

1 **LOCAL regression applied to a citrus multispecies library to assess**
2 **chemical quality parameters using Near Infrared Spectroscopy**

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22 **ABSTRACT**

23 The non-destructive on-tree measurement of the chemical quality attributes of fruits
24 belonging to the Citrus genus using rapid spectral sensors is of vital interest to citrus
25 growers, allowing them to carry out a selective harvest of any species of citrus fruit.
26 With this objective, the viability of using of a handheld portable near infrared
27 spectroscopy (NIRS) instrument to predict soluble solid content (SSC), pH, titratable
28 acidity (TA), maturity index and BrimA, in order to measure the optimum harvest time
29 in a group made up of 608 samples belonging to the Citrus genus (378 oranges and 230
30 mandarins) was evaluated. For each of the parameters analysed, both non-linear
31 regression (LOCAL algorithm) and linear regression (Modified Partial Least Squares,
32 MPLS) strategies were designed and compared. The use of the LOCAL algorithm in the
33 sample group of oranges and mandarins for all the parameters analysed allowed to
34 obtain more robust models than those obtained with MPLS regression, and it could also
35 be extended more easily when routinely applied. The results confirm that NIRS
36 technology combined with non-linear regression strategies such as the LOCAL
37 algorithm can indeed respond to the needs of the citrus growers and help them to set the
38 optimum harvest time, in this case of oranges and mandarins, by predicting the chemical
39 quality parameters *in situ*.

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41 *Keywords:* NIR spectroscopy; Citrus genus; *In situ* analysis; Chemical quality; LOCAL
42 algorithm; Optimum harvest time.

43

44 **1. Introduction**

45

46 Since oranges and mandarins are non-climacteric fruits, the harvest should be
47 timed for when the fruit has reached its commercial maturity [1].

48 For both these citrus species, the harvest indices generally used are based on
49 maturity index values (ratio of SSC to TA), BrimA (an abbreviation for Brix minus
50 Acids) and a minimum yellow-orange colour of the peel [2–5].

51 On-tree intact measurement of these harvest indices for all the fruits is
52 particularly critical for these non-climacteric fruits due to the fact that the physiological
53 maturation process has finished at harvest, and since flavour perception of these fruits is
54 closely linked to these quality attributes (SSC and TA) [4, 5]. Since consumer
55 acceptance of the fruits is based on flavour and sweetness, measuring these values of the
56 fruits on the tree would allow them to be harvested selectively and then sold according
57 to their quality [6].

58 Therefore, due to the need to test and measure the chemical quality parameters
59 of individual Citrus fruits, the Citrus sector requires the introduction of non-destructive,
60 fast, versatile, environmentally-friendly and cost-effective technologies such as NIR
61 Spectroscopy, which allows to measure the quality of the fruit directly on the tree
62 during the maturation process, regardless of the species analysed.

63 Most applications which use NIR spectroscopy to measure quality chemical
64 parameters (SSC, pH, TA, maturity index and vitamin C) in fruits of the Citrus genus
65 refer to studies carried out with laboratory equipment for a single species using linear
66 regression techniques, such as Partial Least Squares (PLS), Multiple Linear Regression
67 (MLR) and Principal Component Regression (PCR) [7–10].

68

69 However, very few works have focused on taking readings directly on the tree to
70 establish the optimum harvest time [11-13]. Sánchez et al. [11, 12] used portable
71 equipment based on micro-electro-mechanical system (MEMS) technology, with a
72 1600-2400 nm spectral range to measure quality parameters (SSC, TA, pH, maturity
73 index) in mandarins and oranges separately. Similarly, Cavaco et al. [13] measured the
74 on-tree quality of oranges through internal quality parameters (SSC, pH, TA and
75 maturity index), using portable VIS/NIR equipment with a charge-coupled device array
76 detector and a reduced range of measurement (680-1100 nm).

77 In addition to *in situ* measurements, it would be highly advantageous in practical
78 and commercial applications to be able to use universal equations for different citrus
79 species, to measure physical-chemical quality, thus permitting the staggered collection
80 of the fruits depending on when they reach their full maturity. Despite this need for
81 universally-applicable equations, there are few published works which refer to
82 developing NIRS models for multi-product groups in plants [14-17], and the work
83 published by Torres et al. [17] is the only one dealing with analysing citrus species
84 intact on the tree as a way of measuring the morphological and physical quality of the
85 fruits.

86 In the case of heterogeneous spectral libraries (multispecies libraries), the
87 application of non-linear regression methods based on local calibrations allow a better
88 management of the population available, since the characteristics of the samples
89 selected by the algorithm to be used for calibration are specific in each case and for each
90 of the samples to be predicted, thus making it easier for producers to develop models
91 [17-20].

92 A number of works, in products which are not fruits, have confirmed that the use
93 of non-linear regression techniques with multispecies libraries allows to obtain models

94 with a higher predictive capacity and, most importantly, facilitates the routine
95 management of prediction models and especially their recalibration, since it is simply a
96 case of expanding the calibration database, rather than having to recalculate the models
97 as in classic global strategies. Thus, Godin et al. [21] compared their results obtained by
98 applying non-linear and linear regression methods (LOCAL *versus* MPLS algorithms)
99 to predict neutral and acid detergent fibre residues, acid detergent lignin and mineral
100 compound content in a set composed of different fibrous plants. They concluded that
101 the reliability of non-linear models is greater, since they fit in better with the non-
102 homogeneity associated with a multispecies database.

