| 1 | Use of wheat genetic resources to develop biofortified wheat with enhanced grain zinc and |
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| 2 | iron concentrations and processing quality |
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| 19 | With 1 Table, 4 Figures and 1 supplementary table S1 |
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- 32 Abstract

| 34 | A major cereal crop worldwide, wheat contributes on average one-fifth of the calories in |
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| 35 | the human diet and is the main source of protein and nutrients for much of the world's |
| 36 | population. Wheat varieties with improved nutritional quality, high grain yield and desirable |
| 37 | processing quality attributes in the adapted genetic backgrounds can help alleviate nutrient |
| 38 | deficiencies among resource poor people. This paper reports advances in targeted crosses of |
| 39 | landraces and ancestors of common wheat (Triticum aestivum L.), such as Aegilops tauschii, T. |
| 40 | turgidum ssp. diccocoides, T. turgidum ssp. dicoccum and T.aestivum ssp. spelta species, which |
| 41 | feature significant genetic variation for grain zinc and iron, with high-yielding bread wheat lines |
| 42 | from the CIMMYT breeding program that have desirable processing and end-use quality. |
| 43 | Resulting high-yielding lines possessed preferred processing quality traits and 10-90% higher |
| 44 | grain micronutrient concentrations than popular commercial varieties. |
| 45 | Keywords: Malnutrition; Iron; Zinc; wheat quality; genetic resources. |
| 46 | |
| 47 | Abbreviations: GH, Grain Hardness; GPRO%, Grain Protein percentage; FPRO%, Flour |
| 48 | Protein percentage; Fe, iron; LV, Loaf Volume; M%TQ, Mixograph Torque; FSDS, Flour SDS |
| 49 | Sedimnetation; TW, Test Weight; Zn, zinc; |
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| 51 | 1.Introduction |
| 52 | Micronutrient deficiency is one of the most important challenges facing humanity. The |
| 53 | lack of adequate levels of essential vitamins and minerals (iron [Fe], zinc [Zn] and vitamin A) |
| 54 | affects more than 2 billion people (UNSCN 2006). Pregnant women and young children are |
| 55 | prone to acute micronutrient deficiency, which reduces physical and mental development in |
| | |

disease in persons of any age (UNSCN 2006). Micronutrient deficiency is common in
developing countries, where staple cereals (wheat, maize or rice) provide most calories and diets
are poor in meat, poultry, fish, fruits or vegetables (Bouis et al. 2011).

Plant breeding to develop biofortified crops with enhanced micronutrient concentrations 60 has emerged as a sustainable solution to complement strategies such as supplementation or 61 62 fortification, especially for micronutrient-deficient rural inhabitants with limited access to formal markets or health care and who rely heavily on locally-grown staple food crops (Bouis et al. 63 2011). With the funding from the HarvestPlus CGIAR Challenge Program and the CGIAR 64 Research Program on Agriculture for Nutrition and Health, the International Maize and Wheat 65 Improvement Center (CIMMYT) is leading a global effort to develop and disseminate to partners 66 in South Asia high-yielding wheat varieties that contain high levels of grain Zn and Fe. South 67 Asia suffers from high population densities and alarming rates of malnutrition (Velu et al. 2012). 68 Breeding competitive high-Fe and -Zn varieties requires source materials that feature 69 70 adequate genetic variation in concentrations of those micronutrients. Screening studies have shown that modern wheat cultivars are not a good source of genes for high Zn and Fe 71 (Monasterio and Graham 2000; Zhao et al. 2009), probably because the breeding programs in 72 73 which they were developed focused on maximizing yield rather than improving nutritional composition. However, wheat landraces and selected accessions of wheat ancestors such as 74 75 Aegilops tauschii, T. turgidum ssp. diccocoides, T. turgidum ssp. dicoccum, and T.aestivum ssp. 76 spelta do feature significant genetic variation for grain Fe and Zn concentrations (Cakmak et al. 77 2004; Gomez-Becerra et al. 2009; Suchowilska et al. 2012). Previous studies have explored the use of wide wheat genetic resources as sources of genes to enhance grain micronutrients (Fe and 78 79 Zn) concentrations in the adapted high-yielding backgrounds (Cakmak et al. 2000; Ficco et al.

