

1 **MEMS-NIRS TECHNOLOGY FOR FAST AUTHENTICATION OF GREEN**
2 **ASPARAGUS GROWN UNDER ORGANIC AND CONVENTIONAL**
3 **PRODUCTION SYSTEMS**

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17 **ABSTRACT**

18 This study sought to evaluate the ability of near-infrared reflectance spectroscopy
19 (NIRS) to classify intact green asparagus as a function of growing method (organic vs.
20 conventional) during postharvest refrigerated storage, and as a function of harvest
21 month and postharvest cold storage duration. It also sought to identify the portion of the
22 spear best suited for this purpose. A total of 300 green asparagus spears (*Asparagus*
23 *Officinalis* L., cv ‘Grande’), were sampled after 7, 14, 21 and 28 days of refrigerated
24 storage (2°C, 95% RH) and at commercial harvest time. Three commercially-available
25 spectrophotometers were evaluated for this purpose: a scanning monochromator
26 (scanning range 400-2500 nm), a diode-array Vis/NIR spectrophotometer (range 400-
27 1700 nm) and a handheld MEMS spectrophotometer (range 1600-2400 nm). Models
28 constructed using partial least squares 2-discriminant analysis (PLS2-DA) correctly
29 classified 91% of samples by growing method using the diode array instrument,
30 between 86% and 91% using the scanning monochromator and between 82% and 84%
31 using the handheld spectrometer. The tip and the middle portion of the spear proved to
32 be the most suitable for this purpose. Using similar models, the diode array instrument
33 correctly classified 100% of samples by harvest month, compared with between 97%
34 and 98% using the scanning monochromator and between 87% and 96% using the
35 handheld instrument. Models also correctly classified between 66% and 97% of samples
36 by postharvest storage time, depending on the instrument used. The results indicate
37 good performance of the prediction models, particularly for predicting harvest month
38 and growing method, determination of the latter being of considerable importance for
39 the authentication of organic asparagus at industrial level.

40 *Keywords:* MEMS-NIR spectroscopy; Green asparagus; Organic agriculture; Harvest
41 month; Shelf-life; Discriminant analysis.

42 **1. Introduction**

43 Over the last ten years, organic farming has become the fastest-growing sector in
44 developed countries, resulting in a constantly-increasing consumer demand for organic
45 produce (Magkos et al, 2003; Zepeda and Li, 2007).

46 The popularity of organic foods is due largely to the perception that produce
47 grown without the use of artificial insecticides, herbicides and fertilizers will be of
48 greater nutritional value and sensory quality than conventionally-grown produce
49 (Demirkol and Cagri-Mehmetoglu, 2008).

50 Although the commercial promotion of organic foods is based on the idea that
51 they are more nutritive and/or of better sensory quality than their conventional
52 counterparts, the evidence adduced in support of these claims is by no means
53 irrefutable; research has yielded conflicting results, which have made it difficult to
54 reach a general consensus (Bourn and Prescott, 2002; Zhao et al., 2006; Zepeda and Li,
55 2007; Dangour et al., 2009). Moreover, the consumer preference for organic fruit and
56 vegetables is not borne out by the findings of sensory evaluation panels (Bonti-
57 Ankomah and Yiridoe, 2006; Zhao et al., 2007). However, despite this controversy and
58 even though organic produce may not in fact be any better – in terms of nutritional or
59 sensory quality – than conventionally-grown produce, consumers may have other
60 reasons (e.g. food safety, environmental concerns) for their preference (Bourn and
61 Presscot, 2002). Organic asparagus, for example, has significantly higher sugar content
62 than conventionally-produced asparagus, and consumers may prefer its slightly sweeter
63 taste (Lorlowhakarn et al., 2008).

64 The introduction of effective analytical techniques for identifying the origin and
65 measuring the quality of raw materials and finished products remains something of a
66 challenge for the food industry (Śmiechowska, 2007), which requires – among other

67 things – non-destructive methods that are sufficiently accurate not only to identify
68 potential quality differences between organic and conventional produce, but also to
69 authenticate the organic origin of a given fruit or vegetable (Bourn and Prescott, 2002;
70 Bonti-Ankomah and Yiridoe, 2006; Zhao et al., 2007).

71 Near-infrared reflectance spectroscopy (NIRS) is a promising analytical
72 technique, likely to meet many of the industry's requirements with regard to the
73 authentication/certification of raw materials and finished products, the measurement of
74 physical/chemical, nutritional and sensory quality, and the estimation of postharvest
75 shelf-life (Saranwong and Kawano, 2007; Sánchez and Pérez-Marín, 2011).

76 The value of NIRS technology for authenticating food products and determining
77 their quality and shelf-life lies in the fact that every substance has a unique and
78 characteristic NIR spectrum, much as fingerprints distinguish humans; it consists of a
79 composite mosaic of radiation, scatter, specular and diffuse reflectance and absorption
80 of radiation due to specific chemical bonds. If two samples of a material have very
81 similar spectra, it may be assumed that they have very similar chemical and physical
82 composition (i.e. scatter and surface reflectance). Differences between spectra, by
83 contrast, indicate that the samples are physically and/or chemically different (Workman
84 and Shenk, 2004). However, to extract unique, relevant physical and chemical
85 information about each sample, mathematical algorithms are required for spectral-signal
86 pretreatment (Bertrand, 2000).

