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2 **Red and white wine lees show inhibitory effects on the liver carcinogenesis.**

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15 **Abbreviations:**

16 HCC: Hepatocellular carcinoma

17 GAE: Gallic Acid

18 HPLC: High-performance liquid chromatography

19 DEN: Diethylnitrosamine

20 HE: Hematoxylin & Eosin

21 **Keywords:** Wine lees, DNA methylation, diethylnitrosamine, hepatocarcinogenesis.

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23

24 **Abstract**

25 **Scope:** Wine has shown anticarcinogenic benefits in hepatocarcinoma and polyphenols seem to be  
26 responsible for these effects. Wine lees are the sediments produced during fermentation and they endow  
27 wine with organoleptic and physicochemical properties. However, the anticarcinogenic role of these  
28 compounds is still unknown. Thus, the purpose of this work was to determine the phytochemical profiles  
29 of wine lees and then, to analyze their anticarcinogenic effect and DNA methylation on a model of  
30 hepatocarcinogenesis.

31 **Methods and results:** The phytochemical composition of lees was determined by Folin-Ciocalteu  
32 method and by High-Performance Liquid Chromatography. An *in vivo* study using a diethyl nitrosamine  
33 hepatocarcinogenesis-induced model was performed to investigate the hepatoprotective properties of  
34 different doses of wine lees. For the DNA methylation analysis a bisulfite-based method was used. Both  
35 types of lees mostly contained pyrogallol, gallic and syringic acid with a high content of catechins in red  
36 lees. The carcinogen hypermethylated the Alu-M2 repetitive sequence and white lees decreased the  
37 hypermethylation at all tested concentrations. Low concentration of red and white lees and high  
38 concentration of white lees, significantly improved the hepatocellular architecture and decreased the  
39 mitotic index in the murine model.

40 **Conclusion:** These findings suggest that wine lees are promising agents for chemoprevention of  
41 hepatocarcinoma.

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## 43 1. Introduction

44 Hepatocellular carcinoma (HCC) is among the most common neoplasias representing the fifth most  
45 common malignancy worldwide, and the second most common cause of cancer-related deaths in the  
46 world.<sup>[1,2,3]</sup> Cirrhosis is the strongest and the most common risk factor for HCC, usually owing to hepatitis  
47 B/C virus infection but some other etiologies such as obesity, fungal metabolites (aflatoxin B1) and  
48 chemical carcinogens are also emerging in the last years.<sup>[1,4]</sup> The incidence of this neoplasia is increasing,  
49 and the increase is expected to continue in the future as a result of the rise of chronic hepatitis C.<sup>[5]</sup>

50 Currently, surgical resection and liver transplantation are the main curative therapies for early-stage  
51 HCC tumors. However, at more developed stages of the disease, chemoembolization and sorafenib, a  
52 multikinase inhibitor, are the only strategies which have shown survival benefits for the patients.<sup>[3]</sup>  
53 Recent advances in molecular classification of HCC for therapy stratification are under investigation to  
54 define novel and more encouraging therapeutic approaches; nevertheless, these promising approaches are  
55 very limited at present. In the meantime, there is an urgent need for alternative therapeutic strategies with  
56 improved potency on HCC.

57 Recently, chemoprevention with the use of natural or synthetic chemical agents has demonstrated  
58 substantial protective properties for this malignancy and they have proved to be less toxic than  
59 conventional therapies.<sup>[2,6,7]</sup> Thus, several promising chemopreventive agents such as statins or  
60 metformin and dietary agents (hesperidin, coffee, vitamin E and fish oil) have shown their efficiency for  
61 this malignancy.<sup>[3,8,5,9,10]</sup> Several epidemiological and preclinical studies have also shown that phenolic  
62 extracts from wine possess potent antioxidant, anti-inflammatory and antineoplastic properties in liver  
63 cells and thus, these wine components could constitute an attractive chemotherapeutic option for these  
64 patients.<sup>[5,9,11,12,13,14]</sup> The anticarcinogenic potential of phenolic extracts from wine is based on their  
65 antiproliferative activity and ability to induce apoptosis in several cancers. Wine polyphenols scavenge  
66 free radicals, thus reducing and repairing oxidative damage of DNA, proteins, and lipids.<sup>[15,16]</sup> While most  
67 studies have investigated the anticancer effects of wine polyphenols, some other wine compounds have  
68 not been extensively studied for their anticancer or cancer preventive activity. Much effort has been  
69 devoted to characterize and understand the complex composition of wine with the aim of finding new  
70 chemopreventive extracts. Thus, it seems essential to investigate the anticancer effects of other wine  
71 components looking for new sources of bioactive compounds.