80 2009; Morgounov et al. 2007; Zhao et al. 2009). Gomez-Becerra et al. (2010) identified more 81 than 200 *T. spelta* genotypes with Fe and Zn concentrations higher than 50 mg/kg; Monasterio 82 and Graham (2000) showed several accessions of *T. dicoccum* and Mexican landraces with 83 superior concentrations for both micronutrients and Chhuneja et al. (2006) reported *Ae. tauschii* 84 and synthetic lines with concentrations higher than 60 mg/kg for Fe and Zn. The availability in 85 the primary and secondary wheat gene pools, with genotypes containing high concentrations of 86 micronutrients was demonstrated in various studies reported in Velu et al. (2014).

Primary driver for adoption of biofortified wheat in small-holder farmers must provide 87 superior yields, resist important diseases and possess tolerance to heat, drought and potentially to 88 micronutrient-poor soils (Welch and Graham 2004). This has been the strategy of CIMMYT's 89 Global Wheat Program. Specifically, several synthetic wheat lines generated from selected T. 90 dicoccum, T. durum and A. tauschii accessions, as well as selected spelt wheats and wheat 91 landraces, have been crossed with high-yielding, elite wheats to develop high-yielding lines that 92 93 also possess enhanced grain micronutrient concentrations, with higher Zn content as a primary target trait. To obtain varieties acceptable to farmers and commercially competitive-that is, 94 acceptable to millers, manufacturers and consumers-rigorous selection pressure was also 95 96 applied for grain yield potential, disease resistance, heat and drought tolerance and acceptable processing quality. In South Asia, biofortified wheat products should feature medium-to-hard 97 98 grain texture, as well as extensible and medium-strength gluten, to produce *chappati*, the main 99 local flat bread, of acceptable texture. Lines showing superior dough extensibility combined with 100 medium-to-high gluten strength can also be used for products such as pan bread, thereby 101 promoting small-to-intermediate-scale local industry. Some have raised concerns, however,

about possible adverse effects on processing quality of using wheat genetic resources not
 previously characterized for quality traits.

The main objective of this study was thus to characterize for processing and end-use quality traits in a set of 141biofortified wheat lines with enhanced grain Zn and Fe concentrations, and to determine breeding materials derived from crosses involving wild relatives and landraces have preferred processing quality to produce different end-use products besides wheat with the higher grain Zn and Fe concentration.

- 109
- 110 **2. Materials and Methods**
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112 2.1 Plant material

We used grain samples of 141 advanced lines from the HarvestPlus Yield Trial (HPYT) 113 grown during the 2009-10 crop season in Ciudad Obregón, Sonora, México, under full irrigated 114 115 condition. Trial entries were evaluated following an alpha-lattice design with three replications. The recommended dosage of N-P-K fertilizer was applied and other agronomic practices 116 followed to raise a good crop. Plots were harvested at physiological maturity. The advanced lines 117 118 were divided into five groups depending on their origin or cross: I - 22 modern bread wheat lines used as checks in this study; II - 35 lines resulting from the cross of Mexican landraces with 119 120 modern cultivars; III - 26 lines derived from the cross of spelt accessions with modern cultivars; 121 IV – 45 lines resulting from the cross of synthetic wheat lines (*T. dicoccum* accessions x Ae. 122 *tauschii*) with modern cultivars; and V - 13 lines derived from the cross of synthetic wheat lines (T. durum accessions x Ae. tauschii) with modern cultivars. The complete pedigree of each line 123 124 is shown in Supplementary Material 1.

