

1 **Texture Prediction in Intact Green Asparagus by Near Infrared (NIR)**
2 **Spectroscopy combined with Linear and Non-Linear Regression**
3 **Strategies**

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21 **Abstract**

22 Texture-related parameters were assessed in intact green asparagus at harvest and
23 during postharvest storage using near-infrared spectroscopy combined with MPLS and
24 LOCAL algorithms. Three spectrophotometers were evaluated for this purpose: a
25 monochromator (range 400–2500 nm), a diode-array Vis–NIR spectrophotometer
26 (range 400–1700 nm), and a handheld micro-electro-mechanical system (MEMS)
27 spectrophotometer (range 1600–2400 nm). 300 green asparagus spears (cv. ‘Grande’)
28 were used to obtain calibration models based on reference data and NIR data. Results
29 for maximum shear force showed that LOCAL algorithm improved the predictive
30 capacity of models constructed using all three NIRS instruments, increasing r^2 by 24%,
31 16% and 56% and reducing the SEP(c) values by 11%, 8% and 14%, respectively. For
32 cutting energy, the LOCAL also improved the predictive capacity of the models (r^2
33 increased by 3% for the monochromator and the diode-array instrument and by 6% for
34 the MEMS device; and the SEP(c) decreased by 3% in the three instruments). It is
35 worth noting that while the monochromator and diode-array instruments displayed
36 similar predictive capacity for the parameters tested, the MEMS instrument achieved
37 slightly poorer results but has clear advantages for the measurement of texture in intact
38 asparagus, being economical, portable, and easy to use *in situ*.

39 **Keywords** *In situ* NIRS sensors; MEMS technology; Intact green asparagus; Texture
40 parameters; LOCAL algorithm.

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42 **Introduction**

43 Texture, like external appearance, is one of the properties most influencing consumer
44 acceptance or rejection of green asparagus (Bhowmik et al. 2000; Fuchs et al. 2008;
45 Sanz et al. 2009).

46 Asparagus texture is determined by a number of pre-harvest and post-harvest
47 factors, including: variety, type of agriculture, climate conditions, spear length and
48 diameter, cut location, and postharvest storage conditions and duration. Growers,
49 processors and consumers would benefit from any method enabling them to assess the
50 impact of these factors on spear acceptability and shelf life (Rodríguez-Arcos et al.
51 2002a; Rodríguez et al. 2004).

52 Szczesniak (2002) notes the requirements to be met by an ideal texture-
53 measurement system, which include: ease of use, speed, repeatability, good correlation
54 with sensory methods, a mechanism of action similar to chewing, complete
55 measurement of the attribute, accurate knowledge of what is being measured, and
56 finally applicability to varying sizes. Asparagus texture has traditionally been assessed
57 using destructive instrumental or sensory techniques (Rodríguez-Arcos et al. 2002b),
58 thus permitting the quality evaluation of only a small number of samples from any
59 given batch.

60 Near-infrared (NIR) spectroscopy is a particularly promising analytical
61 technique for the quality assurance, certification and traceability of fruit and vegetables
62 from grower to consumer (Saranwong and Kawano 2007; Sánchez and Pérez-Marín
63 2011); it is fast and non-destructive, and meets many of the criteria for texture
64 measurement listed above. NIRS technology may therefore provide asparagus growers
65 and processors with a viable alternative for product analysis.

66 Early research by Pérez-Marín et al. (2002) into the prediction of texture in
67 green asparagus using NIRS technology measured maximum shear force and cutting
68 energy on dried, ground samples using a monochromator instrument. Later, Flores-
69 Rojas et al. (2009) measured the same two parameters in intact green asparagus using
70 only monochromator and diode-array instruments. No published studies to date have
71 addressed the prediction of texture in intact green asparagus using a portable, MEMS-
72 based spectrophotometer. Moreover, the authors of these two papers only applied
73 multivariate analysis methods based on global calibrations, using linear regression
74 strategies, and particularly modified partial least squares (MPLS) regression. When
75 using these methods, the selection of samples included in the calibration set is a critical
76 process that greatly affects the precision and accuracy of the calibrations performed; the
77 samples selected for calibration should include all possible sources of variation
78 encountered during prediction, in order to increase the robustness of the calibration,
79 although this usually decreases the accuracy of prediction (Shenk et al. 1997). In this
80 respect, it has been reported that non-linear algorithms such as the LOCAL regression
81 algorithm developed by Shenk et al. (1997) notably improve the precision and accuracy
82 of the models as compared to those obtained using linear regression strategies, and that
83 this algorithm obviates the need to choose between accuracy and robustness (Shenk et
84 al., 2001; Sánchez et al., 2012). The LOCAL algorithm additionally appears to offer a
85 promising regression strategy for predicting physical, texture-related attributes (Sánchez
86 et al. 2011; Sánchez et al. 2012), enabling parameters such as maximum shear force and
87 cutting energy to be modeled more effectively using local or specific equations.

88 The present study sought to investigate the viability of using NIRS technology in
89 conjunction with non-linear regression strategies such as LOCAL to evaluate the texture
90 of intact green asparagus both at harvest and during postharvest storage, and to compare

91 the results with those obtained with models constructed using a global calibration
92 strategy. At the same time, the performance of three commercial NIRS instruments was
93 compared: a high-end monochromator suitable for laboratory measurements, used here
94 for reference purposes, and two instruments suitable for *in situ* measurements: a diode-
95 array spectrophotometer and a MEMS-based spectrophotometer.

