1 Signatures of local adaptation to climate in natural populations of sweet

2 chestnut (*Castanea sativa* Mill.) from southern Europe

3 Key message

4 Understanding the adaptive mechanisms of forest species is vital to ensure their survival in a climate 5 change scenario. This study aimed at uncovering the relationship between genetic variability and 6 environmental variables in natural *Castanea sativa* populations, unveiling how different climate 7 scenarios drove local adaption processes using a landscape genomics approach. Our findings provide 8 useful data for future management of this species.

9 Abstract

10 Context: Temperate forest species, such as chestnut (*Castanea sativa* Mill.), are currently threatened 11 by increasing temperature together with disruption and reduction of precipitation due to climate change. 12 In this context, understanding the adaptation processes of species will help to manage and ensure the 13 conservation of forests.

14 Aims: We studied the relationship between genetic variability and climate variables in natural
15 populations of *C. sativa* using a landscape genomics approach aimed to identify local adaption
16 processes.

Methods: Using five genomic SSRs and eight functional EST-SSRs markers, 268 individuals
belonging to ten different natural European chestnut populations distributed in contrasting climatic sites
were genotyped. In addition, associations between allelic variation and climatic variables
(environmental association analyses approach) were performed using Samβada and LFMM.

Results: Results highlighted a strong inter-relationship between climate variables and evolutionary processes resulting in adaptive variation. STRUCTURE analysis based on functional markers split the populations in three separate gene pools (K=3), mostly in agreement with the different climatic conditions existing in the studied areas. Divergent spatial patterns of genetic variation between rainy and arid areas were found. We detected a total of 202 associations with climate among 22 different alleles, 9% of which related with the outlier locus FIR059, known to be implicated in regulatorymechanisms during water stress adaptation processes.

28 Conclusions: Landscape genomics analyses revealed a pattern of adaptive variation, where specific 29 climatic variables influenced the frequencies distribution and fixation of several alleles, resulting in 30 local adaptation processes of the populations in the investigated areas. Our findings underline the close 31 inter-relationship existing between climate and genetic variability, and indicate how this approach could 32 provide valuable information for the management of forest species in a rapidly changing environment.

33 Keywords: Landscape genomics, sweet chestnut, environmental association analyses, local adaptation,
 34 EST-SSR, climate change.

35 1 Introduction

36 Past and current climate changes have affected the pattern of genetic diversity and genetic structure of 37 extant tree species. However, there is evidence that the intensity of the current climate change is higher 38 than the ability of trees to adapt to changing conditions (Davis et al. 2005, Aitken et al. 2008). The 39 survival of forests is highly dependent on the genetic variation within and among populations (Barrett 40 and Schluter 2008) and for this reason it is essential to evaluate the genetic variability of trees. Adaptive 41 genetic variation is defined as the variation found between the genomes of individuals resulting from 42 natural selection. Local environmental conditions can induce spatially varying selective pressure, which 43 directly affects adaptive genetic variation by favoring different alleles in different spatial localities 44 (Hedrick et al. 1976; Schoville et al. 2012; Richardson et al. 2014). In view of current climate change, 45 the potential ability of trees to adapt to different environmental conditions should also be studied.

The Fst outlier test is a widely-used approach to study local adaptation by detecting loci putatively under divergent selection. Loci exhibiting a non-neutral pattern of variation, with a higher or lower genetic differentiation than expected under neutrality, are to be considered under selection (Narum and Hess 2011). However, the key drawback of this type of approach is the risk of detecting false positives. In fact, loci with Fst values that deviate significantly from neutrality may be due to locus-specific effects (i.e. adaptive selection, mutation, assortative mating and recombination), or due to genome-wide effects

52 (i.e. genetic drift, bottlenecks and gene flow). To avoid this uncertainty, Fst outlier analysis can be 53 combined and supported by a landscape genomics approach. Landscape genomics focuses on 54 understanding the interactions between environmental heterogeneity and adaptive genetic variation in 55 natural populations. This is possible through environmental association analysis (EAA), which 56 identifies genetic variants associated with particular environmental factors and has the potential to 57 uncover adaptive patterns that are not discovered by traditional tests for the detection of outlier loci 58 based on population genetic differentiation (Eckert et al. 2010a; 2010b; Schoville et al. 2012). In other 59 words, EAA relates genomic information with environmental variables in order to reveal signatures of 60 adaptive genetic variation and evolutionary processes (Joost et al. 2007; Coop et al. 2010; Stucki et al. 61 2017). Several statistical models to perform EAA have been developed, and at least two models should 62 be combined in order to obtain reliable results (Rellstab et al. 2015). Recent landscape genomics studies 63 on forest species combined outlier locus detection with environmental association analyses (Bradbury 64 et al. 2013; Cuervo-Alcaron et al. 2018; Martins et al. 2018). Additional models considering the 65 interaction between adaptive genetic variation and multiple environmental gradients have also been 66 developed. One such tool is Gradient Forests (Ellis et al. 2012) which models and maps turnover in 67 allele frequencies along environmental gradients.

