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**Integrated soluble solid and nitrate content assessment of spinach plants using portable NIRS sensors along the supply chain.**

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18 **Abstract**

19 There has been increased interest in the implementation of near infrared spectroscopy  
20 (NIRS) as a non-destructive analytical technique to monitor the quality and safety of  
21 vegetables during their growing season and after harvest throughout the food supply  
22 chain. The aim of this work was to evaluate the feasibility of using a portable NIR  
23 spectrophotometer (the MicroNIR™ Pro 1700 (spectral range 908–1676 nm) working  
24 in reflectance mode) based on Linear Variable Filter (LVF) technology to analyse  
25 soluble solid content (SSC) and nitrate content in spinach plants *in situ*, in the field and  
26 during the supply chain. A total of 77 spinach plants were analysed at three control  
27 points of the supply chain: 1) in the field, during the growing season and after harvest,  
28 2) in the lab, simulating conditions at receipt at the processing industry and 3) on the  
29 leaves in the lab, after washing, thus simulating the analysis of the processed product  
30 ready to be packaged, as a previous step for the novel application of NIRS at delivery  
31 points and in the supermarkets. The results confirmed the feasibility of using the  
32 spectrophotometer throughout the supply chain to establish product quality and safety,  
33 which would allow to make real-time decisions related to the agricultural practices,  
34 optimum harvest time, industrial uses and commercial shelf-life. The comparison  
35 between the models developed for the NIRS analysis in the three control points studied  
36 indicated that the recommended procedure would be to take a single spectrum per plant  
37 as a suitable way of predicting quality and safety parameters in the field and at the  
38 reception points in the industry. Two spectra on each of the two leaves should be taken  
39 after the washing operation in the industry, with values of the standard error of cross  
40 validation of 1.0 % for SSC and 766 mg kg<sup>-1</sup> for nitrate content.

41

42 *Keywords:* Spinach; supply chain control; NIRS; quality and safety assessment; real-  
43 time decision making  
44

## 45 **1. Introduction**

46

47         There is an increasing need for the productive sector and the food industry to  
48 provide information on their products and production processes to satisfy quality  
49 standards and to guarantee the safety of the products that reach the consumers.

50         Near infrared spectroscopy (NIRS) sensors, which combine fast spectrum  
51 acquisition, accurate measurement, versatility, simplicity of sample presentation and  
52 low cost, provide a unique digital signal of each product analysed and enable non-  
53 destructive analysis of the product. They have shown great potential for monitoring  
54 quality and safety and for ensuring traceability in horticultural products (Nicolaï et al.,  
55 2007; Sánchez and Pérez-Marín, 2011; Cortés et al., 2019; Cattaneo and Stellari, 2019).

56         In addition, the characteristics of NIRS sensors make them highly suitable for  
57 establishing an integrated control system for horticultural products along the supply  
58 chain, i.e. from the field to the market. Incorporation of these sensors along the food  
59 supply chain could be favoured by the development of portable, compact and light-  
60 weight instruments, ideally suited for use not only in the field but also at an industrial  
61 level (Pasquini, 2018; Yan and Siesler, 2018). However, the implementation of a new  
62 generation of NIRS sensors for quality and safety monitoring of a particular  
63 horticultural product requires testing, in-depth study and a previous simulation of the  
64 conditions under which the sensor would be used.

65         In the case of spinach, a high perishable vegetable with a commercial shelf-life  
66 of about two weeks, it is essential to monitor and control soluble solid content (SSC),  
67 related with optimum harvest quality (Reid, 2002; Conte et al., 2008) and nitrate  
68 content, linked with the lighting received by the leaves and certain agricultural practices  
69 (mainly nitrogen fertilization) and related to the safety of the product (Anjana and Iqbal,

70 2007). Although high doses of this nutrient favour crop growth and produce more  
71 vigorous plants (Wang and Li, 2004), nitrate accumulation, which is common in leafy  
72 vegetables such as spinach plants, affects food safety, since high levels of nitrates can  
73 have detrimental effects on human health (Jaworska et al., 2005). Additionally, the  
74 nitrate content determines the industrial use of this vegetable after harvest (OJEU,  
75 2011), as it determines whether it is used for baby food production, preserved, frozen  
76 spinach or as fresh spinach.

77         Although the feasibility of using handheld NIRS instruments for the non-  
78 destructive measurement of these quality and safety parameters in spinach plants has  
79 been demonstrated (Itoh et al., 2011; Pérez-Marín et al., 2019 and Entrenas et al., 2020),  
80 these studies were carried out as simulation studies at a laboratory level. The  
81 incorporation of NIRS sensors directly in the field or at one of the main steps of the  
82 production chain, such as the reception points in the processing industries, has not been  
83 addressed.

84         The objective of this study was to evaluate the feasibility of a new generation  
85 NIRS sensor to be incorporated throughout the supply chain as a tool for quality  
86 assurance and safety of spinach plants. For this purpose, three key steps in the supply  
87 chain were studied: the growing period of the spinach plants in the field and the  
88 simulation of the reception of the spinach plants in the industry and the step after the  
89 spinach leaves were removed and washed.