72 Wine lees is the name given to the sediments resulting from the precipitate formed during the wine  
73 fermentation and mainly consists of the dead yeast cells, grape skin, seed fragments and various grape  
74 solids. Lees influences the structural integration of the wine in terms of body, flavor, oxidative buffering  
75 and wine stability. Therefore, although it is well known that wine lees have potential organoleptic  
76 benefits, their anti-tumor activity have not been previously explored.

77 Here, we provide an *in vivo* evaluation of wine lees activity on HCC.. Our study provides preclinical  
78 evidence of the anticarcinogenic effect of wine lees on HCC.

## 80 2. Experimental Section

### 81 2.1 Chemicals and Preparation and Characterization of Extracts from White and Red Wine Lees

82 All chemicals and reagents were obtained from Sigma-Aldrich (St. Louis, MO, USA). All the chemical  
83 determinations and characterizations were carried out in the laboratory of metabolomics/proteomics and  
84 exploitation of Agrifood residues of the University of Córdoba (FQM-227). Wine lees were obtained by  
85 raking after alcoholic fermentation from Syrah and Pedro Ximénez grapes, respectively (Cooperativa  
86 Agrícola “La Unión”, Montilla, Córdoba, Spain). The lees were centrifuged at 2100×g and the liquid  
87 phase was discarded. The solid phase was used to obtain the extracts. The extraction of the target  
88 compounds from lees was performed with 100 mL of 60% (v/v) aqueous ethanol at pH=4, and using  
89 microwave irradiation at 140 W for 10 min. The extracts were dried in a rotary evaporator to a quarter pf  
90 their initial volume to remove ethanol, then centrifuged for 10 min at 855.27×g to separate the solid  
91 residue. Finally, each concentrated extract was filtered using a 0.45 µm filter before injection into the  
92 chromatograph (Varian, Palo Alto, California, USA). Data processing was carried out using a Star  
93 Chromatography Workstation version 5.52 software.

### 94 2.2 Determination of Total Phenols by the Folin–Ciocalteu (F–C Method).

95 The amount of total phenolic compounds was measured by the F–C method <sup>[17]</sup> using gallic acid (GAE)  
96 as calibration standard. The calibration curve was carried out with solutions of 100, 200, 300, 400, 500  
97 and 600 mg/L of this compound ( $y = 0.0009x + 0.0081$ ,  $R^2 = 0.9978$ ). A 0.5-mL aliquot of extract, 10-mL  
98 distilled water, 1-mL F–C reagent and 3-mL Na<sub>2</sub>CO<sub>3</sub> (20%, w/v) were mixed, made to 25 mL with  
99 distilled water and heated at 50 °C for 5 min. After heating, the samples were kept at room temperature  
100 for 30 min and, finally, the absorbance was measured at 765 nm against a blank solution containing  
101 distilled water instead of extract. The concentration of phenolic compounds thus obtained was multiplied  
102 by the dilution factor of the extract volume and divided by the amount of lees used. The results were  
103 expressed as equivalent to milligrams of GAE per g of lees extract (mg GAE/g lees).

### 104 2.3 Determination of Total Anthocyanins by the Page Method.

105 The amount of total anthocyanins was measured by the Page method <sup>[18]</sup> using peonidin-3-glucoside as  
106 calibration standard. The calibration curve was carried out with solutions of 5, 10, 20, 30, 40, 50, 60, 70,  
107 80, 90, 100 mg/L of this compound ( $y = 0,0306531x + 0,00139968$ ,  $R^2 = 0.9986$ ). A 0.5-mL aliquot of  
108 extract and 10-mL distilled water were mixed, made to 25 mL with distilled water and, the absorbance  
109 was measured at 535 nm against a blank solution containing distilled water instead of extract. The  
110 concentration of anthocyanins thus obtained was multiplied by the dilution factor of the extract volume  
111 and divided by the amount of lees used. The results were expressed as equivalent to milligrams of  
112 peonidin-3-glucoside per g of lees extract (mg peonidin-3-glucoside/g lees).

### 113 2.4 High-Performance Liquid Chromatography (HPLC) Separation and Diode Array Detection (DAD) 114 for Analysis of Red and White Wine Lees.

115 The lees components were separated by HPLC and determined by a diode array detector (DAD).  
116 Separation of the analytes in the extracts was performed on an Inertsil ODS-2 column (250 mm × 4.6  
117 mm i.d., 5 µm particle, Análisis Vínicos, Tomelloso, Ciudad Real, Spain), using an injection volume of

118 20 µL and a flow rate of 1 mL/min. The mobile phases consisted of 0.2% (v/v) phosphoric acid aqueous  
119 solution (phase A) and methanol (phase B). The gradient was as follows: from 96% to 82% A in 20 min,  
120 held for 20 min, from 82% to 74% A in 24 min and from 74% to 50% B in 9 min. The analytes were  
121 identified by comparing both their retention times and ultraviolet spectra with those of the corresponding  
122 standards. The absorption wavelengths were set at 280 nm for monitoring hydroxybenzoic acids, and at  
123 320 nm for catechin. The analyses were performed in triplicate.