126 2.2 Grain and rheological analyses

| 127 | Grain Fe and Zn concentrations (mg/kg) were measured using a bench-top, non- |
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| 128 | destructive, energy-dispersive X-ray fluorescence spectrometry (EDXRF) instrument (model X- |
| 129 | Supreme 8000, Oxford Instruments plc, Abingdon, UK), previously standardized for high- |
| 130 | throughput screening of Zn and Fe in whole wheat grain (Paltridge et al. 2012). Grain hardness |
| 131 | (GH), grain protein (GPRO%) and moisture content were determined using near-infrared |
| 132 | spectroscopy (NIRS, NIR Systems 6500, Foss Denmark) according to official method AACC 39- |
| 133 | 70A (AACC 2000). Lower hardness index (%) values correspond to harder grains. Grain |
| 134 | samples were milled using Brabender Quadrumat Jr. (C.W. Brabender OHG, Germany). Flour |
| 135 | protein (FPRO%) and moisture content were determined by NIRS (Foss NIR systems |
| 136 | INFRATEC 1255, FOSS-TECATOR, Denmark). Both devices were calibrated based on AACC |
| 137 | methods (AACC 2000) for moisture (AACC Method 44-15A) and protein (AACC Method 46- |
| 138 | 11A). Grain protein and flour protein were adjusted to a 12.5% and 14% moisture basis, |
| 139 | respectively. The SDS sedimentation test was conducted using 1 g of flour, as described in Peña |
| 140 | et al. (1990) recording volume in ml of the sediment (FSDS). Dough development properties |
| 141 | were determined by computerized Mixograph of Swanson (National Mfg., USA) using 35 g of |
| 142 | flour. Two parameters were obtained: dough development time (MDDT) and % torque*min |
| 143 | (M%TQ). The strength (ALVW) and extensibility properties (tenacity/extensibility ratio, |
| 144 | ALVP/L) were determined in the Chopin Alveograph (Trippette and Renaud, France). The |
| 145 | bread-making test was carried out using the AACC 10-09 method (AACC 2000) and bread loaf |
| 146 | volume (LV) recorded. All data are given in Supplementary Material 1. |
| 147 | |

3. Results 148

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150 3.1 Fe and Zn concentration

The average grain Fe and Zn concentration in check varieties (Group I) was 29.4 mg/kg 151 and 21.7 mg/kg, respectively (Table 1). The maximum micronutrient concentration in this group 152 153 was 36 mg/kg Fe (GID 5996190) and 24 mg/kg for Zn (GID 5994262). Group II had several entries with higher Zn and Fe grain concentrations than the highest levels found in group I 154 (Figures 1 and 2). In groups III and IV, lines with spelt and emmer synthetics origins, 155 respectively, showed higher mean values for Zn and Fe than those of the checks and in each of 156 these groups at least one line was found to have higher Fe and Zn values than the maximum 157 value found in group I. In group III and IV, highest Zn levels were 44 mg/kg (GID 6181266) and 158 45 mg/kg (GID 618149), respectively. In all groups there were lines with significantly higher Zn 159 values than the maximum for the checks (Figure 1). For grain Fe content, groups III and IV 160 161 showed the higher number of lines with high concentrations, while groups II and V did not show promising results compared to the checks (Figure 1). For grain Zn concentration, groups II-V had 162 more lines with high Zn than group I. Remarkably, some genotypes in groups II, III and IV had 163 164 very high Zn concentrations (up to 53 mg/kg) and there were lines with 53, 44 and 44.5 mg/kg (in groups II, III and IV, respectively), which is more than twice the grain Zn levels of the best 165 166 commercial varieties.

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Using group I micronutrient content averages (29.4 and 21.7 mg/kg for Fe and Zn, 168 respectively) as a reference, groups III and IV had the highest proportion of lines with superior micronutrient concentrations (Figure 2). 169

171 *3.2 Grain physical and chemical characteristics*

Grain samples were tested for different processing quality traits, including the 172 173 morphological index of the grain Test Weight (TW). Group I had the highest TW values (average of 81.34 kg/hl), which indicates the presence of plump grain in trials conducted under 174 optimum conditions. All other groups except II also had an average TW over 80 kg/hl, with 175 176 properly filled grains. Group II lines were mostly derivatives of Mexican landraces and showed the lowest average TW value (76.37 kg/hl), which could be due to having smaller grains or 177 lacking adaptation to the warm, irrigated, high-production environment of Ciudad Obregón. For 178 texture, groups I, III, IV and V had similar average grain hardness values, although there was 179 considerable variation for hardness within each groups, with phenotypes showing a range of 180 values from hard to soft grains. Group II comprised mainly soft grain lines, with only five lines 181 showing hard or semi-hard texture. 182

No significant differences were found for mean GPRO% and FPRO% among the groups.
The differences between the minimum and maximum values in all the groups were around 4%.
Significant positive correlations were found between GPRO% and Fe in groups I, II and V, but
only between GPRO% and Zn in group I (r =0.44; P<0.05).