87 In asparagus, NIRS technology has hitherto been used mainly for texture
88 evaluation (Pérez Marín et al., 2002; Flores-Rojas et al., 2009). Although it might
89 initially seem illogical to investigate texture using spectroscopic techniques traditionally
90 used for chemical rather than structural measurements, a number of authors (Xie et al.,
91 2003; Hsieh and Lee, 2005; Lu and Peng, 2006; Oey et al., 2007) have advocated the

92 use of NIRS technology for measuring physical properties (hardness, firmness, particle
93 size) closely linked to product texture and postharvest shelf life.

94 NIRS technology has been successfully used for authenticating green asparagus
95 varieties (Pérez Marín et al., 2001), and for constructing models to estimate harvesting
96 date and spear portion analyzed in white asparagus (Jarén et al., 2006). Sánchez et al.
97 (2009) used NIR spectroscopy to construct models for the shelf-life discrimination of
98 green asparagus stored in a cool room under controlled atmosphere.

99 The present study sought to evaluate the reliability and accuracy of MEMS-
100 NIRS technology for authentication of the origin of green asparagus (organic vs.
101 conventional), for identifying the portion of the spear analyzed, harvest time and
102 postharvest cold storage duration. Three commercially-available spectrophotometers
103 were evaluated (a MEMS-based spectrometer, a scanning monochromator and a diode-
104 array spectrophotometer) in order to determine which was best suited for quality
105 assurance and field/postharvest traceability in green asparagus.

106 **2. Materials and methods**

107 *2.1. Vegetable material*

108 In 2008, a total of 300 green asparagus spears (*Asparagus Officinalis* L., cultivar
109 ‘Grande’), grown in selected, controlled plots in Huétor-Tájar (Granada, Spain) using
110 organic (N = 120 spears) and conventional (N = 180 spears) methods, were harvested by
111 hand between April and either June (conventionally-grown spears) or May (organically-
112 grown spears). Spears were kept in refrigerated storage (2°C, 95% R.H.) with their ends
113 in water throughout the trial period, and samples were drawn for analysis at 7, 14, 21
114 and 28 days; fresh untreated samples (0 days) were used as controls.

115 Fresh and stored asparagus spears were cut into three parts for analysis: tip (0-6
116 cm, measured from the apex of the spear), middle portion (6-12 cm) and base (12-18

117 cm). After cutting spears into three portions, the total number of available samples was
118 900 (N = 540 conventionally-grown and N = 360 organically-grown).

119 2.2. *Spectrum collection*

120 Spectra were collected on all samples in reflectance mode (Log 1/R) using three
121 NIR-instruments: (1) a scanning monochromator (FNS-6500, FOSS NIRSystems, Silver
122 Spring, MD, USA); (2) a diode-array VIS–NIR spectrophotometer (Perten DA-7000,
123 Perten Instruments North America Inc., Springfield, IL, USA); and (3) a handheld
124 micro-electro-mechanical system (MEMS) spectrophotometer (Phazir 2400,
125 Polychromix Inc., Wilmington, MA, USA). The main features of these instruments are
126 listed in Table 1, the major difference between the three being the measuring principle
127 involved.

128 The FNS-6500 scanning monochromator (SM) was interfaced to a remote
129 reflectance-interactance fiber optic probe (NR-6539-A) with a 50 * 6 mm window. Each
130 spear portion to be analyzed was hand-placed in the probe so that the desired asparagus
131 location was centered on, and in direct contact with, the probe. Two measurements were
132 made: the first at a random location representing the whole of the area analyzed (6 cm),
133 and the second after rotating that area of the spear through 180°.

134 NIR spectra of intact spears were also captured using a Perten DA-7000 parallel
135 diode-array Vis–NIR spectrophotometer. This instrument does not use any moving parts
136 in the optics, making it very stable and suitable for on-line measurement, providing fast
137 noncontact measurement (1–3 s). Samples were analyzed in up-view mode, in which the
138 instrument is inverted with respect to its usual configuration; samples were placed
139 directly on a round quartz window (diameter 127 mm); the surface as reduced to 50 * 6
140 mm in order to adapt to sample measurements. The spectrophotometer scanned at 5 nm
141 intervals, across a range encompassing the entire visible (400–780 nm) and near IR

142 (780–1700 nm) wavelength ranges. Three separate spectral measurements were made
143 on each zone of the spear analyzed, rotating the sample through 120° after the first
144 measurement. The three spectra were averaged to provide a mean spectrum for each
145 zone.