96 **Material and Methods**

97 Asparagus

98 A total of 300 green asparagus spears (*Asparagus officinalis* L., cv. 'Grande'), grown in
99 selected, controlled plots in Huétor-Tájar (Granada, Spain) using organic (N = 120
100 spears) and conventional (N = 180 spears) methods were harvested by hand in 2008.
101 Conventionally-grown spears were harvested in April, May and June, whereas
102 organically-grown spears were harvested only in April and May, since production
103 ceased in late May.

104 Harvested spears were transported in refrigerated containers to the University of
105 Córdoba laboratories, where they were kept in refrigerated storage (2°C, 95% R.H.),
106 with their ends in water throughout the trial period. Samples were drawn for analysis at
107 7, 14, 21 and 28 days; fresh untreated samples (0 days) were used as controls.

108 At the end of the pre-established postharvest storage period, spear texture
109 (maximum shear force and cutting energy) was analyzed by NIR spectroscopy. For this
110 purpose, spears were cut into three portions: tip (0-6 cm, measured from the apex of the
111 spear), middle portion (6-12 cm) and base (12-18 cm), thus yielding a total of 900
112 samples (N = 540 conventionally-grown and N = 360 organically-grown).

113 Spectrum Collection

114 NIR spectra were collected on all samples in interactance-reflectance mode (instrument
115 1) and reflectance mode (instruments 2 and 3), using: (1) a FNS-6500 monochromator

116 (FOSS NIRSystems, Silver Spring, MD, USA); (2) a Perten DA-7000 diode-array
117 spectrophotometer (Perten Instruments, North America, Inc., Springfield, IL, USA); and
118 (3) a handheld micro-electro-mechanical system (MEMS) spectrophotometer (Phazir
119 2400, Polychromix, Inc., Wilmington, MA, USA). The main features of these
120 instruments are listed in Table 1, the major difference between the three being the
121 measuring principle involved.

122 The FNS-6500 scanning monochromator was interfaced to a remote reflectance-
123 interactance fiber optic probe (NR-6539-A) with a 50 * 6 mm window. Each spear
124 portion to be analyzed was hand-placed in the probe, ensuring direct contact between
125 the spear section and the probe. This spectrophotometer works in the spectral range 400-
126 2500 nm, taking readings at 2 nm intervals. Two measurements were made per section:
127 the first at a random location representing the whole of the area analyzed (6 cm), and
128 the second after rotating that area of the spear through 180°. Two spectra were collected
129 for the three sections analyzed (tip, middle portion and base), and later averaged to
130 provide a mean spectrum for that section.

131 NIR spectra of intact spear sections were also captured using a Perten DA-7000
132 parallel diode-array spectrophotometer working in the spectral range 400-1700 nm, and
133 scanning at 5 nm intervals. This instrument does not use any moving parts in the optics,
134 making it very stable and suitable for on-line measurement, providing fast noncontact
135 measurement (1-3 s). The up-view mode was used for analysis; samples were placed
136 directly on a round quartz window (diameter 127 mm); the surface was reduced to 50 *
137 6 mm in order to adapt to sample measurements. Two separate spectral measurements
138 were made on each portion of the spear analyzed, rotating the sample through 180° after
139 the first measurement. The two spectra were then averaged to provide a mean spectrum
140 for each zone.

141 The Phazir 2400 is an integrated near-infrared handheld analyzer based on
142 MEMS technology that incorporates all the essential components to deliver *in situ*
143 applications as well as laboratory applications during postharvest storage (Geller, 2007).
144 The instrument has no moving parts. The spectrometer scans at 8 nm intervals (pixel
145 resolution 8 nm, optical resolution 12 nm), across a range of NIR wavelengths (1600-
146 2400 nm). Two spectral measurements were made with this instrument, the first at a
147 random location in the center of the analyzed area, and the second after rotating that
148 area of the spear through 180°, with a measurement time of 1-2 s. The two spectra were
149 averaged to provide a mean spectrum for each zone.

150 Texture Measurement

151 After spectrum collection, maximum shear force and cutting energy were measured
152 using conventional destructive techniques.

153 Texture measurements were made individually at three points on the spear (3, 9
154 and 15 cm from the tip), following the method recommended by Wiley et al. (1956).
155 The Warner-Bratzler cutting cell was used in conjunction with an Instron Universal
156 Texturometer (Model 3343 Single Column, Instron Corporation, Norwood, MA, USA),
157 fitted with a 1000 N load cell, selecting a constant displacement speed of 20 mm/min
158 for all measurements.

159 The study parameters, maximum shear force (N) and cutting energy (J), were
160 measured and recorded using Instron Bluehill 2 Software version 2.5 (Instron
161 Corporation, Norwood, MA, USA). Changes in these parameters in each spear section
162 were monitored during storage; a total of 900 measurements were made for each
163 parameter.

164 Spectral Data Processing

165 The WinISI software package v. 1.50 (Infrasoft International, Port Matilda, PA, USA)
166 was used for the chemometric treatment of data (ISI, 2000).

167 Prior to performing NIRS calibrations, the CENTER algorithm included in the
168 WinISI software package was used to analyze the structure and spectral variability of
169 the sample population. This algorithm performs an initial principal component analysis
170 (PCA) and then calculates the distance of each sample (spectrum) from the center of the
171 population in an n-dimensional space, using the Mahalanobis distance (GH); samples
172 with a statistical value greater than 3 were considered outliers or anomalous spectra
173 (Shenk and Westerhaus 1991, 1995a).