103 Similarly, in fruits, the potential of local regression techniques for increasing the
104 robustness of prediction models has been demonstrated by different authors, although
105 these models have been developed for individual species [12, 22-24].

106 In the particular case of citrus species (oranges and mandarins), Torres et al. [17]
107 applied the LOCAL algorithm in a previous work to measure morphological parameters
108 (weight, equatorial and axial diameter), colour (L^* , a^* , b^* , C^* and h^*) and physical
109 parameters (firmness, pericarp thickness and juice mass). When this non-linear
110 regression algorithm was applied instead of the MPLS regression, the predictive
111 capacity of the models increased for all parameters and the prediction error decreased.

112 The aim of this study was to develop predictive models based on non-linear
113 regression strategies (LOCAL algorithm), in order to measure the main chemical quality
114 parameters which indicate the optimum harvest time and allow to carry out selective
115 harvesting in fruits of the Citrus genus, regardless of the species, growing-season and
116 crop practices, using NIRS technology together with a handheld portable MEMS-NIR
117 spectrophotometer.

118

119 **2. Material and Methods**

120

121 *2.1. Fruit Samples*

122

123 The initial sample set comprised 608 samples belonging to the genus *Citrus* –
124 378 oranges (*Citrus sinensis* L. cv. ‘Powell Summer Navel’) and 230 mandarins (*Citrus*
125 *reticulata* Blanco cv. ‘Clemevilla’) – grown in a commercial plantation in La Campana
126 (Seville, Spain), under four different irrigation regimes.

127 In the case of orange, each experimental plot comprised three rows of four trees,
128 with four repetitions for each irrigation regime; oranges were monitored on the two
129 central trees in each plot. Thus, ripening was monitored on eight trees for each of the
130 four irrigation regimes, giving a total of 32 trees. A total of six oranges were labeled on
131 each of the 32 trees: one for each of the four possible orientations (north, south, east,
132 and west) and one for each of two heights on the tree (1.25 and 1.75 m), thus giving a
133 total of 384 oranges. However, in the course of the study, six ripe oranges dropped off
134 the tree and were thus excluded. The final sample set thus comprised 378 oranges.

135 For mandarins, each experimental plot comprised three rows of four trees, with
136 four repetitions for each irrigation regime; oranges were monitored on the two central
137 trees in each plot. Thus, ripening was monitored on eight trees for each of the four
138 irrigation regimes, giving a total of 32 trees. A total of eight mandarins were labeled on
139 each of the 32 trees: one for each of the four possible orientations (north, south, east,
140 and west) and one for each of two heights on the tree (1.25 and 1.75 m), thus giving a
141 total of 256 oranges. However, in the course of the study, twenty-six ripe mandarins
142 dropped off the tree and were thus excluded. The final sample set thus comprised 230
143 oranges.

144 On arrival at the laboratory, the harvested oranges and mandarins were kept in
145 refrigerated storage at 5°C and 90% RH until the following day, when laboratory testing
146 was performed. Prior to each test, the samples were allowed to reach room temperature
147 of 20°C, suitable for conducting the analysis.

148

149 *2.2. Reference Data*

150

151 The chemical parameters (SSC, TA and pH) of the oranges and mandarins were
152 measured in the same way as Sánchez et al. [11]. The maturity index was also
153 calculated as an SSC/TA ratio and the BrimA index was calculated using the equation
154 described by Jordan et al. [4]:

155

$$BrimA = SSC - k(TA),$$

156

157 where k is a constant that reflects the tongue's higher sensitivity to TA compared
158 to SSC. The value of the constant k was 4, which was suggested for oranges by
159 Obenland et al. [5] in order to avoid the generation of negative BrimA values.

159

160 *2.3. NIR Analysis*

161

162 NIR analysis of both fruits were performed in reflectance mode ($\log 1/R$) using a
163 handheld MEMS spectrophotometer Phazir 2400 (Polychromix, INC., Wilmington,
164 MA, USA) that incorporates all the essential components to deliver on-tree applications.
165 This instrument scans at 8 nm non-constant intervals in the spectral range 1600-2400
166 nm. Four spectral measurements were made for each fruit (orange and mandarin) in the
167 equatorial zone whilst on the tree, taking orientation (north, south, east and west) into
168 account. The four spectra were averaged to provide a mean spectrum for each sample.

169

170 *2.4. Definition of Calibration and Validation Sets*

171

172 Principal component analysis (PCA) was performed on each individual data set
173 (378 oranges and 230 mandarins) in order to structure and compress the data matrix.
174 After PCA, the centre of the spectral population was fixed in order to detect outlier
175 samples. The Mahalanobis distance (GH) was calculated between each sample and the
176 centre of the population. Samples with a GH value greater than 4 were considered
177 outliers [25]. As signal spectral pre-treatments, the standard normal variate (SNV) plus
178 detrending (DT) procedures [26] were used to remove the multiplicative interferences of
179 scatter, and the Norris first derivative mathematical treatment was performed (1,5,5,1),
180 where the first digit is the order of the derivative, the second is the gap over which the
181 derivative is calculated, the third is the number of data points in a running average or
182 smoothing and the fourth is the second smoothing [27].

