

1 **Long term experimental analysis of thermal performance of extensive green roofs with**  
2 **different substrates in Mediterranean climate**

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18 **ABSTRACT**

19 **Green roofs are passive construction systems that can contribute to reduce the energy demand of**  
20 **buildings and achieve the European goal of nearly zero energy buildings. The main objective of**  
21 **this work was to determine experimentally the thermal performance of extensive green roofs with**  
22 **different substrates, compared to a traditional gravel ballasted roof. Hence, a study on the annual**  
23 **reduction of energy demand throughout two years warmer than average years, 2016 and 2017,**  
24 **and a dynamic analysis based on decrement factor, DF, time lag, TL, cooling potential, CP, for**  
25 **these three green roofs were carried out.**

**Comentado [MP1]:** Reviewer 3 Comment 1: The title does not appropriately indicate the actual scope and orientation of the study. It could be modified to read "Experimental analysis of thermal performance of extensive green roofs with different substrates in Mediterranean climate".

**Comentado [MP2]:** Reviewer 3: Comment 2: The Abstract could be revised to present the following issues in a proposed sequence: Field of study, research questions, study area, research methods (including experimental design), pertinent results, main conclusion, key applications. Abbreviations and specific terms that readers may not understand can be avoided. If not, they should be explained.

26 The results showed that significant reductions of DF and increases of TL and CP were achieved,  
27 especially in the green roof with 100% of commercial growing medium substrate. Annual  
28 reductions of energy gains and losses were obtained in the three green roofs, with annual average  
29 reductions of 66% and 63%, respectively, compared to the traditional roof. These results were  
30 mainly related to the composition of the substrates, their capacity to retain water and the quantity  
31 of vegetation in each plot. This study indicates that the use of green roofs contributes  
32 significantly to reduce the energy demand of existing buildings under warm climatic conditions.

33

34 **Keywords: green roofs; energy demand; time lag; decrement factor; cooling potential**

35

Nomenclature	
$C_{cover}$	cloudiness factor of the sky
CP	cooling potential [°C]
DF	decrement factor [dim]
E	East
$F_{gnd}$	view factor from surface to ground
$F_{sky}$	view factor from surface to sky
H	heat flux [ $W m^{-2}$ ]
$h_c$	mean convective heat transfer coefficient [ $W m^{-2} K^{-1}$ ]
$h_{cf}$	foliage convective heat transfer coefficient
$h_{cs}$	soil convective heat transfer coefficient
$h_o$	heat transfer coefficient by radiation and convection at the outer surface [ $W m^{-2} K^{-1}$ ]
$h_r$	mean radiative heat transfer coefficient [ $W m^{-2} K^{-1}$ ]
LAI	leaf area index [dim]
nZEB	nearly zero energy buildings
P	plot
RF	rain fall [mm/h]
RH	relative humidity [%]
SR	solar radiation [ $W m^{-2}$ ]
t	time [h]
T	temperature [°C]
$T_{hs}$	average temperature between the plot surface temperature and the sky temperature [K]
TL	time lag [h]
W	West
VWC	volumetric water content [ $m^3 m^{-3}$ ]
WD	wind direction [°]
WS	wind speed [ $m s^{-1}$ ]
<i>Greek letters</i>	
$\Delta R$	infrared radiation difference between surface and sky and surroundings [ $W m^{-2}$ ]
$\alpha$	product of the solar absorptance of the exterior surface and the rate of total solar radiation incident per unit area upon surface [ $W m^{-2}$ ]
$\alpha_o$	solar absorptance of exterior surface
$\sigma$	Stefan-Boltzmann constant
$\sigma_f$	fractional vegetation coverage
$\epsilon$	infrared emittance of surface
$\epsilon_o$	emittance of the clear sky
$\epsilon_f$	emissivity of canopy
$\epsilon_g$	emissivity of the ground surface
<i>Subscripts</i>	
a	air
amb	ambient air
e	exterior

**Comentado [MRdAS3]:** Añadir CDW y RA

**Comentado [FCM4]:** Reviewer 1 Comment 8: How were the various thermal transfer coefficients selected (alpha, 0, hc, hr)? There is a citation, but the reader would like to see the values selected and the basis for this selection.

**Comentado [MRdAS5]:** Unidades W/m2 K

**Comentado [MRdAS6]:** Añadir unidades W/m2 K

**Comentado [MRdAS7]:** K

**Comentado [MP8]:** Reviewer 3 Comment 22: The term "nZEB" could be added to the Nomenclature list.

**Comentado [MRdAS9]:** Unidades W/m2 K4

E	East
g	ground surface
Glob,H	global on the horizontal axis
i	interior
min	minimum value
max	maximum value
n	probe number
sa	sol air
sky	sky
W	West

37

## 38 1 INTRODUCTION

39 EU Directives [1,2] reinforce the goal of reducing energy consumption and introduce the concept  
 40 of nearly zero energy buildings (nZEB) for the retrofitting of existing buildings and the  
 41 construction of new buildings. An nZEB is a building that has a very high performance, with  
 42 constructive systems of low environmental impact combined with installations that promote the  
 43 use of renewable energies [3,4].

44 One of the possible passive construction systems of low environmental impact are green roofs.  
 45 Some of the advantages of a green roof are that it has a good thermal insulation capacity, retains  
 46 meteoric water, absorbs CO<sub>2</sub> and local noise pollution and minimises the heat island effects in  
 47 cities [5–7]. Green roofs ensure less energy losses in winter and the maintenance of the internal  
 48 temperature in summer [8]. There are three types of green roof: intensive, semi-intensive and  
 49 extensive. An intensive green roof usually has a higher thickness, between 150 and 400 mm, and  
 50 the plant species used require a lot of maintenance and irrigation [9]. A semi-intensive green roof  
 51 needs periodically maintenance and irrigation and has a thickness of 120-250 mm, while an  
 52 extensive green roof is used mainly to cover large non-walkable roofs, has a thickness of 60-200  
 53 mm and the plant species require low maintenance [9]. The present work focused on extensive  
 54 green roofs.

55 Previous research studies on extensive green roofs analysed experimentally and numerically their  
 56 behaviour under different climatic conditions. An extensive green roof was studied in the cool

**Comentado [MP10]:** Reviewer 3. Comment 3: The Introduction section could include more literature review on green roof thermal performance in the tropical and subtropical regions, and the pertinent long-term studies regardless of the location of study areas.

57 wet climate of the Pacific Northwest [10], showing the necessity of plants that retain a great  
58 quantity of water to counter the environmental constraints imposed by regional climate. In tropical  
59 climate, green roofs showed good thermal benefits and urban heat island mitigation potential  
60 [11,12]. Other research focused on subtropical climate, showing the importance to choose  
61 droughts tolerant plants [13] and a high deep of the substrate [14], to obtain good thermal benefits.  
62 Some authors achieved a reduction of the surface temperature of a bare rooftop for subtropical  
63 climatic conditions [15], although the relative humidity affected negatively the reduction of  
64 surface temperature. Long term studies about thermal performances of green roofs in subtropical  
65 climates showed that the best cooling effects were obtained in summer. However, an improve of  
66 the thickness of substrate layer helped to reach better insulation, both in summer and winter [16].  
67 Other works focused on weekly studies of green roofs in Mediterranean climate [17,18] achieving  
68 suitable reduction of the total transferred energy. A long term study in Mediterranean climate  
69 showed the hydrological efficiency of the green roofs as effective systems to control the volume  
70 of rainfall [19].

71 Different types of substrates in extensive green roofs were studied by other authors. Commercial  
72 substrates showed to be very suitable for these type of installations [20,21]. Other studies analysed  
73 the performance of extensive green roofs with substrates composed of low-cost and waste  
74 materials, such as materials from the construction sector, to reduce their economic costs,  
75 achieving acceptable performances [22–24]. In other studies, it was seen that the amount of water  
76 in the substrate helps to minimise the cooling demand in summer [25,26].

77 The overall performance of extensive green roofs has been studied from several dynamic  
78 parameters. Many authors studied the heat flux through the layers of the roof as a performance  
79 parameter [27,28], where they obtained significant reductions compared to traditional roofs. The  
80 cooling potential of the surface temperature of the green roof was another dynamic parameter  
81 analysed. This parameter was related to the mitigation of the effects of urban heat islands [29,30].  
82 Two dynamic parameters used to study other passive construction systems, such as green façades,  
83 are time lag ,TL, and decrement factor, DF, [31,32]. Both parameters were used to study the heat

84 storage capabilities of any materials and the reduction of energy demand [32]. Recently, these  
85 parameters were studied for a green roof with different plants during a summer week for the  
86 climatic conditions in the south of Italy [33], achieving an increase of TL and a reduction of DF  
87 in respect to a roof with only substrate.

88 Most of the previous studies carried out weekly analyses of green roofs. However, it would be  
89 interesting to evaluate the thermal performance of green roofs with different substrates over a  
90 long period of time.

91 The main objective of this work was to determine the thermal performance of three green roofs  
92 with different substrates for the retrofitting of existing buildings, compared to a traditional gravel  
93 ballasted roof. The substrates used were a combination of different percentages of commercial  
94 growing medium and recycled construction materials. Hence, several dynamic parameters were  
95 studied for each roof, such as decrement factor, DF, time lag, TL, sol-air temperature,  $T_{sa}$ , cooling  
96 potential, CP, and annual reduction of energy demand. The green roofs were installed in an office  
97 building at the University of Córdoba (Spain) and studied throughout two warmer than average  
98 years, 2016 and 2017, in Córdoba (Spain).

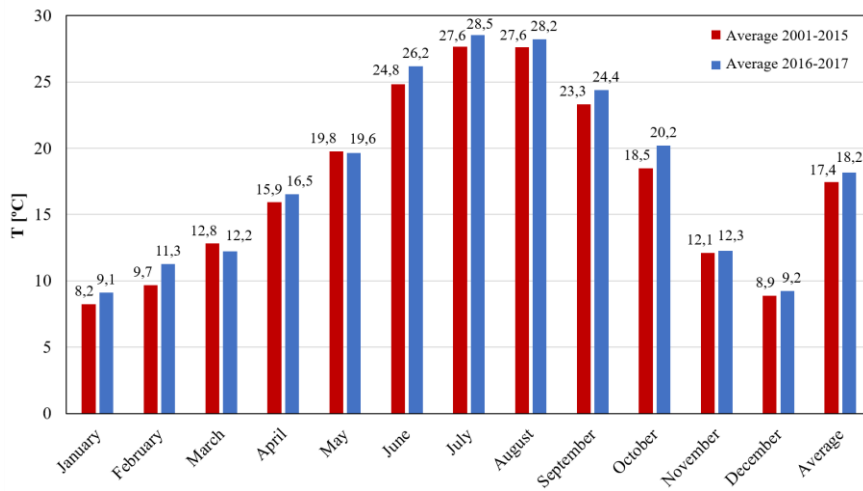
## 99 **2 METHODOLOGY**

### 100 **2.1 Climatic conditions and degree days**

101 The University of Córdoba is located in Southern Spain, where the climatic conditions are  
102 typically Mediterranean, defined as subtype Csa dry-summer subtropical, according to Köppen-  
103 Geiger climate classification [34]. Córdoba has relatively mild winters and very warm summers.