174 This algorithm was applied in the following near-infrared spectral regions: 1100-
175 2200 nm (FNS-6500), 1100-1650 nm (Perten DA-7000) and 1600-2400 nm (Phazir
176 2400). A combined Standard Normal Variate (SNV) and Detrending (DT) method was
177 used for scatter correction (Barnes et al., 1989), together with the first-derivative
178 treatment “1,5,5,1”, where the first digit is the number of the derivative, the second is
179 the gap over which the derivative is calculated, the third is the number of data points in
180 a running average or smoothing, and the fourth is the second smoothing (Shenk and
181 Westerhaus 1995b).

182 The initial sample set comprised all samples from all three spear sections
183 analyzed. Having ordered the sample set by spectral distances (from smallest to greatest
184 distance from the center), those displaying GH values > 3 were discarded as outliers (N
185 = 7, N = 33, and N = 32 for the FNS-6500, Perten DA-7000 and Phazir 2400
186 spectrophotometers, respectively). After discarding outliers from the sample set for each
187 instrument, each initial sample set comprised 830 samples, since 1 sample was
188 identified as an outlier for all three instruments. Subsequently, the structured sample set
189 for the Phazir 2400 was used as the basis for establishing the calibration and validation

190 sets to be used in constructing predictive models. One out of every 6 samples in the
191 initial set (N = 139 samples; 16.6% of the population) was selected for the validation
192 set, the remainder forming the calibration set (N = 691). The samples comprising the
193 calibration and validation sets (Table 2) were the same for all three instruments, in order
194 to facilitate subsequent comparison of results.

195 NIRS calibration models were then constructed to predict maximum shear force
196 and cutting energy, using MPLS as the linear regression strategy (Shenk and
197 Westerhaus 1995a). All regression equations were obtained using SNV-DT for scatter
198 correction (Barnes et al., 1989). Four different mathematical derivative treatments were
199 tested: 1,5,5,1; 2,5,5,1; 1,10,5,1 and 2,10,5,1 (Shenk and Westerhaus 1995b).

200 The following spectral regions were analyzed in order to construct texture-
201 prediction models using MPLS: 500-2200 nm, 800-1650 nm, 800-2200 nm, 1100-1650
202 nm and 1100-2200 nm (FNS-6500); 515-1650 nm, 800-1650 nm and 1100-1650 nm
203 (Perten DA-7000) and 1600-2400 nm (Phazir 2400). In order to eliminate spectral noise
204 at the beginning and end of the spectral range, regions between 400-500 nm and 2200-
205 2500 nm for the FNS-6500 instrument and between 400-515 nm and 1650-1700 nm for
206 the Perten DA-7000 were discarded.

207 In the construction of calibration models using MPLS regression, six cross-
208 validation steps were included in the process in order to select the optimum number of
209 factors and avoid overfitting. Finally, validation errors were combined to obtain a
210 standard error of cross validation (SECV) (Shenk and Westerhaus 1996).

211 The statistics used to select the best equations using MPLS were: the coefficient
212 of determination for calibration (R^2), the standard error of calibration (SEC), the
213 coefficient of determination for cross validation (r^2), the standard error of cross
214 validation (SECV) and the coefficient of variation (CV), defined as the ratio between

215 SECV and the mean value of the reference data in the calibration set. Furthermore, the
216 Residual Predictive Deviation (RPD) was calculated as the ratio of the standard
217 deviation (SD) of the reference data to the SECV. This statistic, together with the CV,
218 enables SECV to be standardized, facilitating the comparison of results obtained with
219 sets of different means (Williams 2001).

220 The best predictive models obtained, selected by statistical criteria, were
221 subsequently subjected to external validation following the protocol outlined by Shenk,
222 et al., (2001).

223 The LOCAL algorithm (Shenk et al. 1997) was also applied as a non-linear
224 regression strategy to predict the two texture-related parameters, using the same spectral
225 regions and signal pretreatments indicated for MPLS regression.

226 The LOCAL algorithm is a procedure designed to locate and select, from within
227 a large spectral database (based on the calibration set), samples with a spectrum similar
228 to that of the unknown sample to be predicted. Selection is based on the coefficient of
229 correlation between the spectrum of the unknown sample and each of the sample spectra
230 forming the spectral library. The selected samples are then used as a calibration set to
231 develop specific calibration equations, based on PLS linear regression, for predicting
232 the unknown sample (Shenk et al. 1997).

233 The configuration of the LOCAL algorithm was optimized by varying the
234 maximum number of samples selected for calibrations (k) – 70, 140, 210, 280 and 350 –
235 and by setting at 15 the maximum number of PLS terms. Finally, the number of PLS
236 factors discarded was set to the first three.

237 The effect of the different settings on the performance of LOCAL was evaluated
238 by comparing the standard error of prediction (SEP), the coefficient of regression for
239 external validation (r^2), the bias and the standard error of prediction corrected for bias or

240 SEP(c). The accuracy of the models obtained using the MPLS and LOCAL algorithms
241 was then compared on the basis of the values obtained for the statistics SEP, SEP(c), r^2
242 and bias.

243 **Results and Discussion**

244 Prediction of Quality Parameters in Green Asparagus by MPLS Regression

245 The best calibration models obtained for predicting maximum shear force and cutting
246 energy using each of the three instruments are shown in Tables 3 and 4.