68 The sweet chestnut is the only species in the genus *Castanea* in Europe, and is widespread throughout 69 the Mediterranean basin, ranging from the Black Sea region to the Atlantic coast of the Iberian Peninsula 70 (Fineschi et al. 2000; Maurer and Fernández-López 2001). Its current distribution is the consequence 71 of natural colonization together with a long history of human intervention, with the first documented 72 domestication attempts dating back to the Roman Empire and Ancient Greece (Conedera et al. 2004; 73 Mattioni et al. 2013). Sweet chestnut has a high economic value, mainly due to the consumption of its 74 fruits and the production of timber. Because of the existing climatic variability along the distribution of 75 chestnut in Europe and because the susceptibility of chestnut to climate change events (Camisón et al. 76 2020), it is an ideal species for studying neutral and adaptive genetic variability.

Microsatellite markers (simple sequence repeat [SSR]) are powerful tools for genetic diversity and
evolutionary process studies in forest species (Tuskan et al. 2004; Yin et al. 2004; Varshney et al. 2005).

79 A vast number of expressed sequence tags (ESTs) are available for many plant species, some of them

80 including expressed sequence tag (EST)-SSR markers linked to transcribed regions of the genome, with 81 known or suggested functions (Scott et al. 2000; Krutovskii and Neale 2001; Kalia et al. 2011). 82 Although EST-SSR are less polymorphic compared to genomic SSRs, they are more suited to reveal 83 functional diversity in relation to adaptive variation (Varshney et al. 2005; Yatabe et al. 2007) and are 84 thus used to understand local adaptation processes. EST-SSRs associated to drought stress have been 85 reported in Quercus spp. (Sullivan et al. 2013; Lind and Gailing 2013), chestnut (Martín et al 2010; 86 Alcaide et al. 2019), and walnut (Torokeldiev et al. 2019). To study the adaptive variability and the 87 processes involved in local adaptation of sweet chestnut, genomic and EST-SSR markers, and natural 88 populations selected in areas with considerable differences in climatic conditions were used.

The main objectives of this study were to: (1) perform simulations of chestnut distribution in view of climate change; (2) identifying signatures of adaptive variation of the populations in relation with the local climatic variables (3) identify markers with signatures of selection performing outlier tests analysis; (4) associate these markers with climate variables of the population through a landscape genomics approach.

94

95 2 Materials and methods

96 2.1 Tree populations

97 Sweet chestnut has a very fragmented distribution within Europe, ranging from the regions of the 98 Caucasus to the Atlantic coast of Portugal. In this study, 268 individuals from 10 different natural 99 populations located in four European countries (Turkey, Greece, Italy and Spain) were analyzed (Table 100 1; Fig. 1). The chestnut populations were chosen based on their geographic location and considering 101 the different climatic conditions for each location in terms of rainfall events and temperatures (Fig. S1). 102 The populations from Northern Italy, Northern Spain and East Turkey (Villar Pellice IT08, Costa 103 Atlántica SP03, Hopa TR03) are located in humid environments, characterized by heavy rainfall and 104 low-to-moderate temperatures throughout the year. The southern Italian (Madonie IT01), the Spanish 105 (Castanyet SP02, Hervás SP06, Sierra Norte SP14), the two Greek (Holomontas GR01; Hortiatis GR02) 106 and western Turkish (Bursa TR11) populations are characterized by low rainfall and moderate-to-high 107 temperatures throughout the year. All the populations studied are part of the germplasm collection of 108 European chestnut populations conserved at the Institute of Research on Terrestrial Ecosystems; the

109 samples have been collected in the field during several years and subsequently stored at -80° C.

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111 2.2 Climatic scenarios of populations

112 To compare present and future scenarios of tree populations, in view of climate change, twenty-one 113 climatic variables for each population were used (Table 2). Data were obtained from WORLDCLIM 114 'bioclimatic variables' digital data set (Fick and Hijmans 2017). For present data version 2.1 has been 115 used; the future projection data used in this study are CMIP6 downscaled from Global Climate Model 116 (GCM) BCC-CSM2-MR and Shared Socio-economic Pathways (SSP) 245. The spatial resolutions used 117 was 2.5 minutes. The use of a large number of environmental factors may increase the number of 118 statistical tests during association analysis, which needs to be considered in order that autocorrelation 119 could be reduced. Furthermore, we looked for correlation between variables through Pearson's 120 correlation coefficient (|r| > 0.8) using the 'ggpubr' package implemented in R (R Development Core 121 Team 2019). Graphical output was achieved with the package 'corrplot' (Fig. S2). Subsequently to 122 highlight correlations, a Principal Components Analysis (PCA) was performed with the 'FactoMineR' 123 package (Lê et al. 2008).

124 Using the climatic data in the DIVA-GIS software (Hijmans et al. 2004), it was possible to represent 125 present and future climatic scenarios for Europe (Fig. S1). Present and future climatic conditions 126 referred to years 2020 and 2050, respectively. For each of the ten sampling locations, average minimum 127 monthly temperatures, average maximum monthly temperatures, average minimum annual 128 temperature, average maximum annual temperature, average monthly rainfall, and average annual 129 rainfall were collected. Through the 'Ecocrop' function implemented in DIVA-GIS, it was possible to 130 determine the areas in which chestnut performs best in present and future climatic conditions (Fig. 2). 131 EcoCrop module uses FAO's EcoCrop database (FAO 2019) of the environmental requirements of a 132 long list of plant species, including sweet chestnut.