#### 124 2.5 *In vivo Study. Animals and Diet.*

125 Forty-four pathogen-free male Sprague-Dawley rats (357 gr±18 gr), seven weeks old, were supplied from  
126 Harlan Interfaunan (Iberica) S.L and acclimatized for a week with controlled temperature (23°±2°C) and  
127 humidity (55%±5%). The animals were fed with a standard diet (D03- SAFE, Augy, France) and  
128 provided with drinking water *ad libitum*. Animal care and experimental procedures were approved by the  
129 University of Cordoba Bioethics Committee, and followed the regulations of the European Union  
130 normative for care and use of laboratory animals.

#### 131 2.6 *Experimental Design*

132 To evaluate the *in vivo* hepatoprotective effects of wine lees, the effect of wine lees on a  
133 Diethylnitrosamine (DEN) induced rat model of hepatocarcinogenesis was first tested. After  
134 acclimatization, the rats were weighed and randomized into eight groups for each experiment, one  
135 experiment with red wine lees, and another experiment with white wine lees, as follows: 1) Negative  
136 control group (rats with standard diet and water *ad libitum*). 2) Positive control group (rats with standard  
137 diet and DEN diluted at 0.01% in drinking water). 3) Group 1 (rats with standard diet supplemented with  
138 4000 ppm of lees (red or white lees). 4) Group 2 (rats with standard diet supplemented with 2000 ppm of  
139 lees (red or white lees). 5) Group 3 (rats with standard diet supplemented with 1000 ppm of lees (red or  
140 white lees). 6) Group 1 + DEN (rats with standard diet supplemented with 4000 ppm of lees (red or  
141 white) and 0.01% DEN in drinking water). 7) Group 2 + DEN (rats with standard diet supplemented with  
142 2000 ppm of lees (red or white) and 0.01% DEN in drinking water). 8) Group 3 + DEN (rats with  
143 standard diet supplemented with 1000 ppm of lees (red or white) and 0.01% DEN in drinking water).  
144 Feed and water consumption for each animal was monitored daily. No differences in intake were  
145 observed between control and experimental groups. A schema of the study is provided in the Figure 1.

#### 146 2.7 *Dosage information/Dosage regimen*

147 The negative control group were fed with a standard diet and provided with drinking water *ad libitum*  
148 during all the experiment. For the administration of wine lees, the corresponding dose of wine lees (4000,  
149 2000 or 1000 ppm) was added daily with a sweetened jelly to ensure palatability and the whole intake of  
150 the lees. This alternative dosing method has proven to be adequate and effective in previous studies with  
151 rats.<sup>[19]</sup> Regarding administration of DEN, it was diluted at 0.01% in drinking water since it has proven to  
152 be the most common and least stressful dosing method for the DEN<sup>[9,10,11]</sup>

#### 153 2.8 *Hepatic Histopathological Evaluation*

154 At the end of the study (12 weeks), the rats were weighed and sacrificed by CO<sub>2</sub> inhalation and  
155 subsequent decapitation. Immediately after sacrifice the livers were perfused through the portal vein with

156 saline solution and subsequently removed, weighed and minutely examined grossly for the presence of  
157 visible hepatocyte nodules of varied sized and noted the percentage of parenchyma affectation.  
158 Measurements of size and number of nodules were done in two perpendicular planes to obtain an average  
159 diameter of each nodule and categorized into three groups ( $\geq 3$ ,  $<3$ - $>1$  and  $\leq 1$  mm) according to Bishayee  
160 and Dhir (2009).<sup>[209]</sup> For microscopy studies, representative sections from right, left and caudate lobes of  
161 each liver were taken, as well as of the largest lesions found, and fixed in buffered formalin (10%),  
162 embedded in paraffin wax and stored at 4°C. The sections were stained with hematoxylin and eosin (HE).  
163 Hepatic lesions were classified by light microscopy by two different exposure-blinded pathologists  
164 according to the guidelines proposed by Thoolen et al. (2010).<sup>[21]</sup> Briefly, each sample was evaluated for  
165 the presence of foci of cellular alteration and other liver injuries such as necrosis, cholestasis,  
166 inflammatory infiltrate, bile duct hyperplasia, oval cell hyperplasia and regenerative hyperplasia.  
167 Additionally, mitotic figures were counted in 10 non-overlapping high power fields per sample. Also, five  
168  $\mu\text{m}$  thick sections of liver samples were prepared for Masson's trichrome (Sigma, USA) staining as a  
169 marker for detecting the degree of liver fibrosis. Examination of the slides was performed a light  
170 microscope according to the criteria established by Batts et al. (1995) to determine the liver injury for  
171 each group.<sup>[22]</sup>