247 As Table 3 shows, all three instruments displayed fair predictive capacity for
248 maximum shear force. Results for the FNS-6500 and Perten DA-7000 instruments were
249 very similar ($r^2 = 0.51$; SECV = 5.65 and 5.71 N, respectively), scanning over the range
250 1100-1650 nm with the monochromator and over the range 515-1650 nm with the
251 diode-array instrument; in both cases, results were slightly better than those obtained
252 using the MEMS-based instrument ($r^2 = 0.38$; SECV = 6.48 N) over the spectral range
253 1600-2400 nm. The first derivative provided the best results for predicting maximum
254 shear force for the diode-array and MEMS instruments, while for the monochromator
255 the best results were obtained with the second derivative.

256 In terms of the recommendations made by Williams (2001), the predictive
257 capacity of the models constructed for maximum shear force ($r^2 = 0.51$), may be
258 considered sufficient to classify values for this parameters as high, medium or low using
259 the Perten DA-7000 and FOSS-6500 instruments. Models constructed using the Phazir-
260 2400 ($r^2 = 0.38$) enabled values to be classed as either high or low. The difference in
261 predictive capacity between the first two spectrophotometers and the hand-held
262 instrument may reflect differences in measuring area; the MEMS device measures an
263 area of only around 2 mm, whereas both the monochromator and the diode-array device
264 perform a scan of the whole sample.

265 RPD values obtained for the models constructed using all three instruments were
266 poorer than those reported by Pérez-Marín et al. (2002) using a monochromator and
267 dried, ground samples from each spear section (RPD = 2.47), probably because this
268 method of presentation reduces sample water content, thereby removing the main source
269 of error in NIRS measurements on asparagus due to the fact that moisture content
270 hampers the capture of spectra relevant for other attributes of interest (Polesello and
271 Giangiacomo 1981). Presentation of the sample in powdered form also removes the
272 difficulties associated with spear morphology, since the presence of bracts hinders
273 sample-instrument interaction. Nonetheless, the lower predictive capacity of the models
274 obtained here is offset by greater speed of analysis due to use of the intact product, and
275 also by the non-destructive nature of the method, allowing wider sampling of all
276 product batches. The RPD values recorded here were also slightly worse than those
277 obtained by Flores-Rojas et al. (2009) in an analysis of the same intact spear sections
278 (RPD = 1.74 and 1.49 using the monochromator and the diode-array instrument,
279 respectively), although the range of the calibrations sets used by these authors was
280 greater (13.58-90.93 N for the monochromator and 13.58-79.61 N for the diode-array
281 device). However, their models were less accurate, with SECV values of 7.81 N and
282 8.43 N, for the monochromator and the diode-array instrument, respectively.

283 The predictive capacity of the models constructed to predict cutting energy
284 (Table 4) using the monochromator (500-2200 nm) and the diode-array
285 spectrophotometer (515-1650 nm) may be considered good ($r^2 = 0.72$ and 0.71 ; SECV =
286 0.03 J for both instruments), whilst the models obtained using the MEMS-based device
287 (1600-2400 nm) would enable cutting energy values for spear sections to be classified
288 as high, medium or low ($r^2 = 0.52$; SECV = 0.04 J), following Williams'
289 recommendations (2001). Using the monochromator and the MEMS-based device, the

290 best results for predicting cutting energy were obtained using the first derivative, whilst
291 with the diode-array spectrophotometer the best results were achieved using the second
292 derivative of the spectrum.

293 Other authors report slightly better results. In the study cited earlier, Pérez-Marín
294 et al. (2002) recorded an RPD of 2.54, though using powdered samples, a form of
295 presentation which – while ensuring a more homogeneous sample – requires time-
296 consuming sample preprocessing, and is unsuited for *in situ* or on-line use. In a later
297 study, Flores-Rojas et al. (2009) analyzed cutting energy in different sections of intact
298 green asparagus spears using two NIRS instruments, reporting a slightly poorer
299 predictive capacity (RPD = 1.95 for the monochromator; RPD = 1.57 for the diode-
300 array spectrophotometer). They also reported, for both instruments, SECV values (0.06
301 J and 0.07 J, respectively) higher than those obtained in the present study.

302 Subsequent evaluation of the separate calibrations obtained for each of the three
303 spear sections (tip, middle portion and base), and for the combined calibration for tip +
304 middle portion, using MPLS regression with all three instruments tested (data not
305 shown), confirmed that the predictive capacity of the calibration models obtained
306 declined considerably when the sample set was restricted to one or two of the three
307 spear portions, probably due to the consequently marked reduction in range for the
308 parameter tested.

309 Redefinition of Validation Sets

310 Although initially, and following application of the CENTER algorithm, calibration sets
311 for maximum shear force covered a range from 12.23 to 107.40 N in the three NIRS
312 devices (Table 2), when constructing calibration models using MPLS regression those
313 samples presenting values of over 52.46 N in the monochromator instrument and 54.45
314 N in the diode-array spectrophotometer and MEMS device were classed as outliers and

315 removed from the final calibration set. The final range for this parameter was thus
316 reduced; as comparison of Tables 2 and 3 confirms, the range of the external validation
317 set initially selected using the CENTER algorithm was wider than that of the final
318 calibration set. Therefore, 6 samples were removed from the external validation set in
319 the three instruments, avoiding the extrapolation of the models developed.