90

## 91 **2. Material and methods**

92

### 93 *2.1. Sampling and reference analysis*

94

95 A total of 77 spinach (*Spinacia oleracea* L. cv. ‘1194’, ‘Gorilla’ and ‘Solomon’)  
96 plants grown outdoors on different farms in the province of Cordoba, were used in this  
97 study. The spinach plants were harvested manually during the months of February and  
98 March 2019.

99 SSC and nitrate content were measured following Pérez-Marín et al. (2019),  
100 using between 4 and 10 spinach leaves from each plant. All the measurements were  
101 performed in duplicate and the standard error of laboratory (SEL) was estimated from  
102 these replicates (Table 2).

103

## 104 2.2. NIR spectrum acquisition

105

106 NIR spectra of spinach plants were collected using a MicroNIR™ Pro 1700 LVF  
107 spectrophotometer (VIAVI Solutions, Inc., San Jose, California, USA), a portable  
108 miniature instrument adapted to *in situ* measurements. This instrument works in  
109 reflectance mode (log 1/R) in the spectral range 910 to 1676 nm, with a constant  
110 interval of 6.2 nm. It is light (64 g, not including the handle which weighs 150 g and the  
111 acquisition and data processing device), with an optical window of around 227 mm<sup>2</sup>.  
112 The sensor integration time was 10.5 ms and each spectrum was the mean of 200 scans.

113 Initially, the spinach plants were analysed before harvest (Step I, set 1). Spectral  
114 analysis was performed once again on the plants in the laboratory before the leaves were  
115 removed and washed, to simulate the receipt by the industry (Step II, set 2), and after  
116 the leaves were removed and washed, to simulate the different steps of processing after  
117 conditioning (Step III, set 3).

118 In the case of Steps I and II in the supply chain, in which NIRS analysis was  
119 carried out on the plants both in the field and in the laboratory, 5 spectra were taken per

120 plant (1 spectrum per leaf, in one position on the leaf blade relative to the main vein and  
121 close to the petiole on the adaxial side), on 5 leaves per plant.

122 In Step III, in which the spectra were taken of the washed leaves, a total of 6  
123 spectra were taken per leaf (4 in the blade and 2 in the petiole) following the  
124 methodology proposed by Entrenas et al., (2020). Between 4 and 10 leaves were used  
125 for the reference analysis for each plant.

126 For the different steps tested, the instrument's performance was checked every  
127 10 minutes. A white reference measurement was obtained using a NIR reflectance  
128 standard (Spectralon™) with a 99 % diffuse reflectance, while the dark reference was  
129 obtained using a black plate for the field analysis (Step I) and from a fixed point in the  
130 room when the measurements were taken in the laboratory (Steps II and III).

131

### 132 *2.3. Spectral repeatability*

133

134 Spectrum quality was evaluated using the root mean square (RMS) statistic,  
135 defined as the averaged root mean square of differences between the different  
136 subsamples scanned at n wavelengths (Shenk and Westerhaus, 1995a, 1996). This  
137 statistic indicates the similarity between different spectra of a single sample.

138 To evaluate spectral repeatability, different procedures were followed depending  
139 on the sample set studied. For measurement on the plant, both in the field (Step I) and at  
140 the reception point (Step II), the repeatability was calculated analysing 20 plants and  
141 taking 5 spectra for each of them, one per leaf on 5 different leaves. For measurement  
142 on the leaves after the washing operation (Step III), the repeatability was obtained by  
143 analysing 20 leaves and taking 6 spectra for each leaf (Entrenas et al., 2020). An  
144 admissible limit for spectrum quality and repeatability was calculated following the

145 procedure described by Martínez et al. (1998) to calculate the standard deviation limit  
146 ( $STD_{limit}$ ) from the RMS statistic and obtain an RMS cut-off value.

147

#### 148 *2.4. Principal component analysis*

149

150 Principal Component Analysis (PCA) was carried out to study the differences  
151 between the spinach NIRS sets obtained at the key steps. PCA was performed using the  
152 average spectrum for plants derived from each of the days and steps analysed. Matlab  
153 software (version 2015a, The Mathworks, Inc., Natick, MA, USA) was used applying  
154 mean centre as signal pre-treatment, which subtracts the mean spectrum of the group  
155 from each spectrum (Wise et al., 2006).

156

#### 157 *2.5. Definition of the calibration set for the development of NIRS models*

158

159 Data pre-processing and chemometric treatments were performed using the  
160 Matlab version 2015a and WinISI II version 1.50 (Infrasoft International LLC, Port  
161 Matilda, PA, USA) (ISI, 2000) software packages.

162 To structure and compress the data matrix, the CENTER algorithm was applied;  
163 this algorithm determines the centre of the spectral population and calculates the  
164 Mahalanobis distance (GH) between each sample and the centre of the population,  
165 expressed in principal components (Shenk and Westerhaus, 1995a). Samples with a GH  
166 value greater than 4 were considered outliers. A combination of mathematical pre-  
167 treatments, Standard Normal Variate (SNV) and De-trending (DT) was applied for  
168 scatter correction (Barnes et al., 1989), together with the 1,5,5,1 derivative treatment,  
169 where the first digit is the number of the derivative, the second the gap over which the



170 derivative is calculated, the third the number of data points in a running average or  
171 smoothing, and the fourth the second smoothing (Shenk and Westerhaus, 1995b).