183 After removing the outliers (in this case, 3 oranges and 1 mandarin), each of the
184 resulting sets, consisting of 375 oranges and 229 mandarins, was divided into two: a
185 calibration set containing about 75% of the samples and a validation set containing the
186 remaining 25%. These samples were selected following the method outlined by Shenk
187 and Westerhaus [28] using the CENTER algorithm included in the WinISI II software
188 package version 1.50 to calculate the distance to the centre of the population based on
189 the Global Mahalanobis distance (GH), with three out of every four samples selected to
190 be part of the calibration set [29]. Additionally, the calibration and validation sets of
191 oranges and mandarins were merged to make new calibration and validation sets of
192 citric fruits with the two species tested together. The differences in the number of
193 samples available for the different parameters analysed in both the calibration and

194 validation groups were due to the fact that, in some of them, the pH and TA
195 measurements or the parameters derived from titratable acidity (maturity index and
196 Brim A) could not be recorded since the fruits had a very low juice content.

197

198 *2.5. Construction of Prediction Models using the LOCAL Algorithm. Comparison with* 199 *Models Obtained Using Linear Regression Strategy*

200

201 The LOCAL algorithm was performed for each dataset (oranges, mandarins and
202 oranges and mandarins). LOCAL operates by searching for, and selecting, samples in
203 large databases containing spectra similar to the sample being analysed. The selected
204 samples are then used to compute a specific calibration equation, based on PLS
205 regression, to predict the constituents of an unknown sample [18].

206 Selection of the calibration samples is controlled by the value of the coefficient
207 of correlation between the spectrum of the unknown sample and those comprising the
208 spectral database [18]; the samples with the highest correlation are selected. A
209 minimum correlation cut-off is available to ensure that the selected samples are highly
210 correlated [30].

211 Different parameters must be evaluated in order to optimize the LOCAL
212 algorithm. In this work, an optimization design was set up by varying the number of
213 calibration samples (k) from 80 to 140 in steps of 20, and the number of factors (l) from
214 14 to 16 in steps of 1. This gave a factorial design of 4 x 3 or 12 runs. Finally, it was
215 established that the first four PLS factors should be removed.

216 Furthermore, for each parameter analysed, the different mathematical signal pre-
217 treatments were evaluated. For scatter correction, the SNV and DT methods were tested

218 [26]. Additionally, four derivative mathematical treatments were tested in the
219 development of NIR calibrations: 1,5,5,1; 2,5,5,1; 1,10,5,1; 2,10,5,1 [27].

220 The effect of the different settings on the performance of the LOCAL algorithm
221 was evaluated by comparing the standard error of prediction (SEP) obtained for each
222 set, the coefficient of regression for external validation (r^2_p) and the RPD_p (ratio of the
223 standard deviation (SD) of the reference data for validation to the SEP).

224 In addition, in order to compare the results obtained with the LOCAL algorithm,
225 global models using linear regression were developed.

226 To achieve this, MPLS regression was used to obtain equations for each data set
227 and for each parameter analysed [25]. During the development of the MPLS equation,
228 the same signal pre-treatments used with LOCAL algorithm were used (SNV + DT, and
229 the four derivative mathematical treatments). The best predictive models obtained for
230 the calibration sets, selected by statistical criteria (the standard error of cross validation
231 (SECV) and the coefficient of determination for cross validation (r^2_{cv}), were subjected
232 to evaluation using the validation sets, which consisted of samples not involved in the
233 calibration procedure.

234 The SEP values of the predictive models for the parameters tested obtained
235 using the LOCAL and MPLS regression algorithms were statistically compared using
236 Fisher's F test [31]. The values for F were calculated as:

$$237 \quad F = \frac{SEP_2^2}{SEP_1^2}$$

238 where SEP₁ and SEP₂ are the standard error of prediction of two different
239 models and SEP₁ < SEP₂. F is compared to F_{critical} (1- P, n₁-1, n₂-1) as read from the
240 table, with P = 0.05 and n₁ the number of times the measurement is repeated with
241 method 1; n₂ is the number of times the measurement is repeated with method 2. If F is
242 higher than F_{critical}, the two SEP values are significantly different.

243

244 **3. Results and Discussion**

245

246 *3.1. Population Distribution of Chemical Quality Parameters*

247

248 Perez-Marín et al. [20] showed the importance of the population distribution
249 used in calibration to obtain robust models. For multispecies or multiproduct groups,
250 using local rather than global calibrations has particular advantages in those parameters
251 where different populations are observed for each species [17].

252 The distribution of the chemical quality parameters tested for oranges,
253 mandarins, and oranges and mandarins, is shown in Fig. 1, together with their mean and
254 standard deviation. Since the maturity index and BrimA parameters are obtained from
255 the SSC and TA content, in the discussion the distributions shown for the latter,
256 together with their pH values are focused on.

257

258 For SSC, the set composed of oranges shows a non-normal distribution, more
259 similar to a bimodal distribution, with a valley around 12% and a maximum around
260 10.5%, while mandarins show a normal distribution, with a maximum around 12.5%. It
261 could be said that mandarins (ranging from 9.95 to 15.65) were sweeter than oranges
262 (ranging from 6.80 to 15.30). If the groups of oranges and mandarins are joined, a new
263 group is formed (oranges and mandarins) with a distribution close to normal, with a
264 range between 6.80 and 15.6% and a maximum around 12.5%.

265 In the case of pH and similar to SSC, the mandarins group shows a normal
266 distribution with a range from 2.08 to 4. The oranges group also has a normal
267 distribution, with a range of 3.01-4.15. Since there are more oranges than mandarins,

268 when the two groups are joined, the average value (3.53) is closer to that of the oranges
269 group (3.69), and its deviation (0.30) is higher than that of both groups (0.20 in both
270 cases) and losing the normal distribution.