#### 172 *2.9 DNA Extraction and Methylation Analysis of ALU-M2 Repetitive Sequence.*

173 Portions of the liver samples were frozen in liquid nitrogen and stored at -80°C until DNA extraction.  
174 Genomic DNAs were extracted from the liver samples using a commercial kit (MBL 243, Dominion mbl,  
175 Córdoba, Spain) following the manufacturer instructions. Genomic DNA (1 $\mu\text{g}$ ) was denatured with  
176 NaOH and modified with sodium bisulfite using a CpGENOME™ DNA modification kit (Chemicon  
177 International, Temecula, CA), following the manufacturer's recommendations. DNA treated with bisulfite  
178 converts unmethylated cytosine residues to uracil, but leaves 5-methylcytosine residues unchanged.  
179 Therefore, bisulfite DNA treatment retains only methylated cytosines. Then methylation-specific PCR  
180 was proceeded. Briefly, the repetitive sequence of Alu-M2 (Forward: GCGCGGTGGTTTACGTTT and  
181 Reverse: AACCGAACTAATCTCGAACTCCTAAC) was used as a surrogate marker to estimate global  
182 DNA methylation and Alu-C4 was used as housekeeping gene for control of the reaction (Forward:  
183 GGTTAGGTATAGTGGTTTATATTTGTAATTTTAGTA and Reverse:  
184 ATTAAC TAACTAATCTTAACTCCTAACCTCA).

185 The qrt-MSP reaction was performed on a Light-Cycler™ instrument system (Roche, Mannheim,  
186 Germany) using 1 $\mu\text{L}$  of bisulfite-modified DNA in a final reaction mix volume of 10 mL with 0.4  
187 mmol/L of each primer, and 1 mL of 10\_LightCycler FastStar DNA Master SYBR Green I (Roche  
188 Molecular Biochemicals). The final concentration of  $\text{MgCl}_2$  for each reaction mixture was 3.5 mmol/L.  
189 Denaturation proceeded at 95°C for 10 min (1 cycle) and followed by 45 cycles at 95°C for 10 s 65°C for  
190 10 s, and 72°C for 10 s. Briefly, melting program of 40°C for 60 s (1 cycle) and a final cooling program  
191 of 4°C for 60 s (1 cycle). The temperature ramp rate was 20°C/s, except in the melting program, which  
192 was 0.2°C/s between 40 and 95°C. The calculations were done by relative quantification and  
193 automatically obtained by the software LightCycler (RealQuant, version 1.0 Roche). Each sample was  
194 analyzed by triplicate.

195 *2.10 Statistical Analysis*

196 For statistical data evaluation, the SPSS 15.0 statistics software (SPSS Inc. Headquarters, Chicago, IL,  
197 USA) was used. Differences between the means of rat groups were assessed by an ANOVA and the  
198 Duncan post-hoc test was applied. Differences between the means of repetitive sequences methylation  
199 pattern were assessed by an ANOVA and the Tuckey post-hoc test was applied. Differences were  
200 considered statistically significant when  $p \leq 0.05$ .

201 **3. Results**

202 *3.1 Characterization of Red and White Wine Lees*

203 The phenol and anthocyanin profiles from red and white wine lees are listed in Table 1. Catechin was the  
204 principal component in red wine lees whereas in white wine lees no catechin was found. In both wine  
205 lees, pyrogallol, gallic, and syringic acids were present in notable quantities but in higher proportions in  
206 white lees. In general, red wine lees have a total phenolic content 3.5 times higher, and an anthocyanin  
207 total content 80 times higher than white wine lees. The phenolic profile differs essentially as catechin  
208 represents 90% of the phenolic content of red wine lees, while it is absent in white wine lees.

209 *3.2 Effect of Lees on the Body and Relative Liver Weights*

210 No differences were found in the average body weights and liver weight of any of the single treatments  
211 with lees and the negative control group, suggesting that red and white wine lees did not interfere with the  
212 animal's growth (Table 2). On the other hand, DEN treatment drastically decreased the body and liver  
213 weights. Co-treatment with DEN and lees increase the final body weight of the rats with the lowest  
214 concentration for red wine lees (Group 3 + DEN) and highest concentration for white wine less (Group 1  
215 + DEN). No concluding results could be obtained from Group 1 (4000 ppm of lees) + DEN in red wine  
216 lees owing to the sudden death of two of the rats.