104 The daily and yearly temperature fluctuations are very high. Summers tend to be dry with less  
105 than one-third of the precipitation of the wettest winter month. This study was developed in the  
106 period 2016-2017. The monthly average temperatures from 2011 to 2015 and from 2016 to 2017  
107 in Córdoba are shown in Fig. 1. It can be observed that the average temperatures from 2016 to  
108 2017 increased 0.72 °C compared to the previous 15 years, 2001-2015. The greatest difference  
109 between the monthly average temperatures was obtained in June, 1.4°C. The monthly average

110 maximum and minimum values and other significant climatic parameters of 2016 and 2017 are  
 111 reported in Table 1.



112  
 113 Fig. 1. Monthly average temperature values from 2011 to 2015 and from 2016 to 2017 in  
 114 Córdoba, Spain.

115 Table 1. Climatic data of Córdoba, 2016 and 2017.

Year 2016	Units	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Average
Monthly average temperature	°C	10.6	10.9	11.4	15.7	18.6	24.9	29.1	28.5	24.9	19.6	12.5	10.4	18.1
Maximum monthly average temperature	°C	15.7	16.4	19.0	22.0	25.1	33.1	37.3	36.9	33.0	26.5	18.4	16.5	25.0
Minimum monthly average temperature	°C	6.4	5.6	4.5	10.0	12.6	16.3	20.5	20.2	16.8	14.2	8.1	6.2	11.8
Monthly average rainfall	mm/month	59.6	42.6	30.2	115.4	92.4	0.2	0.4	0.2	3.0	84.0	142.2	469.0	86.6
Number of rainy days	days	19.0	12.0	13.0	12.0	11.0	1.0	1.0	1.0	1.0	10.0	15.0	24.0	10.0
Monthly average solar radiation on the horizontal surface	MJ/m <sup>2</sup>	7.7	10.3	17.2	19.5	22.2	28.1	27.5	25.7	21.0	13.9	9.5	8.7	17.6
Reference evapotranspiration	mm	1.2	1.8	2.8	3.9	5.5	6.7	7.6	6.7	4.5	2.8	1.5	1.1	3.8
Year 2017	Units	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Average
Monthly average temperature	°C	7.6	11.7	13.1	17.4	20.7	27.4	28.0	27.9	23.9	20.8	12.1	8.1	18.2
Maximum monthly average temperature	°C	15.4	17.3	20.8	25.9	28.4	35.9	37.0	36.4	32.6	30.0	20.8	15.0	26.3
Minimum monthly average temperature	°C	2.0	7.1	6.7	9.7	13.3	18.4	18.6	18.9	15.1	13.8	6.0	3.2	11.1
Monthly average rainfall	mm/month	20.0	50.8	73.6	67.7	46.2	9.2	0.2	7.8	0.2	27.4	52.4	235.1	49.2
Number of rainy days	days	13.0	15.0	14.0	7.0	6.0	1.0	1.0	7.0	1.0	5.0	7.0	12.0	7.4

**Comentado [MP11]:** Reviewer 2 Comment 4: In the description of the climatic conditions of the study area, the potential evapotranspiration (PET) data could be included.

Monthly average solar radiation on the horizontal surface	MJ/m <sup>2</sup>	10.6	9.9	16.8	22.4	25.8	29.3	27.9	24.4	20.9	15.7	11.0	8.5	18.6
Reference evapotranspiration	mm	1.2	1.7	2.9	3.9	5.1	7.3	7.7	6.6	5.0	3.1	1.7	1.1	3.9

116

## 117 2.2 Green roof experimental setup

118 An existing office building constructed in 1956 located Lat 37.9° N, Long 4.7° W was selected  
 119 for this study. The office building had a rectangular footprint (27.7 m length, 9.5 m width, 7 m  
 120 height) and a flat roof, as shown in Fig. 2. There was not a climate control program in the building,  
 121 therefore, the indoor air conditions were in free evolution.



122

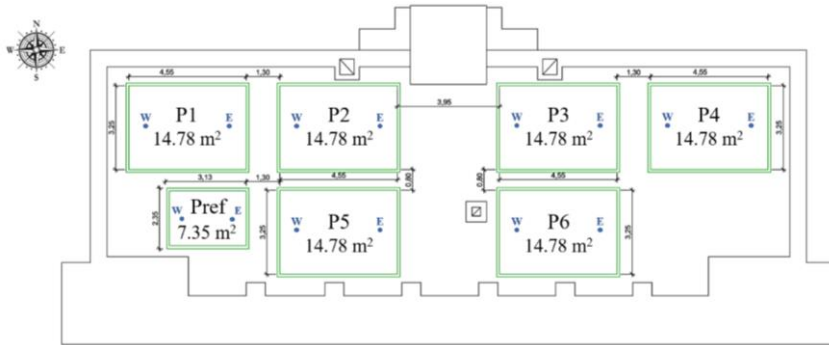
123 Fig. 2. Aerial view of the building (before the green roof was installed) [35].

124 The experimental extensive green roofs were installed in May 2015. Six plots were located on the  
 125 building roof with a surface of 14.78 m<sup>2</sup> each. One additional plot was used as the reference roof,  
 126 Pref. The green roof plots layout is shown in Fig. 3. The roof did not have shadows of trees or  
 127 other buildings and the main façade of the building faces South.

**Comentado [FCM12]:** Reviewer 1 Comment 3: What was the climate control program for the building? What were the set points?

Reviewer 2 Comment 13: In the indoor space below the green roofs, was indoor air temperature monitored in addition to ceiling temperature.





128

129 Fig. 3. Roof plan with green roof plots. \*Note: E and W refer to East and West.

130 In this work, experimental results corresponding to P1, P2, P5 and Pref were analysed. The three  
 131 green roofs consisted of the following layers, ordered from top to bottom: Mediterranean  
 132 vegetation, growing medium (0.1 m), filter sheet, a drainage and water storage layer, a root-barrier  
 133 waterproof layer, water proof membrane and a roof assembly (0.3 m concrete), see Fig. 4a and  
 134 Fig. 5a. Pref consisted of the following layers, ordered from top to bottom: layer of gravel  
 135 ballasted (0.03 m), waterproof membrane and roof assembly (0.3 m concrete), see Fig. 4b and  
 136 Fig. 5b. The substrate composition in each plot was different, as indicated in Table 2, where  
 137 different percentages (by volume) of commercial growing medium and recycled construction  
 138 materials were used. Recycled aggregates construction material is defined as material derived  
 139 from construction and demolition waste (CDW) of buildings. These new materials are then called  
 140 Recycled Aggregates (RA). Their composition can be various in nature, depending on the origin  
 141 of the waste. Generally, they are composed of different percentages of ceramic particles, concrete,  
 142 gypsum, etc. Extensive green roofs with fine mixed recycled aggregate as growth substrate could  
 143 revalue construction and demolition wastes, which currently present low added value.  
 144 The properties of both materials are summarised in Table 3. These properties were obtained by  
 145 UNE-EN 1097-06:2014 Standard. The granulometry was obtained according to the UNE-EN  
 146 933-1: 2012 Standard. The maximum granulometry of the commercial growing medium was 8  
 147 mm and of the recycled aggregates construction materials was 9 mm. The RA material was

**Comentado [MP13]:** Reviewer 1 Comment 2: What is meant by "recycled aggregates construction material?" What is their source? What is their pH (do they contain mortar and cement)?

**Comentado [FCM14]:** Reviewer 2 Comment 3: P1, P2, and P5 were treated with different growing medium compositions. The reason for selecting these growing medium compositions should be stated first, as well as the detail information of those growing medium.

**Comentado [FCM15]:** Reviewer 1 Comment 1: A description, along with physical and chemical data on the various media mixtures is needed. I would refer the authors to standard tests promulgated by FLL.

Reviewer 2 Comment 3: P1, P2, and P5 were treated with different growing medium compositions. The reason for selecting these growing medium compositions should be stated first, as well as the detail information of those growing medium.

148 prepared with a sand-sized granulometry. The values of pH and electrical conductivity of each  
 149 substrate were 7.8 and 2.0 mS/cm for P1, 8.6 and 1.9 mS/cm for P2 and 9.4 and 1.7 mS/cm for  
 150 P5.

**Comentado [FCM16]:** Reviewer 1 Comment 2: What is meant by "recycled aggregates construction material?" What is their source? What is their pH (do they contain mortar and cement)?

151 Table 2. Growing media composition of experimental green roof plots.

Plot	P1	P2	P5
Commercial growing medium	100%	75%	50%
Recycled aggregates construction materials	0	25%	50%

152

153 Table 3. Properties of the used materials.

	Commercial growing medium	Recycled aggregates construction materials
Saturated-surface-dry density [g/cm <sup>3</sup> ]	1.5	2.6
Dry density [g/cm <sup>3</sup> ]	1.1	2.5
Dry bulk density [g/cm <sup>3</sup> ]	0.3	1.4
Water absorption [%]	41.3	3.6
pH	7.3-7.7	10.8
Electric conductivity [mS/cm]	2.0	1.7

**Comentado [FCM17]:** Reviewer 1 Comment 1: A description, along with physical and chemical data on the various media mixtures is needed. I would refer the authors to standard tests promulgated by FLL.

Reviewer 2 Comment 3: P1, P2, and P5 were treated with different growing medium compositions. The reason for selecting these growing medium compositions should be stated first, as well as the detail information of those growing medium.

154

155 Twelve autochthonous Mediterranean plant species were planted in P1, P2 and P5. These were  
 156 selected by their adaptation to tolerate drought stress, intense lighting, extreme heat and shallow  
 157 substrates, which are exactly the biological and ecological characteristics needed for green roofs  
 158 in urban Mediterranean ecosystems. The species used were: *Acinos alpinus*, *Bellis perennis*,  
 159 *Brachypodium retusum*, *Cerastium tomentosum*, *Dianthus arenarius*, *Lobularia maritima*, *Lotus*  
 160 *corniculatus*, *Paronychia argentea*, *Phagnalon saxatile*, *Sanguisorba minor*, *Sedum sediforme* and  
 161 *Trifolium repens*.