271 Taking the groups of oranges and mandarins individually, they show a normal
272 distribution for titratable acidity, with maximum values of 0.60 and 1.10% of citric acid
273 for oranges and mandarins, respectively. For both groups together, there is a positive
274 asymmetric distribution, with a clear maximum value around 0.60% for citric acid and a
275 standard deviation of 0.34% citric acid.

276

277 *3.2. Descriptive Data for NIR Calibration and Validation Sets*

278

279 As it was explained in the Material and Methods section, the CENTER
280 algorithm was applied to the individual spectral databases in order to structure the
281 populations according to GH. A total of 3 oranges and 1 mandarin presented values of
282 GH greater than 4, and these were therefore considered outliers. A detailed analysis of
283 the chemical characteristics of these samples could determine that these samples have
284 different characteristics from the rest; the three oranges considered as outliers had low
285 values of SSC (7, 7.35 and 9.11%, respectively), being cases of samples collected
286 before complete maturation, whereas the mandarin sample showed a high value of SSC
287 (15.45%), being a sample collected in an over-ripe state.

288 Once the outliers have been removed, the remaining samples were used to create
289 the calibration and validation sets. The statistics obtained (number of samples, range,
290 mean, standard deviation and coefficient of variation) for each of the parameters
291 analysed in the calibration and validation sets for oranges, mandarins and the set
292 composed by oranges and mandarins are shown in Table 1. For each parameter, the

293 ranges for the validation set lay within the range for the calibration set; it could be
294 affirmed that the validation set comprised representative samples of the whole variance.
295 Furthermore, both sets of the same group of samples displayed similar values for mean,
296 SD and CV.

297 For both the calibration and validation groups, the group that has the greatest
298 variability is the one consisting of oranges and mandarins for the TA, pH and maturity
299 index and in the case of SSC and BrimA, the variability of the oranges set is practically
300 identical to that of the oranges and mandarins set.

301

302 *3.3. Optimization of Settings for the Development of Predictive Models using the* 303 *LOCAL Algorithm*

304

305 The SEP values obtained for the best mathematical treatments for the set
306 composed of oranges and mandarins using the LOCAL algorithm, for each one of the
307 combinations of the number of samples (k) and the number of PLS factors, are shown in
308 Fig. 2. It must be highlighted that LOCAL was tuned (i.e. the pre-treatments, numbers
309 of factors and calibration set size) on the validation set. This could give LOCAL a slight
310 advantage over PLS; in this case PLS was tuned by the cross-validation.

311 As regards the SSC parameter, it can be seen in Fig. 2 that, when 16 PLS factors are
312 used, the SEP value increases as the number of samples increases, while for 14 and 15
313 factors, there is a slight decrease in SEP when the number of samples reaches 120; the
314 lowest SEP value is obtained when 80 samples and 16 PLS factors are used. This shows
315 that when there is a group with a uniform distribution (Fig. 1), the LOCAL algorithm
316 used fewer samples (80 samples) for predicting the external validation set than the
317 global regression techniques (456 samples), since only those samples whose spectra

318 were considered representative of the sample of the calibration set to be predicted were
319 used. It should also stress the importance of having a large sample group with a wide
320 variability in order to obtain robust prediction models, since having a wide, varied
321 spectral library available, thanks to the samples selected for development from the
322 specific models carried out by the LOCAL algorithm, allows to obtain better prediction
323 results [23].

324 As it is shown in Fig. 2, the pH does not follow a fixed trend in terms of the
325 evolution of SEP values obtained and the number of samples used to develop the
326 models, and the lowest SEP value (0.15) is obtained when 100 samples and 16 PLS
327 terms were used. For titratable acidity, the lowest SEP value (0.14% citric acid) is
328 obtained when 80 samples and 14 PLS factors are used. In general, it could be said for
329 both parameters that the more samples used, the higher the value of SEP obtained.

330 For maturity index and BrimA, the SEP values decrease as the number of
331 samples used increases, and the lowest SEP values for both parameters are obtained
332 initially when 140 samples are used (Fig. 2). The need for a greater number of samples
333 shows that these modelling parameters are more complex, since they are derived from
334 the relationship between simpler ones, such as SSC and TA. In addition, since in this
335 case it was not clear if the minimum SEP value had been obtained with the number of
336 samples tested (up to 140), it was decided to extend the number of samples used to
337 evaluate this optimization parameter of the model (number of samples, k) to 200. For
338 the maturity index, the minimum SEP value was obtained with 160 samples and 16 PLS
339 factors, while for the BrimA parameter, the lowest SEP value was obtained with 140
340 samples and 14 PLS factors. It can therefore be confidently asserted that the lowest SEP
341 values for maturity index and BrimA are 2.98 and 0.84, respectively (Fig. 2 and Table
342 2).

343

344 *3.4. Validation Statistics for Predicting Chemical Quality Parameters in Citrus Fruits*
345 *using the LOCAL and MPLS Algorithms*

346

347 The validation statistics used to predict the chemical quality parameters in
348 oranges, mandarins, and oranges and mandarins using LOCAL and MPLS regression
349 algorithms are shown in Table 2. This table shows SEP, r^2_p , RPD_p and the settings
350 (LOCAL algorithm) used for the best mathematical treatment for both regression
351 strategies.

352 The set including all the samples (oranges and mandarins) obtained a good
353 predictive capacity for all the parameters tested using the LOCAL algorithm, displaying
354 values of r^2_p between 0.72 and 0.84 [32]. In general, the values of r^2_p obtained with the
355 non-linear regression algorithm for the set composed of both species are greater than the
356 values obtained for the individual sets, except for the set of oranges in the case of SSC
357 and BrimA, and the set of mandarins for pH and maturity index, whose r^2_p values are
358 slightly higher.