172

## 173 *2.6. Fine-tuning of the spectrum-taking procedure in spinach plants throughout the food* 174 *supply chain*

175

176 For the optimization of the spectral acquisition process at different steps of the  
177 spinach supply chain using the MicroNIR™ Pro 1700, by first establishing the optimum  
178 number of spectra per plant that must be taken routinely. Different strategies were used  
179 for the analysis in the field and at receipt (Steps I and II) to develop the prediction  
180 models:

- 181 a. Selecting a single spectrum per plant, using only one leaf.
- 182 b. Using the average spectrum obtained after taking 3 spectra per plant on 3  
183 different leaves.
- 184 c. Using, for each spinach plant, the average of the 5 spectra taken on 5  
185 different leaves.

186 The spectra for strategies a and b were randomly selected from the 5 available  
187 using the Matlab software.

188 Calibration models for the prediction of SSC and nitrate content were  
189 constructed using modified partial least squares (MPLS) regression (Shenk and  
190 Westerhaus, 1995a). Four cross validation groups were used to avoid overfitting (Shenk  
191 and Westerhaus, 1995a). For each analytical parameter, different mathematical pre-  
192 treatments were evaluated. For scatter correction, SNV and DT methods were applied  
193 (Barnes et al., 1989). Additionally, two derivative mathematical treatments were tested:  
194 1,5,5,1 and 2,5,5,1 (Shenk and Westerhaus, 1995b; ISI, 2000).

195 The best models for each parameter and each control point in the supply chain  
196 were selected by statistical criteria, using the coefficient of determination for cross  
197 validation ( $R^2_{cv}$ ), the standard error of cross validation (SECV) and the  $RPD_{cv}$  (ratio of  
198 the standard deviation of the reference data for calibration to the SECV).

199 The SECV values obtained for the best equations for each parameter and control  
200 point studied, with a different number of spectra per plant, were compared using  
201 Fisher's F test (Massart et al., 1988; Naes et al., 2002). Since several SECV values were  
202 compared, a  $SECV_{confidence\ limit}$  was calculated using the following formula:  
203  $SECV_{confidence\ limit} = SECV_{min} \cdot \sqrt{F_{critical}}$  where  $SECV_{min}$  is the smallest SECV.  
204 Consequently, none of the models with a SECV between  $SECV_{min}$  and  $SECV_{confidence\ limit}$   
205 were significantly different ( $P < 0.05$ ).

206 Furthermore, the NIRS analysis process was also optimized on the leaves, to  
207 simulate the analysis after the industrial washing step. To achieve this, a possible  
208 reduction in the number of spectra taken per leaf was considered, following different  
209 strategies:

- 210 a. Using the average spectrum of taking 2 spectra per leaf, one from the blade  
211 and one from the petiole. In this case, one spectrum was selected from the 4  
212 taken in the blade and one of the 2 from the petiole, since it is in the latter  
213 area where the highest accumulation of nitrates occurs and in the industry  
214 blades and petioles are processed together. Both spectra were taken on one  
215 side of the central nerve.
- 216 b. Using the average of the 3 spectra taken per leaf. In addition to the 2 spectra  
217 taken in the previous strategy, a further spectrum was taken from the leaf  
218 blade, on the other side of the central nerve.

219 c. Using the average spectrum of the 6 spectra (4 from the blade and 2 from the  
220 petiole) measured per leaf.

221 Next, the number of leaves needed to predict the parameters to be analysed was  
222 optimized. To achieve this, starting with one leaf, the number of leaves used to develop  
223 predictive NIRS equations were increased, until models were not significantly affected  
224 ( $P > 0.05$ ) by the number of leaves analysed.

225 For the optimization of the NIRS analysis in already-washed spinach,  
226 considering both the number of spectra per leaf and the number of leaves, different  
227 models for the prediction of the SSC and nitrate content, without the elimination of  
228 chemical outliers, were developed and evaluated following the same methodology  
229 previously described for Steps I and II. The SECV values obtained for the best  
230 equations for each parameter and each strategy were also compared using Fisher's F  
231 test.

232 Finally, once the optimum analysis procedures for the three steps in the supply  
233 chain were decided on, the optimization of the NIRS models to predict SSC and nitrate  
234 content in spinach plants was carried out. The best equations were selected according to  
235 the statistical criteria mentioned above.

236

### 237 **3. Results and discussion**

238

#### 239 *3.1. Spectral repeatability*

240

241 Prior to developing the models, it is crucial to optimise the NIRS analysis by  
242 means of the spectrum quality and repeatability measurement. For this purpose, the

243  $STD_{\text{limit}}$  for each analysis step or control point was calculated, as described in Section  
244 2.3.