146 The Phazir 2400 is an integrated near-infrared handheld analyzer that
147 incorporates all the essential components to deliver on-site applications. These include a
148 MEMS-based DTS NIR spectrophotometer and a tungsten light source for illuminating
149 the sample in the near-infrared region. The reflected light is collected and measured by
150 a single InGaAs photodetector, and the instrument has no moving parts. The
151 spectrophotometer scans at 8 nm intervals (pixel resolution 8 nm, optical resolution 12
152 nm), across a range of near IR wavelengths (1600–2400 nm). Two spectral
153 measurements were made with this instrument, the first at a random location in the
154 centre of the analyzed area, and the second after rotating that area of the spear through
155 180°, with a measurement time of 1–2 s. The two spectra were averaged to provide a
156 mean spectrum for each zone.

157 *2.3. Definition of the calibration and validation sets*

158 The design of models to classify asparagus by growing method, in order to
159 evaluate the viability of using NIRS technology for authenticating green asparagus
160 spears comprised 2 classification groups: organically-grown and conventionally-grown.
161 Samples sets initially comprised 540 conventional asparagus samples and 360 organic
162 asparagus samples, for each NIR spectrophotometer tested. The difference in number of
163 samples was due to the early ending (May) of the harvesting season for organic
164 asparagus. Models were also designed with class-balanced sets, by including only
165 spears harvested in April and May under both growing methods; thus, 360 samples of
166 each class were used for each of the spectrophotometers tested.

167 Using the handheld MEMS instrument, which allows spectra to be collected *in*
168 *situ*, models were constructed to classify spears by growing method, taking into account
169 the portion of the spear sampled, with a view to determining which portion is best suited
170 for determining the growing method used. Sample sets for conventionally-grown
171 asparagus comprised 180 samples taken from the tip, 180 samples drawn from the
172 middle portion of the spear, and 180 from the base of the spear; for organically-grown
173 asparagus, sample sets comprised 120 samples from the tip, 120 from the middle
174 portion and 120 from the base. Class-unbalanced models were designed, comprising
175 conventionally-grown spears harvested in April, May and June, and organically-grown
176 spears harvested in April and May, as well as class-balanced models comprising only
177 spears harvested in April and May under both growing methods.

178 The third of the discriminant models was designed to classify spears as a
179 function of harvesting month, distinguishing between April and May for each growing
180 method. Classification groups for each month comprised 180 samples for each growing
181 method and instrument tested.

182 The design of models to classify asparagus by postharvest storage time with a
183 view to estimating shelf-life was based on five classification groups: 0, 7, 14, 21 and 28
184 days' storage. Since the harvesting of organically-grown asparagus ended earlier than
185 that of conventionally-grown asparagus, different number of samples were involved.
186 Accordingly, two types of model were designed: (1) a class-balanced model in which
187 each storage-day group contained 72 samples for each growing method and each NIRS
188 instrument tested, i.e. eliminating conventionally-grown samples harvested in June; and
189 (2) a class-unbalanced model in which groups of conventionally-grown asparagus
190 comprised 108 samples, and those of organically-grown asparagus 72 samples for each
191 of the three instruments tested.

192 For structuring the calibration set, an initial principal component analysis (PCA)
193 was performed to calculate the centre of the population and the distance of samples
194 (spectra) from that centre in an n-dimensional space, using the Mahalanobis distance
195 (GH); samples with a statistical value greater than 3 were considered outliers or
196 anomalous spectra (Shenk and Westerhaus, 1991). After elimination of outlier spectra,
197 samples to be used for calibration and external validation sets were selected solely on
198 the basis of spectral data, following Shenk and Westerhaus (1991), using the CENTER
199 algorithm included in the WinISI II software package version 1.50 (Infrasoft
200 International, Port Matilda, PA, USA). After elimination of outlier spectra, and having
201 ordered the sample set by spectral distances (from smallest to greatest distance from the
202 center), a structured selection of the external validation set (20% of the samples for each
203 classification group, i.e., 1 out of every 5 samples in the overall set), solely on the basis
204 of spectral data, was performed following Shenk and Westerhaus (1991).

205 *2.4. Construction of NIR classification models*

206 Discriminant models were constructed to classify asparagus by growing method,
207 harvest month and postharvest storage time, using PLS Discriminant Analysis (PLS-
208 DA) for supervised classification (Naes et al., 2002). Specifically, the PLS2 algorithm
209 was applied, using the “Discriminant Equations” option in the WINISI v. 1.50 software
210 package (ISI, 2000).

211 All models were constructed using four cross-validation groups (i.e. the
212 calibration set is partitioned into four groups; each group is then predicted using a
213 calibration developed on the other samples), in the wavelength ranges: 1) 500-2200, for
214 the FNS-6500; 2) 515-1650 nm, for the Perten DA-7000 and 3) 1600-2400 nm, for the
215 Phazir 2400. To eliminate signal noise in the scanning monochromator and the diode
216 array instrument at the beginning and end of the spectrum, the wavelength ranges

217 between 400-500 and 2200-2500 nm in the former and 400-515 nm and 1650-1700 nm
218 in the latter were discarded. A combined Standard Normal Variate (SNV) and
219 Detrending (DT) method was used for scatter correction (Barnes et al., 1989). First and
220 second-derivative treatments were tested: 1,5,5,1; 1,10,5,1; 2,5,5,1 and 2,10,5,1, where
221 the first digit is the number of the derivative, the second is the gap over which the
222 derivative is calculated, the third is the number of data points in a running average or
223 smoothing, and the fourth is the second smoothing (Shenk and Westerhaus, 1995).