359 Furthermore, the validation statistics used to predict the chemical quality
360 parameters show that models obtained using the LOCAL algorithm improved the
361 predictive capacity (higher values of r^2_p) and the accuracy (lower values of SEP) with
362 respect to MPLS regression for all the parameters, except for titratable acidity and
363 maturity index in the set composed of oranges, whose predictive ability (r^2_p values)
364 using LOCAL algorithm fell by 4% and 3%, respectively. For the other models
365 developed, the improvement obtained with the LOCAL algorithm was 7–17% for r^2_p ,
366 with the mandarins group the highest for the SSC parameter and the oranges group for
367 pH, with 46% and 67%, respectively; in the same way, the decrease in SEP values when

368 applying the non-linear regression algorithm ranged from 4 to 18%, except in the case
369 of pH for the mandarins group and titratable acidity in oranges, where there was no
370 difference in terms of the errors obtained with the algorithms tested.

371 On the other hand, comparisons using Fisher's F test of the SEP values in the
372 models obtained for the different parameters analysed, using different regression
373 strategies (LOCAL and MPLS algorithms) for the groups of oranges, mandarins, and
374 oranges and mandarins, pointed to the existence of significant differences ($P < 0.05$) for
375 the SSC parameters in the oranges group, and for titratable acidity and maturity index
376 both in the mandarins and the oranges and mandarins groups. For the other remaining
377 parameters, the differences in SEP values were not significant ($P > 0.05$) (Table 2).

378 As regards the SSC and BrimA parameters, although there were no significant
379 differences between the SEP values when applying the LOCAL algorithm or MPLS in
380 the group of oranges and mandarins, Fig. 1 clearly shows that the range available for the
381 oranges group covers that of the mandarins and makes no distinction between the
382 populations. For this reason, there are no important benefits in applying local
383 regressions, except for the advantages of a routine handling of the spectral databases
384 and the possibility of updating the models more easily if LOCAL is used.

385 In terms of r^2_p and considering the LOCAL algorithm, the SSC models obtained
386 a good predictive capacity for oranges ($r^2_p = 0.81$) and for the set composed of oranges
387 and mandarins ($r^2_p = 0.78$), whereas in the case of mandarins, the model constructed
388 could only distinguish between low, medium and high values ($r^2_p = 0.57$) [32].
389 However, according to Nicolai et al., [33] the RPD_p values obtained for the models
390 developed for oranges ($RPD_p = 2.23$) and for the oranges and mandarins group ($RPD_p =$
391 2.09) indicate that coarse quantitative predictions are possible for this parameter (RPD_p
392 $= 2-2.5$), while the model obtained for mandarins ($RPD_p = 1.51$) can discriminate low

393 from high values ($RPD_p = 1.50\text{--}2.00$). This reduced capacity obtained for the mandarins
394 group can be attributed to its lower variability, according to the CV value given (Table
395 1). As shown in Table 2, the predictive capacity obtained for the oranges and mandarins
396 group is very similar to that of the oranges group, and there are no significant
397 differences ($P > 0.05$) between their SEP values, which stresses the effectiveness of the
398 LOCAL algorithm to measure SSC in two species simultaneously, using the same
399 equipment and prediction model.

400 The only study found in the bibliography which measures SSC in a multispecies
401 group of the Citrus genus was the work by Clark [15], who analyzed a group made up
402 of samples of grapefruit, interspecific hybrids (including kumquats, orangequats and
403 citranges), lemon-lime, mandarins and oranges, using FT-NIR (Bruker Alpha
404 spectrometer) equipment and applying PLS regression. This author, however, analyzed
405 samples of the juice, which is much more homogeneous than the whole fruit.

406 For the prediction of pH and titratable acidity, the results obtained for the
407 oranges and mandarins group show a good predictive capacity for both parameters ($r_p^2 =$
408 0.72 and $RPD_p = 1.93$ for pH and $r_p^2 = 0.84$ and $RPD_p = 2.43$ for TA) using the LOCAL
409 algorithm [32], while for RPD_p , the models developed for these parameters allow to
410 distinguish between high and low pH values and to make a coarse prediction for TA
411 [33].

412 With the LOCAL algorithm, the predictive capacity improves considerably both
413 for pH and for titratable acidity in the oranges and mandarins group compared with the
414 oranges group. When both species are taken together, r_p^2 increases by 188% and 87%,
415 for pH and TA respectively, compared with the oranges group, which could be due to
416 the increase in range which occurs when mandarins are added to the oranges group.

417 In the same way, there is also a 10% improvement in the accuracy of the model
418 for titratable acidity compared with the mandarins group ($r_p^2 = 0.76$), while for pH, with
419 both groups combined, there is a significant increase in the SEP value (around 36%)
420 compared with the mandarins group, which may be caused by the fact that, when both
421 species are taken together, the mean value is higher than that of the latter group.

422 As regards the maturity index and BrimA parameters for the oranges and
423 mandarins group, both parameters have r_p^2 values of 0.70 - 0.90, thus showing a good
424 predictive capacity [32]. In terms of SEP, when LOCAL is applied to all the samples,
425 the error decreases relative to the oranges group, while there is a significant increase in
426 the error ($P < 0.05$) compared with the mandarins group: 163% and 20% for maturity
427 index and BrimA, respectively. However, these SEP values refer to mean values of
428 uncertainty, which means that they vary depending on the mean of the calibration group
429 used to produce each individual model, although individual uncertainty values can vary,
430 being in some cases higher and in others lower [34]. Nevertheless, this lack of precision
431 is to a large extent compensated for by the opportunity of having a model which
432 includes different species, which is of great interest to the citrus fruit industry. In the
433 same way, although maturity index and BrimA are two parameters related to the
434 perception of sweetness or tartness in the fruit, different authors have defined the latter
435 as more useful [4,5], and it obtained a slightly higher predictive capacity than that of the
436 maturity index ($RPD_p = 2.15$ for BrimA *versus* $RPD_p = 2.08$ for maturity index) when
437 LOCAL algorithm is applied.