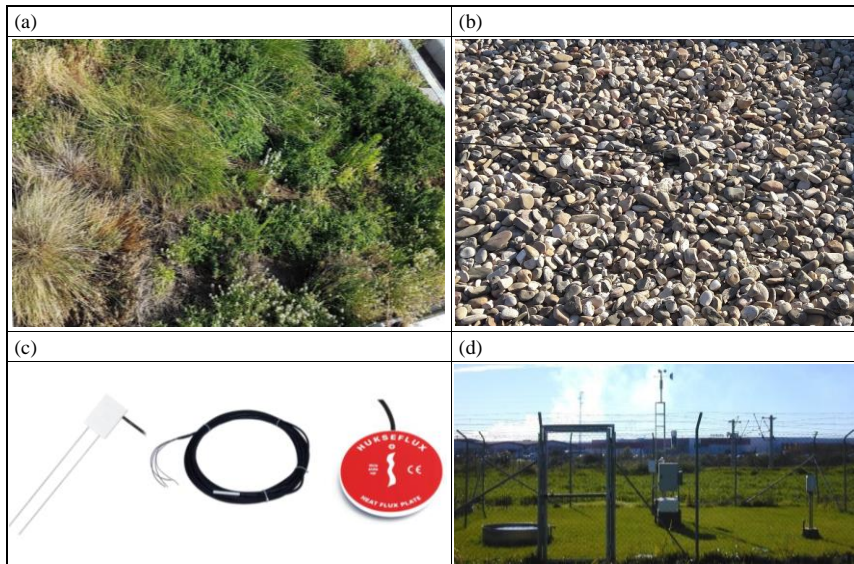
**Comentado [FCM18]:** Reviewer 2 Comment 4: Line, 128-131. The 12 species were planted in P1, P2, and P5. Were the three plots all be planted with the 12 species? at what percentage/density? The planting plan affects LAI and vegetation coverage

Reviewer 3 Comment 9: Please explain the choice of the 12 plant species used in the experiments. What are the justifications to use so many species within the rather small plots? How were the individual species mixed in the plots? Was each species planted systematically in rows or squares, or were the species planted in a random manner? What was the seedling size, and the planting density? How long did it take for them to establish? Was data collection started after full vegetation establishment?

162 The area of each plot was divided into 18 experimental micro-plots of 0.75 m<sup>2</sup>. 12 plants were  
 163 planted in each micro-plot, 1 unit of each selected species. The placement of the different species  
 164 was not carried out randomly. It was based on the premise that all the species interacted with each  
 165 other, in order to evaluate these interactions. The number of units planted in the available area of  
 166 the plot resulted in a planting density of 15.34 plants/m<sup>2</sup>, density very close to that recommended  
 167 by the German Guideline FLL [36], 16 plants/m<sup>2</sup>.

168 The experimental extensive green roofs were installed in May 2015. The experimental results  
 169 were collected after obtaining all the vegetation coverage of each plot. The fractional vegetation  
 170 coverage,  $\sigma_f$ , and the leaf area index, LAI, were estimated through direct measurements on each  
 171 green roof plot, obtaining values of  $\sigma_f= 0.59$  and LAI= 2 for P1,  $\sigma_f=0.56$  and LAI= 1.7 for P2,  
 172 and  $\sigma_f= 0.53$  and LAI=1.5 for P5. These values were considered constant throughout the period  
 173 studied. This method of direct measurement was based on obtaining the areas using the Image J  
 174 software [37].

**Comentado [FCM19]:** Parte del Reviewer 1 Comment 6  
 Reviewer 2 Comment 5: What method was used to measure LAI?  
 Reviewer 1 Comment 6: It would be very helpful to see a plot of plant index for the three green roof systems over the experimental period. How does leaf index correlate with the performance. Only TL is referred to. Why aren't heat flux, CP and DF also affected?



176 Fig. 4. Images of a) P1, b) Pref, c) probes used, d) weather station.  
 177

**Comentado [FCM20]:** Reviewer 3 Comment 7: Please add one or more photos showing the green roof plots with the vegetation and the instruments.

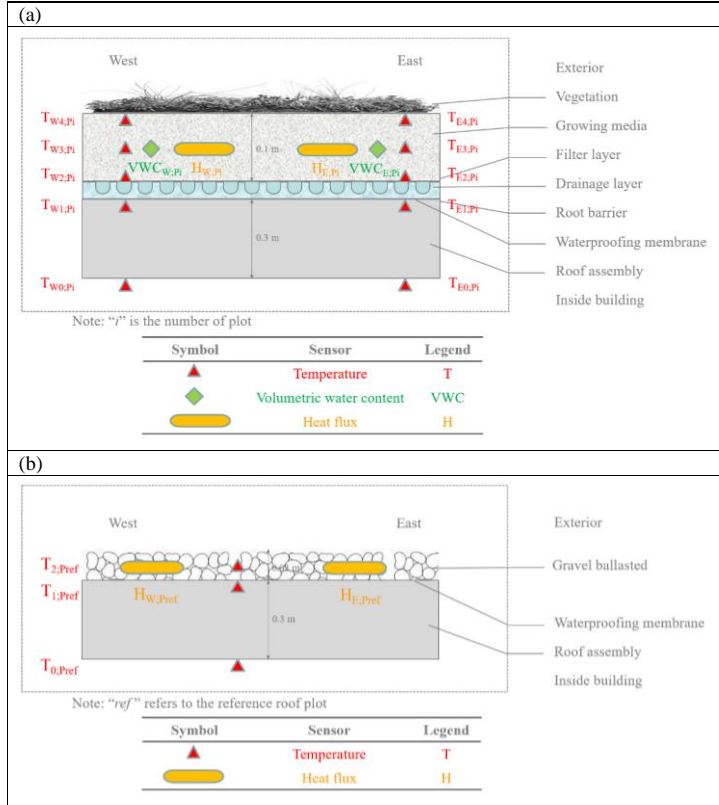
### 178 2.3 Description of monitoring system

179 Meteorological data were monitored by a weather station, placed near the experimental  
 180 installation, see Fig. 4d. The data recorded were: ambient air temperature, relative humidity,  
 181 rainfall, atmospheric pressure, speed and direction of the wind and solar radiation, see Fig. 4c.

182 The specification of measuring devices, the type of sensor and its accuracy are shown in Table 4.

**Comentado [FCM21]:** Reviewer 3 Comment 12: As the specifications of the monitoring sensors have been summarized in Table 3, their descriptions in the text could be considerably shortened. It may not be necessary to repeat the factual information in the text

183 Two acquisition point (East and West) properly spaced from their edges to avoid boundary effects,  
184 were installed in P1, P2 and P5, for the monitoring of the main variables, see Fig. 3 and Fig. 5. In  
185 each acquisition point, along the vertical profile, five probes of temperature were installed. The  
186 temperature probes were located under the roof slab,  $T_{W0,Pi}$  and  $T_{E0,Pi}$ , between the roof slab and  
187 the root barrier,  $T_{W1,Pi}$  and  $T_{E1,Pi}$ , between the drainage layer and the bottom part of the growing  
188 media,  $T_{W2,Pi}$  and  $T_{E2,Pi}$ , in the middle height of growing media,  $T_{W3,Pi}$  and  $T_{E3,Pi}$ , and in the  
189 upper part of the growing media,  $T_{W4,Pi}$  and  $T_{E4,Pi}$ , as shown in Fig. 5a.



190 Fig. 5. Plots layers and sensors; a) P1, P2 and P5; where "i" is the number of the plot; b) Pref.

191 Volumetric water content, VWC, was measured using a water content reflectometer in each  
 192 acquisition point,  $VWC_{W,Pi}$  and  $VWC_{E,Pi}$ , as shown in Fig. 5a. Heat flux was measured by a  
 193 heat flux plate in each acquisition point in the middle of the growing media,  $H_{W,Pi}$  and  $H_{E,Pi}$ , as  
 194 shown in Fig. 5a. Two acquisition points (East and West) were also installed in Pref to monitor  
 195 the main variables, Fig. 5b. In each acquisition point, along the vertical profile, three temperature  
 196 probes were installed. The temperature probes were located under the roof slab,  $T_{0,Pref}$ , between  
 197 the roof slab and the gravel ballasted layer,  $T_{1,Pref}$ , and in the upper part of the gravel ballasted,  
 198  $T_{2,Pref}$ , as shown in Fig. 5b. Heat flux was measured in Pref using a heat flux plate in each  
 199 acquisition point in the middle of the gravel ballasted,  $H_{W,Pref}$  and  $H_{E,Pref}$ , as shown in Fig. 5b.  
 200 The characteristics of the equipment of the experimental installation are shown in Table 4.

Table 4. Equipment and variables measured in the experimental campaign.

Equipment	Models	Accuracy	Variable	Name	Unit	City
Thermistors	Campbell 109	$\pm 0,25^{\circ}\text{C}$ (-10 to 70 $^{\circ}\text{C}$ )	Temperature	T	[ $^{\circ}\text{C}$ ]	Logan (USA)
Heat flux plate	Campbell HFP01	$\pm 5\%$	Heat Flux	H	[ $\text{W}/\text{m}^2 \text{K}$ ]	Logan (USA)
Water content reflectometer	Campbell CS616	$\pm 2,5\%$ (0 to 50%)	Volumetric Water content	VWC	[%]	Logan (USA)
Platinum resistance temperature	Vaisala HMP45C	$0,2^{\circ}\text{C}$ (-40 to 70 $^{\circ}\text{C}$ )	Air temperature	Ta	[ $^{\circ}\text{C}$ ]	Vantaa, (Finland)
Capacitive relative humidity	Vaisala HMP45C	2% (0 to 100%)	Air relative humidity	RH	[%]	Vantaa, (Finland)
Silicon photocell solar radiation	Campbell SP1110 pyranometer	5% (350 nm to 1100 nm)	Solar radiation	SR	[ $\text{W}/\text{m}^2$ ]	Logan (USA)
Wind speed and direction sensor	RM Young 05103	1% (0 to 100 m/s) 3 $^{\circ}$ (0 to 360 $^{\circ}$ )	Wind speed and direction	WS WD	[m/s] [ $^{\circ}$ ]	Traverse (USA)
Rain gauge	Campbell ARG100	98% at 20 mm/h	Rainfall	RF	[ $\text{m}^3/\text{m}^3$ ]	Logan (USA)

202 The green roof was equipped with a drip irrigation system managed by a time schedule module.  
 203 The irrigation was provided during the warmest months in summer to prevent water stress in  
 204 plants. The irrigation system operated twice a day in P1, P2 and P5, for 10 minutes and fed by 27  
 205 l each time.

206 A dedicated data acquisition system was used to sample the information of the sensors every 15  
 207 min. The experimental data was recorded for two years, from January 2016 to December 2017.  
 208 The measured values were filtered and then, analysed in spreadsheets for time steps of 15 min.  
 209 For the values measured at two points, East and West,  $T_{n,P_i}$ ,  $VWC_{P_i}$  and  $H_{P_i}$ , a mean value was  
 210 calculated, where n is the probe number with respect to Fig. 5 and  $P_i$  is P1, P2, P5 or Pref. These  
 211 mean values were used to calculate the dynamic parameters, with the same time steps. Finally,  
 212 daily average values were obtained for the dynamic parameters. Important hiatus was not  
 213 produced during the period studied, less than 0.3% of the data collected, which were removed.

#### 214 2.4 Dynamic variables used in the energy analysis

215 The experimental green roof analysis was evaluated according to several dynamic parameters  
 216 used in previous studies [33,38]. The dynamic parameters evaluated were the following:

- 217 • Sol-air temperature,  $T_{sa}$ , which is defined as the outside air temperature which, in the absence  
 218 of solar radiation, would give the same temperature distribution and rate of heat transfer

**Comentado [FCM22]:** Reviewer 2 Comment 6: Add irrigation plan. How much water was given?

**Reviewer 3 Comment 16:** The irrigation regime may have notable influence on the cooling performance of the green roofs. Please explain the time of the day when irrigation was applied, and how much water (estimated) was applied in each watering episode. The effect of irrigation could be added to the results and discussion sections.