320 For cutting energy parameter, the initial calibration sets covered the range 0.05-
321 0.57 J for the three instruments tested (Table 2); this variability prompted a CV of 50%.
322 However, when constructing calibration models using MPLS regression, samples with
323 values exceeding 0.33 J, 0.34 J, and 0.31 J in the monochromator, diode-array and
324 MEMS instruments, respectively, were classed as outliers and discarded; as a result, the
325 range of the external validation set initially selected was wider than that of the final
326 calibration set.

327 This meant that and for the same reason as mentioned above, again, 5 samples
328 were eliminated in the external validation set in the monochromator (values of between
329 0.34 J and 0.45 J); 4 samples in the diode-array device ($0.38 \text{ J} < \text{cutting energy} < 0.45 \text{ J}$)
330 and 7 samples in the MEMS instrument ($0.32 \text{ J} < \text{cutting energy} < 0.45 \text{ J}$).

331 Prediction of Quality Parameters in Green Asparagus by LOCAL Algorithm.

332 Comparison of LOCAL vs. MPLS

333 SEP(c) values for the best models obtained for predicting maximum shear force and
334 cutting energy using the LOCAL algorithm with all three spectrophotometers and the
335 different values tested for maximum number of calibration samples (k), are shown in
336 Figure 1.

337 For maximum shear force, the lowest values for SEP(c) obtained with the
338 monochromator (SEP(c) = 5.84 N) and the diode-array spectrophotometer (SEP(c) =
339 5.79 N) were recorded using $k = 280$ samples, whereas the lowest SEP(c) value for the

340 MEMS device (6.27 N) was obtained when $k = 210$ samples. Moreover, the second
341 derivative of the spectrum provided the best results in the monochromator; by contrast,
342 the lowest SEP(c) value was obtained using the first spectral derivative in the diode-
343 array spectrophotometer and MEMS device. Finally, the whole spectral range was used
344 for all the instruments tested.

345 For cutting energy, the lowest SEP(c) values were obtained using the second
346 derivative in the three instruments. Furthermore, both instruments, monochromator and
347 diode-array spectrophotometer, provided the lowest SEP(c) (0.041 J and 0.043 J,
348 respectively) over the spectral range 800-1650 nm; while for the MEMS device the best
349 SEP(c) (0.044 J) was recorded scanning over the whole range. Finally, the number of
350 samples used in LOCAL algorithm (k) was 350, 140 and 210 for the monochromator,
351 diode-array spectrophotometer and MEMS device, respectively.

352 The predictive capacity of NIRS models constructed for maximum shear force
353 and cutting energy using the MPLS and LOCAL regression algorithms for all three
354 instruments is shown in Tables 5 and 6. In all cases, application of the LOCAL
355 algorithm instead of MPLS regression improved the predictive capacity of the models
356 for maximum shear force. For cutting energy, models constructed using LOCAL non-
357 linear regression also displayed, for diode-array spectrophotometer and MEMS device,
358 the same or greater predictive capacity than those obtained using the MPLS regression.
359 However, a slightly worse predictive capacity was obtained when the spectral range
360 1100-1650 nm is used in the monochromator instrument, whereas the remaining
361 spectral ranges tested in this instrument increased the predictive capacity in relation
362 with MPLS regression.

363 The quality of the predictions for maximum shear force obtained with the
364 external validation set using MPLS regression may be classed as poor, particularly in
365 view of the low r^2 values obtained (Table 5).

366 Application of the LOCAL algorithm increased the values for r^2 (by 24% for the
367 monochromator, 16% for the diode-array device and 56% for the MEMS instrument)
368 compared to those obtained using MPLS regression, and enabled prediction of all the
369 samples comprising the validation set. As well, the SEP(c) values were reduced (by
370 11% for the monochromator, 8% for the diode-array device and 14% for the MEMS
371 instrument) by the application of the LOCAL algorithm. These results were in
372 accordance with Shenk et al., (2001) who suggested that application of the LOCAL
373 algorithm improved the predictive ability of models by around 10–30% compared to the
374 MPLS regression.

375 The quality of the predictions for cutting energy obtained with the external
376 validation set using MPLS regression (Table 6) may be classed as fair ($r^2 \geq 0.6$), in
377 terms of the recommendations of Shenk et al. (2001), for the monochromator; the diode-
378 array device and the MEMS instrument yielded r^2 values of 0.58 and 0.50, respectively.

379 Application of the LOCAL algorithm enabled prediction of all the same samples
380 that were validated with MPLS regression in each instrument, and increased the value
381 of r^2 for the models obtained using the monochromator and the diode-array instrument
382 by 3% and the MEMS device by 6%. SEP(c) values were reduced by 3% when the
383 LOCAL algorithm was used in the three instruments tested.

384 Most of the physical outliers discarded (75% for maximum shear force; 60% for
385 cutting energy) during construction of calibration models using MPLS regression
386 belonged – for both texture-related parameters – to the base section of the spear kept in
387 refrigerated storage for at least 14 days. It should be noted that postharvest storage

388 prompts an increase in spear resistance and hardness, mainly apparent in the lower
389 sections of the spear (Rodríguez-Arcos et al. 2002a; Rodríguez et al. 2004). Because of
390 this, the coefficient of variation for the calibration set declined by a mean 52% for
391 maximum shear force and a mean 57% for cutting energy; thus, the models constructed
392 used a much narrower final range (see Tables 2, 3 and 4).