438 In general, it is important to stress the usefulness of the LOCAL regression
439 algorithm compared with the linear regression algorithm MPLS to predict chemical
440 quality parameters in the oranges and mandarins group. In particular, as mentioned by
441 other authors [12, 23, 35], the most important factor is the increased robustness attained

442 when applying the LOCAL algorithm to measure quality parameters in fruits, which is
443 notable in this work in the case of pH and titratable acidity parameters, which are both
444 of great interest for the industry and the consumers of these products.

445 There are no references in the bibliography to authors applying LOCAL
446 regression models in order to measure chemical parameters in groups made up of
447 several species of citrus fruit. However, a number of authors have demonstrated the
448 potential of local regression techniques to measure chemical parameters in oranges [12],
449 grapes [22], nectarines [23], and apples [24], all of which show increased precision and
450 accuracy when non-linear regression techniques are used, as opposed to linear ones.

451

452 *3.5. Effective Wavelengths for the parameter BrimA*

453

454 Given the value of the BrimA parameter to the citrus industry [5], it was
455 considered important to study the wavelengths that influence its measurement.

456 To do this, the loading plot corresponding to the best model obtained using
457 MPLS regression to predict BrimA in a set composed of oranges and mandarins using
458 the Phazir 2400 is shown in Fig. 3. This figure shows the areas of the spectral range
459 where covariance has influenced the computing of the MPLS model to a greater or
460 lesser degree, and the direction (positive or negative). A representation of the latent
461 variables (LV5 to LV8) used in constructing the calibration equation shows that the
462 areas of the spectrum exerting higher weight on model were 1730, 1830, 1900 and 2350
463 nm, related to the absorption of glucides and water [36].

464

465 **4. Conclusions**

466

467 These results confirm that NIR spectroscopy could be an advantageous
468 technique to predict chemical parameters in a set composed by two species belonging to
469 the Citrus genus using the LOCAL regression algorithm in order to establish the quality
470 and maturity indexes of the citrus fruits on-tree. Using the LOCAL algorithm not only
471 represents an improvement in the predictive capacity of the models obtained, but also
472 allows to use multispecies spectral libraries. This is extremely important for the citrus
473 fruit sector, as the libraries can easily be extended to include other citrus species, thus
474 allowing us to obtain universal models. In addition, the results confirm the advantages
475 of using portable equipment which allows to analyse the fruit in the field, in order to
476 harvest the fruits selectively at the optimum time and to obtain a product of the highest
477 quality which is intended both for fresh consumption and for the processing industry.

478 From a practical point of view, this could be extremely useful for citrus growers,
479 since it permits them to measure maturity indices such as BrimA quickly and without
480 damaging the fruit, which is essential for setting the optimum harvest time and
481 producing fruit which is acceptable to the consumers.