245 The mean STD and  $STD_{\text{limit}}$  for the three different NIRS analysis steps are  
246 shown in Table 1. The  $STD_{\text{limit}}$  values obtained for Steps I and II were higher than those  
247 obtained for Step III. This could be due to the fact that the spectral measurements were  
248 taken in the plants without pre-washing the leaves, so these may have contained traces  
249 of dirt and dust. In addition, the spectra were taken on 5 different leaves (1 spectrum per  
250 leaf) and from 20 plants, while for Step III, the 6 spectra were taken on the same leaf  
251 and on 20 leaves, which could have resulted in a wider variation in the material  
252 analysed in Steps I and II.

253 The difference in spectral repeatability values obtained between Steps I and II,  
254 in which the same number of spectra were taken on the plants and before the leaves  
255 were washed, may be due to the fact that in Step I, the plants were analysed in the field,  
256 under variable and uncontrolled environmental conditions. Furthermore, obtaining  
257 spectra from the plant in the field is a far more complex task, which may lead to the  
258 analysis having lower repeatability, mainly due to the residual moisture that could  
259 remain on the leaf surfaces, even after they are dried before taking the spectra.

260 In a previous study, Pérez-Marín et al. (2019) calculated the value of  $STD_{\text{limit}}$  in  
261 spinach leaves analysed in the laboratory. These authors took 4 sub-samples per leaf on  
262 the adaxial side of the blade and obtained a  $STD_{\text{limit}}$  value (128,437  $\mu\log(1/R)$ ), greater  
263 than those obtained in this work for the three analysis steps. These differences could be  
264 due to the instruments used, since Pérez-Marín et al. (2019) used a NIRS instrument  
265 based on MEMS technology (Phazir 2400), with a smaller window size ( $\sim 55 \text{ mm}^2$ ) than  
266 that of the MicroNIR<sup>TM</sup> Pro 1700 ( $\sim 227 \text{ mm}^2$ ) used in the present work.

267

268 *3.2. Population characterization*

269

270 Before the predictive models were developed, PCA on raw spectra (Fig. 1) was  
271 used to carry out a study into the population structure.

272 Fig. 2A displays the scores of the first and third principal components (PCs),  
273 which represents 85.24 % and 1.66 % of the explained variance, respectively. A clear  
274 distinction must be drawn between the group of samples analysed in the field (Set 1)  
275 and those analysed in the laboratory (Sets 2 and 3).

276 For the samples analysed in the field, a grouping can be seen when the PC1  
277 scores show a positive trend and the PC3 scores show a negative trend. The  
278 representation of the loadings for PC1 and PC3 (Fig. 2B) shows that in these areas,  
279 corresponding to the spectral range around 900–1300 nm and 1400–1500 nm (PC1 > 0  
280 and PC3 < 0), the main absorption peaks for the distinction between the different sets  
281 are related to water content, since for these PCs, the loading plot exhibits one main band  
282 around 1450 nm (Shenk et al., 2008).

283 The differences between the samples analysed in the field and those of the  
284 industrial steps II and III are, therefore, mainly produced by the bands related to water  
285 content. The high respiration and water-loss rates of the spinach after the harvest result  
286 in a rapid loss of quality and tissue decay during postharvest handling, especially under  
287 non-refrigerated conditions (Salveit, 2016; Basil and Siddiqui, 2018).

288 Table 2 shows the range, mean, standard deviation (SD) and coefficient of  
289 variation (CV) of the population available for SSC and nitrate content. This set was  
290 used for the development of the prediction models for the three steps along the supply  
291 chain in spinach production.

292 SSC shows the lowest variability (Table 2), probably because the spinach plants  
293 were close to, or at, the stage of commercial maturity. The set for nitrate content shows  
294 high variability, due to the different cultivar behaviour in assimilating nitrates and the  
295 fact that the samples were collected throughout the harvesting period, where the level of  
296 nitrates decreases progressively from the first to the second cutting. Also, the plants  
297 analysed were collected from different farms, where different doses of fertilizer had  
298 been applied.

299

### 300 *3.3. Fine-tuning of the spectrum capture strategy for NIRS analysis of spinach plants in* 301 *the field and in the industry*

302

303 Given that no previous work on the NIRS analysis of spinach plants directly in  
304 the field or at the reception step in the industry were found, the spectrum collection  
305 process was optimized for both steps of the production chain (Steps I and II), with the  
306 aim of facilitating the implementation of NIRS technology in both steps in the quickest,  
307 most trouble-free way possible, while enabling robust prediction models to be obtained.

308 Table 3 shows the SECV values for the best calibration models obtained for the  
309 different strategies followed based on the number of spectra to be acquired (1, 3 and 5  
310 spectra per plant) for each parameter. To compare the SECV values obtained for the  
311 three strategies of obtaining spectra studied at the different steps of analysis, in the field  
312 and after the product reaches the industry, the NIRS models were developed without the  
313 elimination of chemical outliers.

314 According to the results shown in Table 3, there were no significant differences  
315 between the SECV values obtained for either parameter.

316 According to these results, and to make the NIRS measurement procedure as  
317 simple as possible, it was considered sufficient to take just one spectrum per plant,  
318 which would be the most suitable way to determine the quality and safety parameters in  
319 spinach plants in the field and at receipt in the industry.