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188 *3.3 Rheological and end-use properties*

The FSDS volume provides a rough estimate of sample strength. On average, all groups
 showed high FSDS values, although groups II and IV had some lines with low values.

191 Performance in the mixograph was heterogeneous, with lines in each group showing acceptable

values for dough development time (MDDT) and dough strength (M%TQ), while others did not

reach the minimum strength required for yeast-leavened bread or even flat breads. These results

were confirmed with the alveograph (ALVW), which gives a good measure of the dough 194 strength and correlates closely with M%TQ (in this study: r = 0.87; P<0.001). As for M%TQ, the 195 196 average ALVW value in each group was acceptable for bread making, with the exception of group II, in which gluten strength (ALVW) was very low. Dough extensibility (ALVP/L) was 197 generally good in most lines of all groups, with a few exceptions (ALVP/L \geq 1.4) that would not 198 199 be useful for bread making. Group II had the most lines with moderate-to-high extensibility. In groups I, III and IV most lines had balanced gluten (ALVP/L 0.8-1.3), whereas in group V more 200 lines showed low extensibility. The bread-making test revealed acceptable average bread loaf 201 volumes for all groups except II, most of whose lines would not serve for making leavened bread 202 products. Medium-to-high loaf volumes-a few exceeding 900 ml-resulted from most lines in 203 the other groups, with a few exceptions. 204

Each line was then classified into one of five end-use quality types established in the 205 CIMMYT Wheat Chemistry and Quality Laboratory by Peña (2011, unpublished document): 206 207 user-type 1, Pan type breads (mechanized industry); 2, leavened breads (semi-mechanized industry), flat breads, dry and fresh noodles and steam breads; 3, dense breads, flat breads 208 (handmade); 4, steam bread, white-salted noodles and biscuits; and 5, utility wheat (poor 209 210 quality). Only a few lines were included in the quality type 5. The groups III and IV were predominated by lines with quality types 1 and 2, which is linked to medium-high strength and 211 212 good extensibility; in group V, most of the lines were classified in type 3, due to the prevalence 213 of medium strong and extensible gluten; and in group II, most of the lines fall in the type 4, 214 generally good for biscuit making, due to their soft texture and weak and extensible gluten.

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216 *3.4 Micronutrients concentration vs. end-use quality*

To combine high micronutrient concentration and acceptable processing quality in 217 adapted genetic backgrounds, we examined both traits together for each group. Group II did not 218 219 show a significant increment in grain Fe concentration compared to the checks, but there was a strong increase for Zn concentration in ten lines compared to the checks. Of these ten lines only 220 two showed slightly enhanced Fe concentration compared to the average value for the checks 221 222 (29.4 mg/kg). One of these lines showed poor extensibility and was classified as quality type 5. The other one, showed excellent extensibility, weak gluten (ALVW = 94) and soft texture, and 223 224 therefore had all the characteristics required to be a good biscuit making wheat cultivar. In group III, 11 lines showed significantly enhanced concentrations for both micronutrients (at least 10% 225 more Fe and at least 20% more Zn). Three of these lines belong to quality type 1 (mechanized 226 bread making, good extensibility and high gluten strength), six were quality type 2 (flat breads, 227 good extensibility and medium-high gluten strength), one was quality type 3 (handmade bread) 228 and another one was quality type 4 (biscuits, soft texture with weak and extensible gluten). In 229 230 group IV, 19 lines with significantly higher Fe and Zn concentrations were divided into quality types 1 = 8 lines, type 2 = 5 lines and type 3 = 6 lines. At last, group V, with three lines showing 231 significantly increased micronutrient concentrations, of which two of them belonged to quality 232 233 type 1 and the other belongs to quality type 3.

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4. Discussion

236 Considering the substantial genetic diversity exists for Zn and Fe, CIMMYT followed the 237 strategy of crossing selected genetic resources and synthetic lines showing high levels of 238 micronutrients with high-yielding modern wheat lines to develop biofortified wheat derivatives 239 with the preferred agronomic features. In this study, the advanced breeding lines resulting from

this process were analyzed for micronutrients concentration and also for processing quality traits.
Ensuring acceptable processing quality would satisfy needs of target population in rural and
urban areas as the biofortified wheat varieties must have the requirements of the consumer
(baking quality, taste, and keeping properties must satisfy household members) and whole value
chain (farmers, millers, manufacturers, and consumers).

