation of olive 530 orchard pruning residues can be considered as a good alternative for improving sustainability and 531 532 increased mitigation.

533 **4.2.2. Effect of intensification on C sequestration**

Under rainfed conditions, HD presents the highest carbon sequestration potential in the driest cluster (Fig. 9a, 9c). In that case, the capacity for carbon sequestration in SHD is lower than that predicted for HD and even similar to that observed for MD and LD. This behavior can be explained by the reduced biomass accumulation in response to water deficit. In other words, SHD loses some of the environmental advantages when heavily stressed. In the wetter cluster, nevertheless, its sequestration potential is still very high and similar to HD (Fig. 9c).

540 Under full irrigation, SHD and HD have the highest total carbon sequestration with values of 65 t C 541 ha⁻¹ and 60 t C ha⁻¹ in Tuscany and 52 t C ha⁻¹ and 51 t C ha⁻¹ in Jaen, respectively. The increase of 542 intensification levels implies the increase of irrigation amounts. Irrigation boosts biomass production 543 and thus the input of SOC from both above-ground and below-ground biomass residues (Entry et al., 544 2004), and also improves the soil microbial activity. It can be concluded that the higher the intercepted 545 radiation, the higher is the input for the carbon pool accumulation.

Regression analysis between olive oil production and carbon sequestration for each orchard typology 546 shows the dependency between these two variables. Production of 1 litre of olive oil increases the 547 548 carbon sequestration in olive groves by 2.6, 3, 3 and 2.6 kg CO₂ for LD, MD, HD and SHD, respectively. These values are comparable with findings of Proietti et al. (2017) reporting carbon 549 sequestration values ranging between 1.35 and 6.15 CO₂ L⁻¹. Estimation of the potential CO₂ 550 sequestration will help quantify the environmental impacts of olive oil production. Such assessment 551 552 emphasis the role of olive tree plantation as a sink of CO_2 and promote it as a production system able 553 to mitigate climate change.

554 4.2.3. Irrigation effects on C sequestration

In the wetter regions, irrigation does not affect the SOC of the orchard (Fig. 10.a). This may be 555 explained by the fact that in those regions already receiving high rainfall, irrigation adds little to 556 557 biomass productivity and therefore for inputs of C in the soil. This is in accordance with results of Kavvadias et al. (2018), who found no differences in SOC between irrigated and rainfed orchards in 558 559 Greece. The largest amount of irrigation in the wet cluster resulted in a slight decrease in SOC (Fig. 10.a). This can be linked to the small difference in RESP-H between 50% and full irrigation 560 requirements corresponding to values of 3.5 t CO₂ ha⁻¹ and of 3.7 t CO₂ ha⁻¹, respectively. In fact, 561 higher amount of irrigation promotes higher RESP-H rates and therefore a reduction in SOC. 562

In the dry regions, deficit irrigation improves strongly SOC sequestration. The effect occurs up to a plateau of 70% of the full water requirement (Fig. 10.a). At these levels, the difference in SOC accumulation between the dry and wet cluster is reduced. Also, Gillabel et al. (2007) found that in dry regions with low C soils irrigation could increase SOC.

567 Our results show that irrigation impacts are not limited to orchard productivity but include also 568 improvement of SOC and the olive orchard overall potential to sequestrate carbon. In fact, the 569 improvement of soil water content brought about by irrigation favours the formation of humus 570 (Stevenson, 1994; Karyotis et al., 2014). For both clusters, increasing irrigation results in an increase in total carbon pool up to a plateau (Fig 10.b). The wet cluster has higher potential for total carbon sequestration due to the lower cost (in terms of respiration) of maintaining higher above ground biomass. The impact of irrigation is more pronounced in the dry cluster with a significant increase in total carbon (SOC and tree biomass) corresponding to an increase in irrigation amount applied (Fig. 9.b). In fact, irrigation enhances biomass production and hence additional above ground residues or below ground roots turnover resulting in larger inputs of soil organic matter (Entry et al., 2004).

Apart from increasing productivity, irrigation reinforces the mitigation potential of olives groves ascarbon sinks.

580 CONCLUSION

581 Simulation of olive orchards around the Mediterranean using OliveCan has shown interaction between olive trees and their environment enabling the comprehension of olive orchard dynamics under 582 heterogeneous conditions of intensification, water and residue management. The C sequestration 583 584 potential of olive orchards is improved by increasing the level of intensification, irrigating and 585 incorporating pruning residues, but the response varies along with climatic conditions. Findings of this 586 research identify the drivers influencing the productive and environmental performance of olive 587 groves in the different Mediterranean sub-climates and quantify their impact. They may help improve production systems for a more sustainable olive cultivation and highlight the environmental role of 588 589 olive production and its potential implication in mitigating climate change.

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Table 1: Characteristics of orchard typologies. DDX: distance between rows; DDY: distance between

798 trees in a row

Orchard Density	Irrigation	DDX	DDY	Ground Cover	Leaf Area	Pruning Interval	Pruning
		(m)	(m)	(fraction)	Density	(years)	(fraction)
					$(m^2 m^{-3})$		
Low density	rainfed	10	10	0.2	0.85	2	0.1
Medium density	rainfed	7	7	0.2	0.85	2	0.1
Low density	irrigated	10	10	0.25	0.85	1	0.05
Medium density	irrigated	7	7	0.3	0.85	1	0.05
High density	irrigated	7	3.5	0.4	1.2	1	0.05
Super high density	irrigated	4	1.5	0.4	1.5	1	0.2

Table 2: Summary olive orchards simulations over Southern Europe for reference period 1980-2010

Simulations	Orchard typologies	Irrigation		
Conventional typology	LD	Rainfed		
Irrigation impacts	LD	30 % and 100% of irrigation requirements		
Increasing densities	LD, MD, HD, SHD	30 % and 100% of irrigation requirements		



803 Figure 1: Weather station network for precipitation, relative humidity and wind speed over the study804 area



Figure 2: Precipitation and reference evapotranspiration (ET₀) for reference period 1980-2010



Figure 3: Simulated yield and NEP for conventional orchard typology



810 Figure 4: Impact of irrigation on Low Density (LD) orchard. Increase in yield and NEP due to811 irrigation expressed as percent of rainfed values shown in Fig. 3



813 Figure 5: Irrigation amount required (mm) for Low, Medium, High and Super High densities
814 receiving 30% and 100% of irrigation requirements



816 Figure 6: Impact of intensification. Changes in yield and NEP expressed in percentage compared to

817 low density irrigated orchard under deficit irrigation



Figure 7: Impact surface response of dry yield (kg ha⁻¹) to variation in ET₀ (mm year⁻¹) and total water
input (mm year⁻¹) for Low Density (LD), Medium Density (MD), High Density (HD) and Super High
Density (SHD) orchards. Colour gradient from beige to green refers to the increase of yield. White
dots are the outputs of the simulations used



Figure 8: Soil organic carbon simulated in a Low Density (LD) rainfed orchard in Jaen and Tuscany
when pruning residues are exported (EXP) or incorporated in the soil (INC). The soil is initially at
0.2% OC



Figure 9: Time course of the total carbon pool (soil C + standing C) for Jaen - Spain and Tuscany Italy clusters under full irrigation and rainfed management for Low Density (LD), Medium Density
(MD), High Density (HD) and Super High Density (SHD) orchards



Figure 10: Total soil organic carbon accumulation over 30 years (a) and Total carbon (soil+tree) pool
increase over 30 years (b) as a function of irrigation applied for the High Density orchard in JaenSpain and Tuscany-Italy