320

#### 321 3.4. *Fine-tuning of the spectrum capture strategy for the industrial NIRS analysis of* 322 *spinach leaves after defoliating and washing*

323

324 Pérez-Marín et al. (2019) determined SSC and nitrate content by analysing 4-10  
325 leaves and taking 4 spectra from the blade of each leaf, two on each side of the central  
326 nerve, while Entrenas et al. (2020), analysed the same number of leaves per plant,  
327 taking, in addition to the 4 spectra on the blade, two additional spectra in the petiolar  
328 zone, making a total of 6 spectra per leaf. However, the NIRS analysis protocols  
329 established by these authors involve taking a high number of spectra from each plant,  
330 which slows down spectra measurements. If this technology is to be used as a routine  
331 analysis method in the industry, the spectral methodology to be followed must be  
332 optimized, and it is therefore essential to look at the feasibility of reducing the number  
333 of spectra per leaf and deciding on the optimal number of leaves to analyse per plant.

334 To achieve this, firstly, the number of spectra to be measured on each leaf was  
335 optimized. Table 4 displays the SECV values for the best calibration models developed  
336 for each parameter using a different number of spectra per leaf (2, 3 and 6 spectra) in all  
337 the leaves used in the reference method (between 4 and 10 depending on their size).

338 No significant differences were found for the two parameters analysed between  
339 the SECV values of the predictive models developed using different number of spectra.  
340 Therefore, to facilitate the use of the NIR spectroscopy in the processed product, in cold

341 chambers and also in the markets, the simplest way to measure the quality and safety  
342 parameters after the process of washing would be to take two spectra per leaf.

343 After selecting the optimum number of spectra per analysed leaf and to establish  
344 the minimum number of leaves to determine the quality and safety in the spinach plants,  
345 new predictive models were developed without removing chemical outliers, using 2  
346 spectra per leaf and increasing progressively the number of leaves to be analysed.

347 Table 5 shows the SECV values for the calibration models developed using a  
348 different number of leaves (1, 2, 3) per plant. For the prediction of nitrate content, no  
349 significant differences were found among the SECV values obtained, and so, for this  
350 parameter, it would be sufficient to use a single leaf, with two spectra measurements.

351 For SSC, using a single leaf, higher SECV value than those obtained using 2 and  
352 3 leaves was obtained. However, no significant differences were found when 2 or 3  
353 leaves were used. Thus, for the SSC parameter, the number of leaves to be used to  
354 develop the models would be 2, taking 2 spectra per leaf.

355 Therefore, for the simultaneous measurement of these quality and safety  
356 parameters, after the spinach leaves have been washed on the production line, only two  
357 leaves would have to be analysed per plant. This is a considerable improvement with  
358 respect to studies previously carried out, in which the number of spectra per plant taken  
359 was much higher (4-10 leaves/plant and 4 and 6 spectra/leaf) than those carried out in  
360 this study (4 spectra/plant). Hence, the analyses could be carried out more quickly,  
361 without any loss of accuracy, thus allowing a much greater quantity of the product or  
362 number of batches to be analysed.

363

364 *3.5. Prediction of quality and safety parameters in spinach throughout the supply chain*  
365 *using MPLS regression*



366

367           Once the spectral acquisition process was optimized to determine the quality and  
368 safety parameters of the spinach at different steps of the production chain, the  
369 development of predictive models for the 3 steps of the production chain studied or  
370 simulated was optimized.

371           Table 6 shows the statistics of the best prediction models obtained using the  
372 spectral data for prediction of the quality and safety parameters. For the SSC prediction  
373 at the three key control points, the models developed distinguished between high,  
374 medium, and low values (Shenk and Westerhaus, 1996; Williams, 2001). Nicolaï et al.  
375 (2007) indicated that  $RPD_{cv}$  values between 1.5 and 2 could discriminate between low  
376 and high values of the predicted variable.

377           The results show the feasibility of using new generation portable NIRS  
378 equipment to predict SSC directly in the field, permitting the use of this technology as a  
379 surveillance tool to establish the optimal harvest time. Similarly, it also confirms the  
380 viability of using NIRS technology in the different steps of the production chain, once  
381 the product has been reached the industry, thus increasing the sampling pressure of the  
382 batches of processed plants and more effective monitoring of the product shelf life.

383           For nitrate content prediction, regardless of the step in the production chain  
384 studied, the predictive models also could differentiate between high, medium and low  
385 values, as indicated by Shenk and Westerhaus (1996) and Williams (2001).

386           Research on the use of portable NIRS instruments for the simultaneous  
387 measurement of SSC and nitrate content of spinach plants was carried out in the  
388 laboratory using washed leaves. Perez-Marín et al. (2019) measured these parameters  
389 using the instrument Phazir 2400 in a spectral range of 1600–2400 nm, obtaining values  
390 of  $RPD_{cv} = 2.54$  and  $RPD_{cv} = 1.29$  for SSC and nitrate content, respectively. Then,

391 Entrenas et al. (2020), using the same instrument as in this study, also obtained  
392 promising results ( $RPP_{cv} = 2.62$  for SSC and  $RPP_{cv} = 1.41$  for nitrate content) for the  
393 quality and safety characterization of this vegetable.