**Comentado [FCM23]:** Reviewer 1 Comment 9: What was the time step for the recording and interpreting the measurements?

**Reviewer 1 Comment 10:** More discussion of the quality of the data. Was there a hiatus or excursion in the any of the data that would be relevant to interpreting the data?

**Reviewer 2 Comment 8:** Data treatment and analysis were not clear. Add a section to describe them.

**Comentado [MRdAS24]:** Normalmente cuando hay datos erróneos se eliminan y se interpola con los valores próximos para rellenar huecos en la base de datos. Decir solo que se eliminan puede sonar raro. Propondría algo así: appropriate interpolation methods were used in order to fill the gaps of the data

**Comentado [MP25]:** Reviewer 3 Comment 15: The meaning of "sol-air temperature" could be defined for the benefit of readers who are not familiar with this term.

219 through a roof as exists due to the combined effects of the actual outdoor temperature  
 220 distribution plus the incident solar radiation [39].  $T_{sa}$  was calculated with Eq. (1) for a  
 221 traditional roof [40]. This equation was characterized to calculate  $T_{sa}$  for plots with green  
 222 roofs, Eq. (2), taking into account evapotranspiration contribution, according to [33,38].

$$223 \quad T_{sa} = T_{amb} + \frac{\Delta R}{h_o} + \frac{\alpha}{h_o} \quad (1)$$

$$224 \quad T_{sa} = \frac{h_c}{h_c + h_r} T_{amb} + \frac{h_r}{h_c + h_r} T_{sky} + \frac{\alpha}{h_c + h_r} \quad (2)$$

225 Where  $T_{amb}$  is the external air temperature;  $\Delta R$  is the infrared radiation difference between  
 226 surface and sky and surroundings, expressed by Eq. (3);  $h_o$  is the heat transfer coefficient by  
 227 radiation and convection at the outer surface, expressed by Eq. (4);  $h_c$  is the mean convective heat  
 228 transfer coefficient, expressed by Eq. (5);  $h_r$  is the mean radiative heat transfer coefficient, expressed  
 229 by Eq. (6);  $\alpha$  is the product of the solar absorptance of the exterior surface and the rate of total  
 230 solar radiation incident per unit area upon surface expressed by Eq. (7);  $T_{sky}$  is the sky  
 231 temperature, which was calculated considering the sky as a black body [41], expressed by Eq. (8).

$$232 \quad \Delta R = \varepsilon \cdot \sigma \cdot (F_{sky} \cdot (T_{sky}^4 - T_{amb}^4) + F_{gnd} \cdot (T_g^4 - T_{amb}^4)) \quad (3)$$

$$233 \quad h_o = 10.08 + 10.8 \cdot WS \quad (4)$$

$$234 \quad h_c = \sigma_f \cdot h_{c_f} + (1 - \sigma_f) \cdot h_{c,s} \quad (5)$$

$$235 \quad h_r = \frac{\varepsilon_f \cdot \varepsilon_g}{\varepsilon_g + \varepsilon_f - \varepsilon_g \cdot \varepsilon_f} \cdot (4 \cdot \sigma \cdot T_{hs}^3) \quad (6)$$

$$236 \quad \alpha = \alpha_o \cdot SR_{Glob,H} \quad (7)$$

$$237 \quad T_{sky} = T_{amb} \cdot (\varepsilon_0 + 0.8 \cdot (1 - \varepsilon_0) \cdot C_{cover})^{0.25} \quad (8)$$

238 • Decrement factor, DF, is defined as the ratio between the maximum daily excursions of the  
 239 internal and external temperature fluctuations [31], expressed by Eq. (9).

$$240 \quad DF = \frac{T_{i,max} - T_{i,min}}{T_{e,max} - T_{e,min}} \quad (9)$$

**Comentado [FCM26]:** Reviewer 1 Comment 8: How were the various thermal transfer coefficients selected (alpha,  $h_o$ ,  $h_c$ ,  $h_r$ )? There is a citation, but the reader would like to see the values selected and the basis for this selection.

Reviewer 2 Comment 9: Eq.1 and 2. Is ambient temperature measured by the weather station (line 137-)? Where was the weather station placed? What were the meteorological data used for? What were the values that be used in Eq. 1 and 2? Delta R?  $h_o$ ?  $h_c$ ?  $h_r$ ?  $T_{sky}$ ?

241 • Time lag, TL, is defined as the time difference between the maximum peak of the internal  
242 temperature and the maximum peak of the external temperature for summer climatic  
243 conditions, expressed by Eq. (10), and the time difference between the minimum peak of the  
244 internal temperature and the minimum peak of the external temperature for winter climatic  
245 conditions, expressed by Eq. (11), [31].

$$246 \quad TL_{summer} = t_{Ti,max} - t_{Te,max} \quad (10)$$

$$247 \quad TL_{winter} = t_{Ti,min} - t_{Te,min} \quad (11)$$

248 DF and TL were evaluated considering  $T_{sa,pi}$  as the external boundary temperature for all the  
249 plots and  $T_{1,pi}$  as the internal boundary temperature for P1, P2 and P5, see Fig. 5a.  $T_{1,pref}$  was  
250 considered the internal boundary temperature for the reference plot, see Fig. 5b.

251 • Cooling potential, CP, is defined as the difference between the maximum internal boundary  
252 temperature of the reference plot and the maximum internal boundary temperature of the  
253 green roofs, according to Eq. (12).

$$254 \quad CP = T_{1,pref,max} - T_{1,pi,max} \quad (12)$$

255 Where  $T_{1,pref,max}$  is the maximum slab temperature value for Pref and  $T_{1,pi,max}$  is the maximum  
256 slab temperature value for P1, P2 and P5. CP was only calculated for the considered summer  
257 period.

258 • Heat flux, measured in the growing medium in the plots with green roofs and in the gravel  
259 ballasted layer in the reference plot, see Fig. 5. The heat flux sensors were placed such that  
260 a positive and negative reading signifies heat entering and leaving the building, respectively.

### 261 3 RESULTS AND ANALYSIS

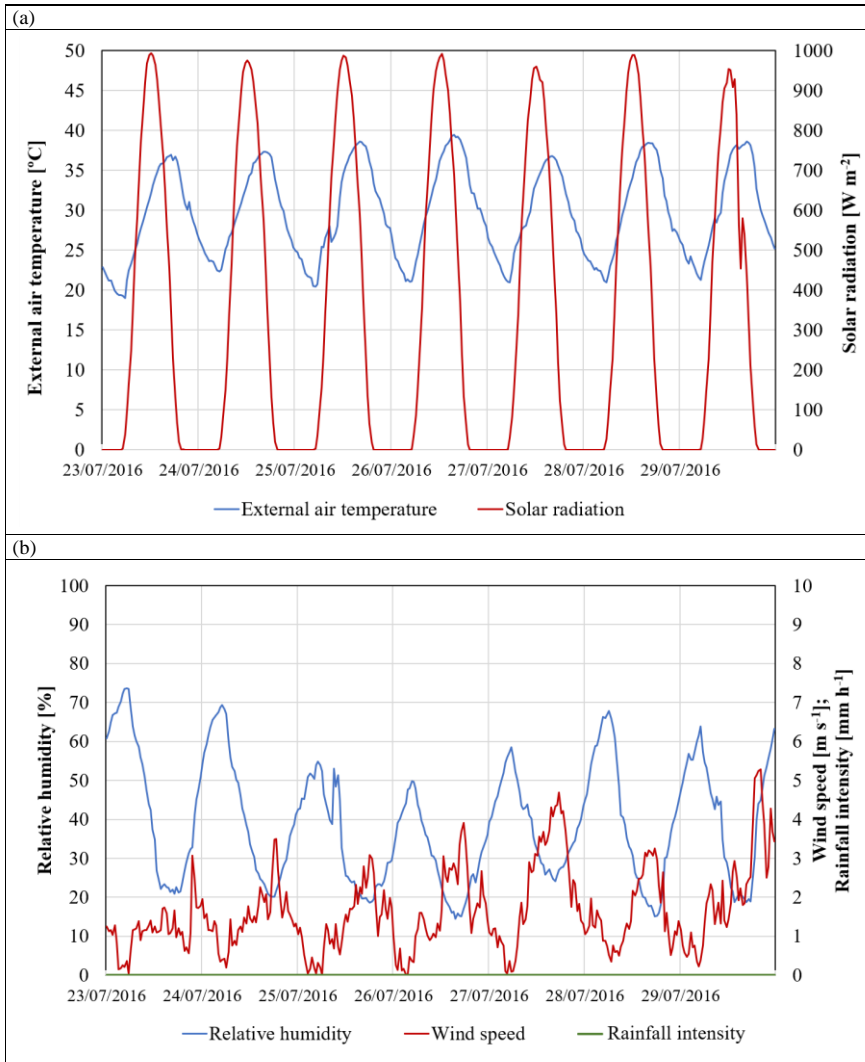
262 Three different green roof plots were studied throughout the years 2016 and 2017. The analysis  
263 of the experimental results for 2016 is presented in weekly, monthly and annual analysis to  
264 correctly understand the behaviour of the extensive green roof plots for the climatic conditions of  
265 Córdoba. Nevertheless, the analysis of the experimental results for 2017 is presented in annual  
266 analysis, because the monthly average temperature values were similar for both years.



267 **3.1 Summer behaviour of the extensive green roof**

268 A typical summer week was selected for the study of the summer behaviour of the green roof  
269 plots, analysing in depth the climatic conditions, the substrate temperature profile and the TL, DF  
270 and CP parameters.

271 The climatic conditions for the selected summer period, from 23/07/2016 to 29/07/2016, are  
272 shown in Fig. 6. The values of total horizontal solar radiation and external air temperature were  
273 similar for each day of the week, reaching peaks of 994 W/m<sup>2</sup> and 39.4 °C, respectively, see Fig.  
274 6a. It can also be observed a weekly oscillation of relative humidity between 14.5% and 73.7%,  
275 a weekly variation of wind speed between 0 m/s and 4.8 m/s and absence of rainfall, see Fig. 6b.



277 Fig. 6. Climatic conditions of the selected summer period. (a) Solar radiation and external air  
 278 temperature. (b) Rainfall, windspeed and relative humidity.

**Comentado [FCM27]:** Reviewer 3 Comment 21: In Figure 5, the y-axis label "Rainfall" can be changed to "Rainfall intensity".