245 Although grain Fe concentration is an important trait for HarvestPlus project, Zn concentration has received special attention because more than 26% of the target population in 246 South Asia suffers from Zn deficiency. That probably explains why most lines in this study 247 showed significantly greater grain Zn concentrations than the check varieties, as well as the 248 practice of choosing parents with high Zn and applying greater selection pressure for Zn 249 concentration in breeding, based on various study results suggesting that higher Zn is positively 250 associated with higher Fe concentration (Gomez-Becerra et al. 2010; Morgounov et al. 2007; 251 Zhao et al. 2009). In our study, the correlations between Fe and Zn in all the groups were small 252 253 but statistically significant, except in group II. Apart from this general trend, several lines particularly from groups III and IV-had micronutrient concentrations significantly higher than 254 those of the checks, confirming the excellence of T. spelta and T. dicoccum synthetic derivatives 255 256 as sources of genes for higher micronutrient concentrations as Gomez-Becerra et al. (2010) and Monasterio and Graham (2000) reported previously. 257

Although several landraces, spelt and even synthetic lines have shown good performances in quality analysis (Ali et al. 2013; Konvalina et al. 2013; Mondini et al. 2014), other studies have reported that those materials, as any others, can have great differences in quality traits, and some of them be completely unsatisfactory for the development of different wheat products (Lagudah et al. 1987; Mikos and Podolska 2012; Wilson et al. 2008). Strikingly,

a large proportion of lines in this study possessed very good quality traits, with most showing 263 good extensibility, a key end-use quality parameter for any wheat product. This is probably due 264 265 to the use of modern lines with good-to-excellent quality as background parents in the crosses with high Zn and Fe donors, and often using 1 or 2 back-crosses or three-way crosses with the 266 adapted good quality parents in the breeding process. Gluten strength differed among the groups. 267 In group II, weak gluten lines predominated but most were very extensible. This is linked to their 268 soft texture and makes them good candidates for biscuit production. In this group, the use of 269 Mexican landraces that are known to possess soft grain texture (Ayala et al. 2013) could have led 270 to selection of the soft grain trait in derived lines. Soft grain texture is not common in CIMMYT 271 improved wheat germplasm. In groups III and IV, medium-strong and strong gluten lines were 272 the most numerous, which is linked to the overall good extensibility of the lines and makes them 273 highly acceptable for homemade flat breads, such as *chappati*, or leavened breads in mechanized 274 or semi-mechanized local industry of South Asia. In these groups, the large number of lines with 275 276 high micronutrient concentrations made it easy to find lines combining high Fe and Zn with good end-use quality. Finally, group V lines generally had slightly lower gluten quality, although they 277 278 could be used as a progenitor to enhance micronutrient concentrations.

5. 279

5. Conclusions

The advanced breeding lines analyzed from the HarvestPlus yield trial showed good processing quality characteristics and a significant enhancement in grain Zn and Fe concentrations, especially those originating from spelt and emmer synthetic backgrounds. Our results show that there has been progress in developing varieties that possess high Zn levels and desirable processing quality, as well as high yield potential, even though the Zn and Fe donor sources are "wild" genetic resources or landraces. This was achieved by considering processing