217 *3.3 Effect of Red and White Lees on Liver \_Nodules Growth*

218 There was no macroscopic liver nodules growth in the liver of the negative control group or in red and  
219 white wine lees control groups (Table 2). The hepatic parenchyma was apparently normal and with no  
220 alteration of the normal color (Figures 2A and 3A). However, macroscopic liver nodules were  
221 significantly found in DEN-exposed group (Figures 2B and 3B). Most of the liver nodules varied from  
222 white to gray-white color and the size was between 1.0 mm to 3.0 mm (>95% of the nodules) but with  
223 some them of 8.0 mm. Comparing with the DEN group, rats that received DEN + 2000 or 1000 ppm of  
224 red wine lees significantly underwent a decreased liver nodule growth (27% and 38% of nodule growth  
225 reduction respectively) (Figures 2G and 2H). Concerning white wine lees, rats with a supplement of 1000  
226 and 4000 ppm of white wine lees displayed a potent decrease of liver nodule growth (70% and 90% of  
227 nodule growth reduction respectively) (Figures 3H and 3F).

228 *3.4 Effect of Red and White Lees on Hepatic Histology*

229 In both experiments, negative control group and red and white wine lees control groups (Groups 1, 2 and  
230 3), showed the typical lobular architecture of polyhedral hepatocytes with granular cytoplasm and small  
231 uniform nuclei arranged in cords and with a mitotic index of 0-1 in 10 high-power fields (Figures 2A and

232 3A). By contrast, livers from animals exposed to DEN presented complete loss of normal architecture,  
233 with irregular shape of hepatocytes and enlarged and hyperchromatic nuclei and with a mitotic index of 8-  
234 9 in 10 high-power fields (Figures 2B and 3B). Several high grades of hepatic lesions were observed in  
235 the DEN-treated group: foci of cellular alterations, bile duct hyperplasia, oval cell hyperplasia,  
236 regenerative hyperplasia, cholestasis, hepatic necrosis, focal fatty change and inflammation. The main  
237 found lesion was foci of cellular alteration composed of usually enlarged, polygonal hepatocytes with  
238 acidophilic staining cytoplasm from the surrounding normal parenchyma (Figures 2B and 3B). In  
239 addition, one rat from the DEN group developed cholangiofibrosis consisting of dilated to cystic bile  
240 ducts and surrounded by inflammatory cell infiltrates and abundant connective tissue. Supplementation of  
241 1000 ppm of red wine lees resulted in significant improvement of liver histology as compared to DEN  
242 group (Figure 2H). Supplementation

243 Regarding the experiment with white wine lees, rats fed with 4000 or 1000 ppm of white wine lees and  
244 DEN showed significant improvement of hepatocellular architecture with more regular and less altered  
245 hepatocytes, and lower mitotic index when compared to the DEN group. In short, hepatic lesions were  
246 less frequent and severe. This improvement of hepatocellular architecture was most evident in rats that  
247 received white wine lees at 1000 ppm (Figure 3H).

#### 248 *3.5 Determination of Hepatic Fibrosis*

249 The degree of fibrosis determined by Masson's trichrome staining of the liver sections from all groups is  
250 shown in Figure 4. Liver sections from control groups appeared normal without signs of fibrosis. Liver  
251 sections from DEN group revealed increased deposition of collagen fibers around lobules and portal  
252 spaces indicating severe fibrosis. Livers from rats treated with high and low doses of white lees showed  
253 moderated deposition of collagen fibers while those from rats treated with any concentration of red lees  
254 showed no differences in fibrosis.

#### 255 *3.6 DNA Global Methylation Effects in the Liver by Red and White Wine Lees.*

256 Figure 5 shows the methylation status of ALU-M2 sequence in rat liver at different concentrations (1000,  
257 2000 or 4000 ppm) of white and red wine lees when compared to the negative control group. The rats fed  
258 with white wine lees had no significant differences in genomic DNA methylation. On the other hand, a  
259 hypermethylation on ALU-M2 sequence up to 30 % was induced when the medium was supplemented  
260 with red wine lees at 2000 ppm.

261 Figure 6 shows the white and red wine lees modulated activity against DEN methylation effect on ALU-  
262 M2 sequences. White wine lees reduced the methylation status (demethylation) of DEN at all tested  
263 concentrations, while red wine lees increased the methylation pattern (hypermethylation) of DEN up to  
264 130 % at the highest tested concentration (4000 ppm) during the study.

#### 265 **4. Discussion**

266 In the present study, the chemopreventive effects of red wine lees and white wine lees on early stages  
267 of hepatocarcinogenesis have been investigated for the first time from a histopathological and methylation  
268 point of view. As described above, there is a considerable body of evidence suggesting that wine lees are  
269 promising candidate agents for HCC chemoprevention.