279 **3.1.1 Analysis of the temperature profile and water content in the plots**

280 The temperature values measured and sol-air temperature calculated,  $T_{sa}$ , for the four plots, and

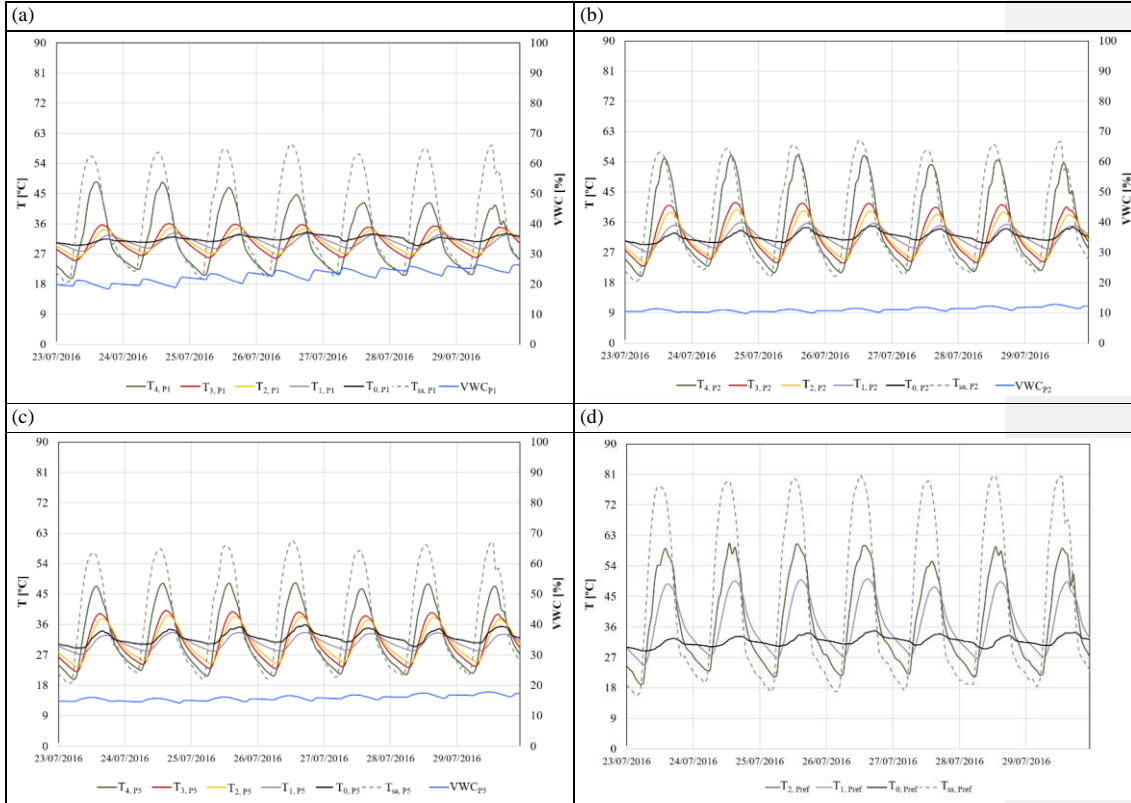
281 VWC in the substrates of P1, P2 and P5, for the selected summer period are shown in Fig. 7. The

282  $T_{sa}$  and VWC values were obtained from the average value measured by the two probes located  
283 in the same horizontal profile. Regarding the water content in the substrate, it can be observed an  
284 increase in its value in the three green roofs when the irrigation was operating. For P1, VWC  
285 values oscillated between 18.3% and 26.4% during the week, with a weekly average value of  
286 22.8%, see Fig. 7a. For P2, the variation was less than for P1, between 9.7% and 12.8%, with a  
287 weekly average value of 11.1%, see Fig. 7b, and finally, for P5, the oscillation obtained was  
288 between 14.1% and 17.9%, with a weekly average value of 15.9%, see Fig. 7c.

289 These results showed that the substrate of P1, with 100% commercial growing medium, managed  
290 to retain more water in summer than the rest of the plots, using the same amount of watering. The  
291 substrate of P2, with 75% commercial growing medium and 25% recycled construction materials,  
292 was the one that retained the least water, with values lower than the substrate of P5, with 50%  
293 commercial growing medium and 50% recycled construction materials, see Fig. 7b and 6c. It can  
294 also be seen that the maximum temperatures in the green roofs were achieved for  $T_4$ , measured in  
295 the upper part of the substrate, with values of 48.5 °C, 55.7 °C and 48.2 °C for P1, P2 and P5,  
296 respectively. For the last days of the week, the highest  $T_4$  values of the green roofs decreased as  
297 the water content in the substrate increased, especially in P1, see Fig. 7a, 6b and 6c. However, the  
298 highest  $T_{2, Pref}$  values were stable throughout the week, because there was no irrigation and the  
299 highest external temperatures was also constant, see Fig. 6a. Therefore, these results show the  
300 relation between the substrate used and VWC.

301 The temperature values measured decreased according to the depth of the four plots. The  
302 minimum temperature values for the three plots with green roofs were obtained for  $T_1$ , measured  
303 below the drainage layer, oscillating between 27.6 °C and 34.8 °C for P1, see Fig. 7a, between  
304 27.2 °C and 35.9 °C for P2, see Fig. 7b, and between 27.3 °C and 33.6 °C for P5, see Fig. 7c. For  
305 Pref,  $T_{1, Pref}$  values varied between 30.1 °C and 50.1 °C.

306 Regarding  $T_{sa}$ , similar values were obtained for the three plots with green roofs, with maximum  
307 and minimum values of 60.0 °C and 18.5 °C, respectively. However,  $T_{sa}$  values for Pref increased  
308 significantly during the morning, up to 80.5 °C, and decreased during the night, up to 16.0 °C.



309 Fig. 7. Temperature profile measured, sol-air temperature and water content measured in the  
 310 substrate for (a) P1; (b) P2; (c) P5; (d) Pref, in the summer period.

**Comentado [FCM28]:** Reviewer 1 Comment 4: How does the climate control program influence the determination of DF?

Reviewer 3 Comment 13: In the indoor space below the green roofs, was indoor air temperature monitored in addition to ceiling temperature.

### 311 3.1.2 Time lag and decrement factor analysis

312 The values of decrement factor, DF, and time lag, TL, for the four plots were calculated using  
 313 Eqs. (3) and (4), respectively. The daily results of DF and TL for the summer week are shown in  
 314 Fig. 8.

315 The parameter DF showed the oscillations of  $T_{1,Pi}$  ( $\Delta T_i$ ), temperature values between the roof slab  
 316 and the root barrier, respect to the oscillations of  $T_{sa,Pi}$  ( $\Delta T_c$ ), see Eq. (9). The higher  $\Delta T_c$  or the  
 317 lower  $\Delta T_i$ , the lower DF is. In Fig. 7, it can be observed that  $\Delta T_c$  values were similar for the three  
 318 green roofs, because the  $T_{sa}$  values were similar. The  $\Delta T_i$  values varied in each plot with green  
 319 roofs, mainly due to the capacity of the substrate to retain water, VWC, during daily irrigation.

**Comentado [FCM29]:** Reviewer 1 Comment 5: Regarding DF, wouldn't a low DF (low inside T fluctuation and high outside T fluctuation) indicate a higher potential for energy fluxes into and out of the building? The key factor for reducing heat fluxes would seem to be reduction in the temperature fluctuations at the surface of the media. It would be helpful to the reader if the implications of DF, TL and CP were explained in greater detail.

Reviewer 1 Comment 6: It would be very helpful to see a plot of plant index for the three green roof systems over the experimental period. How does leaf index correlate with the performance. Only TL is referred to. Why aren't heat flux, CP and DF also affected?

Reviewer 3 Comment 16: The irrigation regime may have notable influence on the cooling performance of the green roofs. Please explain the time of the day when irrigation was applied, and how much water (estimated) was applied in each watering episode. The effect of irrigation could be added to the results and discussion sections.

320 Therefore, the higher capacity to retain water, lower values of  $\Delta T_i$  and DF were obtained, see Fig.  
321 7. The lowest DF values were always achieved in P1, with an average weekly value of 0.12, see  
322 Fig. 8a, in agreement with the lowest values of  $T_{1,P1}$ , as shown in Fig. 7a, mainly due to the high  
323 capacity of the substrate to retain water. Comparing the three plots with green roofs, the highest  
324 DF values were obtained in P2, with an average weekly value of 0.19, see Fig. 8a, mainly due  
325 to the low capacity of retaining water in its substrate, so the oscillations of  $T_{1,P2}$  were higher, see  
326 Fig. 7b. The DF values increased significantly in Pref. It can be observed that Pref presented the  
327 highest DF values throughout the selected period, with an average weekly value of 0.36, see Fig.  
328 8a, mainly due to the fluctuation of the slab temperature,  $T_{1,Pref}$ , see Fig. 7d. These results indicated  
329 that, for very warm and dry climatic conditions, the higher the capacity to retain water in the  
330 substrate, the higher the reduction in the oscillation of the slab temperature,  $T_{1,Pi}$ , and DF is.  
331 The TL parameter measured the difference in time between the maximum daily  $T_{sa,Pi}$  values and  
332 the maximum daily  $T_{1,Pi}$  values for the summer climatic conditions, see Eq. (10). The TL results  
333 were related to the fractional vegetation coverage, the leaf area index, the composition of the  
334 substrates and their capacity to retain water and the water accumulated in the drainage layer.  
335 The TL values shown in Fig. 8b could be divided into several part of TL according to the layers  
336 of the plots. There was a TL from the maximum daily  $T_{sa,Pi}$  value to the maximum daily  $T_{4,Pi}$  value  
337 (vegetation layer), see Fig. 7, another TL of maximum daily temperatures from  $T_{4,Pi}$  to  $T_{2,Pi}$   
338 (substrate layer), see Fig. 7, and finally, another TL of maximum daily temperatures from  $T_{2,Pi}$  to  
339  $T_{1,Pi}$  (water accumulation layer), see Fig. 7. The TL values due to the vegetation layer were similar  
340 for the three plots, between 1 h and 2 h. The TL values due to the substrate layer were higher for  
341 P1 than P2 and P5, due to volumetric water content of the substrates. Finally, the highest TL  
342 values due to the water accumulation layer were for P2, see Fig. 7, because its substrate drained  
343 more water than the other substrates and consequently there was more water accumulated in this  
344 layer. As a result, the order of the plots that presented from the highest to the lowest values of TL  
345 were P1, P2, P5 and Pref, with average weekly values of 6:08 h, 5:17 h, 4:17h and 2:42h,  
346 respectively, see Fig. 8b, mainly due the cross-effects of the different layer of the plots. Previous