| 286 | quality and yield-related traits when designing the crosses and by applying selection pressure for |
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| 287 | various quality characteristics in the breeding lines. The final releases of biofortified wheat |
| 288 | varieties in the target regions will help improve the livelihoods and health of numerous resource- |
| 289 | poor, micronutrient-deficient people. |
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| | Group I | 8 | | Group IV | Group V |
|----------|----------------|-----------------|------------------|------------------|-----------------|
| | (Bread | Group II | Group III | (Emmer | (Durum |
| | wheat | (Landrace | (Spelt | synthetic | synthetic |
| | checks) | origin) | origin) | origin) | origin) |
| 7 | 21.7 ± 2.0 | $27.1 \pm$ | 20.1 ± 7.5 | 20.8 ± 7.2 | 25.8 ± 6.0 |
| Zn | 21.7 ± 2.0 | 10.3 | 30.1 ± 7.5 | 29.8 ± 7.2 | 25.8 ± 6.0 |
| | (15.8-24.3) | (19.0-53.0) | (14.1-44.4) | (17.7-45.5) | (19.6-36./) |
| Ea | 29.4 ± 3.3 | $27.0~\pm~1.5$ | 31.9 ± 3.3 | 32.8 ± 2.9 | 28.8 ± 3.0 |
| ге | (23.9-35.9) | (24.1-31.3) | (22.9-38.6) | (26.9-38.1) | (24.1-33.6) |
| TW | 81.3 ± 1.0 | $76.4~\pm~2.0$ | 80.9 ± 2.2 | 81.4 ± 1.4 | 80.0 ± 1.1 |
| 1 ** | (78.9-82.8) | (75.0-82.2) | (74.1-84.9) | (78.3-83.9) | (79.0-83.0) |
| GH | 41.6 ± 2.6 | $61.7~\pm~7.7$ | 44.5 ± 4.0 | 43.2 ± 3.5 | 44.7 ± 2.5 |
| OII | (36.4-46.6) | (40.7-68.9) | (37.3-57.1) | (34.4-50.9) | (41.0-49.4) |
| GPRO% | 13.0 ± 1.1 | $13.2~\pm~0.4$ | 13.4 ± 0.8 | 13.4 ± 0.9 | 13.6 ± 0.8 |
| 01 10 /0 | (11.1-15.0) | (12.3-14.3) | (11.6-15.0) | (12.0-15.5) | (12.3-15.0) |
| FPRO% | 11.9 ± 1.1 | $12.0\ \pm 0.5$ | 12.3 ± 0.9 | 12.2 ± 1.1 | 12.5 ± 0.5 |
| 11 KO /0 | (10.2-14.0) | (10.0-12.7) | (10.7-13.9) | (10.3-15.2) | (11.9-13.5) |
| FSDS | 21.3 ± 1.0 | $21.1~\pm~3.5$ | 21.6 ± 1.4 | 20.9 ± 2.2 | 22.1 ± 0.6 |
| 1505 | (18.8-22.8) | (10.3-23.5) | (19.0-24.3) | (13.3-23.5) | (21.3-23.3) |
| MDDT | 2.7 ± 0.5 | 1.5 ± 0.3 | 2.5 ± 0.6 | 2.4 ± 0.7 | 2.3 ± 0.7 |
| MDDT | (1.5-3.6) | (0.9-2.5) | (1.6-3.9) | (1.3-3.9) | (1.6-3.8) |
| | 108.6 ± | | | | |
| M%TQ | 21.1 | 52.1 ± 9.0 | 103.6 ± 23.7 | 100.9 ± 33.8 | 91.8 ± 29.5 |
| | 152.3) | (28.4-69.8) | (65.2-151.4) | (45.1-156.7) | 150.0) |
| | $282.6\pm$ | $110.9 \pm$ | | 290.9 ± | $270.4 \pm$ |
| ALVW | 83.6 | 42.2 | 298.3 ± 99.0 | 126.0 | 142.2 |
| | (135-431) | (37-253) | (126-515) | (88-599) | (151-549) |
| ALVPL | 1.0 ± 0.3 | 0.6 ± 0.3 | 0.9 ± 0.2 | 0.9 ±0.2 | 0.9 ± 0.5 |
| | (0.5-1.5) | (0.3-1.8) | (0.5-1.2) | (0.5-1.5) | (0.4-2.1) |
| | | $698.9 \ \pm$ | $802.5 \pm$ | | $777.3 \pm$ |
| LV | 775 ± 57.5 | 42.9 | 56.47 | 788.4 ± 66.8 | 64.5 |
| | (670-900) | (495-745) | (660-920) | (650-930) | (685-910) |

Table 1. Average, maximum and minimum values for micronutrients and quality parameters for 5 different groups.

Figure 1. Distribution of wheat lines for grain Fe and Zn concentration for each of the five











Figure 3. Percentage of wheat lines in groups II-V showing higher Fe and Zn concentrations



than the average of the checks (group I).



Figure 4. Percentage of wheat lines in each of the five groups with dough strength/extensibility