224 The precision of the models obtained was evaluated using the percentage of
225 correctly-classified samples. The best-fitting equations, as selected by statistical criteria,
226 were subsequently validated, a procedure determining the predictive ability of a
227 discriminant model based on a sample set which has not been used in the training
228 procedures, taking into account the percentage of correctly-classified samples for the
229 validation set.

230 **3. Results and discussion**

231 *3.1. Classification by growing method*

232 Since organic asparagus production concluded at the end of May, two sets
233 comprising different sample numbers were analyzed: 1) conventionally-grown
234 asparagus harvested in April, May and June, and organically-grown asparagus harvested
235 in April and May (class-unbalanced set); and 2) equal numbers of conventionally- and
236 organically-grown asparagus harvested in April and May (class-balanced set).

237 The results obtained for the best classification models for predicting growth
238 method, using the PLS2-DA algorithm and the three NIRS instruments tested, are
239 shown in Table 2, both for unbalanced and balanced sets. The percentage of correctly-
240 classified samples ranged between 82.08% and 91.32% in class-unbalanced sets and

241 between 83.71% and 91.20 % in balanced sets, differences between the values for the
242 two sets being negligible.

243 In general terms, the most accurate models were obtained using $D_1 \log(1/R)$ for
244 FNS-6500 and Phazir 2400, and $D_2 \log(1/R)$ for the Perten DA-7000.

245 The Perten DA-7000 instrument correctly classified 91% of spears by growth
246 method, regardless of set size, compared with 91.32% of spears in the class-unbalanced
247 set and 85.92% in the class-balanced set using the FNS-6500 and with 82.08% and
248 83.71%, respectively, for the Phazir 2400. These minimal differences in classification
249 rates regardless of set size have also been reported by Pérez-Marín et al. (2006), who
250 note that PLS2 is less sensitive to the use of class-unbalanced sets.

251 In short, although all models adequately classified intact green asparagus by
252 growing method – indicating that NIR spectra enable discrimination between
253 conventionally- and organically-grown produce – marginally better results were
254 obtained using the Perten DA-7000 diode-array VIS–NIR spectrophotometer.

255 $D_2 \log(1/R)$ spectra for intact spears grown under organic and conventional
256 systems, obtained using Perten DA-7000 instrument, are shown in Figure 1; areas of
257 maximum difference, which are useful for discrimination purposes, are also indicated.

258 Absorption peaks at 615 nm, 670 nm, 860 nm, 915 nm, 1110 nm and 1355 nm
259 appear to be especially relevant for the classification of asparagus by conventional vs.
260 organic method. Due to the considerable overlap between the two average spectra, the
261 wavelength range between 1315 nm and 1460 nm – mainly related to cellulose content
262 (Maaloly and Jaillais, 2006) – was enlarged (Figure 1).

263 Models were validated using samples not included in the training sets. The
264 percentage of correctly classified samples in class-unbalanced sets was between 90.65%
265 and 92.93% using the Perten DA-7000, between 86.11% and 86.92% with the FNS-

266 6500, and between 74.65% and 93.40% with the Phazir 2400. For class-balanced sets,
267 correct classification rates ranged from 87.50% to 92.96% for the Perten DA-7000;
268 from 87.50% to 89.19% for the FNS-6500, and from 76.06% to 80.00% for the Phazir
269 2400.

270 Similar findings were reported by Lorlowhakarn et al. (2008) in a study using
271 traditional analysis techniques to classify asparagus by growing method; these authors
272 found that organically-grown asparagus contained significantly higher levels of iron,
273 carbohydrates and total sugars than conventionally-grown asparagus, although protein
274 levels were lower.

275 A further aim of the present study was to determine whether the portion of the
276 spear from which the spectrum was collected (tip, middle portion, base) in any way
277 enhanced the discriminant ability of the models. Hernández et al. (1993) and Garrido et
278 al. (2001) reported that the middle portion of the spear yielded the most representative
279 results for spear fiber content during harvesting. Here, spectral data were collected using
280 the handheld MEMS instrument, which can be used for *in situ* spear measurement.

281 Results obtained using models for classifying spear portion (tip, middle, base) as
282 a function of growing method are shown in Table 3.

283 For class-unbalanced models, the Phazir instrument correctly classified 88.14%
284 of middle-portion samples by growing method, compared with 86.75% for tip samples
285 and 85.04% for base samples, using $D_1 \log(1/R)$ for all models. Validation of class-
286 unbalanced models yielded correct classification rates of between 80.00% and 91.66%
287 for tip samples, between 87.37% and 89.01% for middle-portion samples, and between
288 79.16 and 80.55% for base samples.

289 Using class-balanced models, 88.17% of tip samples were correctly classified by
290 growing method, compared with 87.83% for middle-portion samples and 83.96% for

291 base samples, using $D_1 \log(1/R)$ in all cases. At validation, 79.16% of organic-
292 asparagus base samples were correctly classified by growing method, compared with
293 95.83% of organic asparagus tip samples.