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134 2.3 DNA isolation, SSR amplification and genotyping

135 DNA extraction was performed with the DNAeasy 96 Plant Kit (Oiagen, Valencia, CA, USA), 136 according to the manufacturer's protocol. The amount and quality of extracted DNA was visualized 137 through electrophoresis in agarose gel at 1% with 5x TAE as running buffer. All individuals were 138 genotyped with 13 SSR markers. Five were gSSR markers developed in C. sativa (Table S1) (Marinoni 139 et al. 2002; Buck et al. 2003) and eight were EST-SSR markers. The EST-SSR markers were developed 140 from gene expression during tree exposure to water stress in Quercus robur (Durand et al., 2010) and 141 selected based on information about their polymorphism linkage group and potential transferability to 142 C. sativa (Alcaide et al., 2019) (Table S2). Three different PCR amplification multiplexes were 143 assembled based on the size of products, using fluorescent dye-labelled primers (6-FAM, VIC, NED, 144 PET; Applied Biosystems, Foster City, California, USA). Mixtures were arranged as follows: mix (A) 145 including CsCAT1, CsCAT3, CsCAT6, (B) CsCAT16, EMCs38 (C) FIR080, GOT004, GOT021, 146 VIT057, and (D) FIR059, FIR094, GOT045 and VIT033 primers. Amplifications were performed with 147 the Type-It® Microsatellite PCR Kit (Qiagen, Valencia, CA, USA). PCR mix consisted of 4µL of 148 genomic DNA, 6.25µL of 2x Type-it Multiplex PCR Master Mix, 1.25µL of 10X Primer Mix (2µM of 149 each primer) and 1µL of RNase-free water for a total volume of 12.5µL. Amplification conditions were 150 as follows: an initial heat activation step at 95°C for 5 min, followed by 27 cycles consisting of a 151 denaturation step at 95°C for 30 s, an annealing step at 57° for 1.5 min, and an extension step at 72°C 152 for 30 s. A final extension step at 60°C for 30 min was executed. PCR fragments have been run on an 153 ABI PRISM[®] 3130 XL Genetic Analyzer for separation and sizing. GeneScan 250 LIZ was used as an 154 internal size standard. Genotyping was performed using GeneMapper v4.0 software (Applied 155 Biosystems, Foster City, US).

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157 2.4 Genetic diversity and structure of populations, and Fst outlier test

158 The probability of null alleles (Fnull) for each of the 5 gSSR and 8 EST-SSR loci analyzed was tested159 using the software FreeNA (Chapuis and Estoup 2007).

For each population and separately for neutral markers (gSSR) and EST-SSR, the number of alleles
(Na), observed and expected heterozygosity (Ho, He), fixation index (Fis) and pairwise Fst were
calculated using GeneAlex 6.503 (Peakall and Smouse, 2012). Allelic richness (Ar) was evaluated

163 through the use of HP-Rare (Kalinowski 2005). Significance test for the Fis values and the molecular 164 variance (AMOVA) were calculated with Arlequin 3.1.1 software (Excoffier et al. 2005). Population 165 structure was inferred using a Bayesian approach as implemented in the STRUCTURE 2.3.4 software 166 (Pritchard et al. 2004), separately for the genomic gSSR and EST-SSR. Both analyses used the 167 admixture model with correlated allele frequencies. Parameters were set for a burn-in period of 100,000 168 and a MCMC (Markov chain Monte Carlo) with 200,000 iterations. The range of K tested was equal to 169 the number of the populations analyzed plus one, i.e. 11. Potential clusters (K) were tested using 20 170 iterations. To determine the most likely number of K, the ΔK method by Evanno et al. (2005) was 171 applied using STRUCTURE HARVESTER (Dent and Von Holdt, 2012) A graphical representation of 172 the STRUCTURE results was performed using CLUMPAK (Kopelman et al. 2015).

173 Detection of Fst outliers was performed using Bayescan 2.1 (Foll et al. 2008). The eight EST-SSR and 174 five gSSR loci were tested for the evidence of the effect of natural selection among all populations. The 175 underlying geographic genetic structure was assessed during the detection of the Fst outlier; hence, 176 analyses were ran first based on all populations together, then by comparing eastern vs western 177 populations and finally by comparing the different genetic pools highlighted by the structure analyses. 178 The program has been executed with twenty pilot runs with a length of 5,000 and a burn-in length of 179 50,000. The thinning interval was set to 50. Significant loci with positive alpha values were considered 180 candidates for diversifying selection, according to Jeffrey's scale of evidence (Jeffrey 1961).

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182 2.5 Environmental association analysis of populations

183 To perform environmental association analysis (EAA), two different models were used: a logistic 184 regression implemented in the SamBada software (Stucki et al. 2017), and a Bayesian mixed 185 hierarchical model implemented in the software Latent Factor Mixed Model (LFMM) (Frichot and 186 Francois 2013; 2015). The associations between climate variables and genetic variability were tested 187 for all gSSR and EST-SSR markers. Both analyses were performed considering the underlying neutral 188 genetic structure; this step is of fundamental importance; not taking into account the underlying neutral 189 genetic structure can lead to false positives discoveries. If not corrected for the neutral genetic structure, 190 the identification of associations could be the result of spatial arrangement and the demographic history 191 of the populations, and not a sign of local adaptation; the underlying neutral genetic structure can mimic 192 patterns expected under non-neutral processes. With the results obtained from STRUCTURE 2.3.4 193 software for gSSR markers, a multivariate analysis using the coefficients of membership (Q) for each 194 individual was run, and the G scores to assess significance were calculated. As a second approach, a 195 latent factor mixed model (LFMM) implemented in the R package LEA was performed. In this model, 196 neutral population structure is introduced as a 'latent factor'. The number of detected clusters (K) 197 calculated by STRUCTURE were applied. Ten LFMM repetitions with 100,000 iterations and 10,000 198 burn-in were performed for each climate variable. Z-scores of multiple runs were combined using the 199 median value, and p-values were adjusted for expected FDR at 0.05.