270 The rat model of DEN- induced HCC has been considered one of the best characterized experimental  
271 models of this neoplasia. <sup>[8,23,24]</sup> DEN is a potent hepatocarcinogenic nitrosamine that induce lesion as  
272 well as tumors in rodents with marked biochemical, histological and molecular similarity to the  
273 progression of human HCC . <sup>[24]</sup> The main found lesion in this study was cellular alteration focus which  
274 has proved to be precursors of human HCC and commonly found following hepatocarcinogen exposure  
275 such as nitrosamines. <sup>[8,21]</sup> These focus may be classified based on the predominant cell type (basophilic,  
276 eosinophilic or mixed types) and may be observed grossly as small white nodules in the liver surface. <sup>[21]</sup>  
277 In this study, most of the nodules were of eosinophilic type and more than 95% of them were less than 3  
278 cm in diameter. Sprague-Dawlye rat has shown to be a suitable model to study DEN induced  
279 hepatocellular cancer as reporter by others authors. <sup>[25]</sup> Moreover, in view of the limited treatment options  
280 and bad prognosis of this malignancy, this experimental model has been a very useful means for the  
281 screening of potential chemopreventive compounds as the best and promising strategy for reducing  
282 incidence and mortality of HCC.

283 Red and white wine has been studied and reviewed extensively as an abundant source of polyphenols  
284 (particularly red wine) with chemopreventive activity against carcinogenesis <sup>[9]</sup> in addition to have many  
285 other health benefits. Resveratrol is one of the natural polyphenols found in wine that has demonstrated to  
286 prevent hepatocarcinogenesis in rats through suppression of inflammation, oxidative stress and DNA  
287 methylation. <sup>[12]</sup> However, resveratrol is not believed to be the only phytochemical that contribute to the  
288 chemopreventive activity of wine since other polyphenols such as quercetin, <sup>[26]</sup> catechin <sup>[27]</sup> or gallic  
289 acid <sup>[27]</sup> have alsoshown protective effects . Thus, it has been suggested that other wine extracts might be  
290 synergistic with resveratrol resulting in greater effectiveness than the isolated compound. <sup>[28]</sup> These  
291 findings support the need to characterize the wine composition to search new potential chemopreventive  
292 compounds. In addition to polyphenols, wine contains a wide range of unexplored components, including  
293 wine lees, whose beneficial effects remain unknown. Although it is well known that lees endow wine  
294 with organoleptic benefits, the phytochemical composition and anticarcinogenic potential of lees had not  
295 been explored before. Thus, the aim of this work was to analyze those wine components in order to  
296 clarify if they contribute to the well-known protective activity of the wine in the carcinogenesis and then  
297 analyze their potential as natural chemoprevention agents of human HCC.

298 The phytochemical determination of red wine lees showed that up to 90% of its phenolic content is  
299 composed by flavonoids mostly catechin. This phenol, mainly derived from the stems, seeds and skins is  
300 often leached out of the grape during the maceration period of winemaking. On the other hand, the  
301 flavonoids content in white wine lees is smaller (20% of the total phenolic content) owing to less contact  
302 with the skins during winemaking. Both red and white lees contain pyrogallol, GAE and syringic acid but  
303 white wine lees contains higher quantities of these components than red wine lees as determined in this  
304 study. One of the great differences found between lees is the anthocyanin which represents a key fraction  
305 of the phenolic compounds in red wines as other authors have described <sup>[29]</sup> . Several preclinical and  
306 clinical trial studies have shown that phytochemicals such as catechin, GAE and pyrogallol are potential  
307 cancer chemopreventive agents <sup>[27,30,31]</sup> Catechin, in addition to other flavonoids, has been tested and  
308 confirmed as a natural aromatase inhibitor in several epidemiological studies most of them in breast  
309 cancer <sup>[32]</sup> . In this study, the lowest doses of red wine lees (1000 ppm) resulted in an increase in body

310 weight and liver weight, an inhibition of nodular growth and improvement of hepatocellular architecture  
311 as compared to the DEN group. However, no significant decrease of hepatic fibrosis was observed with  
312 any doses of red wine lees. In contrast, our findings reveal that the lowest and highest doses of white  
313 wine lees gave place to a notable reduction of nodular growth, increased the body weight and induced an  
314 improvement of hepatocellular architecture and DNA hypomethylation. In addition, Masson's trichrome  
315 staining revealed that the degree of collagen deposition (fibrosis) decreased with high and low doses of  
316 white lees confirming the histopathological findings. Alterations in DNA methylation is a hallmark of rat  
317 hepatocarcinogenesis induced in response to a variety of carcinogenic agents.<sup>[33,34]</sup> Some bioactive food  
318 components such as polyphenols have shown cancer inhibition effects by reducing DNA hypermethylation  
319 of key cancer-causing genes<sup>[35]</sup> All the findings in this study, suggest that white wine lees could prevent  
320 the stages of hepatocarcinogenesis initiated by DEN at the given concentrations.