294 These results indicate that the tip and the middle portion of the spear are the
295 most useful sampling areas for classifying spears by growing method.

296 *3.2. Classification by harvest month*

297 The results obtained for the best classification models for predicting harvest
298 month (April vs. May) for both growing methods, using the all three NIRS instruments
299 tested, are shown in Table 4.

300 Analysis of the results suggests that the most accurate discriminant models were
301 obtained using $D_1 \log(1/R)$ for the FNS-6500 and Phazir 2400 spectrophotometers, and
302 $D_2 \log(1/R)$ for the Perten DA-7000 instrument, for both conventionally- and
303 organically-grown asparagus.

304 The Perten DA-7000 correctly classified 99.65% of organically-grown spears
305 and 100% of conventionally-grown spears by harvest month, compared with 97.21%
306 and 97.84%, respectively, for the FNS-6500 and 87.32% and 96.06% for the Phazir
307 2400.

308 Jarén et al., (2006) used a monochromator operating in the spectral region
309 between 800 and 1700 nm to predict harvest date in intact white asparagus harvested in
310 March, April and May; 75.4% of March samples were correctly classified, compared
311 with 68.2% of April samples and 68.4% of May samples; these results were poorer than
312 those obtained here with all three instruments tested (Table 4).

313 External validation of models for classifying spears as a function of harvest
314 month yielded the following results: using the Perten DA-7000, between 97.22% and

315 100% of samples were correctly classified, compared with between 97.14% and 100%
316 for the FNS-6500, and between 85.30% and 100% for the Phazir 2400.

317 Generally speaking, all three instruments proved suitable for predicting harvest
318 month in green asparagus, although slightly better results were obtained using the
319 Perten DA-7000 diode-array VIS–NIR spectrophotometer. These results confirm
320 findings reported by Bhowmik et al. (2002) for the destructive measurement of texture
321 in green asparagus between March and October; these authors found a larger number of
322 tough spears in March, April and October as a consequence of slow growth due to cold
323 weather. Lipton (1990) also concluded that asparagus is more fibrous when it grows in
324 cool than in warm weather.

325 *3.3. Classification by postharvest storage time*

326 Results for the best classification models obtained, using PLS2-DA, for
327 predicting postharvest storage time for organically- and conventionally-grown spears
328 kept under refrigeration with their ends in water, are shown in Table 5.

329 The best discriminant models were obtained with $D_2 \log(1/R)$ for the FNS-6500
330 and Perten DA-7000 instruments, and with $D_1 \log(1/R)$ (conventional growing,
331 balanced and unbalanced sets) and $D_2 \log(1/R)$ (organic growing) for the Phazir 2400.

332 The Perten DA-7000 instrument correctly classified 95.34% of organically-
333 grown spears by storage duration, compared with 95.31% of conventionally-grown
334 spears in the class-balanced set and 97.17% in the unbalanced set; the FNS-6500
335 correctly classified 75.90% of organically-grown spears, compared with 67.78% and
336 72.50% of conventionally-grown spears in the balanced and unbalanced sets,
337 respectively; the Phazir 2400 correctly classified 75.09% of organically-grown spears,
338 65.87% of conventionally-grown spears in the balanced set and 79.71% in the
339 unbalanced set.

340 These results confirm that all three instruments are suitable for predicting shelf-
341 life in green asparagus; the scanning monochromator and the MEMS-based displayed
342 very similar discriminant abilities, while the Perten DA-7000 spectrophotometer yielded
343 marginally better results.

344 The results obtained here for the diode-array spectrophotometer are better than
345 those reported by Sánchez et al. (2009) when comparing the same scanning
346 monochromator used and a different diode array instrument with the same spectral
347 range for predicting postharvest storage time in green asparagus stores under
348 refrigerated conditions in three different atmosphere regimes: air (21 kPa O₂ + 0.03 kPa
349 CO₂), controlled atmosphere 1 (5 kPa O₂ + 5 kPa CO₂) and controlled atmosphere 2 (10
350 kPa O₂ + 10 kPa CO₂); these authors found that the diode-array spectrophotometer
351 correctly classified only 75% of samples stored in the air regime.

352 The mean spectra for each class (storage time) using the Perten DA-7000
353 instrument, together with the spectral signal pretreatment that yielded the best result in
354 each case, grouped by growing method, are shown in Figure 2.

355 Absorption peaks at 610 nm, 645 nm, 680 nm, 905 nm, 935 nm, 1090 nm, 1125
356 nm, and in the region between 1235-1375 nm appeared to have more weight in the
357 classification of organically- and conventionally-grown spears by postharvest storage
358 time. This indicates that the discrimination of asparagus by storage time in the NIR
359 region of the spectrum is related to water content and O-H combinations, suggesting
360 that differences caused by water loss and fiber profiles might contribute to variations as
361 a function of storage time. NIR spectra are clearly sensitive to chemical changes in
362 neutral and acid detergent fiber and in sugar and organic acid contents over the storage
363 period (Garrido et al., 2001; Bhowmik et al., 2002).