394 In both studies, the results obtained for the prediction of the SSC were slightly  
395 better than those obtained in our study, which could be due to the fact that the  
396 calibration sets used by these authors to develop the predictive models contained a  
397 larger number of samples and greater variability.

398 For nitrate content, Pérez-Marín et al. (2019) reported a model with a lower  
399 predictive ability than that obtained here, although there were differences of the  
400 equipment used by these authors in terms of optical characteristics, spectral range and  
401 the analysis window. Nevertheless, Entrenas et al. (2020) obtained models with a  
402 predictive capacity ( $RPD_{cv} = 1.41$ ) very similar to that obtained here in Steps II and III.  
403 For the first control point (Step I) in the field, the results were slightly more favourable.  
404 This increase in the robustness of the model may be due to the fact that these leaves  
405 were manipulated less prior to their NIRS analysis, which is consistent with the study  
406 reported by Basil and Siddiqui (2018) who demonstrated that spinach plants decay  
407 rapidly once harvested.

408 Therefore, although the sets of spinach plants used in this work has only a small  
409 number of samples, they were sufficient to demonstrate the viability of using NIRS  
410 technology. The results are of great interest to producers and the industry, since they  
411 confirm the usefulness and future potential of the MicroNIR™ Pro 1700 in the analysis  
412 of spinach along the supply chain, without carrying out any prior sample preparation.  
413 This will allow all batches of spinach to be controlled throughout processing from pre-  
414 harvest, in order to be categorized according to their quality and nitrate content.  
415 However, once the suitability has been proven, in future, the number of samples must be

416 increased to develop more robust calibrations, with samples from different seasons and  
417 cultivars. This is especially important in biological products, which have countless  
418 variations in the sources, and also in the case of minor parameters with extremely wide  
419 variability, such as nitrate content (Perez-Marín et al., 2019).

420

#### 421 **4. Conclusions**

422

423 The results obtained show the viability of using the handheld spectrophotometer  
424 MicroNIR™ Pro 1700 for the rapid screening of quality and safety parameters in  
425 spinach plants through supply chain. A single spectrum per plant is suitable for  
426 measuring the SSC and nitrate content in the field and at the reception in the industry,  
427 which would pave the way for the routine use of NIRS technology by the growers and  
428 in the industry. In the case of the analysis of spinach leaves after the leaf removal and  
429 washing operations, it seems to be sufficient to analyse two leaves per plant, with two  
430 spectra taken in each one, one on the leaf blade and another on the petiole, thus  
431 simplifying the NIRS analysis methodology in the processed product, and facilitating its  
432 possible future use not only in the industry but also in markets.

433 These results are of interest, because non-destructive measurement of these  
434 parameters in a matter of seconds facilitates not only decision-making about the optimal  
435 time for harvest, mainly based on the SSC, but also the monitoring of the plant  
436 requirements of nitrogen fertilization, thus making it possible to set the quantity and  
437 optimal time for this nutrient to be applied to the crop. Further studies are needed in  
438 order to improve the robustness of the calibration models.

439

440 **CRedit authorship contribution statement**

441

442           **Irina Torres:** Formal analysis, Investigation, Software, Data curation, Writing -  
443 original draft, Writing - review & editing, Visualization. **María-Teresa Sánchez:**  
444 Conceptualization, Methodology, Validation, Investigation, Resources, Writing –  
445 original draft, Writing - review & editing, Visualization, Supervision, Project  
446 administration, Funding acquisition. **Dolores Pérez-Marín:** Conceptualization,  
447 Methodology, Validation, Investigation, Resources, Writing – original draft, Writing -  
448 review & editing, Visualization, Supervision, Project administration, Funding  
449 acquisition.

450

#### 451 **Declaration of Competing Interest**

452

453           The authors declare that they have no known competing financial interests or  
454 personal relationships that could have appeared to influence the work reported in this  
455 paper.

456

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458

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463

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558 **Table 1**

559 Mean STD and  $STD_{limit}$  values obtained from the spectral repeatability study for the  
560 different NIRS analysis throughout the spinach supply chain.

Repeatability statistics	Field	Laboratory	After washing
Mean <sup>a</sup> STD	81,982	57,537	41,739
$STD_{limit}$	88,132	63,205	45,738

561 <sup>a</sup> Standard deviation

562

563

564 **Table 2**

565 Range, mean, standard deviation (SD) and coefficient of variation (CV) for the soluble  
566 solid and nitrate contents calibration sets, and standard error of laboratory (SEL).

	Soluble solid content (%)	Nitrate content (mg kg <sup>-1</sup> )
Range	5.8–14.4	41–3526
Mean	9.2	1344
SD	1.7	1122
CV (%)	18.5	83
SEL	0.04	24

567

568 **Table 3**

569 Comparison between standard error of cross validation values for the best calibration  
 570 models obtained for soluble solid and nitrate contents, taking different number of  
 571 spectra per plant during the analysis in the field and at the reception point in the  
 572 industry. Fisher's F test ( $P < 0.05$ ).