393 As indicated earlier, samples displaying maximum shear force of over 52-55 N
394 were generally discarded for all three NIRS instruments during the calibration
395 procedure, as were samples with cutting energy values greater than 0.31-0.34 J. This
396 reduction in range led to a decline in the mean and the standard deviation for the initial
397 calibration sets (mean = 32.73 N; SD = 12.63 for maximum shear force; mean = 0.16 J;
398 SD = 0.08 for cutting energy) with respect to the final values for the best obtained using
399 MPLS regression (mean = 30.60 N; SD = 8.05 for maximum shear force; mean = 0.14
400 J; SD = 0.06 for cutting energy), indicating reduced variability within sets.

401 Williams (2001) notes that the value of the prediction error will be higher if
402 most samples are clustered around the mean, which would account for the results
403 obtained here for maximum shear force and cutting energy using the MPLS algorithm.

404 It is worth stressing that when the LOCAL algorithm was applied for the
405 prediction of external validation sets, the best models for predicting maximum shear
406 force were obtained using a k value of 280 samples for the monochromator and the
407 diode-array spectrophotometer and $k = 210$ samples for the MEMS device, i.e. using a
408 maximum of only 210 and 280 samples for each calibration, compared with the 641,
409 644 and 645 samples used for each instrument with MPLS regression; the best models
410 for predicting cutting energy were again obtained with a maximum of 350 samples for
411 the monochromator, 140 samples for the diode-array spectrophotometer and 210
412 samples for the MEMS device, compared with the 624, 633 and 618 used with the

413 MPLS algorithm. These results confirm the findings reported by Sánchez et al. (2011),
414 who note that the population distribution for texture-related parameters, with a large
415 number of redundant samples in the intermediate region of the range, may have an
416 adverse effect on the model's predictive capacity using MPLS.

417 **Conclusions**

418 The results of this study, which used three NIR spectrophotometers with different
419 working principles and measurement ranges and two different regression strategies,
420 confirmed the viability of NIRS technology for the measurement of texture-related
421 quality parameters in intact green asparagus. Application of the LOCAL algorithm
422 proved particularly valuable for predicting maximum shear force and cutting energy in
423 all three NIRS instruments tested. Although the best predictive models were obtained
424 with the monochromator and the diode-array instrument, the MEMS-based
425 spectrophotometer proved to be a viable option for evaluating texture-related quality in
426 intact green asparagus. The diode-array and MEMS-based spectrophotometers have a
427 promising future as part of asparagus quality-control programs, in that they are suitable
428 for use both in the field and by the processing industry.

429 **Compliance with Ethics Requirements**

430 Conflict of Interest

431 María-José De la Haba declares that she has no conflict of interest.

432 Dolores Pérez-Marín declares that she has no conflict of interest.

433 Diego Rial-Huerta declares that he has no conflict of interest.

434 María-Teresa Sánchez declares that she has no conflict of interest.

435 This article does not contain any studies with human or animal subjects.

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507

508 **Table 1** Basic technical characteristics of three spectrophotometers: monochromator
 509 (M), diode array (DA) and MEMS

Property	Instrument		
	M: FNS-6500	DA: Perten DA-7000	MEMS: Phazir-2400
Detector type	Silicon, 400–1100 nm; lead sulfide, 1100–2500 nm	76-channel silicon detector 400-950 nm; a 76-channel Indium-Gallium-Arsenide detector, 950-1700 nm	single-element InGaAs detector, 1600-2400 nm
Wavelength range (nm)	400-2500	400-1700	1600-2400
Spectral data rate	1.8 scans s ⁻¹	30 scans s ⁻¹	1-2 scans s ⁻¹
Dispersion	Pre	Post	Post
Light source	Full spectrum	Full spectrum	Full spectrum
Analysis mode	Interactance-Reflectance	Reflectance	Reflectance

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512 **Table 2** Statistical analysis of calibration and validation sample sets, i.e., data ranges,
 513 means and standard deviations (SD) and coefficients of variation (CV) for the three
 514 instruments studied

Parameter	Set	Number	Range	Mean	SD	CV (%)
Maximum shear force (N)	Calibration	691	12.23-107.40	32.73	12.63	38.59
	Validation	139	15.58-69.61	31.83	10.56	33.18
Cutting energy (J)	Calibration	691	0.05-0.57	0.16	0.08	50.00
	Validation	139	0.05-0.45	0.16	0.08	50.00

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520 **Table 3** Calibration statistics for the best models obtained for predicting maximum
 521 shear force (N) in intact green asparagus using MPLS regression for different
 522 instruments and spectral ranges studied.

Instrument	Spectral range (nm)	Number	Mathematic treatment	Factors	Range	Mean	SD	SEC	R^2	SECV	r^2	RPD	CV (%)
FNS-6500	500-2200	645	1,10,5,1	5	13.33-54.45	30.71	8.26	5.51	0.55	5.74	0.52	1.44	18.69
	800-1650	640	2,10,5,1	4	13.33-54.45	30.58	8.09	5.48	0.54	5.65	0.51	1.43	18.48
	800-2200	636	2,10,5,1	2	12.23-54.45	30.54	8.04	5.62	0.51	5.71	0.50	1.41	18.70
	1100-1650	641	2,10,5,1	6	13.33-52.46	30.60	8.05	5.36	0.56	5.65	0.51	1.42	18.46**
	1100-2200	644	1,10,5,1	6	12.23-52.46	30.57	8.09	5.47	0.54	5.76	0.49	1.40	18.84
Pertem DA-7000	515-1650	644	1,5,5,1	6	13.33-54.45	30.69	8.18	5.51	0.55	5.71	0.51	1.42	18.60*
	850-1650	646	1,5,5,1	5	13.33-54.45	30.69	8.16	5.64	0.52	5.77	0.50	1.43	18.80
	1100-1650	644	1,5,5,1	5	13.33-56.87	30.76	8.25	5.61	0.54	5.74	0.52	1.44	18.66
Phazir 2400	1600-2400	645	1,5,5,1	2	12.23-54.45	30.72	8.23	6.44	0.39	6.48	0.38	1.27	21.09*

523 * Best equation.