364 At subsequent external validation of models constructed to classify organic
365 spears by postharvest storage time, between 92.86% and 100% of samples were
366 correctly classified using the Perten DA-7000 instrument, while percentages were slight
367 lower for the FNS-6500 (between 86.67% and 100%) and the Phazir 2400 (between
368 57.14% and 86.67%). For conventionally-grown spears in class-balanced sets, the
369 Perten DA-7000 spectrophotometer correctly classified between 90.91% and 100% of
370 spears; the FNS-6500 between 47.62% and 90.91%; and the Phazir 2400 between
371 47.62% and 80.95%. For conventionally-grown spears in class-unbalanced sets,
372 percentages ranged from 93.33% to 100% for the Perten DA-7000; from 64.29% to
373 93.33% for the FNS-6500; and from 69.23% to 93.33% for the Phazir 2400.

374 **4. Conclusions**

375 The results obtained indicate that NIRS technology using a MEMS handheld
376 instrument can be incorporated as a pre and postharvest sensor technology for use in the
377 horticultural industry to authenticate the organic vs. conventional origin of intact green
378 asparagus, with an accuracy of over 82%, although slightly better discriminant models
379 were constructed using the diode array spectrophotometer, which can only be used for
380 on-line determinations in the packing house. The tip and middle portion of the spear
381 proved to be the most suitable sampling areas for determining the organic or
382 conventional origin of green asparagus. The results also suggest that NIRS technology
383 can be used for providing information about asparagus quality, i.e. harvest month and
384 postharvest storage time, parameters that influence spear texture and final consumer
385 acceptability.

386 **Acknowledgements**

387 This research was funded by the Andalusian Regional Government under the
388 Research Excellence Program (Project No. P09-AGR-5129 “MEMS and NIRS-image

389 sensors for the in situ nondestructive analysis of food and feed”) and the Research
390 Program in Ecological Agriculture (Project No. 92162/3 “Postharvest Treatments for
391 Increasing Asparagus Shelf-Life”). The authors are grateful to Mr. Antonio López and
392 Mrs. M^a Carmen Fernández of the Animal Production Department (ETSIAM-UCO) for
393 technical assistance.

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498 **Table 1**

499 Basic technical features of three spectrophotometers: scanning monochromator (FNS-
500 6500), diode array (Perten DA-7000) and MEMS (Phazir-2400).

Properties	Instrument		
	FNS-6500	Perten DA-7000	Phazir-2400
Detector type	Silicon, 400–1100 nm. Lead sulphide, 1100– 2500 nm	Silicon, 400-950 nm. Indium-gallium-arsenide, 950-1700 nm	Indium-gallium- arsenide, 1600-2400 nm
Wavelength range (nm)	400-2500	400-1700	1600-2400
Spectral data rate	1.8 scans/second	30 scans/second	1-2 scans/second
Dispersion	Pre	Post	Post
Light source	Full spectrum	Full spectrum	Full spectrum
Analysis mode	Interactance- Reflectance	Reflectance	Interactance- Reflectance

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Table 2

Percentage of asparagus spears correctly classified by growing method. PLS-DA.

Qualitative groups	Unbalanced models						Balanced models					
	FNS-6500		Pertena DA-7000		Phazir 2400		FNS-6500		Pertena DA-7000		Phazir 2400	
	A: 91.32 %		A: 91.14%		A: 82.08%		A: 85.92 %		A: 91.20 %		A: 83.71%	
	B: 0.32		B: 0.32		B: 0.38		B: 0.35		B: 0.32		B: 0.37	
	C: 20		C: 30		C: 27		C: 22		C: 29		C: 28	
	D: 1,10,5,1		D: 2,10,5,1		D: 1,5,5,1		D: 1,10,5,1		D: 2,5,5,1		D: 1,5,5,1	
Growing method	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set
Organic	89.55%	86.11%	89.05%	92.93%	75.09%	74.65%	84.67%	87.50%	90.81%	92.96%	86.12%	76.06%
Conventional	92.51%	86.92%	92.52%	90.65%	86.73%	93.40%	87.19%	89.19%	91.58%	87.50%	81.29%	80.00%

A, Percentage of correctly classified training samples after cross validation; B, Model SECV; C, number of factors; D, math treatment.

Table 3

Percentage of spears correctly classified by sampling area and growing method. PLS-DA. Phazir 2400. Spectral range: 1600-2400 nm.

Qualitative groups	Unbalanced models						Balanced models					
	Tip		Middle		Base		Tip		Middle		Base	
	A: 86.75%		A: 88.14%		A: 85.04%		A: 88.17%		A: 87.83%		A: 83.96%	
	B: 0.37		B: 0.36		B: 0.40		B: 0.37		B: 0.38		B: 0.40	
	C: 28		C: 28		C: 27		C: 20		C: 23		C: 25	
	D: 1,10,5,1		D: 1,10,5,1		D: 1,10,5,1		D: 1,10,5,1		D: 1,10,5,1		D: 1,5,5,1	
Growing method	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set
Organic	82.11%	91.66%	86.17%	87.37%	95.83%	79.16%	87.37%	95.83%	86.17%	91.66%	84.95%	79.16%
Conventional	89.93%	80.00%	89.44%	89.01%	95.65%	80.55%	89.01%	95.65%	89.47%	83.33%	82.98%	87.50%

A, Percentage of correctly classified training samples after cross validation; B, Model SECV; C, number of factors; D, math treatment.