Although Samβada and LFMM are able to reveal associations between genetic variation and climate variables, none of these two approaches quantifies the contribution of each variable in the overall genetic structure of chestnut populations. Through the use of the 'gradientForest' package (Ellis et al. 2010) implemented in R, it was possible to quantify the contribution of each climate variable on the allelic frequency variation in chestnut. GradientForest is capable of partitioning the allele frequency data at split values along climate gradients, allowing the exploration of nonlinear associations of climatic and allelic variables.

207

208 3 Results

209 **3.1 Present and future climate conditions**

210 A comparison between present and future climate scenarios within chestnut populations (Fig. S1) 211 revealed an average increase of mean temperatures of 2.21 °C. Areas with the highest increase in 212 temperatures were coincident with Bursa (TR11) and Sierra Norte (SP14) populations and showed an 213 increase of 2.45 °C. All populations except Madonie (IT01), with an increase of 27 mm of rainfall, 214 showed a decrease in rainfall of 85 mm in average. Costa Atlántica (SP03) showed the highest reduction 215 of rainfall, i.e. 227 mm. The EcoCrop module showed a considerable reduction of areas with the best 216 conditions for the development of chestnut in Europe (Fig. 2). Generally, areas with the best conditions 217 for chestnut development were displaced to higher latitudes and altitudes.

219 3.2 Genetic diversity, population structure and outlier loci

220 For both gSSR and EST-SSR loci, no high frequency of null alleles was detected; Fst including null 221 alleles (INA) was 0.1063 and 0.2272 for gSSR and Est-SSR respectively, while the Fst excluding null 222 alleles (ENA) was 0.1015 and 0.2174 for gSSR and EST-SSR respectively. Overall, neutral markers 223 (gSSR) showed higher values for the genetic diversity indices per population compared to EST-SSR. 224 Expected heterozygosity (He) for gSSR ranged from 0.639 (Hortiatis GR02) to 0.824 (Hervás SP06) 225 with a mean value of 0.764 (Table 3). EST-SSR expected heterozygosity (He) ranged from 0.284 226 (Holomontas GR01) to 0.501 (Costa Atlántica SP03) with a mean value of 0.389 (Table 4). The fixation 227 index (Fis) was significantly different from zero (p < 0.01, p < 0.001) in several populations, both for 228 gSSR (Bursa TR11, Hopa TR03, Villar Pellice IT08, Holomontas GR01) and EST-SSR (Hervás SP06, 229 Bursa TR11, Hopa TR03, Villar Pellice IT08). Overall, allelic richness (Ar) showed higher mean values 230 for neutral markers (Ar = 7.95) compared to functional markers (Ar = 3.01). The highest values of Ar 231 were observed in the Hopa (TR03) population, for both neutral and functional markers The results 232 provided by STRUCTURE, considering both the delta K method by Evanno et al. (2005) and 233 the

distribution of the posterior log-likelihood (Supp. Mat. S7, S8) based on gSSR, were checked.
Based on the delta K and on the lowest variance of the L(K) distribution, the most likely number

236 of clusters (K) were K=2 for gSSRs and K=3 for EST-SSRs

237 Results for the population structure based on the gSSR highlighted two core genetic population groups, 238 separating east (Greek and Turkish populations) from west populations (Italian and Spanish) (Fig. 3a). 239 On the other hand, the population genetic structure revealed by the EST-SSR was congruent with the 240 different climatic conditions of the study areas. (Fig. 3b, S1). Group I (blue colored in Fig. 3b) 241 comprised Bursa (TR11), Holomontas (GR01), Hortiatis (GR02), Hervás (SP06) and Sierra Norte 242 (SP14) populations, located in areas characterized by low precipitation and high temperature throughout 243 the year (Supp. material Fig. S1). The Madonie (IT01) population fell within group II (purple colored 244 in Fig. 3b); this population is located at 1.100 m MSL and the site is characterized by a low temperature 245 and low precipitations and a xerothermic index (Xi) of 110 (Mattioni et al 2008). The Hopa (TR03) 246 population, located in a highly rainy area, belonged to the group III (orange color). The Castanyet 247 (SP02) population, found in an area characterized by low mean annual temperature and low 248 precipitations, showed some admixture with groups I and II, while Villar Pellice (IT08) and Costa 249 Atlántica (SP03), located in areas with low mean annual temperature and high precipitations, showed 250 some admixture with groups II and III. All the less significant structures and the sub- structures are 251 reported in supplementary materials (Fig. S9). For all of the approaches tested for the detection of 252 outlier loci, BayeScan 2.1 analysis highlighted only the locus FIR059 as putatively under positive 253 selection (Table 5). On the basis of Jeffrey's scale of evidence, locus FIR059 was identified as a 254 'decisive' outlier candidate for diversifying selection. No sign of selection was highlighted for any of 255 the other EST-SSR and gSSR markers (Fig. S6).