482

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484

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496

497 **5. References**

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625
626

627 **Table 1**

628 Statistics for each set and parameter.

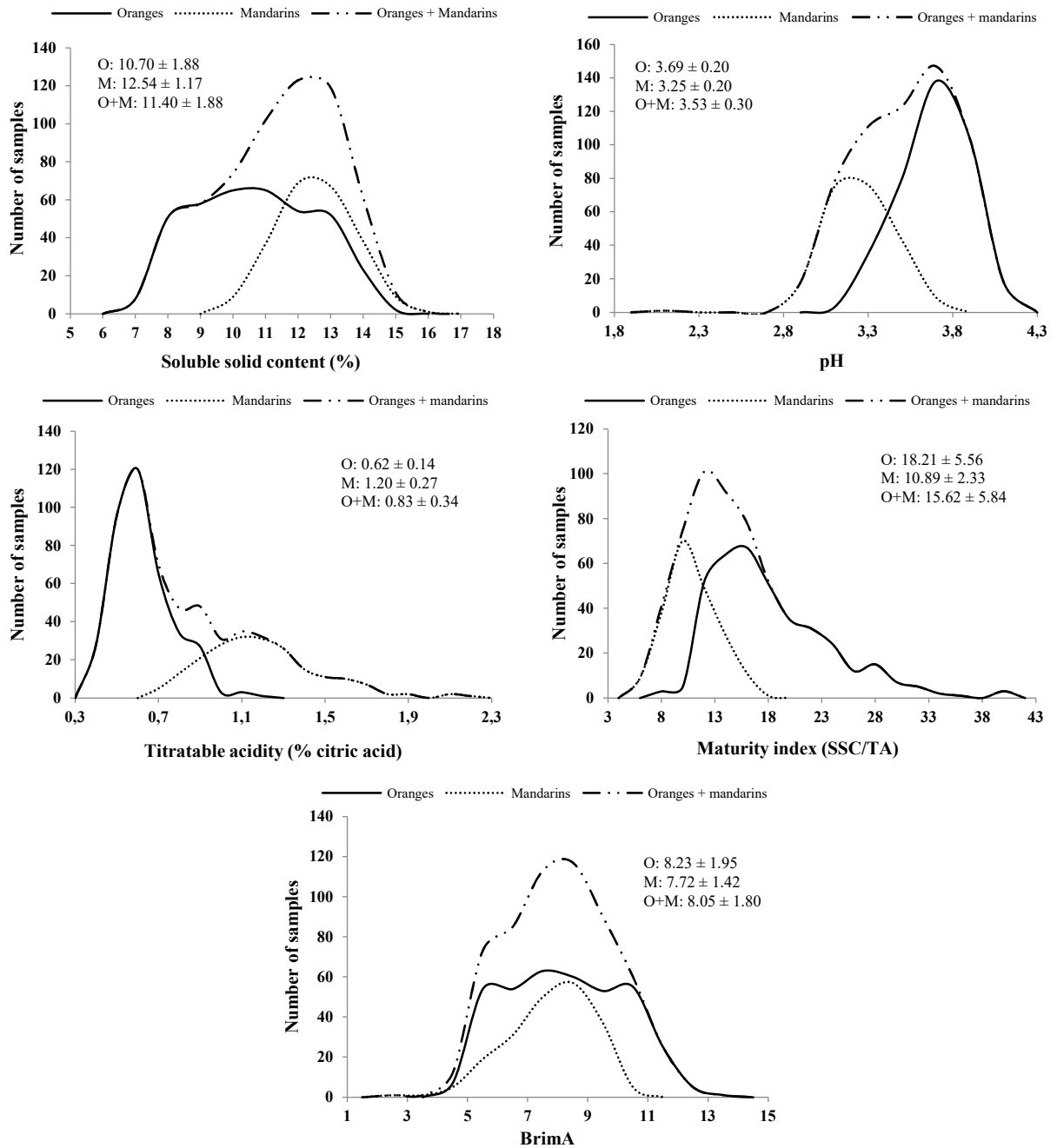
Parameter	Samples	Set	Number of samples	Range	Mean	SD	CV (%)
Soluble solid content (%)	Oranges	Calibration	283	6.80-15.30	10.73	1.91	17.80
		Validation	92	7.50-14.35	10.68	1.78	16.67
	Mandarins	Calibration	173	9.95-15.65	12.51	1.19	9.51
		Validation	56	9.95-15.00	12.58	1.07	8.51
	Oranges + mandarins	Calibration	456	6.80-15.65	11.41	1.88	16.48
		Validation	148	7.50-15.00	11.40	1.80	15.79
pH	Oranges	Calibration	283	3.01-4.15	3.69	0.21	5.69
		Validation	92	3.28-4.03	3.70	0.18	4.86
	Mandarins	Calibration	166	2.08-3.80	3.25	0.20	6.15
		Validation	55	2.86-3.69	3.26	0.21	6.44
	Oranges + mandarins	Calibration	449	2.08-4.15	3.52	0.30	8.52
		Validation	147	2.86-4.03	3.54	0.29	8.19
Titratable acidity (% citric acid)	Oranges	Calibration	282	0.36-1.21	0.62	0.14	22.58
		Validation	92	0.37-1.02	0.62	0.15	24.19
	Mandarins	Calibration	155	0.68-2.15	1.21	0.28	23.14
		Validation	50	0.79-1.77	1.89	0.27	14.29
	Oranges + mandarins	Calibration	437	0.36-2.15	0.83	0.34	40.96
		Validation	142	0.37-1.77	0.82	0.34	41.96
Maturity index (SSC/TA)	Oranges	Calibration	282	8.24-40.03	18.14	5.42	29.88
		Validation	92	8.55-35.79	18.55	6.02	32.45
	Mandarins	Calibration	155	5.41-17.27	10.86	2.32	21.36
		Validation	50	6.68-15.68	11.00	2.42	22.00
	Oranges + mandarins	Calibration	437	5.41-40.03	15.56	5.74	36.89
		Validation	142	6.68-35.79	15.59	6.21	39.83
BrimA index (%)	Oranges	Calibration	282	4.29-13.31	8.26	1.93	23.37
		Validation	92	4.63-12.22	8.22	1.98	24.09
	Mandarins	Calibration	155	2.93-10.33	7.70	1.42	18.44
		Validation	50	4.63-10.28	7.75	1.40	18.06
	Oranges + mandarins	Calibration	437	2.93-13.31	8.06	1.73	21.46
		Validation	142	4.63-12.22	8.05	1.81	22.48

630 **Table 2**631 Validation statistics for predicting chemical quality parameters in Citrus fruits using non-linear (LOCAL) and linear (MPLS) regression
632 algorithms and standard errors of laboratory (SEL)