Table 4

Percentage of spears correctly classified by harvest month. PLS-DA.

Qualitative groups	FNS-6500		Perten DA-7000				Phazir 2400					
	Organic	Conventional	Organic	Conventional	Organic	Conventional	Organic	Conventional				
	A: 97.21%	A: 97.84%	A: 99.65%	A: 100%	A: 87.32%	A: 96.06%						
	B: 0.23	B: 0.24	B: 0.22	B: 0.19	B: 0.37	B: 0.27						
	C: 14	C: 26	C: 11	C: 22	C: 27	C: 23						
	D: 1,10,5,1	D: 1,10,5,1	D: 2,5,5,1	D: 2,5,5,1	D: 1,10,5,1	D: 1,5,5,1						
Harvest month	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set
April	97.90%	100%	97.84%	97.14%	100%	100%	100%	97.22%	85.19%	85.30%	94.96%	97.14%
May	96.53%	97.22%	97.84%	97.14%	99.30%	100%	100%	100%	89.36%	94.40%	97.14%	100%

A, Percentage of correctly classified training samples after cross validation; B, Model SECV; C, number of factors; D, math treatment.

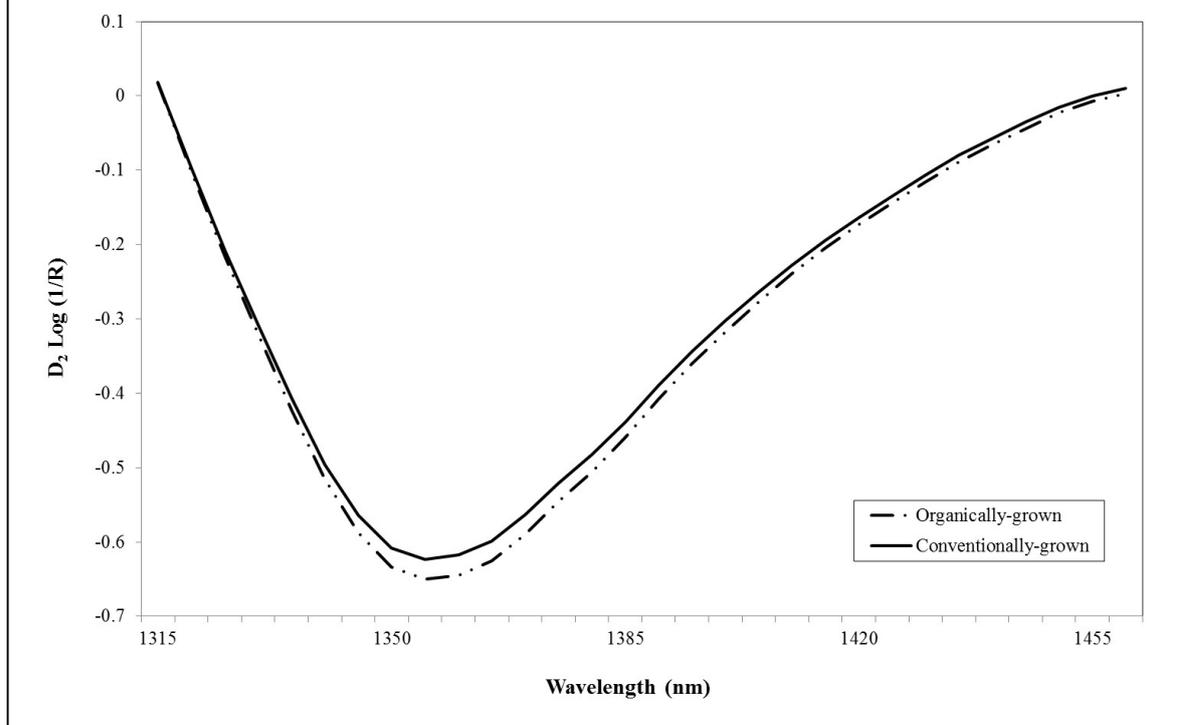
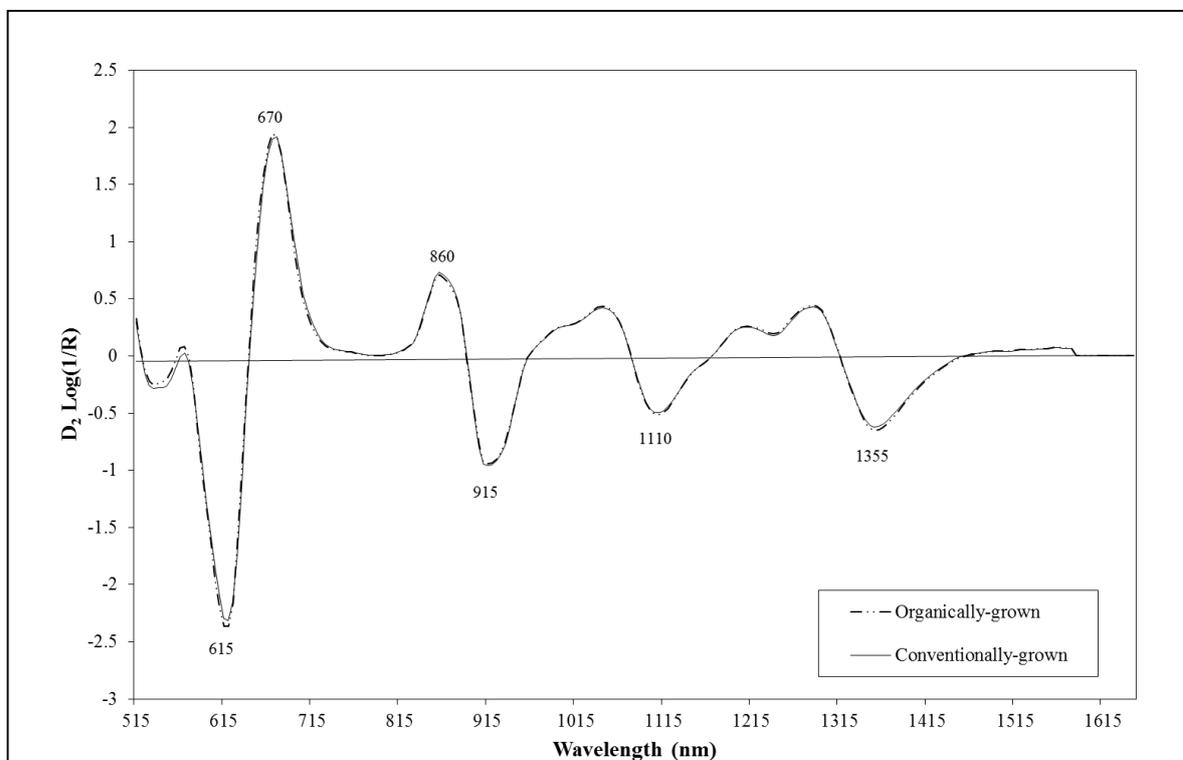
Table 5. Percentage of spears correctly classified by storage time. PLS-DA.