256

257 3.3 Environmental associations

258 To reduce the risk of false positive loci discoveries, (i) EAA analyses was performed with two different 259 models, (ii) associations between genetic variation and climate variables were corrected for neutral 260 genetic structure, (iii) only associations for the outlier locus FIR059 were considered, and (iv) for 261 FIR059, only alleles showing signs of associations with a climate variable in both Samβada and LFMM 262 software applications were considered. The variables highlighted by Pearson's test as being highly 263 correlated (i.e. with |r| > 0.8; Fig. S2) were found to be the same as those of the PCA (Fig. S3). Pearson's 264 analysis revealed highly correlated climatic variables (|r| > 0.8), and for each set of correlated variables 265 only one variable was selected. The resulting set of associated climatic variables and the ones selected 266 for the analysis are shown in the Supplementary materials (Table S3). Variables AnnPrec and 267 MeanAnnT were removed from subsequent analyses as they were highly correlated with all variables 268 related to rainfall and temperatures. SamBada highlighted 14 alleles out of 54 that were associated with 269 at least one climate variable, across seven EST-SSR. No associations were found for the GOT004 270 marker. Most alleles showed an association with more than one climate variable. LFMM analyses 271 identified 20 out of the 54 alleles associated with at least one climate variable across eight EST-SSR. 272 Again, no significant associations were found for the GOT004 marker. A total of 98 significant 273 associations were highlighted by the two models, 27 of which were shared between Samβada and 274 LFMM (Table S4). Seven associations for three different alleles were observed for FIR059 according

275 to Samβada (Table 6). For the same locus, LFMM revealed 19 associations across 9 alleles, showing a

276 higher potential for discovery than Samβada (Table 6).

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278 **3.4** Contribution of climate variables in structuring genetic variation

GradientForest revealed climatic variables related to precipitation as key predictors for genetic variation at the locus FIR059. Of these, precipitation during the wettest quarter (PrecWeQ) was the most relevant (i.e. highest R^2 values), followed by precipitation during the driest quarter (PrecDQ) (Fig. 4). The variables showing a greater contribution to the variation in allele frequencies, overlapped with those that showed significant signs of association by Samβada and LFMM. Allelic changes along the environmental gradients showed an important variation along the variable 'PrecWeQ', with a spike in the variability between 350 and 550 mm of rainfall (Fig. 4b).

286

287 4 Discussion

We evaluated the adaptive genetic variability of sweet chestnut populations and identified genomic regions that might be involved in local adaptation processes in response to climate conditions. In order to prevent spurious correlations not directly related to adaptation (Novembre and Di Rienzo 2009), both gSSR and EST-SSR markers were used to assess neutral and adaptive components of genetic variability. Previous studies on chestnut have identified outlier loci related to bud burst (Martín et al. 2010), tolerance to drought (Alcaide et al. 2019), and resistance to *Phytophthora cinnamomi* (Alcaide et al. 2020).

295 The growing interest in the impact of climate change on forest ecosystems has produced a vast amount 296 of documentation over the last twenty years. Forest ecosystems are at great risk, including trees with an 297 economic value in terms of production, such as the sweet chestnut. The estimated loss of areas with 298 suitable conditions for the growth of chestnut, due to climate change (Fig. 2b) provides additional 299 information in forestry and underlines the importance of studying adaptation in tree species. From an 300 agricultural perspective, many nut trees require a certain amount of winter chill hours for proper fruit 301 development (Byrne and Bacon 1992). The effect of prolonged water stress, prolonged waterlogging 302 and chill hour reduction due to climate change on nut trees has already been documented (Luedeling et

303 al. 2011; Camisón et al. 2020). Combined with this, a rainfall deficit and extreme summer heat in Europe

304 can lead to a severe reduction in nut productivity (Ciais et al. 2005).

306 4.1 Genetic diversity and population structure of chestnut in Europe

307 Higher genetic diversity was observed for neutral markers in comparison to adaptive ones. As EST-308 SSR markers are linked to coding regions, they tend to be more conserved and, consequently, less 309 polymorphic than neutral markers (Varsheney et al. 2005; Ellis and Burke 2007). Several other studies 310 assessing the genetic diversity of plants species confirmed the higher polymorphism of genomic 311 markers in comparison to functional markers (Selale et al. 2013; Torokeldiev et al. 2019). The genetic 312 diversity indices indicate slightly higher values for the western populations compared to those of the 313 east; this result is in contrast to previous studies carried out on vascular plants in Europe which see a 314 tendential decrease in genetic diversity moving from east to west. (Fady and Conord, 2010; Conord et 315 al., 2012). Here, the studied chestnut populations are a subsample of others genotyped in a previous 316 work by Mattioni et al. (2017), in which higher values of He and Ar were observed in the central area 317 of the species distribution. Hence, higher values of genetic diversity for the western populations can be 318 a consequence of several colonization routes from different refugees, as observed in other species (Petit 319 et al., 2003) as well as of human intervention.