Supply chain	Parameter	<sup>a</sup> SECV 1 spectrum	SECV 3 spectra	SECV 5 spectra	SECV <sub>min</sub>	SECV <sub>min</sub> · $\sqrt{F_{critical}}$
Field	Soluble solid content (%)	1.2	1.3	1.2	1.2	1.5
	Nitrate content (mg kg <sup>-1</sup> )	862	713	741	713	863
Laboratory	Soluble solid content (%)	1.1	1.2	1.1	1.1	1.3
	Nitrate content (mg kg <sup>-1</sup> )	882	913	833	833	1007

573 <sup>a</sup> Standard error of cross validation.

574

575 **Table 4**

576 Comparison between standard error of cross validation values for the best calibration  
 577 models obtained for soluble solid and nitrate contents, taking different number of  
 578 spectra per leaf and using between 4 and 10 leaves analysed after leaf removal and  
 579 washing in the laboratory. Fisher's F test ( $P < 0.05$ ).

Parameter	<sup>a</sup> SECV 2 spectra	SECV 3 spectra	SECV 6 spectra	SECV <sub>min</sub>	SECV <sub>min</sub> · $\sqrt{F_{critical}}$
Soluble solid content (%)	1.1	1.1	1.2	1.1	1.3
Nitrate content (mg kg <sup>-1</sup> )	727	739	763	727	879

580 <sup>a</sup> Standard error of cross validation.

581

582

583 **Table 5**

584 Comparison between standard error of cross validation values for the best calibration  
 585 models for soluble solid and nitrate contents obtained by analysing different number of  
 586 leaves per plant and taking 2 spectra per leaf in the NIRS analysis after leaf removal and  
 587 washing. Fisher's F test ( $P < 0.05$ ).

Parameter	<sup>a</sup> SECV	SECV	SECV	SECV <sub>min</sub>	SECV <sub>min</sub> · $\sqrt{F_{critical}}$
	1 leaf	2 leaves	3 leaves		
Soluble solid content (%)	1.4*	1.2	1.1	1.1	1.3
Nitrate content (mg kg <sup>-1</sup> )	792	785	714	714	864

588 <sup>a</sup> Standard error of cross validation.

589

590 **Table 6**

591 Calibration statistics for predicting soluble solid and nitrate contents using the  
 592 instrument MicroNIR™ Pro 1700 in the field and in the laboratory.

Parameter	Control point	Mathematical treatment	<sup>a</sup> N	<sup>b</sup> Mean	<sup>c</sup> SD	<sup>d</sup> SECV	<sup>e</sup> R <sup>2</sup> <sub>cv</sub>	<sup>f</sup> RPD <sub>cv</sub>
Soluble solid content (%)	Field	1,5,5,1	72	9.2	1.6	1.1	0.55	1.55
	Laboratory	2,5,5,1	71	9.1	1.6	1.0	0.60	1.66
	After washing	2,5,5,1	70	9.1	1.6	1.0	0.62	1.76
Nitrate content (mg kg <sup>-1</sup> )	Field	1,5,5,1	75	1341	1132	725	0.59	1.55
	Laboratory	1,5,5,1	74	1318	1116	772	0.52	1.45
	After washing	2,5,5,1	76	1359	1122	766	0.54	1.46

593 <sup>a</sup> Number of samples.

594 <sup>b</sup> Mean of the calibration set.

595 <sup>c</sup> Standard deviation of the calibration set.

596 <sup>d</sup> Standard error of cross validation.

597 <sup>e</sup> Coefficient of determination of cross validation.

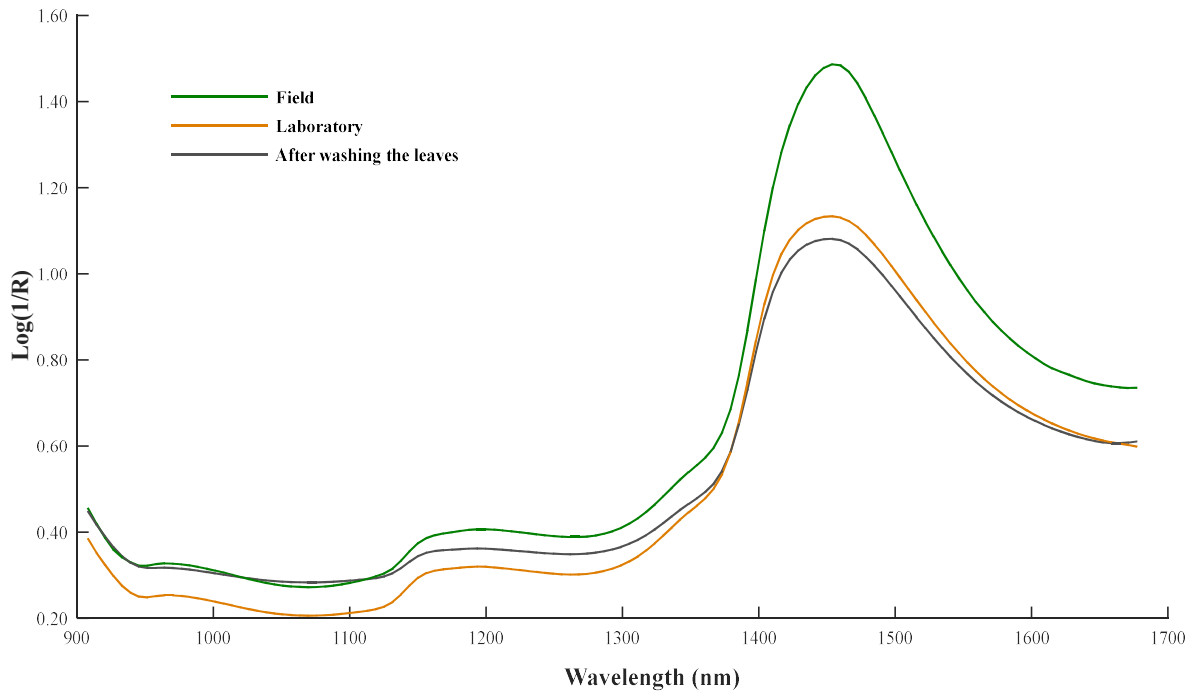
598 <sup>f</sup> Residual predictive deviation for cross validation.