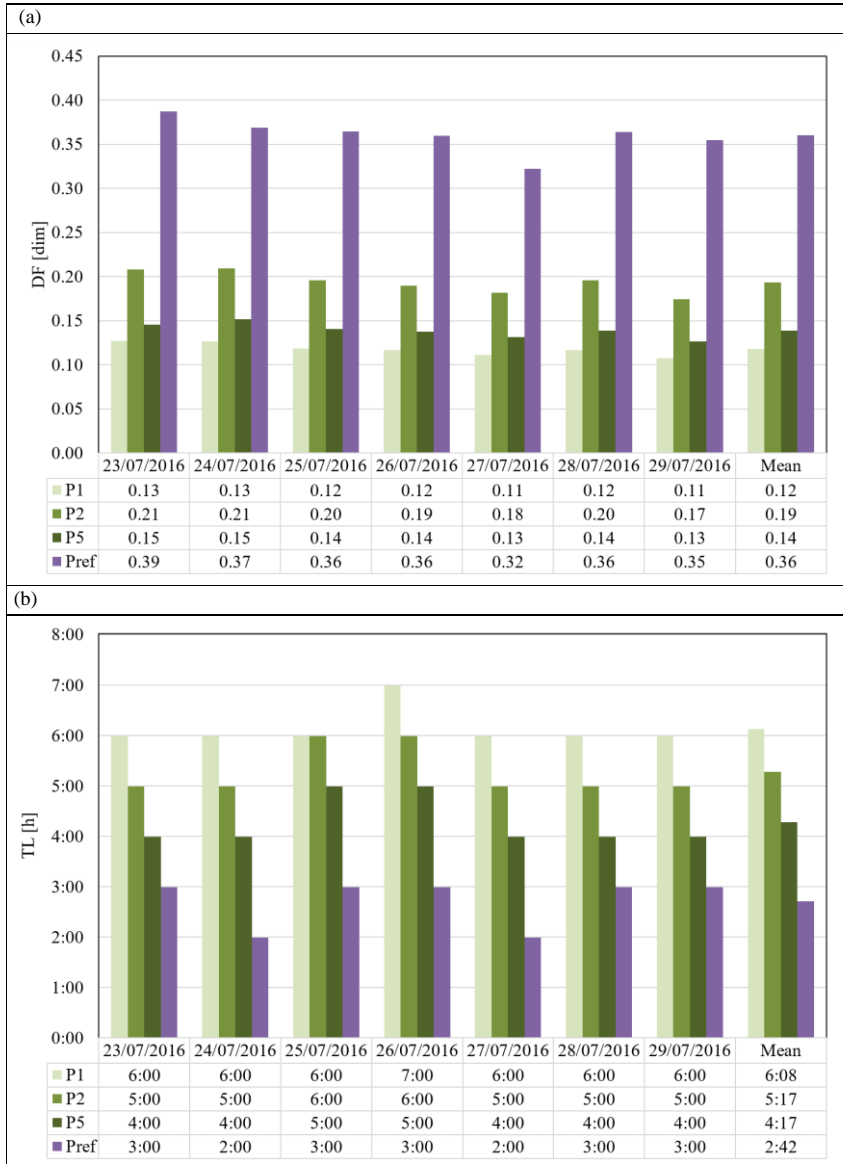
Comentado [MRdAS30]: Sobra una coma

Comentado [MRdAS31]: were mainly related

Comentado [MRdAS32]: see Fig. 7. In this case, the substrate drained...

Comentado [MRdAS33]: sobra una coma

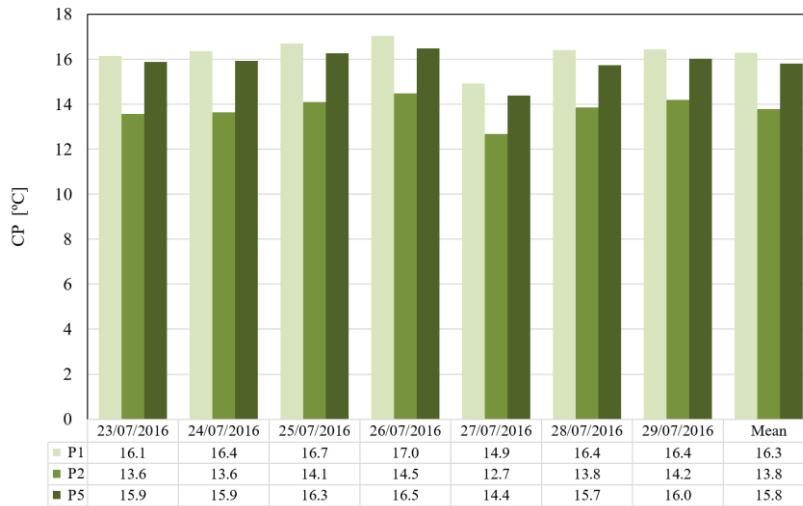
347 studies also showed the importance of vegetation and composition of the substrate in the dynamic  
348 characterization of a green roof [33], with maximum weekly average TL values of 4:20 h.  
349 Nevertheless, in the present work higher TL values were found, up to 6.08 h, as shown in Fig. 8b.  
350 The TL values confirm the green roof benefits for the retrofit of buildings without insulation by  
351 delaying the peak in the maximum surface temperature.



352 Fig. 8. Values of (a) decrement factor and (b) time lag for P1, P2, P5 and Pref in the selected  
 353 summer period.

354 **3.1.3 Cooling potential**

355 The cooling potential, CP, of the three plots with green roofs was evaluated for the selected  
 356 summer period, according to Eq. (12). The results of daily CP and a weekly average value for  
 357 each plot are shown in Fig. 9. It can be seen that the highest CP values were obtained in P1, with  
 358 a weekly average value of 16.3 °C. The second plot with the highest CP was P5, with a weekly  
 359 average of 15.8 °C, 3% less than P1. Finally, P2 had the lowest CP values compared to the rest of  
 360 the plots with green roofs, a 15% less than P1. This study showed that the plots with green roofs  
 361 always allowed the slab temperature to reduce by more than 12.7 °C, compared to Pref. These  
 362 results were inversely proportional to the DF values, Fig. 8a, where the green roof that had the  
 363 greatest capacity to retain water in the substrate, P1, achieved the best results. Therefore, the  
 364 higher the capacity to retain water in substrate, the higher CP is.



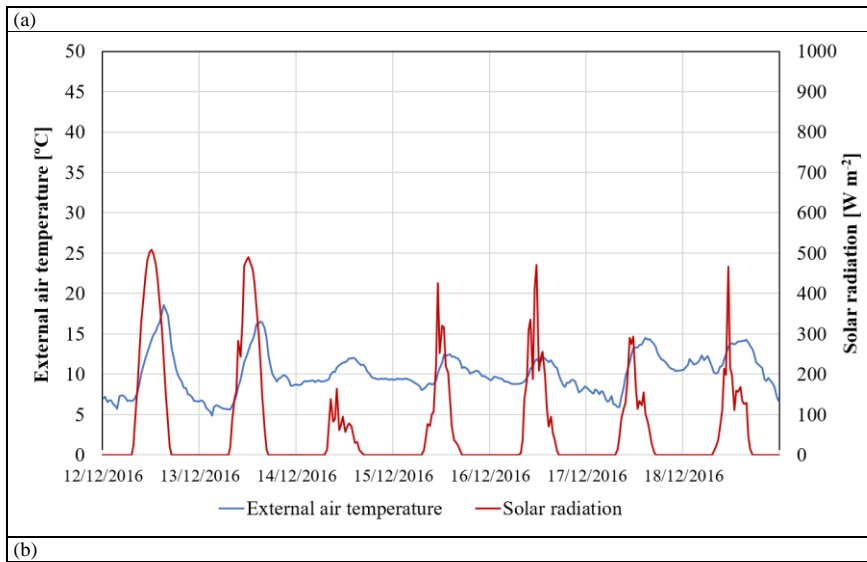
365  
 366 Fig. 9. Cooling potential values for P1, P2 and P5 in the selected summer period.

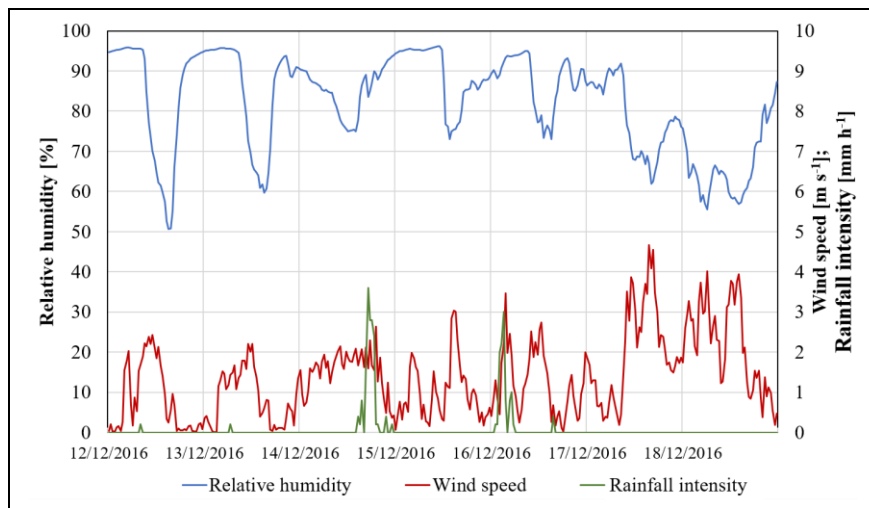
367 **3.2 Winter behaviour of the extensive green roof**

368 A weekly analysis for a typical winter week, similar to that performed in section 3.1, was carried  
 369 out. The winter week selected was from 12/12/2016 to 18/12/2016. The climatic conditions, the  
 370 substrate temperature profile and the TL and DF parameters were also analysed for this week.



371 The climatic conditions for the selected winter period are shown in Fig. 10. The peak values of  
372 total horizontal solar radiation and external air temperature varied each day, with maximum  
373 values of 507.7 W/m<sup>2</sup> and 18.6 °C during the first day, respectively, see Fig. 10a. The selected  
374 week also had some rainy days, with a total weekly rainfall of 26.8 mm/h, see Fig. 10b. The  
375 minimum values of total horizontal solar radiation and external air temperature were obtained for  
376 day 14/12/2016, see Fig. 10a, coinciding with the day with the highest rainfall, see Fig. 10b. It  
377 can also be observed an oscillation of relative humidity between 50.7% and 96.1% and wind speed  
378 between 0 m/s and 4.7 m/s.