Parameter	Set	LOCAL			GLOBAL			F	F _{critical}	SEL	
		Settings	SEP	r ² _p	RPD _p	SEP	r ² _p				RPD _p
Soluble solid content (%)	Oranges	100, 16, 4	0.80	0.81	2.23	0.97	0.75	1.84	1.47	1.40*	0.11
	Mandarins	140, 16, 4	0.71	0.57	1.51	0.84	0.39	1.27	1.40	1.43	0.07
	Oranges + mandarins	80, 14, 4	0.86	0.78	2.09	0.95	0.72	1.89	1.22	1.40	
pH	Oranges	100, 16, 4	0.16	0.25	1.13	0.18	0.15	1.00	1.27	1.40	0.02
	Mandarins	80, 16, 4	0.11	0.74	1.91	0.11	0.74	1.91	1.00	1.50	0.06
	Oranges + mandarins	100, 16, 4	0.15	0.72	1.93	0.17	0.64	1.71	1.28	1.36	
Titratable acidity (% citric acid)	Oranges	100, 15, 4	0.11	0.45	1.36	0.11	0.47	1.36	1.00	1.40	0.004
	Mandarins	100, 15, 4	0.13	0.76	2.08	0.18	0.65	1.50	1.92	1.48*	0.020
	Oranges + mandarins	80, 14, 4	0.14	0.84	2.43	0.18	0.75	1.89	1.65	1.40*	
Maturity index (SSC/TA)	Oranges	140, 16, 4	3.56	0.65	1.69	3.70	0.67	1.63	108	1.36	0.13
	Mandarins	100, 16, 4	1.13	0.79	2.14	1.38	0.68	1.75	1.49	1.48*	0.15
	Oranges + mandarins	160, 16, 4	2.98	0.77	2.08	3.52	0.72	1.76	1.40	1.31*	
BrimA index (%)	Oranges	100, 15, 4	0.85	0.82	2.33	0.89	0.80	2.22	1.10	1.40	0.11
	Mandarins	140, 16, 4	0.70	0.75	2.00	0.79	0.68	1.77	1.27	1.45	0.10
	Oranges + mandarins	140, 14, 4	0.84	0.78	2.15	0.94	0.73	1.93	1.25	1.32	

633 * Values with significant differences ($P < 0.05$).

634 **Fig. 1.** Population distribution of chemical quality parameters for oranges (O),
 635 mandarins (M) and oranges and mandarins together (O + M).

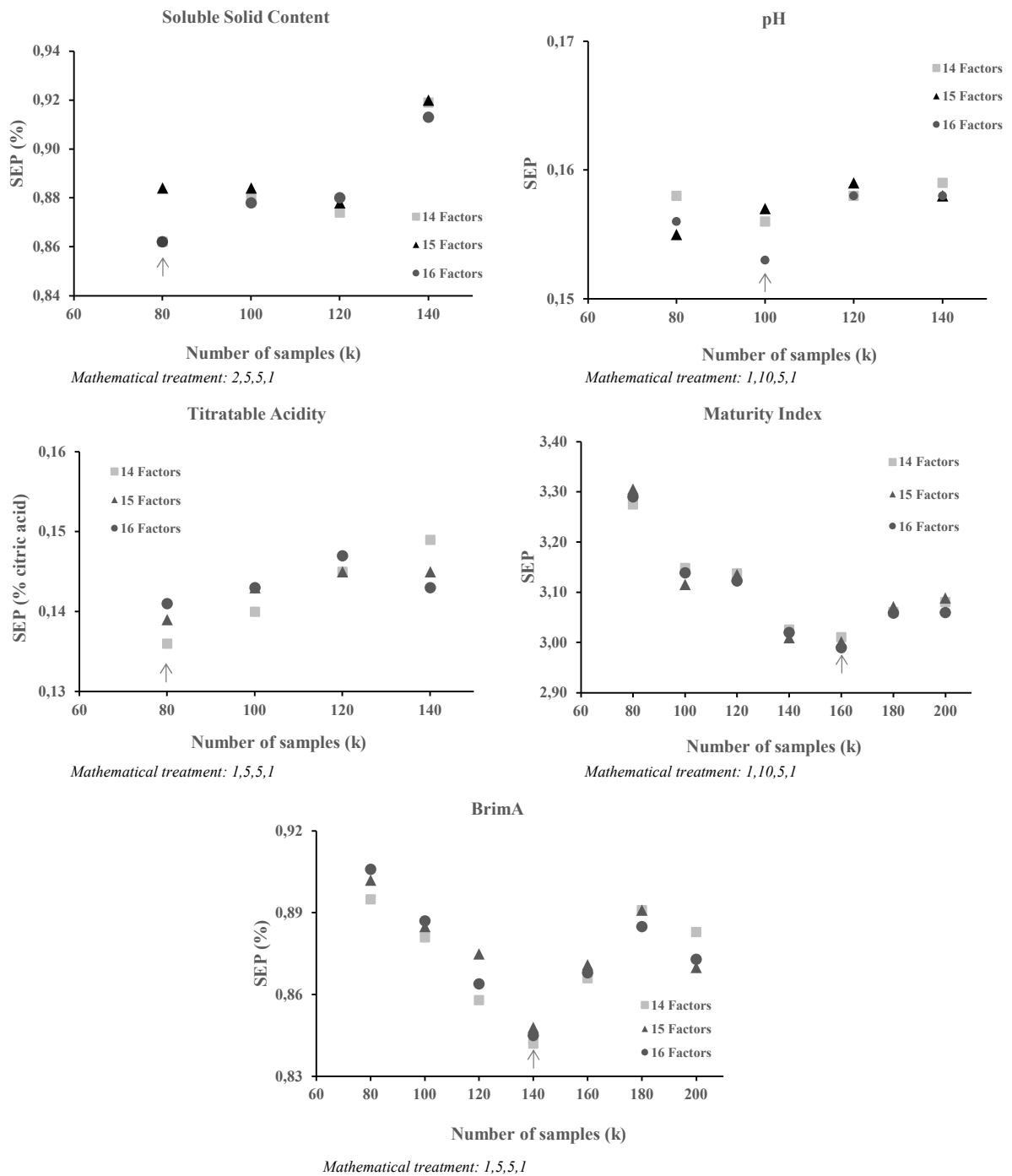


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638 **Fig. 2.** SEP values obtained for the prediction of chemical quality parameters in the set
 639 composed of intact oranges and mandarins using the LOCAL algorithm.

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645 **Fig. 3.** Loadings for BrimA.

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