320 The positive values of Fis observed in some populations for both types of molecular markers are 321 probable due to factors such as the fragmentation and isolation of populations. It is notable that in some 322 populations, considered as refugia during the last glaciation, the allelic richness and private allelic 323 richness at neutral loci is high. This result is in agreement with those obtained in a previous paper on 324 C. sativa, (Mattioni et al., 2017) and Fagus selvatica (Comps et al., 2001) and it supported by the 325 hypotheses of Widmer and Lexer (2001) on high values of allelic richness on rifugia areas. On the other 326 hand, the high value of private allelic richness at EST-SSr loci observed especially for Hopa population could reflect a selection of specific alleles due to adaptation. 327

STRUCTURE analyses produced different results for neutral and functional markers. When considering
 gSSR, STRUCTURE highlighted a congruence between the genetic diversity and geographical location
 of the populations: clear separation between eastern and western European populations. This

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331 demonstrates that the Carpathian and Balkanian mountain chain acted as a barrier, blocking the gene 332 flow between two macro-regions. In Mattioni et al. (2017), where a large number of natural sweet 333 chestnut populations have been genotyped with neutral markers, similar results were reported. Three 334 different genetic groups were highlighted by the EST-SSR markers. The resulting structure clustered 335 together populations collected in areas characterized by similar climatic conditions. Trees from Hopa 336 (TR03), located in a region with heavy rainfall throughout the year (annual average of 1,227 mm) and 337 moderate-to-low temperatures, were included in a well-defined genetic cluster (III). Similar climate 338 conditions, referring to precipitations, can be found in Galicia (SP03) and Piemonte (IT08) regions; this 339 could explain the membership of several individuals from these populations to the group III. Moreover, 340 the two Spanish (SP02, SP03) and the Italian (IT08) populations are located in areas with low mean 341 annual temperature, as well as the population IT01, that is located at 1100 meters of altitude. We can 342 suppose that a climatic variable as the low temperature could explain the genetic similarity of some 343 individuals of these populations.

Results suggest that the selected EST-SSR markers were informative and able to differentiate populations based on climatic conditions. Indeed, we have to underline that our results have been achieved with a limited numbers of functional markers; further research, using a more consistent set of markers ideally found within transcribed regions of the genome are needed to better understand adaptive processes of chestnuts populations to different environmental conditions.

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350 **4.2** Environmental association analysis of chestnut in Europe

351 Compared to the Fst outlier tests, EAA analyses are more sensitive to subtle changes in allele 352 frequencies and generally tend to be more robust (De Mita et al. 2013; Ahrens et al. 2018). Combining 353 Fst with EAA was the best approach to maximize the probability of finding significant associations and 354 to minimize the risk of detecting false positives (Rellstab et al. 2015). None of the five neutral markers 355 showed association with climatic variables. This lack of association reinforces the hypothesis of the 356 neutrality of the selected markers. As they are linked to non-coding regions of the genome, they do not 357 show signs of intervention in adaptive processes. However, EAA highlighted 98 significant associations 358 between EST-SSR markers and climatic variables. LFMM showed a slightly higher potential for 359 discovering environmental associations than SamBada (59 vs. 39). Among the nine alleles, for which 360 associations with climate variables were found for the locus FIR059, three of these were shared with 361 the LFMM and Sam β ada, specifically for alleles 152, 181 and 185. The associations found for allele 362 152 were mainly related to rainfall. Interestingly, allele 152 showed an almost total exclusivity for 363 individuals from the Hopa (TR03) population (Fig. S4), which belongs to the area with the highest 364 precipitation. It could be possible that the fixation of this allele for individuals of the Hopa (TR03) 365 population was due to the climatic conditions of the region. The associations found for allele 181 were 366 again related to precipitation. In this case, rain associated events were related to the driest period of the 367 year (PrecDQ), and the fixation of this allele may respond to environmental scenarios related to warm 368 and dry areas. In terms of allelic presence (Fig. S4), none of the trees from the Hopa (TR03) region 369 showed the allele 181, in contrast to Hervás (SP06), Holomontas (GR01) and Madonie (IT01) trees, 370 located in dry regions, which showed the highest frequencies. The associations found for allele 185 371 were linked to temperature variables, namely MeanTCQ, TSeas, and ATR. In this case, this allele 372 showed frequencies distributed among seven different populations, with the highest frequencies in 373 chestnuts from Castanyet (SP02) and Costa Atlántica (SP03). Galicia and Catalonia regions have a very 374 mild climate, with average minimum and maximum temperatures of 11.0 vs. 11.7 and 18.2 vs. 18.6 C°, 375 respectively. The fixation of allele 185 could therefore be linked to this particular temperature range.

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377 **4.3 The relevance of the FIR059 marker**

378 The Fst test used here to identify outliers implemented in BayeScan 2.1 is more conservative than other 379 methods (Narum and Hess 2011). Out of 13 markers tested for evidence of selection, FIR059 was 380 detected as an outlier locus with 'decisive' signs of selection. The FIR059 marker is putatively linked 381 to the RH7 gene, belonging to the DEAD-box-RNA helicase family. This family of helicases is involved 382 in the metabolic processes of RNA, such as transcription, splicing and translation of mRNA, and 383 degradation of the DNA (Huang et al. 2015; Liu et al. 2016). RNA helicases have been associated with 384 different functions linked to the correct development of the plant and biotic and abiotic stress responses 385 (Kim et al. 2008). Specifically, the RH7 helicase plays an important role during the embryonic phase 386 development of plants and in their tolerance to heat, frost and drought stress (Vashisht and Tuteja 2006;

387 Macovei et al. 2012). It is possible that the fixation of the alleles for the locus FIR059 found to be 388 associated with climatic variables may be the result of selective processes due to the different climatic 389 conditions in the various regions.