321 White wines are made by the free-running juices without pomace, which no contact with the grape skins.  
322 This is the main reason why the phenolic content of white wines is lower than that of red wines.  
323 Anticarcinogenic effects have also been attributed to white wine but in a lesser extent than red wine  
324 because the difference in inhibition of aromatase activity.<sup>[36]</sup> However, when white and red wine lees are  
325 compared, it seems that white lees show stronger anticarcinogenic potential than red lees. The high  
326 content of pyrogallol, GAE and syringic acid present in white wine lees could be the cause of this  
327 behavior. Previous *in vitro* studies<sup>[37]</sup> had shown the strong anticancer activities of these phenolic  
328 compounds. Thus our results demonstrate that white wine lees are the best candidate to be used as  
329 anticarcinogenic agent through demethylation pathways. Moreover, red and white wine lees could have a  
330 potentially different mechanism of chemopreventive actions on liver carcinogenesis that should be further  
331 studied. A challenge for future research would be to analyze underlying mechanisms by which these  
332 compounds would exert their beneficial effects.

333 In conclusion, the present findings shown in this study demonstrate a marked inhibitory effect of white  
334 wine lees and a small effect of red wine lees in low doses on rat liver carcinogenesis. Thus, wine lees in a  
335 large extent, are promising candidate agents for HCC chemoprevention since its beneficial effects on  
336 DEN promoted hepatocarcinogenesis in rats. Future studies which include a higher number of rats are  
337 needed to assess the results from the present study. In addition, a future goal should be to analyze in detail  
338 the underlying molecular mechanisms, such as the differential expression of major oncogenes, through  
339 which wine lees can exert their protective effects and determine the most suitable dose for both wine  
340 components. These findings may provide strong support for developing novel preventive and treatment  
341 strategies for HCC.

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404 **Legend figures**

405 Figure 1.- Experimental design for the *in vivo* white and red wine lees experiments.

406 Figure 2. Red wine lees study. Macroscopic examination (left) and histopathological study (right) at the  
407 end of the study. (A) Negative control group showed no nodules in the surface and the typical hepatic  
408 lobular architecture. (C) Group 1, (D) group 2, (E) group 3 showed similar liver appearance and  
409 architecture as negative control. (B) Group DEN presented multiple small white-yellow nodules in the  
410 liver surface and loss of the normal architecture with enlarged hyperchromatic nuclei and mitosis. Rats  
411 which received (F) DEN+ 4000 ppm of red lees did not show any effect. However, rats which received  
412 DEN + 2000 ppm of red lees (G) and 1000 ppm (H) significantly underwent a decreased of liver nodule  
413 growth and improved the hepatic architecture. H&E.

414 Figure 3. White wine lees study. Macroscopic examination (left) and histopathological study (right) of the  
415 livers at the end of the study. A) Negative control group showed the typical lobular architecture of the  
416 liver. C) Group 1, D) group 2, E) group 3 showed similar liver architecture as negative control. B) Group  
417 DEN presented multiple small white-yellow nodules in the liver surface. G) No significant differences  
418 were observed in the liver architecture in the group 2+DEN. F,H) Groups 1+ DEN and 3+ DEN showed  
419 significant improvement of hepatocellular architecture with more regular and less altered hepatocytes.  
420 H&E.

421 Figure 4. Masson's trichrome staining of representative livers sampled from rats in each group. Negative  
422 control mice revealed normal lobular architecture and a normal distribution and amount of collagen.  
423 Extensive collagen deposition and pseudolobular formation suggesting liver fibrosis is observed in the  
424 liver of the rats treated with DEN. Normal distribution and amount of collagen in livers is observed from  
425 rats in group 1, 2 and 3. While no differences in fibrosis are observed between rats with 4000, 2000 or

426 1000 ppm of red lees +DEN, a minor fibrosis can be observed in groups with 4000 and 1000 ppm of  
427 white lees + DEN.

428 Figure 5. Methylation status of ALU-M2 repetitive sequence in rat liver at different concentrations of  
429 white and red lees. DEN: diethylnitrosamine; WL: white lees; RL: red lees. Different letters mean  
430 significant differences at  $P \leq 0.05$  level.

431 Figure 6. Modulated activity against DEN methylation effect on ALU-M2 sequences in rat liver by  
432 different white and red lees treatments. DEN: diethylnitrosamine; WL: white lees; RL: red lees. Different  
433 letters mean significant differences at  $P \leq 0.05$  level.

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#### 436 **Author contributions**

437 ZFB and SGL designed and performed most of the experiments, analyzed the data, and wrote the  
438 manuscript. YR and MSF helped to perform the *in vivo* experiments and the histopathological analysis of  
439 the tumors. JA helped to perform the *in vivo* and *in vitro* assays. PDT and MDLC performed the  
440 phytochemical and HPLC-DAD analyses. AAM conceived the project, designed the experiment and  
441 revised the manuscript. All authors read and approved the final manuscript. MDLC revised the final  
442 manuscript.