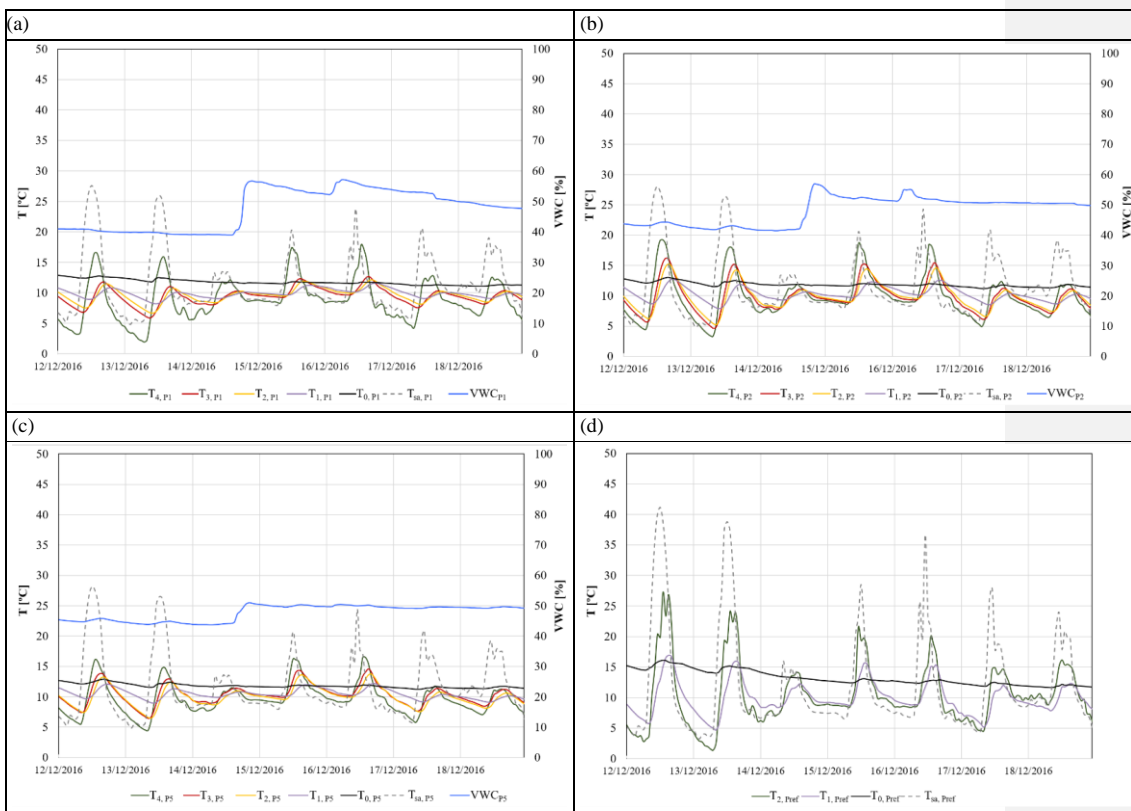
379 Fig. 10. Climatic conditions of the selected winter period. (a) Solar radiation and external air  
 380 temperature. (b) Rainfall, windspeed and relative humidity.

Comentado [FCM34]: Reviewer 3 Comment 21: In Figure 5, the y-axis label "Rainfall" can be changed to "Rainfall intensity".

### 381 3.2.1 Analysis of the temperature profile and water content in the plots

382 Temperature profile, sol-air temperature and volumetric water content in the substrate of the four  
 383 plots for the selected winter week are shown in Fig. 11. The irrigation during this week wasn't  
 384 planned, so the percentage of water present in the substrate depended on the air humidity and the  
 385 amount of rainfall, see Fig. 10b. It can be observed that the weekly average VWC values for the  
 386 three plots with green roofs were similar, 47.6%, 48.2% and 47.7% for P1, P2 and P5,  
 387 respectively. For the first two days, that had low rainfall, the VWC values oscillated between 39.5  
 388 % and 43.6%. However, these values increased in the following days, that had high rainfall, up  
 389 to 56.8%, 56.7% and 51.2% for P1, P2 and P5, respectively. Regarding the temperatures  
 390 measured, the T<sub>4</sub> values for green roofs and the T<sub>2</sub> values for Pref oscillated each day, more than  
 391 the rest of the temperature values measured, due to the fact that the climatic conditions had more  
 392 influence on their reading. The maximum T<sub>4</sub> values obtained were 17.8 °C, 19.8 °C and 17.1 °C  
 393 for P1, P2 and P5, respectively, and the maximum T<sub>2</sub> values obtained for Pref was 27.2°C. These  
 394 temperature peaks decreased as a function of the depth measured for the four plots, as well as for

395 the summer period studied, obtaining the lowest oscillations for  $T_1$  for all plots. The maximum  
 396 values of  $T_1$  for P1, P2, P5 and Pref were 11.6 °C, 12.6 °C, 12.2 °C and 16.5 °C, respectively.  
 397 In Fig. 11, it can also be seen that the  $T_{sa}$  values for the plots with green roofs were similar, with  
 398 maximum and minimum values of 28.2 °C and 4.8 °C, respectively. However,  $T_{sa}$  values for Pref  
 399 increased significantly during the morning, up to 41.2 °C, and decreased during the night, up to  
 400 3.2 °C.



401 Fig. 11. Temperature and water content values in the substrate of (a) P1; (b) P2; (c) P5; (d) Pref,  
 402 in the winter period.

### 403 3.2.2 Time lag and decrement factor analysis

404 The values of DF and TL for the four plots were calculated using Eqs. (9) and (11), respectively.

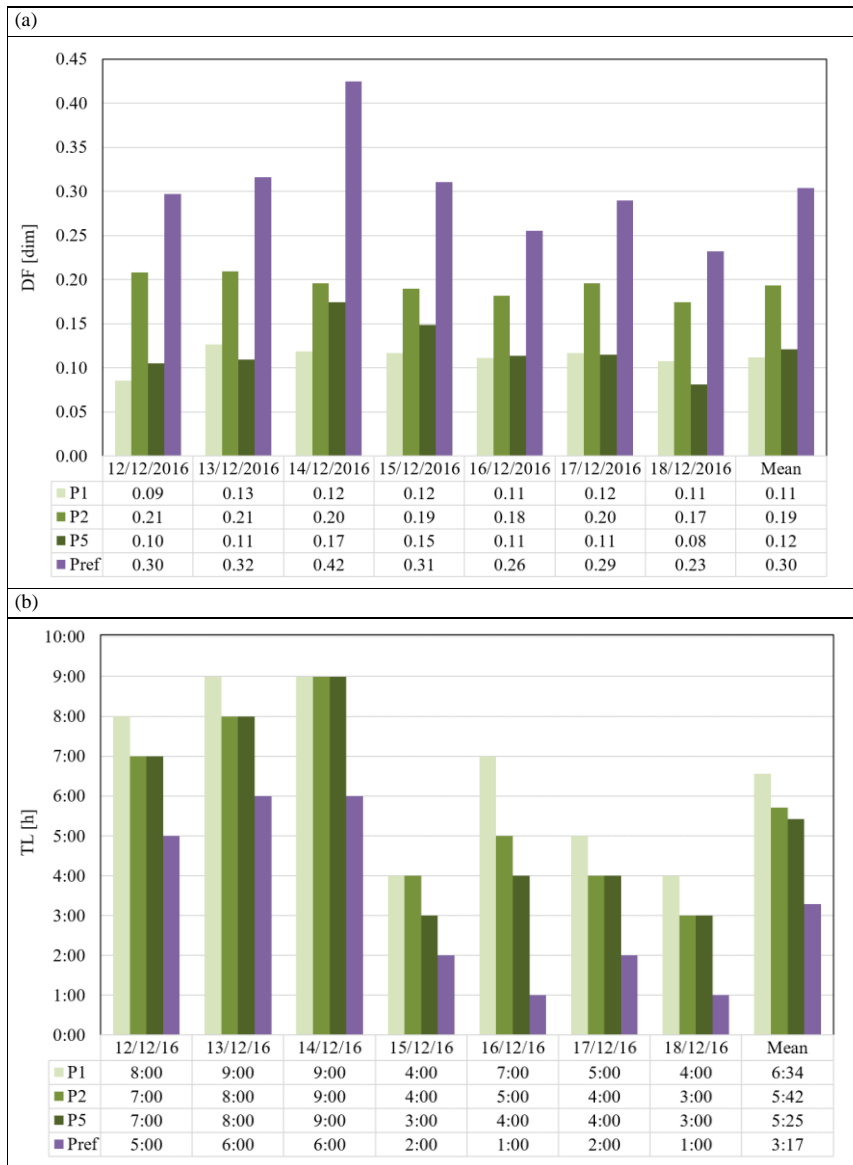
405 The results of both parameters throughout the selected winter period are shown in Fig. 12. It can

**Comentado [FCM35]:** Reviewer 1 Comment 4: How does the climate control program influence the determination of DF?

Reviewer 3 Comment 13: In the indoor space below the green roofs, was indoor air temperature monitored in addition to ceiling temperature.

406 be observed that Pref always presented the highest DF values, with an average weekly value of  
407 0.30. These values were significantly reduced in the plots with green roofs, as was already  
408 observed in the summer week. The lowest DF values were obtained for P1 and P5, with weekly  
409 average values of 0.11 and 0.12, respectively. The highest DF values for the plots with green roof  
410 were achieved for P2, with a weekly average value of 0.19, due to the oscillations of  $T_{1,P2}$ , see  
411 Fig. 11b, since the  $T_{sa}$  values were similar for the three plots with green roof.  
412 Regarding TL, this parameter measured the difference in time between the minimum daily  $T_{sa,Pi}$   
413 values and the minimum daily  $T_{1,Pi}$  values for the winter climatic conditions, see Eq. (11). The  
414 results showed that the lowest values were achieved in Pref, with an average weekly value of 3:17  
415 h, as shown in Fig. 12b, and the highest values were almost always obtained for P1, with an  
416 average weekly value of 6:34 h, mainly due to the higher amount of plants in P1. These TL values,  
417 usually reduced for P2 and P5, with average weekly value of 5:42 h and 5:25 h, respectively.  
418 The green roofs allowed a significant reduction in the DF values and an increase in the TL values,  
419 compared to the Pref results, despite the high amount of water in the substrates, around 48%, see  
420 Fig. 11a, Fig. 11b and Fig. 11c. In fact, for rainy and cold climatic conditions in the winter period  
421 considered, the trends of DF and TL were mainly due to the vegetation coverage and the  
422 composition of the substrates each plot, achieving a reduction in the oscillation of the slab  
423 temperature and delaying the minimum peaks of temperature in winter.

**Comentado [MP36]:** Reviewer 1 Comment 13: In one instance the plant foliage is described as influencing insulation values. It is not correct to use the term insulation except in the context of true material thermal conductivity. Leaf surfaces are important in latent heat transfers (transpiration), shading, and thermal emissivity.



424 Fig. 12. Values of (a) decrement factor and (b) time lag for P1, P2, P5 and Pref in the selected  
 425 winter period.

426 **3.3 Energy flux analysis**

427 A monthly and annual energy analysis for the plots with green roofs and the reference plot was  
428 performed. For this analysis, the transfer of heat flux between the roofs and the interior of the  
429 building was measured.

430 **3.3.1 Monthly energy flux analysis**

431 Monthly cumulative energy flux gains and losses for P1, P2, P5 and Pref for 2016 are shown in  
432 Fig. 13. The net energy is also shown in Fig. 13, which indicates the difference between the gains  
433 and losses of heat through the plot. First, the results obtained in July were analysed, in order to  
434 relate them to the parameters previously studied in the summer week. It can be observed that for  
435 this month the highest decrease of energy gains was achieved in P1, with a value equal to 11.9  
436 kW h m<sup>-2</sup>, 83% less than Pref, 69.6 kW h m<sup>-2</sup>, see Fig. 13a and Fig. 13d. For P5, it was also  
437 possible to significantly reduce the energy gains in respect to Pref in July, with a value of 24.7  
438 kW h m<sup>-2</sup>, 64.5% less than Pref, see Fig. 13c. For the same month, P2 achieved the lowest  
439 reduction of energy gains, with a value equal to 26.6 kW h m<sup>-2</sup>, 61.8% less than Pref, see Fig.  
440 13b. This trend, for the month of July, agreed with the dynamic parameters previously studied,  
441 DF and CP, due to the capacity to retain water in the substrate, attenuating the maximum roof  
442 temperature peaks during a very warm month. Therefore, the lower DF and the higher CP, the  
443 lower heat flux gain is. This trend was similar to that obtained in August, because both months  
444 had similar climatic conditions, see Table 1. For June and September, P1 also achieved the highest  
445 reduction of energy gains, up to 84% less than Pref, however, P5 achieved lower reduction of  
446 energy gains than P2, mainly due to the reduction of the ambient temperature, 4.2 °C less than in  
447 July.