Qualitative Groups	FNS-6500				Pertem DA-7000				Phazir-2400									
	Organic		Conventional ¹		Conventional ²		Organic		Conventional ¹		Conventional ²		Organic		Conventional ¹		Conventional ²	
A: 75.90%			A: 67.78%		A: 72.50%		A: 95.34%		A:95.31%		A: 97.17%		A: 75.09%		A: 65.87%		A: 79.71%	
B: 0.34			B: 0.36		B: 0.35		B: 0.23		B:0.24		B: 0.24		B: 0.33		B: 0.34		B: 0.31	
C: 24			C: 25		C: 27		C: 30		C:30		C: 25		C: 28		C: 29		C: 30	
D: 2,5,5,1			D: 2,5,5,1		D: 2,5,5,1		D: 2,5,5,1		D:2,5,5,1		D: 2,5,5,1		D: 2,5,5,1		D: 1,5,5,1		D: 1,10,5,1	
Storage time (days)	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set	Training set	Validation set
Day 0	85.71%	100%	82.14%	90.91%	82.14%	85.71%	96.49%	100%	98.84%	90.91%	100%	100%	71.93%	73.33%	75.00%	72.73%	71.70%	71.43%
Day 7	73.21%	92.86%	63.53%	81.82%	64.91%	66.67%	92.86%	100%	94.19%	100%	96.43%	100%	77.19%	80.00%	56.63%	61.90%	80.70%	93.33%
Day 14	67.86%	86.67%	47.62%	59.09%	57.14%	64.29%	96.43%	93.33%	92.86%	95.45%	96.49%	100%	72.22%	57.14%	53.01%	47.62%	76.79%	69.23%
Day 21	72.22%	92.86%	60.98%	47.62%	78.18%	64.29%	98.15%	100%	95.35%	100%	92.86%	93.33%	73.21%	85.71%	75.29%	68.18%	78.57%	93.33%
Day 28	80.36%	86.67%	84.52%	68.18%	80.36%	93.33%	92.86%	92.86%	95.24%	100%	100%	100%	80.70%	86.67%	69.05%	80.95%	90.74%	85.71%

Conventional¹= Balanced population; Conventional²= Unbalanced population; A, Percentage of correctly classified training samples after cross validation; B, Model SECV; C, number of factors; D, math treatment.

1 **Fig. 1.** D₂ Log(1/R) spectra for green asparagus grown organically and conventionally.
2 Perten DA-7000 spectrophotometer.

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7 **Fig. 2.** D₂ Log(1/R) spectra for postharvest behavior of green asparagus grown
8 organically and conventionally. Perten DA-7000 spectrophotometer.

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