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#### 445 **Conflict of Interest Statement**

446 All authors declare no financial/commercial conflicts of interest.

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451 Tables

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453 Table 1.- Phenolic and anthocyanic compounds identified in red and white wine lees by HPLC-DAD.

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Compound	Red wine lees (ppm)	White wine lees (ppm)
Pyrogallol	7,635	12,132
Gallic acid	8,543	9,054
Hydroxymetilfurfural	nq	nq
Pyrocatechol	nq	nq
Protocatechuic acid	nq	nq
Hydroxybenzoic acid	nq	nq
Catechin	91,946	nq
Vanillic acid	nq	nq
Guaiacol	nq	nq
Vanillin	nq	nq
Syringic acid	2,753	9,625
Acetovanillone	nq	nq
Coumaric acid	nq	nq
Ferullic acid	nq	nq
Coniferaldehyde	nq	nq
Sinapic Acid	nq	nq
Sinapaldehyde	nq	nq
Total phenols	104	30
Total anthocyanins	40.200	0.552

455 nq: detected but not quantified

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467 Table 2. Initial and final body weight, liver weight and total number of liver nodules found in the  
 468 different groups of rats. Values are presented as means  $\pm$  SD. Different letters mean significant  
 469 differences at  $p \leq 0.05$  level.

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Groups	Initial body weight (g)	Final body weight (g)	Liver weight (g)	Total no. of nodules
<b>Red Wine Lees</b>				
<b>Control</b>	298.3 $\pm$ 7.5	476.0 $\pm$ 14.0 <b>c</b>	15.8 $\pm$ 1.4	0 $\pm$ 0 <b>a</b>
<b>1</b>	350.7 $\pm$ 23.1	482.7 $\pm$ 24.7 <b>c</b>	18.3 $\pm$ 0.3	0 $\pm$ 0 <b>a</b>
<b>2</b>	354.7 $\pm$ 25.8	505.3 $\pm$ 30.7 <b>c</b>	19.6 $\pm$ 1.7	0 $\pm$ 0 <b>a</b>
<b>3</b>	349.0 $\pm$ 7.0	467.0 $\pm$ 16.6 <b>c</b>	17.1 $\pm$ 0.5	0 $\pm$ 0 <b>a</b>
<b>DEN</b>	338.0 $\pm$ 10.4	324.3 $\pm$ 56.4 <b>a,b</b>	8.4 $\pm$ 2.2	153.3 $\pm$ 5.8 <b>d</b>
<b>1 + DEN</b>	-	-	-	-
<b>2 + DEN</b>	354.5 $\pm$ 13.4	268.0 $\pm$ 52.3 <b>a</b>	9.0 $\pm$ 1.4	112.0 $\pm$ 11.3 <b>c</b>
<b>3 + DEN</b>	337.5 $\pm$ 10.6	365.5 $\pm$ 21.9 <b>b</b>	16.5 $\pm$ 3.5	95.0 $\pm$ 7.0 <b>b</b>
<b>White Wine Lees</b>				
<b>Control</b>	298.3 $\pm$ 7.5	476.0 $\pm$ 14.0 <b>c</b>	15.8 $\pm$ 1.4	0 $\pm$ 0 <b>a</b>
<b>1</b>	299.0 $\pm$ 20.5	477.0 $\pm$ 20.3 <b>c</b>	15.4 $\pm$ 1.6	0 $\pm$ 0 <b>a</b>
<b>2</b>	307.3 $\pm$ 21.8	477.7 $\pm$ 39.5 <b>c</b>	18.4 $\pm$ 2.0	0 $\pm$ 0 <b>a</b>
<b>3</b>	295.0 $\pm$ 12.3	442.7 $\pm$ 42.0 <b>b,c</b>	15.8 $\pm$ 1.4	0 $\pm$ 0 <b>a</b>
<b>DEN</b>	338.0 $\pm$ 10.4	324.3 $\pm$ 56.4 <b>a</b>	8.4 $\pm$ 2.2	153.3 $\pm$ 5.8 <b>b</b>
<b>1+ DEN</b>	302.0 $\pm$ 8.2	382.7 $\pm$ 3.8 <b>a,b</b>	12.5 $\pm$ 0.3	15.0 $\pm$ 11.3 <b>a</b>
<b>2 + DEN</b>	297.0 $\pm$ 5.6	333.7 $\pm$ 53.7 <b>a</b>	12.8 $\pm$ 5.1	116.7 $\pm$ 57.7 <b>b</b>
<b>3 + DEN</b>	299.0 $\pm$ 9.5	361.0 $\pm$ 30.2 <b>a</b>	9.6 $\pm$ 0.6	49.3 $\pm$ 79.4 <b>a</b>