448 For the cold months, the results obtained in December were first analysed, in order to relate them  
449 to the parameters previously studied in the winter week. The highest decrease of energy losses  
450 was obtained in P1, with a value of -9.1 kW h m<sup>-2</sup>, 65.3% less than Pref in the same month, see  
451 Fig. 13a and Fig. 13d. For P2, the value of energy losses was -14.1 kW h m<sup>-2</sup>, 46.0% less than  
452 Pref in the same month, see Fig. 13b and 12d. For the same month, P5 achieved the lowest

**Comentado [FCM37]:** Reviewer 1 Comment 5: Regarding DF, wouldn't a low DF (low inside T fluctuation and high outside T fluctuation) indicate a higher potential for energy fluxes into and out of the building? The key factor for reducing heat fluxes would seem to be reduction in the temperature fluctuations at the surface of the media. It would be helpful to the reader if the implications of DF, TL and CP were explained in greater detail.

453 reduction of energy losses,  $-17.5 \text{ kW h m}^{-2}$ , 33.7% less than Pref, see Fig. 13c and 12d. This trend  
454 was in accordance with the previous TL results, see Fig. 12b, which were related to  $\sigma_f$  and LAI in  
455 each plot. For the other cold months, such as January and February, the trend was similar for all  
456 plots. The maximum reduction of energy losses was obtained in P1 during the month of February,  
457 with a value equal to  $-6.7 \text{ kW h m}^{-2}$ , 77.4% less than Pref, see Fig. 13a and 12d.

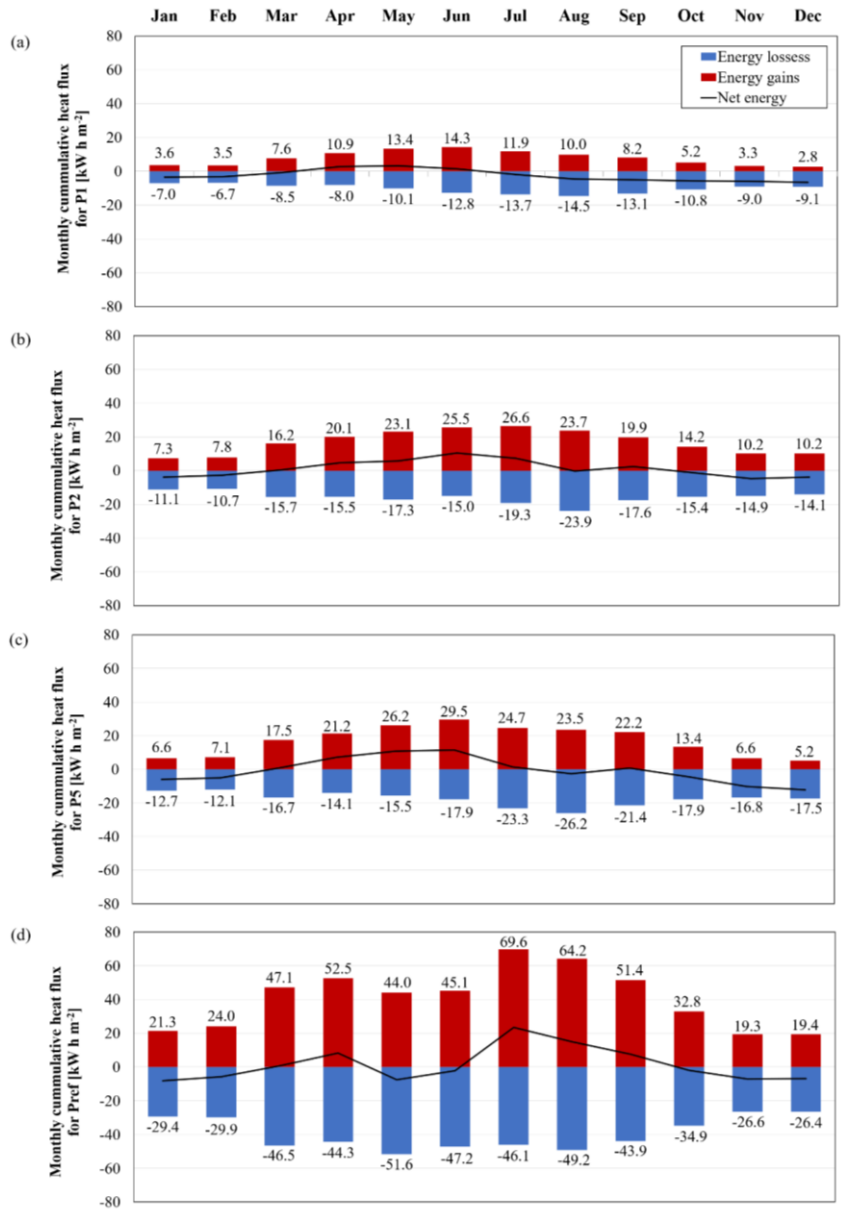


Fig. 13. Monthly cumulative energy flux for (a) P1, (b) P2, (c) P5, (d) Pref.

458  
459



### 460 3.3.2 Annual energy flux analysis

461 In this section, the annual cumulative energy flux for P1, P2, P5 and Pref for years 2016 and 2017  
462 are shown. The energy gains and losses values for 2017 were slightly higher than the results of  
463 the 2016 in all plots, see Fig. 14. This increase was mainly due to the slight rise in annual average  
464 ambient temperature and annual average solar radiation and, to the reduction in annual average  
465 rainfall during the 2017, see Table 1. The values of energy gains and losses in Pref for 2016 were  
466 of 490.7 kWh/m<sup>2</sup> and -476.0 kW h m<sup>-2</sup>, respectively, and for 2017 of 549 kWh/m<sup>2</sup> and -507.6 kW  
467 h m<sup>-2</sup>, respectively. Comparing these results with those obtained in the plots with green roofs, it  
468 can be observed that significant reductions in both energy gains and energy losses were achieved.  
469 As shown in Fig. 14, P1 presented the maximum reduction of energy gains and losses, with 81%  
470 and 74%, respectively, for year 2016, and with 80% and 70%, respectively, for year 2017. P5 was  
471 the plot with the lowest reduction in energy gains and losses, with a 58% and 55%, respectively,  
472 for 2016, and a 56% and 57%, respectively, for 2017.

473 These result show that the extensive green roofs under warm climatic conditions achieved high  
474 energy savings, between 55% and 81%, depending on the type of substrate and the fractional  
475 vegetation coverage of the plot. Green roofs with commercial substrate, as P1, obtained the best  
476 thermal performance, due to the capacity to retain water in the substrate.

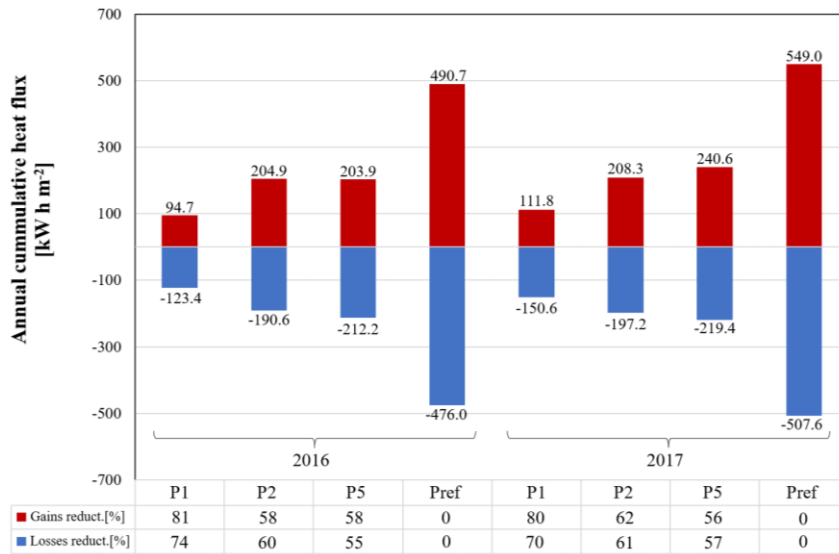


Fig. 14. Annual cumulative energy flux in P1, P2, P5 and Pref for 2016 and 2017.

#### 4 CONCLUSIONS

In this work, the thermal performance of three plots with green roofs, P1, P2 and P5, with different types of substrates were studied and compared with a traditional gravel ballasted roof, Pref. The substrate of P1 was composed of 100% of commercial growing medium, P2 of 75% of commercial growing medium and 25% of recycled construction materials, and finally, P5 of 50% of commercial growing medium and 50% of recycled construction materials. The thermal performance of these three green roofs under warm climatic conditions were studied in Córdoba (Spain), during two years, 2016 and 2017. The potential of green roofs for retrofitting of existing buildings was studied. A dynamic analysis based on decrement factor, DF, time lag, TL, cooling potential, CP, and annual reduction of energy demand for these green roofs was performed.

The experimental results showed that the three plots with green roofs achieved high reduction of DF and high increases of CP, compared to Pref for warm and dry climate, especially in P1 with a weekly average reduction of DF equal to 0.24 and a weekly average increase of CP equal to 16.3 °C. This behaviour was mainly due to the capacity to retain water in the substrate. The results

493 indicated that, for warm and dry climatic conditions, the higher the capacity to retain water in the  
494 substrate, the higher the reduction of DF and the higher the increase of CP is.

495 Significant increases of TL for the green roofs were obtained, up to 6:08 h and 6:34 h for P1  
496 during the hot and cold periods considered, respectively, compared to Pref. The TL results were  
497 related to the fractional vegetation coverage, the leaf area index, the composition of the substrates  
498 and their capacity to retain water and the water accumulated in the drainage layer, that gave a  
499 delayed the maximum slab temperature peak.

500 Finally, significant reductions of energy gains during the hot period and energy losses during the  
501 cold period were obtained in the three green roofs, compared to Pref, due to the capacity to retain  
502 water in the substrates and the fractional vegetation coverage of these plots. The annual average  
503 reductions in energy gains and losses of the three green roofs were 66% and 63%, respectively.  
504 These important energy savings were obtained during two particularly warm years in Córdoba  
505 (Spain).

506 It can be concluded that the use of green roofs could be considered for the retrofit of existing  
507 buildings under warm climatic conditions, as a measurement to achieve nZEB requirements.

508

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517

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**Comentado [MP38]:** Reviewer 1 Comment 13: In one instance the plant foliage is described as influencing insulation values. It is not correct to use the term insulation except in the context of true material thermal conductivity. Leaf surfaces are important in latent heat transfers (transpiration), shading, and thermal emissivity.

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