390 Our results are in agreement with a study by Alcaide et al. (2019) on sweet chestnut, which identified 391 the locus FIR059 as an outlier locus also putatively under selection. The locus was found to be involved 392 in the tolerance and the susceptibility of chestnut to drought stress. Specifically, FIR059 showed three 393 private alleles for drought-susceptible individuals and two private alleles for drought-tolerant 394 individuals. Of these five alleles, three (152, 160 and 176) were found here to be associated with 395 climatic variables, of which allele 152 related to precipitation. In the study by Alcaide et al. (2019) 396 allele 152 was found to be private in drought-tolerant plants, reinforcing the hypothesis of a link 397 between this allele and the plant's exploitation of water resources. Associations for alleles 160 and 176 398 were not explored as they were highlighted only by LFMM. Allele 176, highlighted as private in 399 drought-tolerant chestnuts (Alcaide et al. 2019), showed here an association with the mean temperature 400 of the wettest quarter. Allele 160, also highlighted as a private allele in drought-susceptible chestnuts 401 (Alcaide et al. 2019), showed associations with mean annual temperature and annual minimum 402 temperature, and precipitation seasonality. This provides evidence about the putative involvement of 403 alleles 152, 160 and 176 in mechanisms responding to abiotic stresses. Results strongly suggest that 404 FIR059 is a marker of considerable interest for the identification of genotypes adapted to different 405 climatic conditions.

406

407 5 Conclusions

408 Climate predictions will induce a change in suitable areas for sweet chestnut distribution. Here we have 409 reported a restricted case, represented by a limited number of chestnut population, we've been able of 410 highlighting regions of the genome that have been putatively affected by climate pressures. The 411 identification of alleles related to climatic variables could be relevant for understanding adaptation of 412 this tree species in the future. It has been proven here that landscape genomics and association analyses 413 were capable of identifying in chestnut loci involved in mechanisms of tolerance and adaptation to 414 different environmental challenges. This work aimed to emphasize the close inter-relationship existing

- 415 between trees and environment, and how climate variables were able to shape their genetic diversity
- 416 and adaptive variation.

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420

421 7 References

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- 652
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- 654 Tables
- 655
- **Table 1** Location, code, number of individuals (*N*) and geographical coordinates in decimal degrees of
- 657 the ten sweet chestnut populations studied.

Country	Region	Population	Code	Ν	Longitude	Latitude
	Galicia	Costa Atlántica	SP03	31	43.301	-8.669
Service	Catalonia	Castanyet	SP02	29	41.616	2.502
Spain	Andalusia	Sierra Norte	SP14	30	37.899	-5.635
	Extremadura	Hervás	SP06	30	40.269	-5.854
Italy	Piemonte	Villar Pellice	IT08	26	7.140	44.800
Italy	Sicily	Madonie	IT01	20	14.090	37.830
Graada	S-E- Macedonia	Holomontas	GR01	26	23.750	50.530
	C-Macedonia	Hortiatis	GR02	24	22.380	40.590
Turker	Bursa	Bursa	TR11	29	29.080	40.120
тигкеу	Artvin	Нора	TR03	23	41.570	41.390

659 Table 2 Climate variables used in this study. Data were obtained from WORDCLIM

660 (<u>https://www.worldclim.org</u>) at 2.5 min resolution.

Abbreviation	Description
MeanAnnT	Mean annual temperature (C°)
MeanMTR	Mean monthly temperature range (C°)
Iso	Isothermality (MeanMTR/ATR) (*100)
TSeas	Temperature seasonality (standard deviation *100)
MaxTWM	Maximum temperature of warmest month (C°)
MinTCM	Minimum temperature of coldest month (C°)
ATR	Annual temperature range (MaxTWM - MinTCM)
MeanTWeQ	Mean temperature of wettest quarter (C°)
MeanTDQ	Mean temperature of driest quarter (C°)
MeanTWQ	Mean temperature of warmest quarter (C°)
MeanTCQ	Mean temperature of coldest quarter (C°)
AnnPrec	Annual precipitation (mm)
PrecWeM	Precipitation of wettest month (mm)
PrecDM	Precipitation of driest month (mm)
PrecSeas	Precipitation seasonality (coefficient of variation)
PrecWeQ	Precipitation of wettest quarter (mm)
PrecDQ	Precipitation of driest quarter (mm)
PrecWQ	Precipitation of warmest quarter (mm)
PrecCQ	Precipitation coldest quarter (mm)
AnnMaxT	Annual maximum temperature (C°)
AnnMinT	Annual minimum temperature (C°)

662	Table 3	Genetic	diversity	of ten	sweet	chestnut	popula	ations	based	on	gSSR	loci
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Population	Code	Na	Ne	Ι	Ho	He	uHe	Fis	Ar	PAr
Costa Atlàntica	SP03	9.600	5.790	1.860	0.710	0.802	0.815	0.108**	8.44	0,63
Castanyet	SP02	10.200	5.306	1.926	0.738	0.802	0.817	0.044	8.06	0,10
Sierra Norte	SP14	9.800	6.032	1.919	0.800	0.808	0.821	0.094*	7.17	0,19
Hervàs	SP06	11.000	6.001	2.010	0.640	0.824	0.838	0.227	7.10	0,38
Villar Pellice	IT08	12.200	6.364	2.029	0.746	0.809	0.825	0.297**	7.49	1,58
Madonie	IT01	7.600	3.917	1.548	0.600	0.705	0.723	0.042	9.54	0,02
Holomontas	GR01	8.400	4.135	1.581	0.608	0.704	0.718	0.171**	6.87	0,09
Hortiatis	GR02	7.600	3.440	1.420	0.633	0.639	0.653	0.003	6.71	0,25
Bursa	TR11	12.200	5.579	1.908	0.655	0.758	0.772	0.115**	8.44	2,30
Нора	TR03	9.400	5.151	1.852	0.539	0.792	0.810	0.148**	9.75	1,01
Mean		9.800	5.171	1.805	0.667	0.764	0.779	0.129	7.95	0,51