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602 **Fig. 1.** Average spectra for spinach plants at different steps of the supply chain.

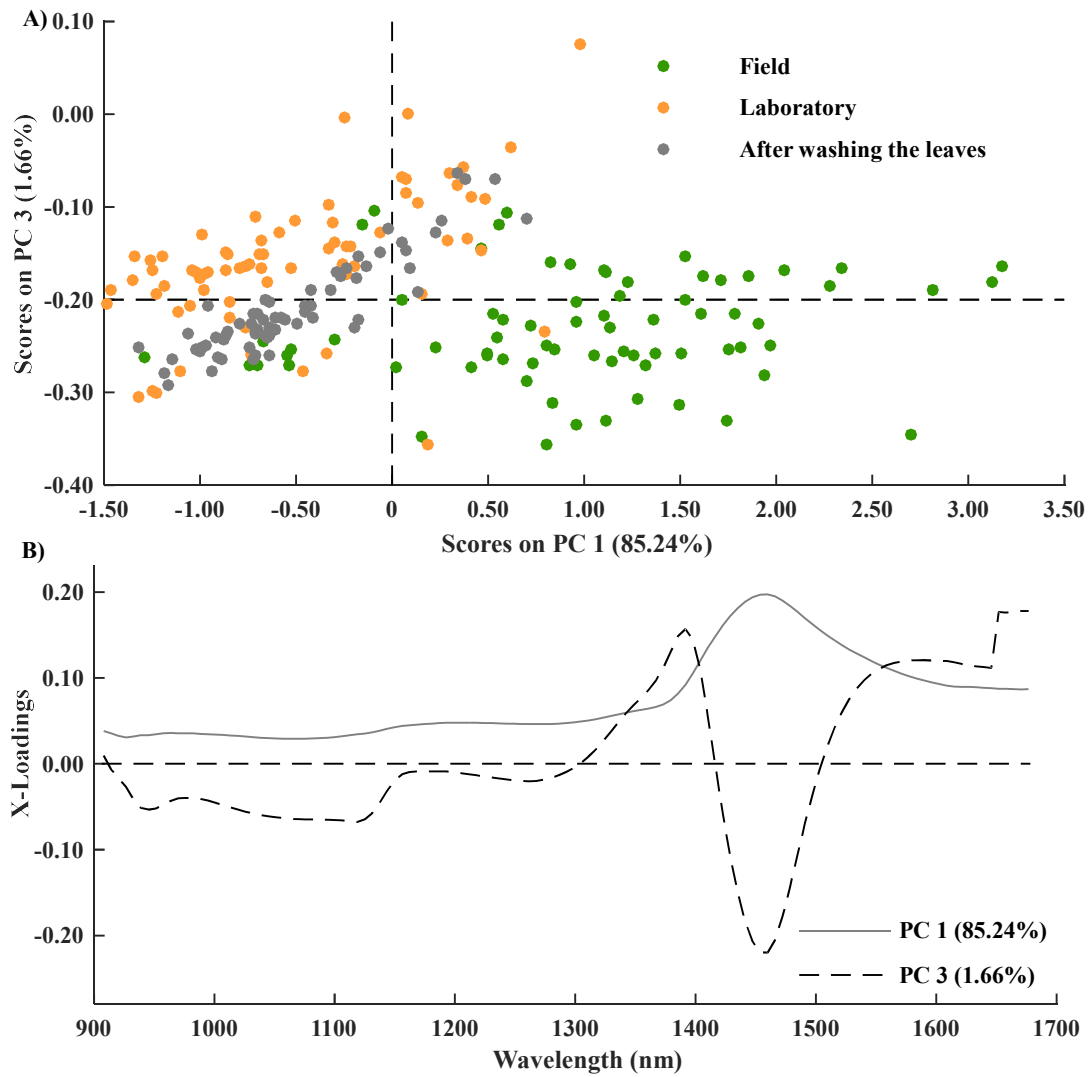


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606 **Fig. 2.** Plots of scores (A) and loadings (B) for the first (PC1) and third (PC3) principal  
607 components for spinach plants analysed in the different steps of the supply chain.



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