524 ** The best of the best equations for the instruments studied.

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528 **Table 4** Calibration statistics for the best models obtained for predicting cutting energy

529 (J) in intact green asparagus using MPLS regression for the three different instruments

530 and the spectral ranges studied

Instrument	Spectral range (nm)	Number	Mathematic treatment	Factors	Range	Mean	SD	SEC	R^2	SECV	r^2	RPD	CV (%)
FNS-6500	500-2200	624	1,10,5,1	9	0.05-0.33	0.14	0.06	0.03	0.75	0.03	0.72	2.00	21.43**
	800-1650	636	1,5,5,1	8	0.05-0.33	0.14	0.06	0.03	0.72	0.03	0.69	2.00	21.43
	800-2200	632	1,10,5,1	6	0.05-0.33	0.14	0.06	0.03	0.72	0.03	0.69	2.00	21.43
	1100-1650	647	1,5,5,1	6	0.05-0.33	0.14	0.06	0.04	0.64	0.04	0.62	1.50	28.57
	1100-2200	628	1,5,5,1	7	0.05-0.33	0.14	0.06	0.03	0.74	0.03	0.68	2.00	21.43
Pertem DA-7000	515-1650	633	2,5,5,1	8	0.05-0.34	0.14	0.06	0.03	0.74	0.03	0.71	2.00	21.43*
	800-1650	628	2,5,5,1	7	0.05-0.34	0.14	0.06	0.03	0.70	0.03	0.68	2.00	21.43
	1100-1650	618	2,10,5,1	11	0.05-0.33	0.14	0.06	0.03	0.70	0.03	0.68	2.00	21.43
Phazir 2400	1600-2400	618	1,10,5,1	6	0.05-0.31	0.14	0.05	0.04	0.53	0.04	0.52	1.25	28.57*

531 * Best equation.

532 ** The best of the best equations for the instruments studied.

533

534 **Table 5** Validation statistics for the best models for maximum shear force (N) using
 535 MPLS and LOCAL algorithms for the three instruments studied

Instrument	Method	Mathematic treatment	Spectral range (nm)	Factors	SEP	SEP (c)	Bias	r^2	Slope
FNS-6500	MPLS	2,10,5,1	1100-1650	6	6.54	6.53	-0.66	0.45 ^a	0.99
	LOCAL ($k^b = 280$)	2,10,5,1	500-2200	15 (-3)	6.08	5.84	-1.76	0.56 ^a	0.98*
	($k = 350$)	1,10,5,1	800-1650	15 (-3)	6.24	6.09	-1.44	0.52 ^a	1.03
	($k = 350$)	1,10,5,1	800-2200	15 (-3)	6.33	6.15	-1.57	0.51 ^a	1.00
	($k = 210$)	2,10,5,1	1100-1650	15 (-3)	6.49	6.27	-1.75	0.49 ^a	0.94
	($k = 280$)	2,10,5,1	1100-2200	15 (-3)	6.54	6.36	-1.61	0.48 ^a	0.94
Pertem DA-7000	MPLS	1,5,5,1	515-1650	6	6.36	6.26	-1.26	0.49 ^a	1.04
	LOCAL ($k = 280$)	1,10,5,1	515-1650	15 (-3)	6.04	5.79	-1.78	0.57 ^a	1.09**
	($k = 350$)	1,5,5,1	800-1650	15 (-3)	6.09	5.89	-1.63	0.56 ^a	1.11
	($k = 350$)	2,5,5,1	1100-1650	15 (-3)	6.32	6.13	-1.62	0.51 ^a	1.03
Phazir 2400	MPLS	1,5,5,1	1600-2400	2	7.25	7.26	-0.51	0.32 ^a	0.99
	LOCAL ($k = 210$)	1,10,5,1	1600-2400	15 (-3)	6.37	6.27	-1.26	0.50 ^a	0.93*

536 * Best equation for LOCAL algorithm in the instruments studied.

537 ** The best of the best equations for the instruments studied and the regression algorithms evaluated

538 ^a Values exceeding control limits described in Materials and Methods Section.

539 ^b Number of samples used in LOCAL algorithm.

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543 **Table 6** Validation statistics for the best models for cutting energy (J) using MPLS and
 544 LOCAL algorithms for the three instruments studied