Na = No. of Different Alleles, Ne = No. of Effective Alleles, I = Shannon's Information Index, Ho = Observed Heterozygosity, He = Expected Heterozygosity, uHe = Unbiased Expected Heterozygosity, Fis = Fixation Index, Ar = Allelic richness, Par = Private allelic richness * P < 0.01 ** P < 0.001

664	Table 4	Genetic	diversity	of ten	sweet	chestnut	popu	ilations	based	on	EST	-SSR	loc	i
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Population	Code	Na	Ne	Ι	Ho	He	uHe	Fis	Ar	Par
Costa Atlàntica	SP03	4.000	2.452	0.924	0.476	0.501	0.510	0.067	3.76	0,62
Castanyet	SP02	2.500	2.029	0.712	0.453	0.442	0.449	-0.071	2.38	0,00
Sierra Norte	SP14	3.000	2.272	0.758	0.379	0.437	0.444	0.148**	2.95	0,00
Hervàs	SP06	2.875	1.967	0.617	0.329	0.333	0.338	0.027	2.89	0,06
Villar Pellice	IT08	3.000	2.180	0.706	0.327	0.394	0.402	0.189**	2.95	0,02
Madonie	IT01	2.875	1.679	0.535	0.281	0.287	0.294	0.044	2.71	0,07
Holomontas	GR01	2.625	1.661	0.499	0.320	0.284	0.289	-0.104	2.57	0,25
Hortiatis	GR02	3.000	1.969	0.655	0.434	0.375	0.384	-0.125	2.78	0,14
Bursa	TR11	3.250	2.173	0.786	0.379	0.450	0.458	0.174**	3.12	0,10
Нора	TR03	4.000	2.097	0.748	0.235	0.383	0.391	0.403**	3.99	0,99
Mean		3.113	2.048	0.694	0.361	0.389	0.396	0.081	3.01	0,28

Na = No. of Different Alleles, Ne = No. of Effective Alleles, I = Shannon's Information Index, Ho = Observed Heterozygosity, He = Expected Heterozygosity, uHe = Unbiased Expected Heterozygosity, Fis = Fixation Index, Ar = Allelic richness, Par = Private allelic richness

* P < 0.01 ** P < 0.001

666 Table 5 Results of BayeScan 2.1 Fst outlier analysis on eight EST-SSR loci from ten sweet chestnut

Locus	P(α=0) *	Log10(PO)	qval	Alpha	Fst	Evidence of selection
GOT021	0.1192	-0.8685	0.5815	-0.0963	0.2546	
VIT057	0.0924	-0.9921	0.6630	-0.0513	0.2616	
FIR080	0.0408	-13.712	0.7858	0.0017	0.2688	
GOT004	0.1362	-0.8021	0.4319	0.1267	0.2953	
VIT033	0.0596	-11.980	0.7185	0.0087	0.2705	
GOT045	0.0406	-13.734	0.8075	0.0037	0.2691	
FIR059	0.9998	36.988	0.0002	-12.947	0.9965	Decisive**
FIR094	0.0510	-12.696	0.7569	0.0185	0.2719	

667 populations.

*Posterior probability ** Based on Jeffrey's scale of evidence (Foll 2008)

669 Table 6 Significant associations between alleles from the FIR059 locus and the climate variables	(codes
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670 in Table 2), according to LFMM and Samβada software. Climate variables in bold were significant in

671 both models.

Locus	Allele (bp)	LFMM	Samβada
	152	PrecWeQ,	PrecWeQ
	154	PrecSeas	-
	160	PrecSeas, MeanTCQ	-
	162	MeanTWeQ	-
	164	MeanTWeQ	-
FIR059	167	PrecSeas, MinTCM, ATR	-
	176	MeanTWeQ	-
	181	PrecDQ, PrecWeQ, MeanTWeQ	PrecDQ
	185	MeanTCQ, PrecSeas, ATR, MeanTWeQ	MeanTCQ, ATR

673 Captions of Figures

- 674 **Fig.1** Geographical distribution of the 10 *Castanea sativa* populations studied.
- 675 Fig.2 Representation of the areas with best suitability for chestnut development in reference to 2020
- 676 and 2050.
- 677 Fig. 3 Population genetic structure of ten sweet chestnut populations grouped into clusters I (blue color)
- and II (orange) according to gSSRs markers (K=2) (a) and grouped into clusters I (blue), II (purple) and
- 679 III (orange) according to EST-SSR markers (K=3) (b).
- **Fig. 4** (a) Importance in terms of R^2 weight of climate variables related to alleles of locus FIR059; (b)
- 681 cumulative importance of allelic change for the locus FIR059 along most important environmental
- 682 gradients.

683 Figures









689 Figure 2



691 Figure 3



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693 Figure 4

695 8 Dataset in a repository

696 The genetic raw data will be deposited in the TreeGenes repository (https://treegenesdb.org)