Instrument	Method	Mathematic treatment	Spectral range (nm)	Factors	SEP	SEP (c)	Bias	r^2	Slope
FNS-6500	MPLS	1,10,5,1	500-2200	9	0.042	0.042 ^a	0.001	0.60	1.01
	LOCAL ($k^b = 350$)	1,10,5,1	500-2200	15 (-3)	0.042	0.041	-0.009	0.62	0.96
	($k = 350$)	2,5,5,1	800-1650	15 (-3)	0.042	0.041	-0.008	0.62	0.99**
	($k = 350$)	1,10,5,1	800-2200	15 (-3)	0.042	0.042	-0.009	0.61	0.94
	($k = 280$)	2,5,5,1	1100-1650	15 (-3)	0.043	0.043	-0.008	0.59 ^a	0.99
	($k = 350$)	1,10,5,1	1100-2200	15 (-3)	0.042	0.042	-0.007	0.61	0.92
Pertin DA-7000	MPLS	2,5,5,1	515-1650	8	0.044	0.044 ^a	0.002	0.58 ^a	1.07
	LOCAL ($k = 280$)	2,5,5,1	515-1650	15 (-3)	0.043	0.044	-0.002	0.60	1.15
	($k = 140$)	2,5,5,1	800-1650	15 (-3)	0.044	0.043	-0.005	0.60	1.07*
	($k = 280$)	2,5,5,1	1100-1650	15 (-3)	0.045	0.045	-0.002	0.58	1.16
Phazir 2400	MPLS	1,10,5,1	1600-2400	6	0.045	0.045	0.006	0.50 ^a	1.13
	LOCAL ($k = 210$)	2,10,5,1	1600-2400	15 (-3)	0.044	0.044	-0.006	0.53 ^a	0.88 ^{a*}

545 * Best equation for LOCAL algorithm in the instruments studied.

546 ** The best of the best equations for the instruments studied and the regression algorithms evaluated

547 ^a Values exceeding control limits described in Materials and Methods Section.

548 ^b Number of samples used in LOCAL algorithm.

549

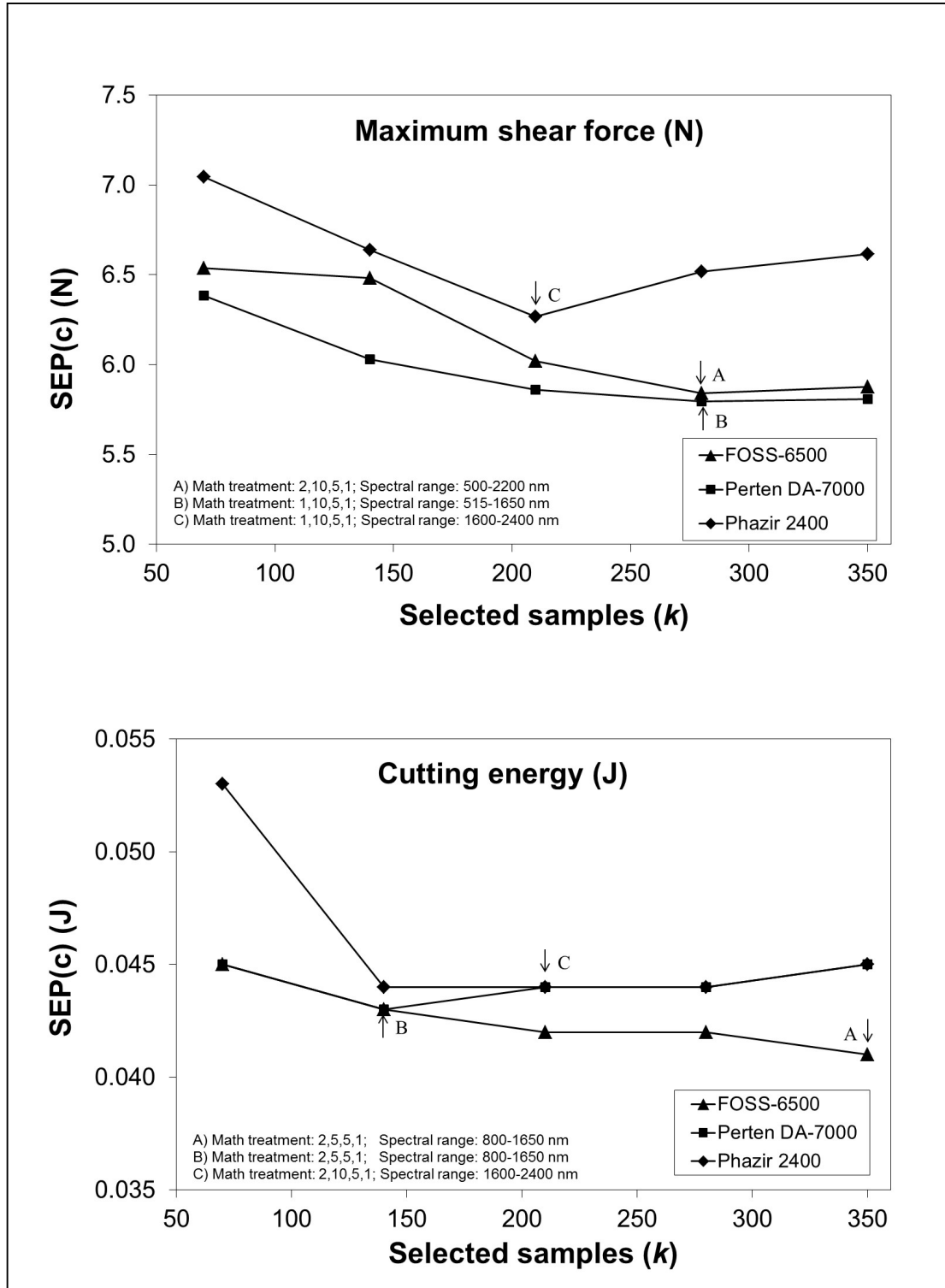
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553 **Fig. 1.** Best SEP(c) values for the prediction of texture parameters in intact asparagus
 554 using the LOCAL algorithm for the different selected sample values (k), the best
 555 mathematical treatments and spectral ranges for the three instruments studied

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